

# ARTICLE Prefrontal-amygdala plasticity enabled by observational fear

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Observing fear in others (OF) is a form of social stress. In mice, it enhances inhibitory avoidance learning and causes the formation of silent synapses in the prefrontal-amygdala pathway. Here, we report that OF made that pathway prone to facilitation both ex vivo and in vivo. Ex vivo, OF enabled induction of long-term potentiation (LTP), expressed mostly postsynaptically and occluded by inhibitory avoidance training. In vivo, OF enabled facilitation of the dmPFC-BLA pathway by inhibitory avoidance training. The facilitation persisted during the first 4 h after the training when the prefrontal cortex and amygdala are involved in memory consolidation. Thus, the OF-generated silent synapses likely enable plasticity that may enhance the consolidation of inhibitory avoidance memories.

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# INTRODUCTION

Observing others in fear or pain is a form of psychosocial stress, which, in humans, can lead to PTSD [1, 2]. The relevant behavioral effects in rodents are obtained by exposure to distressed conspecific. They include the formation of contextual and cued fear memories [3–5], the enhancement of performance in the two-way avoidance and contextual fear learning tasks given immediately after the exposure [6], and the development of anhedonia and anxiety-like behaviors [7, 8]. While the underlying mechanisms of these effects are not well understood, recent findings have implicated distinct microcircuits in the anterior cingulate cortex (ACC) [9], a subdivision of the dorsomedial prefrontal cortex (dmPFC), and its BLA-projecting neurons [10] in the observational fear (OF) learning.

Recently, we have found that the OF procedure, in which the subject mouse is exposed to a conspecific receiving electrical footshock, enhanced inhibitory avoidance (IA) learning. At the same time, the proportion of silent synapses, which only contain the NMDA receptor, increased in the input from the dorsomedial prefrontal cortex (dmPFC) to the basolateral amygdala (BLA). Interestingly, subanesthetic ketamine, administered immediately after OF, abolished both effects [11]. Meanwhile, experiments with pharmacological manipulations have provided evidence that (a) dmPFC is critical for IA learning [12, 13], (b) BLA plays a modulatory role [14, 15], and (c) strengthening of functional interaction between dmPFC and BLA immediately after IA training enhances IA memory consolidation [16, 17]. It raises a question whether the OF-generated silent synapses participate in the OF-enhanced IA learning.

Because silent synapses can incorporate AMPAR and convert to functional synapses [18–23], such de novo generation of silent synapses in adults may contribute to the aberrant synaptic reorganization as seen in drug addiction and after brain injuries [18]. Therefore, we hypothesized that IA training causes the conversion of the OF-generated silent synapses to facilitate the dmPFC-BLA synaptic transmission during IA learning.

The hypothesis was tested by quantifying plasticity and silent synapses along the OF-IA paradigm ex vivo. Furthermore, to obtain direct evidence of synaptic facilitation in the dmPFC-BLA synapses during IA training, we recorded light-evoked local field potentials (LFPs) in BLA by stimulating the dmPFC afferents in vivo. The experiment revealed that OF enabled facilitation of dmPFC-BLA pathway by IA training presumably via unsilencing of the OF-generated silent synapses.

# MATERIALS AND METHODS

#### Animals

129SvEv/C57BL/6 N F1 hybrid male mice were produced from breeding trios of one C57BL/6 N male and two 129SvEv females, weaned at p21-25, and housed two littermates per cage as described [11]. Channelrhodopsin-2 expressing adeno-associated virus (AAV-ChR2) was injected at p28, and experiments were performed at p60-75, according to Virginia Tech IACUC-approved protocol.

## Behavioral treatments

Observational fear. The OF procedure [3] was performed in a fear conditioning chamber (Med Associates, St. Albans, VT), divided into two unequal compartments by a transparent Plexiglas wall with 7 mm diameter holes, spaced at 2-cm interval, to allow auditory and olfactory cues, and whisker to whisker interaction. In the larger  $26 \times 20 \times 26$  cm compartment, a stainless steel rod floor was covered with a white plastic sheet. In the smaller  $26 \times 9 \times 26$  cm compartment, the rod floor was exposed. Cagemates observer and demonstrator were placed, respectively, in the larger and smaller compartments. After 5 min acclimation, 24 footshocks (1 mA, 2 s, every 10 s) were delivered to the demonstrator. The observer was returned to the home cage and housed alone. In the OF control procedure (denoted as "OF<sub>cont</sub>"), all the manipulations were the same except demonstrators did not receive footshocks.

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*Inhibitory avoidance.* The one trial step-through paradigm [24] was done in the Gemini Avoidance System with the Gemini Mouse Start Box (San Diego Instruments, San Diego, CA). For training, the mouse was placed in the starting side of the chamber with a guillotine door closed, and lights turned off. After 60 s acclimation, the chamber- and cue- lamps were turned on in the starting side, and the door was opened. Once mice entered the dark side, the door was closed, and a 0.15 mA 1 sec electrical footshock was given to the IA training group (denoted as "IA") or not given to the IA training control group (denoted as "IA<sub>cont</sub>"). Mice were returned to the home cages 30 s after the guillotine door closure.

## Surgery

ChR2-AAV pseudo-type 1 virus at the titer of 10<sup>12</sup> viral particles/ml was prepared by University of North Carolina Gene Therapy Vector Core (Chapel Hill, NC) using Addgene plasmid 20071 [25], in which ChR2-Venus expression is driven by CAG promoter. P28 mice were anesthetized with Ketamine/ Xylazine/Acepromazine (100/5.4/1 mg/kg) injected intramuscularly and placed in a stereotaxic apparatus (David Kopf, Tujunga, CA). After minimum craniotomy (~0.5 mm diameters), preserving the dura mater, a heater-pulled glass pipette (shaft: 0.6/0.4 mm external/internal diameter, tip: 50 µm, Drummond, Broomall, PA) filled with the virus solution was slowly lowered to the target brain areas. A volume of 0.5 µl of the solution were injected bilaterally, using a syringe pump connected to the pipette through plastic tubing filled with water, in dmPFC at 1.3 mm anterior, 0.4 mm lateral from bregma, and 1.3 mm ventral from brain surface as described [26]. For in vivo recordings, mice at p60 were anesthetized with Ketamine/ Xylazine/Acepromazine (100/5.4/1 mg/kg) injected intramuscularly and positioned in a stereotaxic apparatus (David Kopf, Tujunga, CA). Four stainless steel bearing balls (0.5 mm diameter) with silver wires were embedded in small holes at the two frontal bones and the left and right parietal bones at the border with the interparietal bones for electrical ground/ reference electrodes. Custom-made optrodes were fabricated with a miniature LED (EZ500-n, 460 nm, CREE), coupled to an optical fiber (0.66 NA, 0.2 mm core diameter, Prizmatix) with UV-cured index matched glue (Norland Optical Adhesive 85, Norland) and two 33 µm tungsten wires (polyimide-insulated, California Fine Wire) extended 0.5 mm beyond the optical fiber. The optrodes were implanted bilaterally, so that the tips of the recording wires were targeted inside BLA (AP -1.5, ML  $\pm 3.1$ from bregma and DV -4.2 from brain surface), and the tip of the optical fiber remained above BLA. The optrodes were affixed to the skull with cyanoacrylate (IC-2000TM Rubbertoughened CA, Bob Smith Industries) and embedded in dental acrylic. For post-operation analgesia, ketoprofen (5 mg/kg) was administered subcutaneously.

## Ex vivo electrophysiology

General. Amygdala slices, 300 µm thick, were prepared as described [26] using ice-cold partial sucrose artificial cerebrospinal fluid (ACSF) solution containing (in mM) 80 NaCl, 3.5 KCl, 4.5 MgSO<sub>4</sub>, 0.5 CaCl<sub>2</sub>, 1.25 H<sub>2</sub>PO<sub>4</sub>, 25 NaHCO<sub>3</sub>, 10 glucose, and 90 sucrose equilibrated with 95% O<sub>2</sub>/5% CO<sub>2</sub> [27], incubated at 33 °C for 30 min and stored in the same solution at room temperature for at least 45 min before recording. Recording chamber was superfused at 2 ml/min with ACSF equilibrated with 95% O<sub>2</sub>/5% CO<sub>2</sub> and containing (in mM) 119 NaCl, 2.5 KCl, 1 MgSO<sub>4</sub>, 2.5 CaCl<sub>2</sub>, 1.25 H<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 10 glucose, and 100 µM picrotoxin (pH 7.4). Whole-cell recordings were obtained at  $30 \pm 1$  °C with EPC-10 amplifier and Pulse v8.76 software (HEKA Elektronik, Lambrecht/ Pfalz, Germany). Putative glutamatergic neurons in BLA were identified by their pyramidal morphology [28] under Dodt gradient contrast optics (custom made) at 850 nm LED illumination (Thorlabs, Newton, NJ) and were recorded using  $2-4 M\Omega$  1779

pipette filled with (in mM) 120 K-gluconate, 5 NaCl, 1 MgCl<sub>2</sub>, 10 HEPES, 0.2 EGTA, 2 ATP-Mg, and 0.1 GTP-Na for current-clamp experiments, or with 120 Cs-methanesulfonate, 5 NaCl, 1 MgCl<sub>2</sub>, 10 HEPES, 0.2 EGTA, 2 ATP-Mg, 0.1 GTP-Na, and 10 mM QX314 for voltage-clamp experiments, with pH of 7.3 and osmolarity of 285 Osm. Membrane potentials were corrected by the junction potential of 12 mV. Series resistance (Rs) was 10–20 M $\Omega$  and monitored throughout experiments. Data were not included in the analysis if Rs changed more than 20%. 470 nm 1 ms light pulses were generated using an LED lamp (Thorlabs) and a custom LED driver based on MOSFET and were delivered through a ×40 objective lens (Olympus, Center Valley, PA) at 0.3 to 2.5 mW, calibrated by a photodiode power sensor (Thorlabs) at the tip of the lens.

*LTP induction.* STDP-LTP experiments were performed as described in [26]. In most LTP experiments, the single test pulses were given every 20 s. In the subset of slices, in which the paired pulses (50 ms interval) were used as test pulses for measuring paired-pulse ratio, they were given every 40 s instead of 20 s, to keep the number of test pulses the same as in other LTP experiments.

Induction of plasticity in the voltage-clamp and measuring AMPAR/ NMDAR ratio. To induce plasticity, eighty pulses of the 2 Hz presynaptic stimulation were paired with a steady postsynaptic depolarization to 0 mV [29]. AMPAR currents were recorded from BLA neurons held at -75 mV during the 5 min before and 10 min after the induction of plasticity. They were evoked by the light pulses, adjusted to obtain baseline responses within the range of -50 to -200 pA and delivered every 20 s. The mixed AMPAR + NMDAR currents were recorded from cells held at + 40 mV immediately before induction of plasticity and immediately after the 10 min recording of the AMPAR currents. The mixed currents were evoked by 5 light pulses given at the 5 s interval. The AMPAR currents were measured at the peak response. The NMDAR currents were measured at 50 ms after the peak of the mixed current. The AMPAR/NMDAR indices were obtained from the NMDAR currents and the AMPAR currents during the baseline and during the minutes 9-10 after induction of plasticity.

Silent synapses were quantified by the minimal-like stimulation technique [30–32] using the 25 µm diameter light spot as described in [11]. AMPAR EPSC were recorded at -75 mV and mixed AMPAR/NMDAR EPSC at +40 mV. Stimulus intensity was adjusted to obtain the success rate for AMPAR EPSC within the range of 30–70%. No threshold or amplitude criteria of minimal stimulation were applied. Twenty-five to hundred traces were recorded at each membrane potential. Failures and responses at -75 mV (F<sub>-75</sub>) and at +40 mV (F<sub>+40</sub>) were identified by visual analysis of traces. The fraction of silent synapses was calculated using an equation: 1- Ln (F<sub>-75</sub>)/Ln(F<sub>+40</sub>) [33].

# In vivo recordings

One mouse of each littermate pair was transduced with AAV-ChR2 bilaterally in dmPFC and implanted with the optrodes bilaterally in BLA, as described above. At p70-90, LFPs were evoked in BLA by blue light stimulation of dmPFC terminals expressing ChR2 and recorded from the subject mouse, singly housed in the home cage without the cage lid. LFPs were recorded using the RHA2000-Series Amplifier USB Evaluation Board (RHA2000-EVAL, Intan Technologies). The blue light pulses (0.5 ms, 2–3 mW at the tip of optrode) were adjusted to obtain the fEPSP slope at 30–40% of the maximum. The LED driver (PlexBright LD-1, Plexon) was analog-modulated by DAQ (Analog Shield, Digilent). The LED driving current was routed to the optrodes in either hemisphere by electrical relays (Arduino 4 relays shield, Arduino). Arduino with a custom Arduino sketch controlled both the DAQ and the relays to give the light stimulation on each side every 30 s, alternating



**Fig. 1** OF enables induction of LTP, but IA training occludes it. **a** Recording scheme. **b** LTP induction protocol. **c** Timelines for 6 groups of mice. **d** LTP in dmPFC-BLA pathway. Each dot represents data averaged over 1 min. Insets represent examples of averaged EPSPs before (1) and after (2) induction as indicated by thick bars. Right, EPSP slopes for individual cells and their averages at (2). The numbers of cells (upper) and animals (lower) are shown under each plot. Each group is color coded as in (**c**). Error bars represent SEMs, \*\*p < 0.01, \*\*\*p < 0.001, compared to baseline, Wilcoxon signed rank test

the sides every 15 s. Data were processed using custom scripts written in MATLAB (MathWorks) and Clampfit software (Molecular Devices). The observer mice were habituated to the recording environment by connecting to the recording system for 2-3 h per day during 2-3 consecutive days. Once the evoked LFPs stabilized, on the next day (Day 1), mice underwent OF and then IA training 24 h later (Day 2). On Day 1, after baseline stabilized, the animal was transferred gently, without disconnecting the recording tether, into the OF chamber. Immediately after OF, the animal was returned to the home cage, and recording continued. On Day 2, after baseline stabilization, the animal was gently disconnected from the recording tether, because the IA chamber was not compatible with recording, and subjected to IA training. Immediately after IA training, the animal was placed back in the home cage and reconnected to continue recording. Recording continued for up to 8 h after each manipulation. The positions of optrodes were confirmed by post hoc histological analysis.

## Data Analysis

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In all ex vivo experiments, behavioral training was done by WI and the whole-cell recordings—by AM—to ensure blinding. For the in vivo studies, behavioral training and recording were done by the same person (WI), because these procedures cannot be separated. However, the statistical analysis was done by AM. Statistical analyses were performed using GraphPad Prism 5 (GraphPad Software, La Jolla, CA). Normality was tested using the Shapiro–Wilk test. Datasets with normal distribution were compared using the one sample *t*-test, the two-tale unpaired or paired *t*-test as indicated. The datasets with non-normal distribution were compared using the Mann–Whitney test, the Wilcoxon matched pairs test, and the Wilcoxon signed rank test. The difference was deemed significant with p < 0.05. The two-tailed *p*-value was calculated for correlation analyses.

# SPRINGER NATURE

### RESULTS

OF enables facilitation of dmPFC-BLA pathway ex vivo whereas IA training reverses this effect

The OF paradigm (OF) generates silent synapses in the dmPFC-BLA pathway of the observer's brain and facilitates subsequent inhibitory avoidance learning (IA) [11]. To study possible involvement of the OF-generated silent synapses in IA, we first tested whether OF and IA training influenced synaptic plasticity in the dmPFC-BLA pathway. Using brain slices prepared from mice transduced with AAV-ChR2 in the dmPFC (Fig. S1), the synaptic responses in dmPFC-BLA pathway were evoked by blue light stimulation of the dmPFC axons in BLA and recorded from BLA principal neurons (Fig. 1a). Synaptic plasticity was tested by a modified spike-timing-dependent plasticity (STDP) protocol [34], in which the onset of excitatory postsynaptic potential (EPSP) preceded action potential (AP) by 3-5 ms as described in [26]. In that earlier study, the EPSP-AP pairing, repeated 75 times, induced LTP in the dmPFC-BLA synapses [26]. Here, to detect possible enhancement of LTP by OF, the number of the EPSP-AP repetitions was reduced to 45 (Fig. 1b), which was below the threshold for LTP induction in control mice. Amygdala slices were prepared from 6 groups of mice. On Day 1, the animals underwent either the OF ("OF") or the OF control ("OF<sub>cont</sub>") procedure and then underwent, on Day2, either the IA training ("IA") or the IA training control ("IAcont") procedure. Slice preparation started by sacrificing the animals either 2 or 18 h later (Fig. 1c). LTP data are shown in Fig. 1d. LTP was observed in the "OF-IA<sub>cont</sub>" group, but not in the "OF<sub>cont</sub>-IA<sub>cont</sub>" group, indicating that the OF procedure enabled LTP. Meanwhile, the "OF-IA" group showed no LTP, indicating that IA training abolished the OF-enabled LTP. There was no difference between the "OF  $_{\rm cont}\text{-}IA_{\rm cont}$  " and "OF  $_{\rm cont}\text{-}IA$  " groups, indicating that IA training per se did not enable depressing responses to the LTP induction protocol. The

OF-enabled and IA-training-abolished plasticity were also tested 18 h after the IA/  $IA_{cont}$  procedure. The "OF-IA<sub>cont</sub>-18h" group showed LTP, indicating that the OF-enabled plasticity persisted for about 2 days after the OF procedure, whereas the "OF-IA-18h" group showed no LTP, indicating that the plasticity did not return once eliminated by IA training.

## OF enables postsynaptic mechanisms of plasticity

Several studies provided evidence that LTP in the cortical inputs to the lateral amygdala is expressed presynaptically [35-41]. To determine the expression site of the OF-enabled LTP observed in the OF-IA<sub>cont</sub> group, at first, the paired-pulse ratio (PPR), an indicator of a change in the presynaptic release probability [42]. was analyzed before and after the LTP induction. The effect of LTP induction on PPR varied among cells, and there was a tendency towards a decrease, but it did not reach a statistical significance (Fig. 2a, b). Second, we tested two indicators of presynaptic LTP [29], the correlation between LTP and relative  $CV^{-2}$  (CV stands for coefficient of variation of the EPSP slope) (Fig. 2c) and the changes in the CV (Fig. 2d), and found no significance in either. One interpretation of these results is that the presynaptic compartment is not the major site for the LTP expression, which could be a characteristic feature of the OF-enabled LTP. Alternatively, ChR2, known to increase the neurotransmitter release probability [43], may abolish presynaptic plasticity via the ceiling effect. In the case of the artifact from ChR2, the LTP induced in naive mice would not express presynaptically either. We tested this by using a stronger STDP protocol with 75 EPSP-spike pairings [26]. It produced LTP of a similar magnitude with the OF-enabled LTP (149  $\pm$  10.3%, n = 18, p < 0.001) (Fig. 2e). However, the decreases in PPR (Fig. 2f), the correlation between LTP and relative  $CV^{-2}$  (Fig. 2g), and the decreases in CV (Fig. 2h) were significant, indicating presynaptic LTP expression. Thus, the presence of ChR2 per se does not abolish the presynaptically expressed LTP.

The lack of (a) significant changes in PPR after LTP induction, (b) correlation between LTP and relative CV<sup>-2</sup>, or (c) changes in CV after LTP, suggest that the OF-enabled LTP is expressed postsynaptically and increases the ratio between postsynaptic currents mediated by AMPA and NMDA receptors. Measuring this ratio requires voltage-clamp recordings and Cs + in the internal solution, which are incompatible with our STDP protocol. As an alternative, we induced plasticity by pairing 80 pulses of the 2 Hz presynaptic stimulation with a steady postsynaptic depolarization to 0 mV [29] and measured the AMPA/NMDA ratio before and 10 min after the induction. The short 10 min time was chosen because of poor stability of whole-cell patch after alternating membrane potential between -75 mV and +40 mV for the AMPAR and mixed AMPAR + NMDAR EPSCs, respectively. The pairing protocol caused significant increases in the AMPAR EPSCs in mice that underwent OF (OF-IA<sub>cont</sub> on Fig. 2i-I), but not in the controls (OF<sub>cont</sub>-IA<sub>cont</sub>) or in mice that underwent OF followed by IA training (OF-IA). The pairing protocol did not change the NMDAR EPSCs in all three groups (Fig. 2m) but increased the AMPAR/NMDAR EPSCs ratio in the OF-IAcont group (Fig. 2n). These data indicate that OF enables the postsynaptically expressed plasticity.

The OF-generated silent synapses require hours to form, persist for two days, and are eliminated by IA training

The postsynaptic expression of the OF-enabled LTP could be mediated by unsilencing of the OF-generated silent synapses that appear in the dmPFC-BLA pathway one day after OF [11]. Here, we quantified silent synapses at different time points after OF procedure, ranging from 4 h to one week, and after OF was followed by IA training (Fig. 3a). Silent synapses were assessed by giving minimal-like stimulation of the dmPFC axons and then quantifying failure rates of the postsynaptic responses recorded at -75 mV, which are mediated by AMPA receptors, and responses

recorded at +40 mV, mediated by both AMPA and NMDA receptors (detailed in Materials and methods). The nature of the responses recorded at -75 and +40 mV was confirmed pharma-cologically by recordings in the presence of an AMPA receptor blocker CNQX and an NMDA receptor blocker APV (Fig. S2).

The proportion of silent synapses in the OF-treated mice remained higher than in controls at 42 h after OF (p < 0.05, unpaired *t*-test, compared to the OF control), but not at 4 h or one week after OF (p > 0.05) (Fig. 3b–f, i). In the mice that underwent IA training after OF, the proportion of silent synapses was no longer different from that in the OF controls both at 2 and 18 h after IA training (p > 0.05) (Fig. 3g–i). It indicated that silent synapses were generated in a slow process, which required more than 4 h, and remained for two days, at least, but no longer than one week. IA training, however, removed the silent synapses rapidly and they did not reappear 18 h later. Those observations led to a prediction that IA training facilitated the dmPFC-BLA pathway by unsilencing the OF-generated silent synapses.

Observing fear enables facilitation of dmPFC-BLA pathway by IA training in free moving mice

To examine how OF and IA training affected the dmPFC-BLA pathway in vivo, we recorded LFPs evoked in BLA by blue light stimulation of dmPFC axons expressing ChR2 in awake free moving mice. The mice, transduced with AAV-ChR2 in dmPFC and implanted with optrodes in BLA (Fig. 4a), underwent OF or the OF control procedure and then were trained in IA on the next day. The evoked LFPs were recorded before and after OF and IA training from the animals in their top-open home cages without the cagemates. Mice were first habituated to the recording environment for 2-3 h per day during 2-3 consecutive days until the evoked-LFP responses stabilized. For the OF and IA training, the animals were transferred from the home cage to the corresponding training chamber. Handling the animal typically caused transient depression of evoked LFPs, which lasted for 30-60 min (data not shown). Similar depression was observed after OF and IA training (Figs. 4 and 5). For IA training, because of incompatibility of the training chamber with recording in vivo, the subjects required disconnection and reconnection to the recording system, and LFPs were not recorded for about 10 min during the training. After OF training, there were no differences between the dynamics of the evoked LFP between the OF and OF control groups and LFPs returned to the baseline within 1 h after training (both groups, during 1-2 h after OF training, normalized to the baseline fEPSP amplitude: p > 0.05, normalized fEPSP slope: p >0.05, Wilcoxon signed rank test) (Fig. 4b, c). It indicated that OF training did not change the dmPFC-BLA synaptic efficacy.

In contrast, the OF and OF control groups showed different fEPSCs dynamics after IA training. In the OF group, LFP did not depress when animals were reconnected, and then, showed significant increases in EPSP amplitudes and slopes, which lasted for about 4 h (Fig. 5). In the OF control group, there was no facilitation of LFPs. It indicated that OF enabled a transient in vivo facilitation of the synaptic transmission between dmPFC and BLA by IA training.

## DISCUSSION

The main findings of this study are that observing fear in others enables facilitation of the dmPFC-BLA pathway both ex vivo by a weaker LTP protocol, and in vivo by IA training, and that the presence of the OF-generated silent synapses coincides with the plasticity. Therefore, unsilencing of the OF-generated silent synapses is likely responsible for that new plasticity ex vivo and presumably in vivo.

For testing LTP ex vivo, we used an STDP induction protocol, which involves co-incidental presynaptic stimulation and postsynaptic spiking and may represent a process relevant to

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**Fig. 2** OF enables postsynaptically expressed plasticity. **a**–**d** Indices of presynaptic plasticity in LTP experiments using the weak STDP protocol in OF-IA<sub>cont</sub> group. **a** Examples of paired-pulse recording before (gray, average of the min -5 to -1 of baseline) and after LTP induction (black, average of the min 35–40 after induction). **b** Summary data for paired-pulse ratios. Lines connect data points representing the same neuron. **c** Relative  $CV^{-2}$  as a function of LTP (n = 18). **d** CV at baseline and during the minutes 35–40 after LTP induction. **e**–**h** The same comparisons using the strong STDP protocol in OF-naive group. **e** Upper: An example of paired-pulse recording before (1: gray) and after (2: black) LTP induction. Lower: LTP data (n = 18). **f** Summary data for paired-pulse ratios (n = 10). **g** Relative  $CV^{-2}$  as a function of LTP (n = 18). **h** CV at baseline and during the minutes 35–40 after LTP induction involves increases in the AMPAR current, but not NMDAR current. (**i**) Examples of AMPAR (lower) and AMPAR/NMDAR mixed currents (upper) before (1) and after (2) the pairing in neurons from OF<sub>cont</sub>-IA<sub>cont</sub> (blue), OF-IA<sub>cont</sub> (red), and OF-IA (black) groups. Averages of 5 consecutive sweeps are shown. **j** LTP data for the AMPAR EPSC amplitudes before and after the pairing. Each point represents data for 1 min. **k** AMPAR EPSC (**m**), and their ratios (**n** at the baseline (base) and the minutes 9–10 after LTP induction (LTP). \*p < 0.05, \*\*p < 0.01; the Wilcoxon matched pairs test in (**b**, **d**, **f**, **h**, **l**, **m**, **n**); and the one sample *t*-test in **k** 

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**Fig. 3** OF generates silent synapses and IA training eliminates them. **a** Seven experimental groups. **b**-**h** Left: Example traces at -75 (lower) and +40 mV (upper) to measure AMPAR and AMPAR-NMDAR mixed currents, respectively. Successes EPSC responses and failures are shown respectively in black and gray. Right: Failure rates of AMPAR and AMPAR-NMDAR mixed currents. **i** Summary data for the fractions of silent synapses. Data in panels (**b**) and (**d**) have been published earlier [11] and are shown here for comparison. \*p < 0.05,  $p^{***} < 0.001$ ; the paired *t*-test in (**b**-**h**), the unpaired *t*-test in (**i**)



**Fig. 4** OF does not cause lasting changes in synaptic efficacy in free moving mice. In vivo recording of light-evoked LFP during OF. **a** Upper: The schematics represent ChR2-Venus expressing dmPFC neurons projecting to BLA and bilaterally implanted optrodes. Lower: Verification of optrode location. Left: visible light, right: Venus fluorescence images. The positions of electrode tips marked as red stars. **b** Left: Examples of fEPSP traces before (a) and after (b) OF training (averaged over the time range shown by horizontal black bars on the right) in an OF (OF) and an OF control mouse (OF control). The vertical light-blue arrows indicate the blue light stimulation. Right: fEPSP amplitudes (light blue) and slopes (pink) normalized to the baseline. Vertical arrows indicate the time of OF training. Time = 0 (h) indicates the moment when the subject was returned to the home cage from the OF training chamber. **c** Left: Summary fEPSP data. Horizontal black bars indicate the time range corresponding to the averaged fEPSP data on the right. Each data point represents the average for 6 min. Right: fEPSP slopes and amplitudes during the hour 2 after OF training for each amygdala and averages. Error bars represent SEMs. The numbers of amygdalae recorded from are shown under each plot

associative learning [34]. The "weak" STDP protocol, consisted of 45 paired pre- and postsynaptic stimuli, induced LTP at dmPFC-BLA synapses in the OF-experienced mice, but not in control mice. Such "low-threshold LTP" was found in slices prepared at 18 and 42 h after OF. Thus, the OF procedure elicited certain neuronal changes that enabled the low-threshold LTP and lasted for about two days. When OF was followed by IA training one day later, the same protocol no longer induced LTP. It suggests that IA training recruits the OF-enabled plasticity and facilitates the dmPFC-BLA pathway. Such facilitation is, however, difficult to probe by ex vivo methods because of the variability of ChR2 expression, which masks possible differences in synaptic efficacy between different animals. Instead, we performed the within the animal comparisons by in vivo recording, tracking the change in the light-evoked LFPs along the OF-IA behavioral sequence. It revealed lasting synaptic facilitation after IA training in the OF-experienced mice, but not the OF control. Such in vivo facilitation is consistent with the IA occlusion of the low-threshold plasticity ex vivo.

appears similar to the earlier described plasticity during associative learning in rats. The examples include facilitation in the thalamic [37] and cortical inputs [35] to the amygdala during fear conditioning and in the Schaffer collateral pathway during inhibitory avoidance training [44]. Meanwhile, the OF-enabled facilitation was distinct in two aspects-first, it was an aberrant circuit response enabled by a prior traumatic experience, and not seen in the control animals; while the above examples involve normal learning in naive animals. Second, most forms of LTP induced in inputs to the amygdala by fear conditioning or various ex vivo protocols are expressed presynaptically [35-41] but see [45, 46]. In contrast, the OF-enabled LTP did not recruit presynaptic mechanisms, since LTP induction did not decrease the paired-pulse ratio or the CV of postsynaptic responses, and LTP magnitude did not correlate with the relative CV<sup>-2</sup> value. This lack of presynaptic expression could not be explained by the ChR2-interference with presynaptic plasticity [43] because a stronger STDP protocol induced a presynaptically expressed LTP

The facilitation of the dmPFC-BLA pathway during IA training



**Fig. 5** OF enables facilitation of the dmPFC-BLA pathway by IA training in free moving mice. **a** Left: Examples of fEPSP traces before (a) and after (b) IA training (averaged over the time range shown by horizontal black bars on the right) in an OF-experienced mouse (OF) and an OF control mouse (OF control). The vertical light-blue arrows indicate the blue light stimulation. Right: fEPSP amplitudes (light blue) and slopes (pink) normalized to the baseline. Vertical arrows indicate the time of IA training. Time = 0 (h) indicates the moment when the subject was returned to the home cage, and recording resumed. Recording was interrupted by IA training for about 10 min because the training required disconnection from the recording system. **b** Summary fEPSP data. Horizontal black bars indicate the time range corresponding to the averaged fEPSP data on the right. Each data point represents the average for 6 min. Right: fEPSP slopes and amplitudes during the hour 2 after OF training for each amygdala and averages. Error bars represent SEMs. The numbers of amygdalae recorded from are shown under each plot. \*\*p < 0.01, compared to baseline, Wilcoxon signed rank test

in slices from naive mice despite the presence of ChR2. A plausible explanation is that the "normal" presynaptically expressed LTP has a higher induction threshold than the "aberrant" low-threshold LTP, which had postsynaptic expression.

We could not, however, demonstrate directly the insertion of AMPAR into silent synapses after application of the low-threshold STDP protocol, because of the incompatibility of the internal solution with the simultaneous measurement of the AMPAR and NMDAR currents. Nevertheless, another pairing protocol in the voltage-clamp mode, 2 Hz presynaptic stimulation paired with continuous postsynaptic depolarization, produced synaptic facilitation in the OF mice, but not in controls, and the facilitation was accompanied by increases in the AMPAR/NMDAR ratios, which indicated that OF indeed enables the postsynaptic plasticity.

Together with the enhancement of LTP induction, OF increased the proportion of silent synapses at 18 and 42 h, but not at 4 h or one week after OF, indicating their formation and disappearance are slow processes, which require hours and days, respectively. Furthermore, OF procedure did not change the synaptic efficacy in dmPFC-BLA synapses in vivo at least 4 h after OF. Together with the previous finding that OF increases the NMDAR currents without changing the AMPAR currents evoked by the minimal-like stimulation of the dmPFC-BLA synapses [11], these results suggest that silent synapses formed de novo, rather than via a quicker synaptic process—the removal of AMPA receptors from functional synapses. While the mechanisms of silent synapse formation after OF remain unknown, studies of sensory deprivation during the post-critical period provided evidence that (a) sensory modulation generates silent synapses in the hippocampal CA3-CA1 connections [47] and the thalamocortical pathway [48], and that (b) the process required GluN2B [48]. Moreover, the GluN2B drives synaptogenesis while preventing the membrane incorporation of AMPAR [49, 50]. These findings suggest that certain patterns of neuronal activity cause the formation of silent synapses in the

GluN2B-dependent manner. Therefore, we speculate that OF induces unidentified activities in the dmPFC-BLA pathway that trigger the process of generating silent synapses. Our earlier finding that OF decreases AMPA/NMDA ratio in the dmPFC-BLA pathway in a circuit-specific manner, without affecting the TeA-BLA pathway [11], suggest that silent synapses are formed in specific projections. Although pathway-specific activity would be a plausible underlying mechanism, additional studies are required for proving it.

The co-occurrence of silent synapses and plasticity in dmPFC-BLA pathway supports the idea that the OF-generated silent synapses are responsible for the enhanced LTP. First, the presence of silent synapses at 18 and 42 h after OF coincided with the LTP and the disappearance of silent synapses after IA training was accompanied by the loss of LTP. Second, the OF-enabled LTP was expressed postsynaptically, which is consistent with conversion of silent synapses into functional ones via insertion of AMPAR [18]. Third, the in vivo recordings showed that IA training facilitated evoked-LFPs only in the OF-experienced animals, which had silent synapses, suggesting that silent synapses are needed for the LFP facilitation in vivo. This in vivo facilitation also suggests that IA training converts silent synapses to functional ones and thereby occludes the ex vivo LTP, rather than causing a withdrawal of silent synapses.

These findings, of course, do not rule out entirely a possibility that LTP facilitation occurs via a postsynaptic mechanism independent of silent synapses, for example, via insertion of AMPAR in the existing functional synapses. Furthermore, the LFP recorded in vivo reflect not only the efficacy of glutamatergic synapses but also the excitability of the local neurons and the balance between evoked inhibition and excitation. Therefore, a direct test for the causal role of silent synapses in LTP would require their selective artificial elimination, which remains a technical challenge.

Even without the direct causal proof, the OF-generated silent synapses are the potential factor for the OF-induced synaptic facilitation in dmPFC-BLA pathway ex vivo and, presumably, in vivo. The presence of such abnormal plasticity may represent the time window of vulnerability to stress, which opens within several hours after OF with the formation of silent synapses, lasts for at least two days, and enables synaptic facilitation leading to maladaptive behaviors when the animal encounters another fearful event, like IA training.

Our finding indicated that, in the OF mice, IA training facilitated amygdala responses to the stimulation of the dmPFC axons in vivo for about 4 h, which may reflect a strengthening of functional connectivity between the structures. The 4-hour window after IA training is the critical period when IA memory consolidation can be enhanced by co-activating BLA, prefrontal cortex and several other BLA-connected areas [15–17]. It points to a possibility that the IA training-induced "aberrant" facilitation in the dmPFC-BLA pathway is the cause for the OF-enhanced inhibitory avoidance memories in the previously traumatized mice.

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## **ADDITIONAL INFORMATION**

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