

# ARTICLE State-dependent effects of the $D_2$ partial agonist aripiprazole on dopamine neuron activity in the MAM neurodevelopmental model of schizophrenia

Susan F. Sonnenschein<sup>1</sup>, Kathryn M. Gill<sup>1</sup> and Anthony A. Grace <sup>1</sup>

Aripiprazole is an antipsychotic drug characterized by partial agonist activity at D<sub>2</sub> receptors to normalize both hyperdopaminergic and hypodopaminergic states. Traditional D<sub>2</sub> antagonist antipsychotic drugs have been shown previously to reduce dopamine neuron activity through action on D<sub>2</sub> autoreceptors to produce an overexcitation-induced cessation of cell firing, referred to as depolarization block. It is unclear whether aripiprazole reduces dopamine neuron activity via inhibition or, as seen following D<sub>2</sub> antagonist administration, depolarization block. The impact of acute and repeated aripiprazole treatment was examined in the methylazoxymethanol acetate (MAM) rodent model to observe its effects on a hyperdopaminergic system, compared to normal rats. We found that administration of aripiprazole acutely or after 1 or 7 days of withdrawal from 21-day repeated treatment led to a decrease in the number of spontaneously active dopamine neurons in MAM rats but not in controls. This reduction was not reversed by apomorphine (100–200 µg/kg i.p. or 20 µg/kg i.v.) administration, suggesting that it was not due to depolarization block. In contrast, 1 h after induction of depolarization block of dopamine neurons by acute haloperidol treatment (0.6 mg/kg i.p.), aripiprazole (1 mg/kg, i.p.) reversed the depolarization block state. Therefore, aripiprazole rapidly reduced the hyperdopaminergic activity selectively in MAM rats. The reduction is unlikely due to depolarization block and persists following 7-day withdrawal from repeated treatment. Aripiprazole also removes haloperidol-induced depolarization block in MAM rats, which may underlie the acute psychotic state often observed with switching to this treatment.

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The majority of current antipsychotic drugs (APDs) are D<sub>2</sub> receptor antagonists [1, 2]. A mechanism proposed for the therapeutic action of D<sub>2</sub> receptor antagonists on dopamine (DA) dysregulation observed in schizophrenia [3] is DA neuron depolarization block [4, 5]. Following repeated treatment with D<sub>2</sub> receptor antagonists, a substantially depolarized membrane potential results in overexcitation-induced cessation of spiking [4] primarily due to blockade of somatodendritic D<sub>2</sub> autoreceptors that provide local feedback inhibition of spike activity [6, 7]. Depolarization block can be reversed by administration of a DA agonist, such as apomorphine. At low doses, apomorphine acts preferentially at D<sub>2</sub> autoreceptors to inhibit DA neurons in depolarization block sufficiently to restore spiking activity, consistent with an APDinduced overexcitation rather than inhibition of DA neuron firing [4, 8]. The net result of depolarization block is a reduced number of spontaneously active DA neurons [4, 8-10] available for phasic activation [11] and striatal DA release [12].

Following prolonged  $D_2$  antagonist treatment, a compensatory upregulation of  $D_2$  receptors has been observed in humans and animal models that persists after APD discontinuation [13–17]. The increase in  $D_2$  receptors is associated with increased behavioral sensitivity to DA. It has been proposed that DA supersensitivity underlies the relapse of psychotic symptoms following discontinuation of treatment or dose reduction, which may include the appearance of new or more severe psychotic symptoms [18, 19]. In animal models, increased striatal  $D_2$  receptor density is associated with an increased locomotor response to amphetamine and DA agonists following withdrawal from repeated APD administration [20–23]. Although numerous studies have associated the emergence of DA supersensitivity to increased  $D_2$  receptor density, the nature of the relationship is not clearly understood. There have been examples of increased  $D_2$  receptors during ongoing APD treatment without behavioral evidence of DA supersensitivity [22, 23] and chronic APD treatment regimens that have not resulted in  $D_2$  receptor upregulation [24], suggesting a complex relationship based potentially on factors including dose and treatment regimen.

In contrast to the  $D_2$  receptor antagonism observed with other APDs, aripiprazole (ARI) is a  $D_2$  receptor partial agonist. The unique mechanism of action of ARI demonstrates properties of a functional agonist or antagonist in animal models of DA hypoactivity and hyperactivity, respectively, thus providing stabilization of the DA system [25, 26]. In contrast to both first-generation APDs and other second-generation APDs, there is evidence that ARI may not induce upregulation of  $D_2$  receptors or DA supersensitivity [17, 27]. Due to its unique characteristics, including absence of DA supersensitivity following withdrawal from chronic treatment [17], we hypothesized that ARI does not induce DA neuron depolarization block.

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<sup>&</sup>lt;sup>1</sup>Departments of Neuroscience, Psychiatry and Psychology, Center for Neuroscience, University of Pittsburgh, Pittsburgh, PA 15260, USA Correspondence: Susan F. Sonnenschein (sfs29@pitt.edu)

Animal models provide a useful tool to study the statedependent action of drugs that may not be readily observed in a normal system. To model the hyperdopamineregic state characteristic of schizophrenia we have utilized methylazoxymethanol acetate (MAM) rats, born with a developmental disruption induced through administration of this DNA alkylating agent to pregnant dams at gestational day 17 (GD17). Adult offspring of MAM-treated rats demonstrate anatomical, behavioral, pharmacological, and physiological abnormalities consistent with phenotypes observed in patients with schizophrenia [28-31] As a consequence of hippocampal hyperactivity, also observed in patients with schizophrenia [32–34], MAM rats display increased DA neuron population activity [28] that rapidly results in depolarization block following acute administration of first- and second-generation APDs [35]. MAM rats thus provide a clinically relevant model to study state-dependent effects of acute and repeated ARI administration on the DA system with the aim of clarifying the range of action of ARI at D<sub>2</sub> receptors.

### METHODS

#### Subjects

All procedures were conducted in accordance with the Guide for the Care and Use of Laboratory Animals by the United States Public Health Service and approved by the University of Pittsburgh Institutional Animal Care and Use Committee. Timed pregnant Sprague-Dawley dams (Envigo, Indianapolis, IN) were obtained on GD15 and MAM (20 mg/kg, intraperitoneal (i.p.), Midwest Research Institute, Kansas City, MO) or saline (SAL; 1 ml/kg, i.p.) was administered on GD17. The male pups were weaned on postnatal day 23 and pair-housed with littermates in a temperature (22 °C)and humidity (47%)-controlled facility on a 12 h light/dark cycle (lights on 7 AM to 7 PM) with ad libitum food and water. Rats were used for experiments at 3–6 months of age ( $\geq$ 300 g).

### Antipsychotic drug administration

Acute and repeated aripiprazole administration. MAM and SAL rats were assigned randomly to treatment groups of ARI (3 mg/kg or 10 mg/kg; Sigma-Aldrich, St. Louis, MO) or vehicle (VEH). Treatments were administered orally using palatable vanilla wafers to which the rats were habituated for 2 days prior to treatment. Oral administration was used to circumvent injection stress and better approximate the clinical protocol [36], as previously described [20, 37]. ARI was placed on a wafer containing 0.1 ml liquid sugar and dissolved into the wafer with an additional 0.1–0.2 ml liquid sugar. VEH-treated rats were administered the same preparation without drug. Rats were placed individually into transport tubs to consume the wafers (usually <10 min) before being returned to home cages.

For acute treatments, ARI or VEH wafers were consumed 2 h prior to the start of electrophysiological recording to observe effects at peak brain concentration as reported previously following oral administration in rats [38]. For repeated treatments, ARI and VEH wafers were administered at approximately 9 AM daily for 21 days and rats were recorded either the following day or after 7-day withdrawal.

Acute haloperidol administration. MAM rats were injected with haloperidol (HAL; 0.6 mg/kg, i.p.; Sigma-Aldrich) dissolved in 0.23% glacial acetic acid in distilled  $H_2O$  and returned to their home cage. Recordings took place 1 h following HAL administration, which was shown to be sufficient time to induce depolarization block in MAM rats [35].

#### Electrophysiological recordings

The activity state of DA neurons in the ventral tegmental area (VTA) was measured using in vivo extracellular recordings. Rats were anesthetized with chloral hydrate (400 mg/kg; i.p.) and

placed on a stereotaxic frame (Kopf, Tujunga, CA). Supplemental anesthesia was administered i.p. to maintain suppression of the hind limb withdrawal reflex. Body temperature was maintained at 37 °C with a temperature-controlled heating pad (CWE Inc., Ardmore, PA). Single-barrel glass electrodes (WPI, Sarasota, FL) were pulled vertically (PE-2, Narasige, Japan), broken under a microscope to an impedance of 6-8 MQ, and filled with 2 M NaCl containing 2% Chicago Sky Blue dye in 2 M saline. Electrodes were lowered through the VTA from 5.3-5.7 mm posterior, 0.6-1.0 mm lateral, and 6.5-9.0 mm ventral from the top of brain via a hydraulic micropositioner (Kopf). Single-unit activity was obtained using an amplifier (Fintronics, Orange, CT) using a highpass filter at 30 Hz and lowpass at 16 kHz. DA neurons were classified based on established criteria, including a biphasic action potential with duration >2.2 ms, a slow firing rate (1-10 Hz), and irregular and burst firing patterns with burst onset defined as an interspike interval <80 msec and burst termination by a subsequent interspike interval >160 msec [39-41]. Recordings were performed by making 6-9 vertical electrode passes ("tracks") in a predetermined grid pattern with each track separated by 0.2 mm. The activity of each DA neuron was recorded for at least 1 min of stable spontaneous activity with a signal-to-noise ratio greater than 2:1 using LabChart software (AD Instruments, Colorado Springs, CO). Three parameters were analyzed: (1) the average number of spontaneously active DA neurons encountered per electrode track ("population activity"), (2) average firing rate, and (3) the percentage of spikes that occurred in bursts (%SIB).

In a subset of rats, after the first six tracks rats were administered apomporphine (100–200  $\mu$ g/kg i.p. or 20  $\mu$ g/kg intravenous (i.v.), in saline; Sigma-Aldrich) for acute and repeated aripiprazole recordings or aripiprazole (1 mg/kg in saline i.p.; Sigma-Aldrich) for acute HAL recordings. Apomorphine was administered in incrementally increasing doses until approximately a 50% decrease in baseline firing rate or bursting activity was detected in the DA neuron. Immediately following i.v. administration or 10 min following i.p. administration, an additional six tracks were recorded.

### Histology

Electrode placement was verified following each experiment via electrophoretic ejection of Chicago Sky Blue dye from the tip of the recording electrode ( $-20 \,\mu$ A constant current, 20 min). Rats were then overdosed with chloral hydrate and decapitated. The brains were removed and fixed for at least 48 h (8% paraformal-dehyde in phosphate-buffered saline (PBS)), cryoprotected (25% sucrose in PBS) until saturated, and sliced on a cryostat into 60  $\mu$ m sections which were mounted onto gelatin-coated slides. Slides were stained with a mixture of cresyl violet and neutral red for verification of electrode sites with reference to a stereotaxic atlas [42].

#### Analysis

Analysis of firing rate and bursting activity was performed using NeuroExplorer (Plexon, Dallas, TX). Population activity ("cells/ track") was averaged within each animal and then across animals in each group, whereas the firing rate and burst activity of each neuron was counted as an independent replicate and averaged across animals in a group. Significance for acute and repeated aripiprazole experiments was assessed with a two-way analysis of variance (ANOVA) (MAM × Treatment) followed by Tukey's post hoc comparisons. Significance for depolarization block experiments was assessed with a two-tailed, paired t-test and the Wilcoxon signed-rank test was used for non-normally distributed data. Significance for the haloperidol experiment was assessed with a one-way ANOVA followed by Tukey's post hoc and cells/ track comparisons were made with a two-tailed paired t-test. All statistics were calculated using SigmaPlot (Systat Software, San Jose, CA) and all data are represented as the mean ± SEM.

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**Fig. 1** Acute administration of ARI reduced DA neuron activity in the VTA in MAM but not SAL rats in a manner distinct from D<sub>2</sub> receptor antagonist antipsychotic drugs [35]. **a** At 2 h following oral ARI administration of 3 mg/kg and 10 mg/kg, MAM rats displayed a reduced number of spontaneously active DA neurons in the VTA compared to MAM rats that received VEH administration, which was not observed in SAL rats. There were no significant differences in the firing rate (**b**, **c**) or percentage of spikes in bursts (**d**, **e**) between ARI and VEH administration in MAM or SAL rats. **f** An example trace of a DA neuron recorded before and 5 min after APO, which was administered i.p. following six tracks, 10 min prior to recording an additional six tracks. APO reduced the firing rate and bursting activity of the DA neuron. **g** APO administration did not significantly reduce DA neuron population activity in the VTA of ARI-treated MAM rats, although there was a renew reduced spontaneous activity and a leftward shift in the distribution of firing rate (**h**) and percent of spikes in bursts (**i**) in neurons recorded after APO compared to tracks recorded before APO. \**p* < 0.05

## RESULTS

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Acute aripiprazole administration reduces VTA DA neuron activity of MAM but not SAL rats

Electrophysiological recordings were conducted from MAM rats and SAL rats, with each group receiving either VEH or ARI (3 mg/kg or 10 mg/kg, p.o.). VEH-treated MAM rats (n = 6 rats, 63 neurons) exhibited the anticipated elevation in population activity with an average of  $1.7 \pm 0.1$  cells/track compared to VEH-treated SAL rats (n = 8 rats, 61 neurons) which had an average of  $1.1 \pm 0.1 \text{ cells/track}$ (Fig. 1a; 2-way ANOVA main effects: for MAM F(2, 40) = 3.189, p =0.010; for ARI F(2, 40) = 5.049, p = 0.011; MAM-by-ARI interaction F(2, 40) = 5.882, p = 0.005; post hoc MAM control vs SAL control p =0.001). Acute ARI treatment significantly reduced DA neuron population activity in MAM rats, both at 3 mg/kg (n = 6 rats, 37 neurons; post hoc MAM control vs MAM 3 mg/kg: p = 0.007) and 10 mg/kg (n = 10 rats, 63 neurons; post hoc MAM control vs MAM 10 mg/kg: p = 0.001) compared to VEH-treated MAM rats. In contrast, there was no reduction in DA neuron population activity in ARItreated SAL rats, at 3 mg/kg (n = 6, 41 neurons) or 10 mg/kg (n = 8rats, 66 neurons), compared to VEH-treated SAL rats (Fig. 1a). There was no significant change in firing rate (Fig. 1b) or bursting (Fig. 1c) with ARI treatment compared to VEH treatment in MAM or SAL rats. There was also no overt shift in the distribution of firing rate or bursting (Fig. 1d, e), despite the reduction in population activity observed in MAM rats. In ARI-treated MAM rats, at both 3 mg/kg and 10 mg/kg, a systemic injection of apomorphine (100–200 µg/kg i.p.) reduced firing rate and bursting activity of DA neurons held during injection. In an example neuron, apomorphine reduced firing rate of a DA neuron from 5.2 Hz to 3.1 Hz and the percentage of spikes in burst from 22.2 to 0% 5 min following the injection (Fig. 1f). An additional six tracks were recorded following apomorphine injection, without significant change in population activity compared to tracks recorded before apomorphine (Fig. 1g) and a leftward shift in the distribution of the firing rate and bursting activity of recorded DA neurons recorded after apomorphine compared to before apomorphine (Fig. 1h–i).

Repeated aripiprazole administration reduces VTA DA neuron activity of MAM but not SAL rats

To evaluate the effects of repeated ARI treatment, MAM and SAL rats received 21 days of ARI (10 mg/kg) or VEH daily treatment p.o. and their VTA activity was recorded the following day. VEH-treated MAM

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**Fig. 2** Repeated administration of ARI for 21 days p.o. reduced DA neuron activity in the VTA in MAM but not SAL rats in a manner distinct from  $D_2$  receptor antagonist antipsychotic drugs [4, 10, 35]. **a** At 24 h following 21-day repeated ARI treatment, MAM rats displayed a reduced number of spontaneously active DA neurons in the VTA compared to MAM rats that received VEH administration, which was not observed in SAL rats. There was no significant difference in the firing rate (**b**) of DA neurons between ARI and VEH administration in MAM or SAL rats and there was a main effect of ARI treatment on the percentage of spikes in bursts (**c**). In MAM rats that received ARI, APO was administered i.p. following six tracks, 10 min prior to recording an additional six tracks. **d** APO administration did not significantly reduce DA neuron population activity in the VTA of ARI-treated MAM rats and produced a leftward shift in the distribution of firing rate (**e**) and percent of spikes in burst (**f**) in DA neurons recorded after APO compared to tracks recorded before APO. \*p < 0.05

rats (n = 6 rats, 66 neurons) displayed a significant elevation in DA neuron population activity (average  $1.9 \pm 0.1$  cells/track) compared to VEH-treated SAL rats (Fig. 2a; n = 6 rats, 38 neurons; average  $1.0 \pm 0.1$  cells/track; 2-way ANOVA main effects: for MAM F(1, 21) =16.401, p < 0.001; for ARI F(1, 21) = 11.602, p = 0.003; MAM-by-ARI interaction F(1, 21) = 9.223, p = 0.006; post hoc MAM control vs SAL control p < 0.001). Repeated ARI treatment significantly reduced DA neuron population activity in ARI-treated MAM rats (n = 7 rats, 45 cells) compared to VEH-treated MAM rats to an average of  $1.1 \pm$ 0.1 cells/track (Fig 2a; 2-way ANOVA; post hoc MAM ARI vs MAM control: p < 0.001). In contrast, there was no reduction in DA neuron population activity in ARI-treated SAL rats (Fig. 2a; n = 6 rats, 37 neurons; average  $0.9 \pm 0.1$  cells per track), compared to VEH-treated SAL rats (Fig. 2a). There was no significant change in firing rate with repeated ARI treatment compared to VEH treatment in MAM or SAL rats (Fig. 2b; control baseline:  $3.9 \pm 0.3$  Hz; control ARI:  $4.1 \pm 0.3$  Hz; MAM baseline:  $4.0 \pm 0.2$  Hz; MAM ARI:  $4.0 \pm 0.3$  Hz), and a main effect of ARI treatment on bursting activity in MAM and SAL rats (Fig. 2c; control baseline:  $26.9 \pm 4.2\%$ ; control ARI:  $37.9 \pm 4.3\%$ ; MAM baseline: 33.4 ± 3.0%; MAM ARI: 41.4 ± 3.5%; 2-way ANOVA F(1, 193) = 6.016, p = 0.015). In MAM rats that received repeated ARI treatment, a systemic injection of apomorphine (200 µg/kg i.p.) resulted in no significant change in DA neuron population activity in an additional six tracks that were recorded 10 min following the injection compared to tracks recorded before apomorphine (Fig. 2d); a leftward shift in the firing rate and bursting activity of DA neurons recorded after apomorphine compared to before apomorphine was also observed (Fig. 2e, f).

Persistent reduction of VTA DA neuron activity following withdrawal from repeated aripiprazole administration Given our findings that acute and repeated ARI treatment results in a reduction in VTA DA neuron activity in MAM rats that is not

restored by apomorphine administration, we examined whether this reduction remained following an extended 7-day withdrawal from 21-day repeated treatment. VEH-treated MAM rats (n = 5rats, 66 neurons) displayed a significant elevation in DA neuron population activity with an average of  $1.7 \pm 0.1$  cells/track, compared to VEH-treated SAL rats (n = 8 rats, 62 neurons), with an average of  $1.0 \pm 0.1$  cells/track (Fig. 3a; 2-way ANOVA main effects: for MAM F(1, 24) = 16.024, p < 0.001; for ARI F(1, 24) =35.985, *p* < 0.001; MAM-by-ARI interaction *F*(1, 24) = 25.671, *p* < 0.001; post hoc MAM control vs SAL control p < 0.001). DA neuron population activity remained reduced in MAM rats following 7-day withdrawal from 21-day repeated ARI treatment (n = 6 rats, 37 neurons) compared to VEH-treated MAM rats with an average of  $0.8 \pm 0.1$  cells/track and of spikes in bursts (Fig 3a; 2-way ANOVA; post hoc MAM ARI vs MAM control: p < 0.001). In contrast, there was no reduction in DA neuron population activity in ARI-treated SAL rats (n = 9 rats, 61 neurons), with an average of  $0.9 \pm 0.1$  cells/track (Fig. 3a). MAM rats withdrawn from repeated ARI treatment displayed a significant reduction in firing rate (Fig. 3b; control baseline:  $3.7 \pm 0.2$  Hz; control ARI: 3.5  $\pm$  0.2 Hz; MAM baseline: 3.7  $\pm$  0.2 Hz; MAM ARI: 2.3  $\pm$  0.3 Hz; 2-way ANOVA; main effects: for MAM F(1, 222) = 6.029, p = 0.015, for ARI F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, p = 0.002; MAM-by-ARI interaction F(1, 222) = 10.019, P = 0.002; P = 0.0222) = 6.268, p = 0.013; post hoc MAM ARI vs MAM control p <0.001) and there was a main effect of ARI treatment on bursting activity of VTA DA neurons in MAM and SAL rats withdrawn from repeated ARI treatment (Fig. 3c; control baseline:  $34.2 \pm 3.4$ ; control ARI;  $27.6 \pm 3.4\%$  MAM baseline:  $35.7 \pm 3.3\%$ ; MAM ARI: 25.0  $\pm$  4.3%; 2-way ANOVA; main effect for ARI *F*(1, 222) = 5.772, p = 0.017), which was reflected in the distribution of DA neurons recording in ARI-treated MAM rats compared to VEH-treated MAM rats (Fig. 3d, e). In MAM rats withdrawn from repeated ARI treatment, a systemic injection of apomorphine (200 µg/kg i.p. or

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**Fig. 3** Spontaneous DA neuron activity in the VTA of MAM rats remains reduced following 7-day withdrawal from 21-day ARI treatment in a manner distinct from depolarization block. **a** MAM rats displayed reduced VTA DA neuron following 7-day withdrawal from 21-day repeated ARI treatment compared to VEH treatment, which was not observed in SAL rats. **b** MAM rats withdrawn from repeated ARI treatment displayed a reduced firing rate of DA neurons compared to VEH-treated MAM rats, which was not observed in SAL rats. **c** ARI treatment reduced the percentage of spikes in bursts in both MAM and SAL rats compared to VEH-treated rats. This was evident as a leftward shift in the distribution of both firing rate (**d**) and bursting activity (**e**) of DA neurons in ARI-treated MAM rats compared to VEH-treated MAM rats. In MAM rats that received ARI, APO was administered i.p. or i.v. following six tracks, prior to recording an additional six tracks. **f** APO administration did not significantly change DA neuron population activity in the VTA of ARI-treated MAM rats and produced a leftward shift in the distribution of firing rate (**g**) and percent of spikes in burst (**h**) in DA neurons recorded after APO compared to tracks recorded before APO. \**p* < 0.05

20 µg/kg, i.v.) did not cause a significant change in DA neuron population activity in an additional six tracks that were recorded 10 min following the i.p. injection (n = 3 rats) or immediately following an i.v. injection (n = 1 rat) compared to tracks recorded

before apomorphine (Fig. 3f). There was also a leftward shift in the firing rate and, more prominently, bursting activity of DA neurons recorded after apomorphine compared to before apomorphine (Fig. 3g, h).

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**Fig. 4** Reversal of haloperidol-induced depolarization block in MAM rats via acute ARI administration. **a** At 1 h following acute haloperidol (0.6 mg/kg, i.p.) administration in MAM rats, an example trace of a DA neuron demonstrates a reduction in DA neuron firing rate and bursting activity 5 min following acute ARI (1 mg/kg, i.p.) compared to before ARI. **b**, **c** Within-subject changes in average DA neuron population activity in the six tracks recorded before ARI or saline administration in HAL-treated MAM rats compared to the six tracks recorded after ARI or saline administration. **d** Acute ARI administration resulted in an increase in the average number of DA cells/track in HAL-treated MAM rats free ARI administration compared to before ARI administration. In contrast, there was no difference in population activity in tracks recorded post saline administration. There was no significant change in the average firing rate (**e**) or bursting activity (**f**) in DA neurons recorded before and after ARI or VEH administration. \**p* < 0.05

Acute aripiprazole administration reverses haloperidol-induced depolarization block in MAM rats

To determine the effect of acute ARI administration on depolarization block, six tracks were recorded in the VTA of MAM rats 1 h following acute treatment with haloperidol (0.6 mg/ kg, i.p.) and an additional six tracks were recorded 10 min following acute ARI administration (1 mg/kg, i.p.). An example DA neuron recorded before ARI administration displayed an average firing rate of 2.5 Hz with 15.9% of spikes fired in bursts. The same neuron displayed a firing rate of 1.9 Hz with 3.9% of spikes fired in bursts following ARI administration (Fig. 4a). There was a significant increase in the number of spontaneously active DA neurons recorded after acute ARI administration (1.4  $\pm$ 0.1 cells/track) compared to before  $(0.6 \pm 0.1 \text{ cells/track}; \text{ paired } t$ test; t(7) = -4.031, p = 0.005). In contrast, there was no change in DA neuron population activity in tracks recorded after VEH administration (1 ml/kg saline, i.p.,  $0.7 \pm 0.2$  cells/track) compared to before  $(0.8 \pm 0.2 \text{ cells/track}; \text{Fig. 4b-d})$ . There was no significant difference in the firing rate (Fig. 4e) or bursting activity (Fig. 4f) between groups.

#### DISCUSSION

Unlike many other clinically available APDs which exert their effects through  $D_2$  receptor antagonism, ARI is a  $D_2$  partial agonist thought to stabilize dopaminergic tone [26], although the mechanism underlying its state-dependent effect is unclear. The current study examined whether ARI reduces DA neuron activity in vivo in a normal and hyperdopaminergic system via overexcitation-induced depolarization block, as observed following  $D_2$  antagonist administration [4, 20, 35]. We demonstrate that

ARI is able to state-dependently decrease the number of spontaneously active DA neurons in the VTA in the MAM rodent model of schizophrenia in a manner distinct from D<sub>2</sub> receptor antagonists. Furthermore, after depolarization block-driven decrease in DA neuron population activity, ARI increased DA neuron population activity secondary to reversal of APD-induced depolarization block, as observed with low-dose administration of a DA agonist [4, 35]. The interpretation of these results is limited to male rats and the chosen treatment and dose regiment. Female rats were not included in the present study due to differences in DA neuron activity between female MAM and SAL rats across the estrous cycle [43] and thus would require validation of prior antipsychotic drug studies in a sex-specific manner. Examination of ARI action in female rodents across the estrous cycle is a necessary future direction, especially given reported differences in APD response between male and female patients [44] and in female patients across the menstrual cycle [45].

# Aripiprazole produces a rapid and long-lasting reduction of hyperdopaminergic activity only in MAM rats

ARI, at both 3 mg/kg and 10 mg/kg, reduced DA neuron population activity in the VTA of MAM rats 2 h following oral administration without affecting SAL rats, similar to the rapid reduction of population activity in MAM rats follow  $D_2$  receptor antagonist APD administration [35]. The reduction was also present following 21-day repeated ARI treatment and persisted 7 days following withdrawal from repeated treatment, suggesting a persistent change in the DA system, as previously observed following withdrawal from repeated HAL treatment [20]. However, unlike  $D_2$  receptor antagonists [20, 35], ARI did not reduce DA neuron activity in SAL rats following repeated treatment or 578

following 7-day withdrawal from repeated treatment. Consistent with the lack of observed change in spontaneous DA neuron activity in control rats, an in vivo microdialysis study has previously shown that acute ARI administration does not affect cortical or striatal extracellular DA levels in rats following acute and repeated oral administration [46]. Repeated oral ARI administration has also been shown to block elevated GTPase activity stimulated by the DA agonist guinpirole, but ARI alone does not stimulate GTPase activity [27]. In contrast, Li et al. [47] demonstrated a reduction in extracellular DA levels in the prefrontal cortex and nucleus accumbens following acute ARI administration at 10 mg/kg administered subcutaneously. These differences may be explained by differing administration methods, as even 21-day repeated administration of ARI 40 mg/kg p.o. has been shown to not affect striatal DA levels [46]. Our results demonstrate that ARI is able to reverse the increased DA neuron activity, as observed in MAM rats, without effect in a normal system, and that the reduction in DA neuron activity can remain stabilized following withdrawal from repeated treatment.

# Aripiprazole does not reduce VTA dopamine neuron activity via depolarization block

In contrast to the reduction DA neuron population activity in MAM rats via depolarization block following acute treatment with  $D_2$ antagonists [35], the present data suggest that ARI administration does not induce depolarization block. The presence of depolarization block has been verified previously by administering low doses of the DA agonist apomorphine which leads to an inhibitiondriven reactivation of neurons previously in a hyperexcitationinduced block [4, 8, 35]. In contrast, low doses of apomorphine failed to increase population activity in MAM rats following acute or following 21-day repeated ARI treatment. In addition, it did not affect population activity following 7-day withdrawal from repeated ARI treatment. However, in all cases, administration of apomorphine produced a leftward shift in the distribution of firing rate and, more prominently, bursting activity of spontaneously active DA neurons recorded post injection. In some cases, this resulted in a reduction in the number of spontaneously active DA neurons, notably observed following acute ARI administration, possibly because neurons with a previously low firing rate ceased firing. Therefore, the local feedback from the action of apomorphine presumably on D<sub>2</sub> autoreceptors resulted in a net reduction in DA activity of ARI-treated MAM rats, rather than an increase in spontaneous activity which would be observed if the reduction in population activity was due to reversal of overexcitationinduced depolarization block. Although ARI displayed antagonistlike reduction of hyperdopaminergic activity in MAM rats, it did not appear to do so through antagonist action at postsynaptic  $D_2$ receptors that would result in depolarization block.

The question remains of how ARI reduces DA neuron population activity in MAM rats. It has been hypothesized that ARI simultaneously acts as an antagonist at D<sub>2</sub> receptors postsynaptically and an agonist presynaptically [26, 48, 49] based on differences in receptor reserve [26]. In the presence of a receptor reserve, ARI behaves like an agonist, and in its absence, ARI predominantly displays antagonist properties [48]. The greater number of presynaptic spare receptors may underlie the autoreceptor selectivity of ARI to inhibit neuron firing [26, 48] which may have contributed to the downregulation of DA neuron population activity. In support of its agonist-like activity at autoreceptors, a reduction in both the average firing rate and bursting activity in MAM rats was observed following 7-day withdrawal from repeated treatment, although no significant reduction in firing rate or bursting was observed across the population of DA neurons recorded following acute ARI administration or following 21-day repeated ARI treatment compared to VEH-treated rats. Several other in vivo studies have demonstrated agonist-like activation of ARI at D<sub>2</sub> autoreceptors [48, 50],

including its ability to block increased DA synthesis in reserpinetreated rats [48] and a moderate decrease in the spontaneous firing of DA neurons in the VTA [51, 52]. The proposed partial agonism of ARI, with lower intrinsic activity at the receptor than a full agonist, may also account for its antagonist-like effect at postsynaptic D<sub>2</sub> receptors in animal models of DA hyperactivity, such as blockade of apomorphine-induced hyperlocomotion and stereotypy [48]. It is difficult to conclude whether this accounts for ARI actions across a range of systems. It has been suggested from in vitro studies that ARI possesses functional selectivity with intrinsic activity dependent on the cellular environment of the receptor and the signaling pathway activated [53-56], including distinct effects on Ga and GBv signaling at the  $D_2$  receptor [57]. It is also possible that factors other than partial D<sub>2</sub> agonism alone may contribute to the reduction observed following acute and repeated treatment, and/or following withdrawal from repeated treatment. For example, DA neuron population activity also remains reduced following 1 week of withdrawal from repeated haloperidol administration, but unlike the acute and repeated effects of haloperidol [4, 35], the reduction following withdrawal is not due to depolarization block and may instead be due to the modulatory influence of other brain regions [20]. Finally, ARI has a diverse receptor binding profile, including significant affinity for serotonin (5-HT)<sub>1</sub>A and 5-HT<sub>2</sub>A receptors [53, 55, 58], and the potential influence of other neurotransmitter systems on DA neuron activity cannot be excluded, though it has been argued that it does not affect 5-HT receptors at therapeutic doses [59]. Additional studies are needed to determine whether ARI downregulates DA neuron activity by acting on presynaptic D<sub>2</sub> receptors and, furthermore, why it selectively downregulates DA neuron activity in MAM rats and not in normal rats.

# Removal of haloperidol-induced depolarization block by aripiprazole

Prevalent problems associated with APD treatment and withdrawal have driven a search for treatments with a more favorable side-effect profile and improved efficacy across symptom domains. Novel target compounds for the treatment of schizophrenia have shown promise in preclinical research, but failed to show efficacy in clinical trials. However, preclinical research is typically performed on drug-naive rats, whereas clinical trials are performed on patients who have received only brief withdrawal from years of prior APD treatment. We previously found that withdrawal from repeated HAL treatment produced persistent DA supersensitivity in MAM rats, interfering with the ability of a novel target compound to reduce amphetamine-induced hyperlocomotion in MAM rats [20]. It is possible that DA supersensitivity following prior D<sub>2</sub> antagonist treatment in patients with schizophrenia may similarly mask potential effects of novel target compounds in clinical trials, despite their promise in drug screening paradigms performed in normal, drug-naive rodents. Prior evidence that repeated ARI administration may not upregulate  $D_2$  receptors or produce DA supersensitivity [17, 27], unlike D<sub>2</sub> receptor antagonists [13-17], indicate that ARI may circumvent this potential confound. However, in patients taking D<sub>2</sub> antagonist APDs, the switch to ARI is reported to result in a temporary worsening of psychotic symptoms, which was suggested to be unmasking of the increase in D<sub>2</sub> receptors from prior treatment [60, 61]. Indeed, the ability of ARI to reverse depolarization block in HAL-treated MAM rats demonstrated in the present study is consistent with the reported worsening in psychotic symptoms in patients upon transitioning to ARI from D<sub>2</sub> antagonist drugs. Though not addressed by our experiment, this phenomenon could have interesting implications if postsynaptic  $D_2$  receptors are also upregulated, as following repeated  $D_2$ antagonist treatment, where ARI may act like an agonist both presynaptically and postsynaptically. While ARI may not have superior efficacy in schizophrenia [62, 63], it may be less likely to

induce persistent deleterious effects that can arise from a persistent elevation in DA sensitivity that occurs with  $\mathsf{D}_2$  antagonists [16, 64].

Overall, this study demonstrates that ARI rapidly normalizes the hyperdopaminergic state observed in MAM rats without effect on DA neuron population activity in a normal system. In contrast to the action of  $D_2$  receptor antagonists, the reduction is unlikely due to depolarization block. Although it is unknown how ARI reduces DA neuron activity in MAM rats, it may act as an agonist on presynaptic receptors to downregulate spontaneous activity.

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### ADDITIONAL INFORMATION

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