



ARTICLE

Longitudinal imaging of metabotropic glutamate 5 receptors during early and extended alcohol abstinence

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Chronic alcohol use has important effects on the glutamate system. The metabotropic glutamate 5 (mGlu5) receptor has shown promise in preclinical models as a target to reduce drinking-related behaviors and cue-induced reinstatement, motivating human studies of mGlu5 receptor negative allosteric modulators. The goal of this work was to measure levels of mGlu5 receptor availability with positron emission tomography (PET) imaging using the mGlu5 receptor-specific radiotracer [¹⁸F]FPEB during early and extended alcohol abstinence. Subjects who met DSM-5 criteria for alcohol use disorder (AUD; $n = 17$) were admitted inpatient for the study duration. [¹⁸F]FPEB PET scans were acquired first during early abstinence (6 ± 4 days after last drink) and a second time during extended abstinence ($n = 13$; 27 ± 6 days after last drink). A single scan was acquired in healthy controls matched for sex and smoking status ($n = 20$). [¹⁸F]FPEB total volumes of distribution (V_T) corrected for partial volume effects were measured using equilibrium analysis throughout the brain. A linear mixed model controlling for smoking status and sex identified significantly higher [¹⁸F]FPEB V_T in AUD subjects at early abstinence compared to controls ($F_{(1,32)} = 7.23$, $p = 0.011$). Post-hoc analyses revealed this effect to occur in cortical brain regions. No evidence for significant changes in [¹⁸F]FPEB V_T over time were established. These findings provide human evidence consistent with a robust preclinical literature supporting mGlu5 receptor drugs as pharmacotherapies for AUD.

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INTRODUCTION

Alcohol use disorder (AUD), which affects over 10% of the population, alters neurotransmitter function [1, 2] and neural organization [3]. During alcohol abstinence, the brain again undergoes dynamic changes that have important implications for treatment outcome [4]. Glutamate is a key neurotransmitter influenced by chronic alcohol use [5], evidenced by upregulation of the key receptor *N*-methyl-D-aspartate (NMDA) [6] and excessive excitatory signaling and glutamate levels during withdrawal [7]. These aggregate changes increase relapse propensity [8], making the glutamatergic system of high interest to promote recovery during abstinence.

The metabotropic glutamate 5 (mGlu5) receptor has shown promise as a drug development target for improving alcohol-related outcomes. mGlu5 receptors are primarily postsynaptic G-protein coupled receptors intracellularly coupled to NMDA receptors by the scaffolding proteins Homer and Shank [9–11], thereby modulating NMDA function. In preclinical models, negative allosteric modulation, antagonism, or deletion of mGlu5 receptors reduced alcohol self-administration [12–15] and diminished reinstatement [16–18]. In humans, genetic variations in *MGLUR5* are associated with AUD [19]. Indeed, mGlu5 receptor negative allosteric modulation is a particularly attractive mechanism for AUD that has motivated human laboratory studies

evaluating safety and tolerability [20] and alcohol effects on pharmacokinetics [21] of a negative allosteric modulator.

Measurements of mGlu5 receptor levels in humans might further implicate this target in the pathophysiology of human AUD. In post mortem brain tissue, mGlu5 receptor binding was greater in the AUD brain compared to controls [22, 23]. In vivo positron emission tomography (PET) imaging with radioligands specific for mGlu5 receptor revealed mixed findings. One study using the radioligand [¹¹C]ABP688 involving 14 nonsmoking men with AUD reported higher mGlu5 receptor availability in temporal lobe compared to controls [24]. A larger study using the radioligand [¹⁸F]FPEB in men and women with AUD, but without controlling for tobacco smoking, reported lower mGlu5 receptor availability in limbic brain regions compared to controls [25], which recovered after 6 months abstinence [26]. Since multiple reports identify lower mGlu5 receptor availability in tobacco smokers [27, 28], we hypothesized that when controlled for smoking mGlu5 receptor availability would be higher in AUD, consistent with post-mortem and preclinical data. Thus, the goal of this work was to assess mGlu5 receptor levels using the PET radiotracer [¹⁸F]FPEB [29] in people with AUD at multiple longitudinal timepoints in an independent sample featuring a control group matched for sex and tobacco smoking status.

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METHODS

Participants

Study participants included 17 subjects with AUD (4 women, 13 men) and 20 healthy control subjects (7 women, 13 men) recruited from the local population. All procedures were approved by the Yale School of Medicine Human Investigation Committee and the Radiation Safety Committee. Written informed consent was obtained from all subjects prior to participation in this study.

Participants with AUD met DSM-5 criteria for AUD. During screening or inpatient admission, the SCID-5 identified secondary comorbid diagnoses for five subjects (two PTSD, two major depressive disorder, one generalized anxiety disorder) of whom two were on active medication (both for major depressive disorder, one on fluoxetine, one on trazadone and sertraline). Participants with AUD were admitted to the Connecticut Mental Health Center Clinical Neuroscience Research Unit and remained on the unit until scanning procedures were completed. While on the unit, alcohol withdrawal symptoms were assessed daily with the Clinical Institute Withdrawal Assessment for Alcohol-revised (CIWA-R) [30]. Of the 17 AUD participants, 7 underwent detoxification without medication, while 10 underwent medicated detoxification with lorazepam (mean cumulative dose, 11 ± 7 mg, range 2–19 mg) over no more than 5 days since last drink (average 3 days) to alleviate withdrawal symptoms. Lorazepam was administered on the day of first scan for two subjects. Prior to admission, alcohol use over the prior 90 days was evaluated with the timeline-follow back interview [31].

A first [¹⁸F]FPEB PET scan was acquired during early abstinence 6 ± 4 days after last drink (range, 2–14 days). Of the 17 AUD participants, 13 participated in a second [¹⁸F]FPEB PET scan that occurred 20 ± 5 days after the initial scanning session and 27 ± 6 days after the last drink (range, 17–36 days after the last drink). On PET scan days, craving for alcohol was evaluated with the Alcohol Craving Questionnaire [30]. Each PET imaging scan was accompanied by a corresponding MRI scan that occurred within 3 days.

Healthy controls had no history of significant major medical disorders and did not meet criteria for current or past psychiatric or substance use diagnosis (other than nicotine use) as confirmed by the SCID-5. Control subjects reported drinking <6 alcohol drinks per week. These subjects participated in a single [¹⁸F]FPEB scan. Participant demographics and PET imaging parameters are summarized in Table 1.

Scan data acquisition

[¹⁸F]FPEB was produced via nucleophilic substitution of ¹⁸F-fluoride with the *nitro*- precursor as detailed previously [32], resulting in high molar activities of 136 ± 62 MBq/nmol. PET-imaging data were acquired with a high-resolution research tomograph (HRRT; Siemens). Head motion data were simultaneously acquired with an optical motion-tracking tool (Vicra, NDI Systems). A total of 171 ± 12 MBq [¹⁸F]FPEB was administered as a bolus plus constant infusion with $K_{bol} = 190$ min [33], targeting equilibrium conditions between arterial plasma, venous plasma, and tissue concentrations within 90 min. Therefore, emission data acquisition began 90 min after [¹⁸F]FPEB was first administered, and continued for 30 min. A ¹³⁷Cs transmission was also acquired for 6 min for attenuation correction. Venous plasma samples were acquired at discrete time points from 60 to 120 min. At minimum, five venous plasma samples were acquired during the 90–120 min equilibrium period, of which no less than 3 were included for metabolite analyses. The metabolite-corrected venous input function, which provides excellent concordance with the arterial input function after 60 min [¹⁸F]FPEB infusion [34], was measured as previously described [33]. All scanning procedures occurred within a 3 h period in the early afternoon to minimize the effects of within-day variation in mGlu5 receptor availability [35].

Table 1. Participant Demographics and Scanning Parameters.

	Alcohol use disorder group		Healthy control group (n = 20)
	Scan 1 (n = 17)	Scan 2 (n = 13)	
Sex	4F/13M	3F/10M	7F/13M
Age (year)	42.3 ± 8.7	43.2 ± 8.5	39.6 ± 12.7
Tobacco smokers	n = 12	n = 10	n = 7
Years AUD	26 ± 7	27 ± 7	
Drinks per week (TLFB)	77 ± 42	84 ± 40	
Drinks per drinking day (TLFB)	12 ± 7	13 ± 8	
Days abstinence	6 ± 4	27 ± 6	
Peak alcohol withdrawal (CIWA-R)	3 ± 3 (range, 0–10)	3 ± 3 (range, 0–10)	
Alcohol craving (ACQ)	120 ± 35	92 ± 60	
Injected dose (MBq)	157.6 ± 43.3	149.8 ± 45.7	170.7 ± 12.0
Injected mass (µg)	0.32 ± 0.19	0.29 ± 0.17	0.39 ± 0.20

Summary of participant demographics, clinical measures, and scanning parameters. Values are mean ± standard deviations. TLFB timeline follow back (on admittance day), CIWA-R Clinical Institute Withdrawal Assessment for Alcohol-revised, ACQ alcohol craving questionnaire.

Magnetic resonance image (MRI) data were acquired for anatomical localization of [¹⁸F]FPEB uptake. MR data were acquired with a 3 T Trio Scanner (Siemens Medical Systems, Erlangen, Germany) with a weighted gradient-echo (MPRAGE) sequence featuring the following parameters: (TE = 3.3 ms; TI = 1100 ms, TF = 2500 ms, FA = 7°) yielding 1 mm³ isotropic resolution.

Image processing and analysis. Dynamic list-mode PET data were histogrammed into discrete time frames up to 5 min and reconstructed with the MOLAR algorithm [36]. To transform PET data into MR space, a summed image of the first 10 min of PET data was registered to the subject-specific T1-weighted MRI using a mutual information algorithm with six degrees of freedom (FLIRT, FSL 3.2; Analysis Group; FMRIB, Oxford, UK). The native MRI was segmented with the Computational Anatomy Toolbox (CAT12; <https://www.neuro.uni-jena.de>) and co-registered to the Montreal Neurological Institute (MNI) template space with a nonlinear transformation algorithm (BiImage Suite; <https://www.bioimagesuite.com>) for Region of Interest (ROI) definition. The dynamic PET data were corrected for partial volume effects using CAT12 segmentations with previously published methods [37]. The time–activity curves of radioactivity concentration in tissue were extracted using the Automated Anatomical Labeling atlas [38] from the following bilateral brain regions: dorsolateral prefrontal cortex (dlPFC), orbitofrontal cortex (OFC), ventromedial prefrontal cortex (vmPFC), cingulate gyrus, insula cortex, parietal cortex, temporal cortex, occipital cortex, cerebellum, thalamus, caudate, putamen, amygdala, hippocampus.

The primary outcome measure of [¹⁸F]FPEB data was the total volume of distribution (V_T), which is the equilibrium ratio of [¹⁸F] FPEB concentration in tissue to that in venous plasma [39]. This outcome measure was selected because significant specific mGlu5 receptor binding is found throughout the human brain [40], meaning reference region approaches could be biased by individual variation in specific radiotracer uptake. [¹⁸F]FPEB V_T is proportional to the number of mGlu5 sites available for [¹⁸F]FPEB binding. Equilibrium analysis was used to estimate [¹⁸F]FPEB V_T .

However, non-equilibrium conditions were observed for a number of subjects. This could occur due to altered metabolic conversion of [¹⁸F]FPEB in these subjects, a phenomenon previously reported for a similar mGlu5 receptor ligand in the presence of alcohol [21]. To correct for non-equilibrium conditions a tissue clearance correction was applied to all regional V_T equilibrium estimates in all subjects using the [¹⁸F]FPEB-specific value of $\gamma = 4.3 \text{ min cm}^3/\text{mL}$ [41]. This correction significantly reduced variance in both study groups (Morgan–Pitman test), consistent with the initial validation results [41].

Statistical analysis

[¹⁸F]FPEB V_T values were analyzed with a linear mixed modeling approach. To test the null hypothesis of no difference in [¹⁸F]FPEB V_T between healthy controls and the AUD group at ~1 week of abstinence, a model was constructed with diagnosis as a between-subjects factor and region as a within-subjects factor. Based on previously reported results, additional factors accounting for subject age, smoking status [27, 28], and subject sex [42, 43] were considered in the model, with the best-fitting structure selected based on the Bayes–Schwartz information criterion. To test the null hypothesis of no change in [¹⁸F]FPEB V_T over time in the AUD group, a model with region and time as within subjects factors including subject smoking status and age was constructed. Main effects were considered significant for $\alpha < 0.05$. Post-hoc linear contrasts examined regional differences in [¹⁸F]FPEB V_T between AUD subjects and healthy controls controlling for the statistically significant factors identified from the above analysis. To visually assess brain areas of particularly large group differences in mGlu5 receptor availability, exploratory voxelwise analyses were performed with PVC-corrected V_T images smoothed with an 8 mm Gaussian kernel in SPM12. For consistency with ROI-level results, group differences between healthy controls and AUD at ~1 week abstinence were assessed with a full factorial design including AUD diagnosis and smoking as main factors. The longitudinal images were conducted as a paired t-test including smoking status as a covariate. Statistical thresholds were set at $p < 0.05$, familywise error corrected.

Exploratory analyses were conducted to examine relationships between regional mGlu5 receptor availability and clinical measures within the AUD group. Due to the exploratory nature, these were uncorrected for multiple comparisons. Regression analysis examined relationships of regional [¹⁸F]FPEB V_T during early abstinence with average drinks per week, time of last drink, time of last benzodiazepine administration, peak CIWA-R score, and craving on scan day. A second set of regression analyses examined relationships between the change in [¹⁸F]FPEB V_T with the duration of abstinence, amount of drinking, or peak CIWA-R score. Finally, repeated measures correlations between alcohol craving on scan day and regional [¹⁸F]FPEB V_T were calculated to examine within-individual relationships between these variables. All statistical analyses were conducted with R (version 3.5.1).

RESULTS

A model including main factors of sex and smoking status with AUD diagnosis and region best described the data comparing AUD subjects at early abstinence with healthy controls. A significant main effect of AUD diagnosis was observed ($F_{(1,32)} = 7.23, p = 0.011$) in addition to a trend for a main effect of smoking ($F_{(1,32)} = 3.78, p = 0.06$) but not sex ($F_{(1,32)} = 1.68, p = 0.20$). Post-hoc linear contrasts revealed significantly higher (uncorrected for multiple comparisons) mGlu5 receptor availability for AUD diagnosis in the dlPFC, OFC, occipital cortex, and temporal cortex, with a trend in the vmPFC and parietal cortex. These findings are shown in Fig. 1. Exploratory voxelwise analyses did not provide further insight into group differences in [¹⁸F]FPEB V_T.

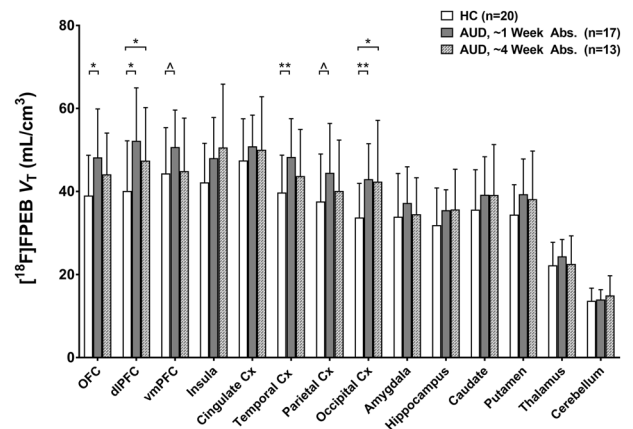


Fig. 1 MGlU5 receptor availability in AUD. [¹⁸F]FPEB total distribution volume (V_T), a measure of mGlu5 receptor availability, in AUD at ~1 week abstinence (solid dark bars), ~4 weeks abstinence (patterned dark bars) and healthy controls (open bars). [¹⁸F]FPEB V_T values include partial volume correction. Abbreviations: OFC orbitofrontal cortex, dlPFC dorsolateral prefrontal cortex, vmPFC ventromedial prefrontal cortex. Main effects for post-hoc analyses are denoted as: ** $p < 0.01$; * $p < 0.05$; $\wedge p < 0.10$. Error bars indicate standard deviations.

The longitudinal data were best described by a model including main factors of smoking status and time. The model did not establish evidence for a within-subjects change in [¹⁸F]FPEB V_T during abstinence ($F_{(1,21)} = 2.83, p = 0.11$). A secondary analysis comparing [¹⁸F]FPEB V_T in AUD at extended abstinence with healthy controls revealed no statistically significant between-group differences ($F_{(1,28)} = 2.95, p = 0.10$), while the effect of smoking was significant ($F_{(1,28)} = 11.2, p = 0.002$) with significantly lower [¹⁸F]FPEB V_T in smokers compared to nonsmokers. These data are illustrated in Fig. 1. Exploratory voxelwise analysis did not identify clusters of [¹⁸F]FPEB V_T that changed over time.

Within the AUD group, secondary analyses revealed no significant relationships of mGlu5 receptor availability at early abstinence with the amount of drinking, time of last drink, time of last benzodiazepine administration, or peak CIWA-R score. A trend for greater alcohol craving on scan day corresponding to greater mGlu5 receptor availability in vmPFC during early abstinence was identified ($F_{(1,15)} = 3.75, p = 0.07$ uncorrected). No evidence was observed for relationships of change in mGlu5 receptor availability with duration of abstinence, amount of drinking, of peak CIWA-R score, or change in craving. No evidence was observed for relationships of changes in craving and changes in mGlu5 receptor availability within subjects.

DISCUSSION

Our data indicate higher mGlu5 receptor availability in cortical brain regions in subjects with AUD at early (~1 week) abstinence compared to healthy controls that did not significantly change when measured a second time following extended (~4 weeks) abstinence. This result extends previous reports of higher mGlu5 receptor binding in AUD post mortem brain [23] and higher mGlu5 receptor availability in individuals with AUD conducted after 25 days of abstinence [24]. In the context of a robust preclinical literature identifying mGlu5 receptor inhibition as a mechanism that reduces alcohol drinking and reinstatement, these aggregate findings provide in vivo human evidence consistent with continued pursuit of therapeutics that modulate mGlu5 receptor signaling for the treatment of AUD.

The within-subject longitudinal design across abstinence represents a strength of this study. Specifically, given glutamatergic fluctuations that occur during early alcohol abstinence [44],

the inclusion of an early abstinence timepoint provides important data regarding mGlu5 receptor dynamics during abstinence, where levels are clearly higher than control levels. The data acquired during extended abstinence are not conclusive regarding mGlu5 receptor 'normalization' or consistently higher availability, as neither main effects of time within the AUD subjects nor the diagnostic group comparison of AUD at extended abstinence with controls were significant. To provide some preliminary insight into this question, exploratory analyses at the regional level investigated for within-subject changes of [^{18}F]FPEB V_T over time, and compared control values with those measured in AUD at extended abstinence (uncorrected for multiple comparisons). This exploratory analysis did not identify any within-subject regional differences in [^{18}F]FPEB V_T , while some evidence for higher [^{18}F]FPEB V_T in AUD at extended abstinence in the dlPFC and occipital cortex was found. Given the exploratory nature of this analysis, these differences are highly preliminary and require a larger sample for conclusive results. Since other brain measures such as gray matter volume require over 2 months to recover in AUD [45], potential 'normalization' effects may occur at timescales beyond the scope of this study. Thus, the presented data do not clarify whether mGlu5 receptor availability is a consequence of chronic alcohol use or withdrawal, or exists prior to AUD onset. However, preclinical data demonstrate dynamic changes in mGlu5 levels following drug administration, including alcohol [46, 47], which seem to be complex depending on duration of drug administration and time of withdrawal [48]. This literature suggests that the observed higher mGlu5 receptor availability may result from chronic alcohol use and abstinence, but further research in this area is needed.

The presented study included tobacco smokers in both AUD and control groups, which is a critical consideration since smoking rates are considerably higher in people with AUD compared to the general population [49]. Furthermore, multiple studies report lower mGlu5 receptor availability in tobacco smokers compared to nonsmokers [27, 28]. Consistent with these reports, in the presented data mGlu5 receptor availability was lower in tobacco smokers regardless of AUD status, as illustrated in Supplementary Fig. 1. This result may explain discrepant reports of lower mGlu5 receptor availability (vs. higher mGlu5 receptor availability in the current study) in people with AUD compared to controls [25, 26], as these previous studies included smokers in the AUD group but not the control group. Although the data from this study show no evidence for interactions of smoking with either AUD diagnosis or over time within AUD subjects, the sample size was not well powered to examine fully these effects. Further work is required to confirm this initial result.

Secondary analyses revealed a trend for higher mGlu5 receptor availability in vmPFC with greater craving for alcohol during early abstinence (see Supplementary Fig. 2). While this trend was not statistically significant and there was no evidence for this relationship within individuals over time, it notably complements previous PET imaging reports of the same relationship [25]. Moreover, these results, albeit preliminary, provide human data consistent with an extensive preclinical literature in support of mGlu5 receptor negative allosteric modulators to reduce cue-induced reinstatement [16–18, 50]. Some evidence suggests that drug-induced increases in mGlu5 receptor availability, which predicted the degree of cue-induced reinstatement, is associated with disrupted negative outcome updating [51]. Taken together, this literature supports the use of mGlu5 receptor negative allosteric modulators to help people with AUD reduce alcohol craving and relapse.

A number of limitations and technical considerations are noted to aid interpretation of the presented results. First, given known brain atrophy associated with AUD [45], we compensated for possible atrophy influencing the PET data (typically causing underestimation of V_T when atrophy is present) by including

partial volume correction (PVC). Analysis of the data without PVC did not reveal a significant main effect of AUD ($F_{(1,32)} = 2.77$), however, post-hoc analyses confirmed similar trends for higher non-PVC [^{18}F]FPEB V_T in AUD in the dlPFC, OFC, insula, and occipital cortex (see Supplementary Fig. 3). Although not a primary goal of this project, to assess group differences in gray matter volumes an exploratory voxelwise analysis was performed. Gray matter maps were smoothed with an 8 mm Gaussian kernel and compared with models including total intracranial volume as a covariate using statistical parametric mapping (SPM12; <https://www.fil.ion.ucl.ac.uk/spm/software/spm12/>). A two-sample t -test ($p < 0.001$, uncorrected) identified large clusters (>200 voxels) of less gray matter volume in people with AUD at early abstinence compared to healthy controls in occipital cortex (see Supplementary Fig. 4). There was no evidence for within-subject changes in gray matter volume from early to extended alcohol abstinence. Taken together, these observations increase confidence that the results were not overly biased by PVC effects. Second, variability in the timing of PET scans, relative to both the time of last drink and time of last lorazepam dose, may present a possible confound. Although our secondary analyses did not suggest any significant relationships of mGlu5 receptor availability with these variables, these factors may yet have influenced the results. Finally, the limited sample size does not allow a full exploration of potential interactive effects of AUD tobacco smoking together, which remains an important question for future research.

In summary, the presented study provides evidence for higher mGlu5 receptor availability in cortical brain regions in people with AUD at ~1 week of abstinence compared to control levels, which do not significantly change at 4 weeks of abstinence. These findings are consistent with a robust preclinical literature supporting mGlu5 receptor drugs as pharmacotherapies for AUD.

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AUTHOR CONTRIBUTIONS

This study was designed by ATH, REC, JHK, SSOM, and KPC. GAA was the study physician. Radiochemical production and quality control of [¹⁸F]FPEB was carried out under the supervision of NN and YH. PET image acquisition was overseen by ATH, IE, REC, and KPC. Image analysis, statistical analysis, and initial drafting of the manuscript were performed by ATH. All authors provided editing contributions to this article.

ADDITIONAL INFORMATION

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