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Integrative brain transcriptome analysis links complement component 4 and *HSPA2* to the *APOE* ɛ2 protective effect in Alzheimer disease

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Mechanisms underlying the protective effect of apolipoprotein E (*APOE*) $\varepsilon 2$ against Alzheimer disease (AD) are not well understood. We analyzed gene expression data derived from autopsied brains donated by 982 individuals including 135 *APOE* $\varepsilon 2/\varepsilon 3$ carriers. Complement pathway genes *C4A* and *C4B* were among the most significantly differentially expressed genes between $\varepsilon 2/\varepsilon 3$ AD cases and controls. We also identified an *APOE* $\varepsilon 2/\varepsilon 3$ AD-specific co-expression network enriched for astrocytes, oligodendrocytes and oligodendrocyte progenitor cells containing the genes *C4A*, *C4B*, and *HSPA2*. These genes were significantly associated with the ratio of phosphorylated tau at position 231 to total Tau but not with amyloid- β 42 level, suggesting this *APOE* $\varepsilon 2$ related co-expression network may primarily be involved with tau pathology. *HSPA2* expression was oligodendrocyte-specific and significantly associated with C4B protein. Our findings provide the first evidence of a crucial role of the complement pathway in the protective effect of *APOE* $\varepsilon 2$ for AD.

Molecular Psychiatry (2021) 26:6054-6064; https://doi.org/10.1038/s41380-021-01266-z

INTRODUCTION

Apolipoprotein E (APOE) genotype, the strongest genetic risk factor for late onset Alzheimer disease (AD), is determined by the combination of amino acids at positions at 112 and 158 vielding three common alleles (2, 2, and 24) [1, 2]. Among persons of European ancestry, a single copy of the ɛ4 allele is associated with a 3-4 fold increased risk of AD and £4 homozygotes have a 10-12 fold increased risk compared to those with the common $\epsilon 3/\epsilon 3$ genotype [3-5]. In persons of European ancestry, the APOE $\varepsilon 2$ allele is associated with a 60% and 90% decreased risk of AD among heterozygotes and homozygotes, respectively, compared to the $\epsilon 3/\epsilon 3$ genotype [3, 6]. By comparison, the AD/ $\epsilon 4$ association is greater among East Asians and attenuated in African Americans [3, 5]. Population differences in the frequency of $\varepsilon 4$ may account for some of the ɛ4 effect variability, but other factors are likely involved including the modifying effect of a polymorphic variant in the APOE promoter region which has been shown to influence APOE expression in vitro [5].

The opposing effects of $\epsilon 2$ and $\epsilon 4$ on AD risk have been ascribed to similar mechanisms including amyloid- β (A β) aggregation and clearance and neurofibrillary tangle formation [7, 8], however, studies are contradictory or ambiguous about the relationship of these effects, especially the less studied $\epsilon 2$ protective effect, with AD-related pathology [9]. In brains with an autopsy-confirmed diagnosis of AD without evidence of other neurodegenerative disease, ϵ_2 is generally associated with decreased neurofibrillary tangles and with reduced neuritic plaques [10], hallmarks of AD neuropathology [11], except in elderly subjects (aged 90 or older) where ϵ_2 is associated with increased neuritic plaques [12]. The mechanism underlying the ϵ_4 -associated risk has been linked to many AD pathways including A β aggregation and lipid metabolism, and AD brains with the *APOE* ϵ_4 allele and without other pathologies are associated with increased neuritic plaques but not associated with neurofibrillary tangles [2, 10, 13, 14]. While the ϵ_4/AD risk mechanism has been extensively researched, the biological underpinning of the protective effect of ϵ_2 is not well understood.

The advent of next-generation sequencing technology has enabled transcriptomic studies (e.g., differential expression analyses, alternative splicing, and gene expression networks [15, 16]) using RNA-sequencing (RNA-seq) data derived from neuropathologically evaluated brain tissue [17]. Multiple studies have linked expression of AD-associated genes to several pathways in the brain including synapse function, cytoskeleton structure, and immune function [18, 19]. We analyzed differential gene expression, gene expression networks, and immunoassay levels of AD-related proteins in brain tissue from pathologically

Received: 12 October 2020 Revised: 7 July 2021 Accepted: 12 August 2021 Published online: 3 September 2021

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confirmed AD cases and controls according to APOE genotype to identify genes and biological pathways that may be functionally involved in the mechanism underlying the protective effect of APOE ϵ_2 .

MATERIALS AND METHODS

Sources of brain transcriptomic and phenotypic data

Publicly available RNA sequencing and neuropathological data were obtained from the CommonMind Consortium portal (http://www.synapse. org) including preprocessed, quality controlled, and normalized gene expression data derived from dorsolateral prefrontal cortex area tissue donated by 627 participants (380 autopsy-confirmed AD cases and 247 controls) of the Religious Orders Study and Rush Memory and Aging Project (ROSMAP; https://www.radc.rush.edu) [20] and from temporal cortex area tissue donated by 162 participants (82 autopsy-confirmed AD cases and 80 controls) of the Mayo Clinic Study of Aging (MAYO) [21-23]. AD diagnosis was established according to the National Institute of Aging (NIA) Reagan criteria for intermediate or high probability of AD [24]. Available neuropathological measures for ROSMAP participants included Braak staging for neurofibrillary tangles and the Consortium to Establish a Registry for Alzheimer Disease (CERAD) semi-quantitative criteria for neuritic plaques (CERAD Score) [25]. No neuropathological data were available for MAYO participants. APOE genotype information was available for all subjects. Gene expression levels were quantified as normalized fragments per kilobase of transcript per million (FPKM) reads in the ROSMAP dataset and as normalized gene counts in the MAYO dataset.

We obtained frontal cortex tissue specimens from 208 participants (64 autopsy-confirmed AD cases and 129 controls) of the Framingham Heart Study (FHS) and Boston University Alzheimer's Disease Center (BUADC) that were examined by the Neuropathology Core of the BUADC. The FHS is a community-based multi-generational longitudinal study of health that surveilles participants for cognitive decline and dementia using protocols described elsewhere [26]. Brain tissue was collected after death from 184 participants who enrolled in the FHS brain donation with informed consent from the next of kin. The second cohort consisted of 24 participants from the BUADC with and without cognitive impairment who prior to death underwent annual cognitive evaluations using the National Alzheimer's Disease Coordinating Center (NACC) Uniform Data Set (UDS) protocol [27]. Neuropathological assessment was performed following procedures and criteria established by the Department of Veterans Affairs-Boston University Brain bank [28]. AD was diagnosed using the NIA Reagan criteria. Braak stages were assigned using the same criteria as described above and CERAD scores were derived using semi-guantitative criteria for neuritic plaques [29]. Neuritic plaques were defined as plaques with argyrophilic dystrophic neurites, with or without dense amyloid cores. This study was approved by the institutional review boards from Boston University Medical Center and the Edith Nourse Rogers Memorial Veterans Hospital, Bedford, MA.

RNA library preparation, sequencing, and sample QC

Total RNA was extracted from the dorsolateral prefrontal cortex (Brodmann area 8/9) of 208 brains from the FHS/BUADC study using the Promega Maxwell RSC simplyRNA Tissue Kit (Cat No# AS1340) according to the manufacturer's protocol. The integrity and quality of RNA (RNA integrity number, RIN) was determined using the High Sensitivity RNA Screen Tape Assay run on an Agilent 2200 Tape Station (Agilent Technologies, Palo Alto, CA). After excluding brain samples with RIN < 5, brain samples were randomized into seven library batches based on diagnosis, APOE genotype, sex, and RIN. Since there were only seven samples from AD cases with APOE genotypes 2/2 or 2/3, these specimens were included in batches 1-3 only. The BU Microarray & Sequencing Resource Core performed RNA sequencing (RNA-seq) library preparation. The libraries were prepared from total RNA enriched for mRNA using NEBNext Poly(A) mRNA Magnetic Isolation Module and NEBNext Ultra II Directional RNA Library Preparation Kit for Illumina (New England Biolabs, USA) and sequenced on an Illumina NextSeq 500 instrument (Illumina, USA). Only 193 of the 208 samples containing AD status were used in downstream analysis.

Mapping, quality control, and quantification of gene expression

RNA-seq data from 193 FHS/BUADC brains were processed using an automated pipeline. Quality control (QC) of the sequence data including

checks for over-abundance of adaptors and over-represented sequence was performed using FastQC [30]. Low-quality reads (5% of the total) were filtered out using the *Trimmomatic* option (version 0.39), which is a fast, multithreaded command line tool to trim and crop Illumina (FASTQ) data and remove adapters [31]. After trimming adapter sequences, reads passing initial QC were aligned to the human reference genome (GRCh38.95) using STAR (version 2.6.1c) which implements 2-pass mapping to increase mapping chances of splice reads from novel junctions [32, 33]. We used the *readFilesCommand* option for reading input files, the *TranscriptomeSAM* option for mapping reads translated into transcript coordinates, and the *GeneCounts* option for counting mapped reads per gene under the mapping mode set to *quantMode* and then *twoPassMode* options. This process produced a BAM file of mapped paired-end reads for each sample with a corresponding alignment report file.

Post alignment quality of BAM files was evaluated for gene coverage and junction saturation using the RseQC (version 3.0.0, python3) [34]. The RSeQC program evaluates uniformity of coverage over entire genes using the gene_Body_coverage option by checking if inner distance between read pairs is within expecting range of fragments lengths using the inner_distance option, and ensuring sequencing depth using the junction_saturation option. Gene and isoform levels were quantified using RSEM (version 1.3.1) and Bowtie2 (version 2.3.4.1) and annotated using GRCh38.95.gtf Homo_sapiens. annotation files. This process generated gene or isoform expression data for each sample containing gene id, gene length, effective gene length, expected count, counts per million (CPM), and fragments per kilobase of exon model per million reads mapped (FPKM) reads. Batch effects of seven different library and three sequencing batches with the quantified gene expression data were investigated using principle component analysis (PCA). We did not detect significant batch effects for both library and sequencing batches (Supplementary Fig. 1).

Differential gene expression analysis

Evaluation of differential gene expression between AD and control brains was performed using the LIMMA software, which can accommodate prenormalized expression values [35]. After excluding genes with no FPKM reads or gene counts in the MAYO and ROSMAP datasets and genes with total FPKM reads <5 across all samples in the FHS/BUADC dataset, expression of each gene/isoform was compared between AD cases and controls using linear regression models including the log-transformed normalized expression values and terms for age of death (AOD), sex, and RIN. Each dataset was analyzed separately and the number of genes remaining after filtering was 42,413 in the ROSMAP dataset, 47,205 in the MAYO dataset, and 33,476 in the FHS/BUADC dataset. Models analyzing FHS/BUADC data also included batch number, and models for ROSMAP data included batch number, education level, and post-mortem interval (PMI). The ROSMAP sample was reduced to 568 specimens (339 AD cases and 229 controls) due to missing RIN. Analyses were conducted in the total sample and separately in APOE genotype groups ($\epsilon 2/3$, $\epsilon 3/3$, and $\epsilon 3/4$). There were insufficient numbers of ε2/2 or ε4/4 subjects for analyses within these genotype groups. Results across datasets and APOE genotype groups within datasets were combined by a sample size-weighted meta-analysis with log2 of fold change (logFC) as direction using the software METAL [36]. Only genes included in all three datasets were considered for follow-up analysis. Significance thresholds were set according to the number of genes tested in each APOE genotype group and in the total sample: $\epsilon 2/\epsilon 3$ ($p = 2.35 \times 10^{-6}$), $\epsilon 3/\epsilon 3$ ($p = 1.96 \times 10^{-6}$), $\epsilon 3/\epsilon 4$ $(p = 2.42 \times 10^{-6})$, and total $(p = 1.82 \times 10^{-6})$.

Association analysis of gene expression levels on neuropathological traits

The distribution of Braak Stage and CERAD Score in the ROSMAP and FHS/ BUADC datasets by *APOE* genotype is shown in Supplementary Table 6. Values for each trait were adjusted for age at death and sex, and the residuals were rank-transformed as previously described [37]. Association of log2-transformed expression levels for the top-ranked differentially expressed genes (DEGs) with each rank-transformed neuropathological trait was evaluated in the total sample using linear regression models that adjusted for PMI and RIN in the ROSMAP dataset and for RIN in the FHS/ BUADC dataset. Only the 568 ROSMAP samples including RIN were included in this analysis. We also ran the same linear regression models in the *APOE* subgroups (£2/3, £3/3, and £3/4) exclusively in ROSMAP due to insufficient sample sizes in FHS/BUADC (Supplementary Table 6). Results obtained for the two datasets analyzed separately were combined by meta-analysis using the inverse variance model. 6056

Gene co-expression network and enrichment analyses

Gene co-expression analysis was performed using the weighted correlation network analysis (WGCNA) in R package [38]. We created a signed adjacency matrix with a soft-power parameter determined by reaching a scale-free topological fit to at least 0.8 and maximizing mean connectivity. Soft-power creates a fuzzy threshold for gene connectivity which reduces network connectivity noise. We performed hierarchical clustering using a dissimilatory topological overlap matrix (TOM) which was created based on the adjacency matrix. Labels were then assigned to networks using the Dynamic tree cut package with a minimal network size of 100 genes [39]. Networks with highly correlated eigenvalues were merged using the mergeCloseModules function with a height of 0 [38]. The signedKME function was used to assign fuzzy membership values to all genes in each network. This network-building pipeline was applied to each dataset in six sample partitions defined by APOE genotype and AD status. The softpower parameter values in these partitions were determined by maximizing scale-free topological fit and median connectivity. The softpower parameter values for FHS/BUADC partitions were assigned as £23 controls = 6, ϵ 23 AD cases = 16, ϵ 33 controls = 12, ϵ 33 AD cases = 7, ϵ 34 controls = 12, and ϵ 34 AD cases = 8. Soft-power parameter values for all partitions in the ROSMAP and the MAYO datasets were set as 12. Network preservation across datasets with the same APOE genotype and AD status was evaluated using $Z_{Summary}$ statistics which were calculated using the modulePreservation function in the WGCNA package [40]. Z_{Summary} values >10 were considered as highly preserved networks between two datasets.

Gene enrichment analysis was conducted for network networks derived from subsets of the total sample stratified by *APOE* genotype and AD status using the userListEnrichment function in WGCNA. Networks from the ROSMAP dataset that were highly preserved in at least one other dataset were tested for AD gene enrichment using the gene-lists of DEGs from the *APOE* subgroup and total sample analyses as well as previously known AD genes from a recent genome-wide association study [1]. These AD gene-lists included genes from the differential expression analysis (p < 0.01) corresponding to the network's *APOE* genotype group that were also moderately differentially expressed in the total sample (p < 0.01), genes that were differentially expressed between AD cases and controls in the total sample ($p < 10^{-6}$), and genes that showed modest evidence for association with AD ($p < 10^{-3}$) in a recent large GWAS [1]. *P* values were adjusted using the Bonferroni method to correct for the number of separate analyses.

Brain cell-type specific expression profiles and enrichment analysis

Raw FASTQ single-nucleus RNA sequencing data derived from prefrontal cortex (Brodmann area 10) of 48 subjects (24 AD cases and 24 controls) in the ROSMAP dataset were obtained from the Synapse database. Read counts were aligned to a reference genome (GRCh38) by CellRanger software (v.3.1.0). We used a cut-off value of 200 for unique molecular identifiers (UMI) for better detection of the microglia populations due to their small representation in the dataset [41]. Genes without unique names, with low expression across all cell types, and that do not encode proteins were excluded. We included all AD genes contained in the coexpression networks derived from analyses described above regardless of single-nuclei expression levels, including genes from AD GWAS annotated to associated SNPs ($p < 10^{-3}$) [1], DEGs identified in the corresponding APOE genotype group analysis (p < 0.01) that were also DEGs in the total sample (p < 0.01), and DEGs identified in the total sample ($p < 10^{-6}$). Data including 69,918 nuclei with 5578 genes after filtering were normalized and clustered using Seurat (v.3.0.0).

Data were further processed using the NormalizeData, FindVariableFeatures, and ScaleData functions. Gene expression measures were scaled by 10,000 multiplied by the total library size and then log-transformed. The ScaleData method was used to adjust for the total number of counts. We conducted principle component (PC) analysis to reduce the model complexity of the high variable expression of 3179 genes (marker genes). A cell type-specific expression metric was calculated by dividing the expression in each cell type by the total expression across all cell types. The top 10 PCs were included in t-SNE analysis. For each cluster, cell-type labels were assigned by known marker genes [41]. This procedure yielded eight cell type-specific clusters representing astrocytes, endothelial cells, excitatory neurons, inhibitory neurons, microglia, oligodendrocytes, oligodendrocyte progenitor cells, and pericytes. Endothelial cells and pericytes were not included in subsequent analysis due to their low proportion in the dataset. The average expression for each cell type per gene was calculated using the AverageExpression package in Seurat. This function calculates the average expression for the exponential of raw counts minus 1. Log transformed fold changes (Log2FC) in expression between AD and control samples were evaluated for enriched genes in *APOE* $\epsilon 2/\epsilon 3$ AD network within each cell type using the Wilcoxon rank-sum test. False discovery rate (FDR) *p* values were adjusted using the Benjamini-Hochberg procedure implemented in R [42]. Novel marker genes were determined for each cell-type cluster using the *FindAllMarkers* function in Seurat with default parameters. Marker genes that were differentially expressed in a given cell-type with a false discovery rate (FDR) adjusted *p* > 0.05 were excluded from subsequent analyses. Cell-type enrichment analysis was conducted for each network using the userListEnrichment function in WGCNA and cluster markers identified for each cell-type. All enrichment *p* values were corrected for the number of separate analyses using the Bonferroni method.

Immunoassay measurement and their relationship with gene expression

Frozen tissue from the dorsolateral prefrontal cortex (Brodmann area 8/ 9) of the 208 FHS/BUADC autopsied brains was weighed and placed on dry ice. Freshly prepared, ice cold 5 M Guanidine Hydrochloride in Trisbuffered saline (20 mM Tris-HCl, 150 mM NaCl, pH 7.4) containing 1:100 Halt protease inhibitor cocktail (Thermo Fischer Scientific, Waltham, MA) and 1:100 Phosphatase inhibitor cocktail 2 & 3 (Sigma-Aldrich, St. Louis, MO) was added to the brain tissue at 5:1 (5 M Guanidine Hydrochloride volume (ml):brain wet weight (g)) and homogenized with Qiagen Tissue Lyser LT at 50 Hz for five min. The homogenate was then mixed (regular rocker) overnight at room temperature. The lysate was diluted with 1% Blocker A (Meso Scale Discovery (MSD), Rockville, Maryland, #R93BA-4) in wash buffer according to specific immunoassays: 1:300 for total tau, pTau231 (MSD #K15121D-2), pTau181, and PSD-95 (MSD #K150QND), and 1:4000 for beta-amyloid 1-42 (MSD #K15200E-2). Samples were subsequently centrifuged at 17,000 g and 4 °C for 15 min, after which the supernatant was applied to the immunoassays. To capture tau phosphorylated at Thr residue 181, antibody AT270 was used and the detecting antibody was the biotinylated HT7 that recognizes residues 159-163 of tau (Thermo Scientific, Rockford, IL). Internal calibrators of p-tau and tau were used (MSD) [43]. Standards with known concentrations were used for AB. For PSD-95, arbitrary values were assigned to a reference brain lysate, which was run as a standard curve with every plate. All standards and samples were run in duplicate. Measurements were performed using the multi-detection SPECTOR 6000 Imager (MSD).

For immunoassays of C4A and C4B, ice cold PBS buffer (Gibco, ref#10010-023) was added to the brain tissue at 5:1 (PBS in ml vs brain wet weight in gram), and homogenized with Qiagen Tissue Lyser LT at 50 Hz for five min. The homogenate was centrifuged at 17,000 g and 4 °C for 15 min, then the supernatant was aliquoted and stored at -80 °C. Lysate was diluted 1:200 (C4A) or left undiluted (C4B) and applied to ELISA assays according to the manufacturer's protocol (C4A/C4B: Novus Biologicals, NBP2-70043 & NBP2-70046). Absorbance was measured at 450 nm using Biotek Synergy HT microplate reader.

We evaluated association of DEGs with rank-transformed immunoassay measures of $A\beta_{42}/A\beta_{40}$ ratio, pTau181/tTau ratio, pTau231/tTau ratio, C4A, and C4B that were adjusted for age at death and sex. We included only the 193 brain samples with information on AD case status in our downstream analysis. Analyses included DEGs significant in the $\epsilon 2/\epsilon 3$ group (C4A, C4B, GFAP, NPNT), or from the M01 gene network and significant DEGs in oligodendrocytes (HSPA2, PHLPP1, DOCK1, and LPAR1). Gene expression values were log2-transformed and tested for association with the level of each protein using linear regression models adjusted for an additional covariate, RIN.

RESULTS

Differentially expressed genes in APOE subgroups

Gene expression was examined in post-mortem tissue from the prefrontal cortex area of 627 ROSMAP participants and 193 FHS/ BUADC participants, and from the temporal cortex area of 162 MAYO participants (Supplementary Table 1 and Supplementary Fig. 1). The combined sample had the following distribution of *APOE* genotypes: $\epsilon 2/\epsilon 3$ with 48 AD cases and 87 controls, $\epsilon 3/\epsilon 3$ with 280 AD and 302 controls, and $\epsilon 3/\epsilon 4$ with 169 AD cases and 45 controls (Supplementary Table 1). We identified 1114 genes with differences in expression between AD cases and controls in the total sample that were transcriptome-wide significant ($p < 10^{-6}$) (Supplementary Table 2 and Supplementary Figs. 2-4). Four of these significant DEGs (WDR81, ICA1, TNIP1, and JAZF1) are new genome-wide significant loci reported in a recent large AD GWAS [44] (Supplementary Table 3). All of the top 20 differentially expressed genes (DEGs) in the total sample were up-regulated in AD cases compared to controls including EMP3 ($p = 1.6 \times 10^{-18}$), *NPNT* ($p = 9.3 \times 10^{-18}$), and *SLC4A11* ($p = 1.2 \times 10^{-17}$). The genes showing the largest differences in expression between AD cases and controls varied by APOE genotype. Among the transcriptomewide significant results in the total sample, the most significant expression differences in the APOE $\varepsilon 2/\varepsilon 3$ subgroup ($p < 10^{-3}$) were observed with C4A, C4B, GFAP and NPNT each of which was upregulated in AD cases compared to controls (Table 1 and Fig. 1a). These genes were consistently up-regulated in each dataset (Supplementary Table 4). Expression differences of C4A and C4B were progressively weaker in the £3/£3 and £3/£4 groups, respectively (Table 1 and Fig. 1a). The link of C4A and C4B expression to APOE genotype ɛ2/ɛ3 is supported by the observation that expression of both genes in brain from ROSMAP controls is significantly lower in $\epsilon 2/\epsilon 3$ subjects compared to $\epsilon 3/\epsilon 3$ and ε3/ε4 subjects (Supplementary Fig. 5). GFAP and NPNT showed at least nominally significant differences in expression among $\varepsilon 3/$ ϵ 3 but not ϵ 3/ ϵ 4 individuals (Table 1).

Eleven of the 1114 transcriptome-wide significant DEGs in the total sample were also moderately significant (p < 0.01) in each *APOE* genotype subgroup (Supplementary Fig. 6 and Supplementary Table 5). The most significant gene in this group is *C1QTNF5* which encodes complement C1q tumor necrosis factor-related protein 5 ($p = 2.8 \times 10^{-17}$). Contribution of these genes to AD may be independent of *APOE* genotype.

Expression of top DEGs in APOE $\epsilon 2/\epsilon 3$ subjects is associated with AD-related neuropathology

Primary neuropathological hallmarks of AD in the ROSMAP and FHS/BUADC datasets, density of neurofibrillary tangles (NFT) measured by Braak Stage and neuritic plaques measured according to the Consortium to Establish a Registry for Alzheimer Disease (CERAD) Score, were comparable across the two datasets (Fig. 1b and Supplementary Table 6). Expression of all four top-ranked DEGs in the APOE ɛ2/ɛ3 subgroup (C4A, C4B, GFAP, and NPNT) was moderately associated (P < 0.01) with both Braak stage and plague score in the combined datasets (Fig. 1c and Supplementary Table 7). Expression of C4A, C4B, and GFAP in the ROSMAP dataset was at least nominally associated with Braak stage among $\epsilon 2/\epsilon 3$ and $\epsilon 3/\epsilon 3$ subjects and with neuritic plaque density in $\epsilon 2/\epsilon 3$ subjects only, whereas expression of NPNT was significantly associated with these traits only among $\epsilon 3/\epsilon 3$ subjects (Fig. 1c, Fig. 1d, and Supplementary Table 8).

AD enriched brain co-expression networks classified by APOE genotype and AD status

Weighted gene co-expression network analysis (WGCNA) was conducted separately in AD cases and controls within each APOE genotype groups using data from the largest brain sample (ROSMAP) (Supplementary Table 1). Twenty-three gene coexpression networks, each enriched with differentially expressed genes (Supplementary Table 2) and AD risk genes established by large genome-wide association studies (GWAS) [1], were reproduced in the MAYO or FHS/BUADC datasets (Supplementary Table 9). We evaluated brain cell-type enrichment derived from analysis of single-nuclei RNA sequencing data for these 23 networks (Supplementary Figs. 7 and 8). Eleven networks (notably not M01) were enriched in both inhibitory and excitatory neurons, and network M18 was exclusively enriched in these two cell-types. Four networks (M3, M6, M12, and M19) representing both AD cases and controls, as well as the APOE $\varepsilon 2/\varepsilon^3$ and $\varepsilon 3/\varepsilon^4$ genotype groups, were enriched in astrocytes, microglia, oligodendrocytes and oligodendrocyte progenitor cells (OPCs). Networks M11 and M15 were exclusively enriched in OPCs (Fig. 2a and Supplementary Table 10).

The M01 network specific to £2/£3 AD cases contained several complement pathway genes including C4A, C4B, and C3b/C4b Receptor 1 (CR1) (Fig. 2b). No other networks contained all three of these genes suggesting that this network may be specific to AD subjects with the ϵ^2/ϵ^3 genotype. This ϵ^2/ϵ^3 -AD network contained 674 genes of which 96 (10.2%) were differentially expressed in the total sample (Bonferroni corrected enrichment p value [ENR-p] = 4.3×10^{-32}), eight (1.2%) were differentially expressed among $\epsilon 2/\epsilon 3$ subjects (ENR-p = 0.05), and 66 (9.8%) were significantly associated with AD risk (ENR- $p = 1.2 \times 10^{-5}$) (Fig. 2a and Supplementary Table 9). The ɛ2/ɛ3-AD network was also significantly enriched in different cell types including astrocytes (ENR- $p = 5.2 \times 10^{-59}$), oligodendrocytes (ENR- $p = 9.1 \times 10^{-57}$), and OPCs (ENR- $p = 6.8 \times 10^{-44}$) (Fig. 2a and Supplement tary Table 10). Of the 154 genes enriched uniquely in the $\epsilon 2/\epsilon 3$ -AD network, expression of 19 was nominally associated (p < 0.05) with Braak Stage and plaque density including C4A and C4B (Supplementary Table 11). Ten of these 19 genes were expressed in oligodendrocytes, astrocytes, and/or OPCs and four of these genes including HSPA2, LPAR1, DOCK1, and PHLPP1 were significantly (FDR adjusted p < 0.05) differentially expressed between AD and control oligodendrocytes (Fig. 2c, Supplementary Fig. 9 and Supplementary Table 12). Although C4A and C4B expression was not detected in any specific cell types, a previous study showed that that these genes were expressed at low levels in mouse astrocytes (Supplementary Fig. 10) [45]. HPSA2 and DOCK1 were transcriptome-wide significant DEGs in the total sample, and differential expression of HSPA2 was nominally significant in the $\varepsilon 2/\varepsilon 3$ group (p = 0.05) (Supplementary Table 2). None of these 10 genes were differentially expressed between AD and control astrocytes and OPCs (Supplementary Table 12).

Tune n Dinerentiany expressed genes among 7% of 22/05 subjects.										
Gene	ΑΡΟΕ ε2/ε3		ΑΡΟΕ ε3/	ΑΡΟΕ ε3/ε3		ε4	Total	Total		
	Z	P value	z	P value	z	P value	z	P value		
C4A	4.28	1.9×10^{-5}	2.78	5.4×10^{-3}	2.48	0.01	5.73	1.0×10^{-8}		
C4B	4.10	4.2×10^{-5}	3.50	4.7×10^{-4}	2.17	0.03	6.08	1.2×10^{-9}		
GFAP	4.12	3.8×10^{-5}	4.03	5.6×10^{-5}	1.51	0.13	6.89	5.4×10^{-12}		
NPNT	3.65	2.6×10^{-4}	6.34	2.4×10^{-10}	1.58	0.11	8.58	9.3×10^{-18}		

Table 1. Differentially expressed genes among APOE $\varepsilon 2/\varepsilon 3$ subjects

Gene expression levels were compared between AD and control brains separately in the ROSMAP, MAYO, and FHS/BUADC datasets, and results were combined by meta-analysis.

Selected genes surpassed significance thresholds $p < 10^{-3}$ in the APOE 2/ ϵ 3 group and $p < 10^{-6}$ in the total sample.



Fig. 1 Differentially expressed genes (DEGs) among APOE $\epsilon 2/\epsilon 3$ individuals and in the total sample. a Boxplots showing distribution of gene expression level (represented as log2 FPKM) by AD status and APOE genotype for top-ranked DEGs among APOE $\epsilon 2/\epsilon 3$ subjects in the ROSMAP dataset. **b** Boxplots showing the distribution of rank-transformed plaque score and Braak stage by APOE genotype and AD status among subjects in the ROSMAP and FHS/BUADC datasets. **c**, **d** Scatterplots showing the correlation of expression of the top-ranked DEGs among APOE $\epsilon 2/\epsilon 3$ subjects with rank-transformed Braak stage (**c**) and plaque score (**d**) in the ROSMAP dataset according to APOE genotype depicted by color ($\epsilon 2/\epsilon 3 =$ dark blue, $\epsilon 3/\epsilon 3 =$ light blue, $\epsilon 3/\epsilon 4 =$ red, total = black). Coordinates for expression (quantified as log2 FPKM) plotted against plaque or Braak score for each subject are shown as dots and their correlation across subjects is represented by fitted solid lines.

Association of C4A/B and HSPA2 expression with AD-related protein levels

We tested association of expression of eight genes from the four top-ranked DEGs among APOE $\varepsilon 2/\varepsilon 3$ subjects (Table 1) and the top-ranked genes in the $\epsilon 2/\epsilon 3$ -AD network (Supplementary Fig. 9) with immunoassay measures of pTau181/tTau ratio, pTau231/tTau ratio, $A\beta_{40}/A\beta_{40}$ ratio, and postsynaptic density protein 95 (PSD95) as well as with levels of C4A and C4B proteins in FHS/BUADC brain tissue (Supplementary Table 13). Expression of C4A, C4B, GFAP, NPNT, HSPA2, and PHLPP1 was significantly associated with pTau231/tTau at the multiple test correction threshold of p < 6.3×10^{-3} (Fig. 3a and Supplementary Table 14), and results for C4A, C4B, and HSPA2 surpassed an even more stringent threshold of $P < 10^{-4}$ (Fig. 3b and Table 2). HSPA2 expression was significantly associated with C4B protein level after multiple testing correction ($P = 6.1 \times 10^{-3}$) (Fig. 4). Expression of all eight genes in this group was not associated with $A\beta_{42}/A\beta_{40}$ ratio, PSD95 or pTau181/tTau ratio after multiple testing correction.

DISCUSSION

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The primary purpose of this study was to discern genes and biological pathways that may have a role in the mechanism

underlying the protective effect of APOE ɛ2 against AD. We identified 11 genes that were differentially expressed between AD cases and controls within APOE £2/£3, £3/£3, and £3/£4 genotype groups, suggesting that their influence on AD risk is likely not specific to any APOE genotype. We identified transcriptome-wide significant differential expression of three genes, C4A, C4B, and GFAP, for which the evidence was strongest among APOE £2/£3 individuals. We also identified 23 brain celltype specific networks that are enriched for significant ADassociated genes and DEGs and characterized by unique biological pathways. One of these networks, M01, was specific to AD cases with the APOE $\epsilon 2/\epsilon 3$ genotype and contained multiple genes in the classical complement pathway including C4A, C4B, and CR1. Expression of C4A, C4B, and HSPA2 was significantly associated with amyloid plaque and neurofibrillary tangle density, as well as with the ratio of phosphorylated tau at protein position 231 to total Tau (pTau231/tTau). Expression of HSPA2, a significant DEG between AD and control oligodendrocytes, was significantly associated with the level of C4B protein. These findings suggest that the co-regulated topranked genes in the APOE ɛ2/ɛ3-AD network are likely involved in regulation of classical complement activation and tau phosphorylation.



Fig. 2 AD-related gene co-expression networks in brain. a Heatmaps depicting association of co-expressed gene networks (modules M1–M23) derived from analysis within a subgroup defined by *APOE* genotype and AD status according to the color scheme shown on the right. The far-left vertical bar, the left blue-shaded panel, and the right red-shaded panel represents networks enriched in different *APOE* genotype subgroups, in differentially expressed genes between AD and control subjects, and in particular cell types, respectively. The AD gene heatmap showed enrichment of each gene co-expression network with genes that are differentially expressed in (1) the corresponding *APOE* genotype subgroup (stratDEG) or (2) the entire sample (allDEG), or with AD risk genes established by GWAS. The cell-type heatmap shows enrichment of each gene co-expression network for astrocytes (Ast), excitatory neurons (Exc), inhibitory neurons (Inh), microglia (Mic), oligodendrocytes (Oli), and oligodendrocyte progenitor cells (OPC). All enrichment *p* values are Bonferroni corrected. **b** Gene co-expression network (M01) that was derived using WGCNA from analysis of *APOE* $\epsilon 2/\epsilon 3$ subjects with AD. Differentially expressed genes in the *APOE* $\epsilon 2/\epsilon 3$ genotype group (p < 0.01) and in the total sample ($p < 10^{-6}$) are highlighted in turquoise. Genes associated with AD risk at the genome-wide significance level and differentially expressed in the total sample ($p < 10^{-6}$) are highlighted in purple. Genes associated with AD risk at the genome-wide significance level and differentially expressed in the total sample ($p < 10^{-6}$) as well as in the *APOE* $\epsilon 2/\epsilon 3$ genotype group (p < 0.01) are highlighted in red. The size of each node inversely corresponds with the *p* value supporting the association of the gene with AD. **c** Heatmaps showing the average expression of genes in ROSMAP subjects across cell-types calculated from analysis of single-nuclei RNA-seq data and in the bulk RNA-seq data from subjects overlapping the

The role of neuroinflammation in AD has become increasingly important, especially after repeated disappointing results of drugs targeting the processing and toxic isoforms of AB to ameliorate symptoms or halt progression of AD [46]. The classical complement pathway has been consistently linked with neuroinflammation and neuroimmune response [47], but has garnered much less attention regarding its role in AD. We found that expression of two genes in this pathway, C4A and C4B, were significantly upregulated in AD cases compared to controls regardless of APOE genotype, but the difference was larger and more significant among $\epsilon 2/\epsilon 3$ individuals despite the smaller sample size compared to other APOE genotypes. The astrocyte specific marker gene, GFAP, was also up-regulated most significantly in £2/£3 individuals. GFAP has previously been associated with the increase of reactive astrocytes in AD [48] and was markedly elevated in 9-month old transgenic mice with the human tau P310S mutation and APOE £4 [49]. Reactive astrocytes have been shown to upregulate the complement cascade as part of their neuroimmune response [50].

The complement pathway has recently been linked to APOE through binding with activated C1q creating a APOE-C1q complex [51]. *CR1*, one of the most well-established AD genes [52], was a member of the unique APOE $\epsilon 2/\epsilon 3$ AD co-expression network in our study which also contained *C4A* and *C4B*. CR1 is a known receptor for C1q as well as C4A and C4B, and can bind to C1q and C4B simultaneously [53]. ApoE can activate the complement pathway in vitro and cause deposition of C4B, but only in the

presence of C1q [54], suggesting that C1q could possibly facilitate an ApoE/C4 interaction through CR1. Future studies are needed to confirm the binding interactions among members of this network, and determine whether this network is disrupted in the *APOE* genotype dependent manner and, if so, elucidate the nature of the interaction between the complement pathway and ϵ 2.

The upstream complement components of the classical complement cascade, C1g and C4, are tightly controlled in CNS synaptic pruning [55]. A recent large study identified genetic alterations in C4 that disrupt synaptic pruning in schizophrenia patients [56]. The disease-associated C4 haplotype is significantly correlated with elevated C4A/B expression levels in postmortem brains [56, 57] and poor memory performance [57]. This potential disease mechanism has been confirmed in C4-deficient mice manifesting increased C1q immunostaining with defects in synaptic pruning [55]. The accumulated C1q levels at synapses were correlated with phosphorylated tau levels and associated with elevated microglial engulfment and decreased synapse density [58]. ApoE isoforms (ε2, ε3, and ε4) exhibit differential rates of synapse pruning by astrocytes ($\epsilon 2 > \epsilon 3 > \epsilon 4$) and C1q protein accumulation ($\epsilon 2 > \epsilon 3 > \epsilon 4$) in the hippocampus from aged human-APOE ɛ2/ɛ3/ɛ4 knock-in mice [59]. A recent study demonstrated that ApoE isoforms directly bind to C1q protein and modulate complement-dependent synapse loss, inflammation, microglia accumulation, and atherosclerosis [56]. Therefore, it is important to determine the neuropathological consequences of genetic and transcriptional risk profiles in classical complement

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Fig. 3 Association of differentially expressed genes among APOE $\varepsilon 2$ carriers with AD-related proteins. a Heatmap showing the association of gene expression with and AD-related proteins. Genes shown are differentially expressed in the total sample ($P < 10^{-6}$) and among APOE $\varepsilon 2/\varepsilon 3$ subjects ($P < 10^{-3}$), or were selected from the M01 network and are significantly differentially expressed between AD and control oligodendrocytes. Significant ($P < 6.3 \times 10^{-3}$) associations are marked by an asterisk. **b** Scatterplots showing association of expression of genes in APOE $\varepsilon 2/\varepsilon 3$ co-expression network (*C4A, C4B,* and *HSPA2*) with rank-transformed pTau231/tTau ratio. Coordinates for each subject are shown as color-coded dots (red = AD, blue = controls) and their correlation across subjects (within AD cases and control groups, and for the total group) is represented by fitted solid lines (black = combined sample).

Table 2. Association of expression of co-expressed genes in APOE $\varepsilon 2/\varepsilon 3$ AD cases (Module 1) with levels of AD-related traits and C4 subunit proteins in FHS/BUADC brains.

	Total Sample			ΑΡΟΕ ε2/ε3			ΑΡΟΕ ε3/ε3			ΑΡΟΕ ε3/ε4		
	β	SE	P value	β	SE	P value	β	SE	P-value	β	SE	P value
pTau231/tTau:												
C4A	0.22	0.05	5.1×10^{-5}	0.21	0.10	0.04	0.18	0.08	0.02	0.34	0.12	6.4×10^{-3}
C4B	0.21	0.05	7.0×10^{-5}	0.19	0.10	0.06	0.18	0.08	0.02	0.34	0.11	5.4×10^{-3}
HSPA2	0.30	0.06	4.3×10^{-6}	0.31	0.13	0.02	0.20	0.10	0.04	0.41	0.14	6.1×10^{-3}
PSD95:												
C4A	-0.10	0.06	0.08	-0.17	0.12	0.16	-0.06	0.09	0.51	-0.02	0.13	0.86
C4B	-0.10	0.06	0.08	-0.15	0.11	0.20	-0.05	0.08	0.55	-0.06	0.13	0.68
HSPA2	-0.10	0.07	0.17	-0.21	0.15	0.17	-0.05	0.10	0.63	-0.02	0.16	0.90
C4B:												
C4A	0.06	0.05	0.33	0.14	0.13	0.29	0.01	0.09	0.90	0.19	0.14	0.19
C4B	0.05	0.05	0.43	0.14	0.13	0.26	-0.01	0.09	0.88	0.19	0.14	0.18
HSPA2	0.20	0.07	6.1×10^{-3}	0.30	0.17	0.09	0.21	0.11	0.06	0.32	0.16	0.06

pathway genes and their *APOE* genotype-dependent effects. These studies illustrate that complement-mediated synaptic loss leading to tau pathology in AD and its interaction with *APOE* genotype, is a potential novel therapeutic target for AD.

The only significant co-expression network specific to APOE £2/ ε3 AD brains was enriched for astrocytes, oligodendrocytes, and OPCs. Astrocytes have been implicated with the classical complement pathway and general neuroimmune response, and can increase expression of complement components [50]. Oligodendrocytes can express complement component mRNAs, however, their role in the classical complement pathway is not well understood [60]. One study found complement component 4 exclusively co-localized with oligodendrocytes in APP transgenic mouse models for AD [61]. Among the significant DEGs genes in the APOE ε2/ε3-AD network, HSPA2 was expressed predominantly in oligodendrocytes and is connected to the classical complement pathway via its association with C4B protein level in the brain. HSPA2 was previously associated with AD risk using systems biology approaches for analysis of multi-omics data including network diffusion which integrates information from protein network analysis and GWAS [62], analysis of brain region coexpression networks [63], and Bayesian network analysis [64]. In addition, heat shock-related 70-kDa protein 2 (encoded by HSPA2) has been linked to myelination function and fast cognitive decline [65]. While AD is generally considered a gray matter disease [66], white matter abnormalities have been observed in AD including loss of myelination and inability of oligodendrocytes to repair myelin [67]. Further studies are required to understand the connection between oligodendrocyte-specific *HSPA2* expression and complement component 4 in AD.

Two other APOE $\varepsilon 2/\varepsilon 3$ -AD network genes have also been linked to AD-related processes. *PHLPP1*, whose expression was specific to oligodendrocytes, OPCs, and astrocytes, encodes PH domain and leucine rich repeat protein phosphatase 1 which helps regulate protein kinase B (AKT) [68], and the dysregulation of AKT can cause reduced tau phosphorylation [69]. *DOCK1*, expressed only in oligodendrocytes, encodes Dock180 which has a role in dendritic spine morphogenesis and axon pathfinding [70]. *C4A* and *C4B* were not expressed in any cell-types in our single-nuclei RNA sequencing data, but were expressed at low levels in mouse astrocytes, perhaps because *C4A* and *C4B* mRNAs localized outside the nucleus and thus their expression was not detected in our analysis of snRNA-seq data.

Six of the eight top-ranked genes in the APOE $\epsilon 2/\epsilon 3$ -AD network were significantly associated with the pTau231/tTau ratio, but not with the pTtau181/tTau ratio. This observation is consistent with a

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Fig. 4 Association of HSPA2 gene expression with AD-related proteins. Scatterplots showing association of HSPA2 expression with rank-transformed (a) $A\beta_{42}/A\beta_{40}$ ratio, (b) pTau231/tTau ratio, (c) PSD95, and (d) C4B protein level. Coordinates are shown as color-coded dots by APOE genotype (ϵ_2/ϵ_3 = dark blue, ϵ_3/ϵ_3 = light blue, ϵ_3/ϵ_4 = red) and their correlation across subjects (within APOE subgroups and for the total group) is represented by fitted solid lines (black = combined sample).

previous study showing that pTau231/tTau may be a better indicator of AD-related tau mechanisms [71]. None of the topranked genes in this network were associated with $A\beta_{42}/A\beta_{40}$ ratio, suggesting that this network is more involved in processes related to tau but not A β . The connection of $\epsilon 2$ to tau is supported by evidence that $\epsilon 2$ is associated with increased tau in mice expressing APOE $\varepsilon 2/\varepsilon 2$ and with increased tau pathology in the brains of human tauopathy cases [72]. In a separate study, we linked PPP2CB, a hub of an APOE ɛ2-related gene network associated with AD, to C4B protein level in brain [71]. In addition, we demonstrated that expression of PPP2CB, which encodes one of the catalytic subunits of protein phosphatase 2 A (PP2A), was significantly correlated with pTau231/tTau in human brain and in isogenic APOE human induced pluripotent stem cell (iPSC)-derived neurons co-cultured with astrocytes [71], and dysfunction of PP2A promotes tau hyperphosphorylation [73]. In the current study, C4A and C4B expression was not associated with C4A and C4B protein concentration levels, however, this may be due to altered protein degradation and/or a variety of gene expression and protein regulation factors related to spatial and temporal variations of mRNAs, as well as the local availability of resources for protein biosynthesis [74].

Although our findings demonstrate that genes in the classical complement pathway have a role in AD likely through interaction with APOE ε_2 , mechanisms underlying the connection between the complement pathway and the protective effect of APOE ε_2 against AD are still unclear. It is possible that reduced expression of complement components, such as C4A and C4B, in the presence of ε_2 is neuroprotective, an idea consistent with separate evidence of

neuroprotective effects of ϵ^2 with respect to AD [75, 76]. Complement pathway mRNAs are generally up-regulated in brain regions affected by AD [77]. Inhibition of several complement components, including C1q and C3aR, in tau mutation mouse models can cause attenuation of neurodegeneration especially synaptic loss [58, 78]. However, it is also possible that increased complement expression may overwhelm the protective effect of ϵ^2 , an idea supported by the observation of increased expression in ϵ^2 individuals with AD. Further studies are necessary to examine the potential neuroprotective effect of reduced mRNA levels of complement components in AD, especially in relationship to the *APOE* ϵ^2 allele.

Our study has several limitations. First, the number of individuals with the APOE ɛ2 allele, was small and, hence, power for analyses specific to $\epsilon 2$ subjects was lower than for those with other APOE genotypes. There was also an unbalanced distribution of APOE ɛ3/ɛ4 AD and control individuals especially in the ROSMAP dataset. We were able to mitigate some of these issues through meta-analysis of results across datasets. However, there was insufficient power for interaction tests due to the relatively small sizes of some APOE genotype groups. Second, pooling results across all datasets may have led to inconsistent findings because transcriptomic data were derived from temporal cortex in the MAYO sample and prefrontal cortex in the ROSMAP and BUADC/FHS samples. Although the pattern of cortical involvement can vary in AD, in general the temporal cortex may have higher levels of tau pathology [79]. Third, measures of plaque and tangle density were unavailable in the MAYO dataset and, thus, results involving these variables are based on a smaller sample. As a

result, we were unable to conduct meta-analysis of all three datasets. Fourth, gene expression patterns and levels of ADrelated proteins in brains from AD cases may be indicative of postmortem changes unrelated to AD pathogenesis, noting also that RNA degradation occurs faster than protein degradation [80]. Fifth, the average age of individuals in the study samples is above 85 years, and older individuals with AD can show unique patterns of AD pathology [12, 81]. Sixth, although we did not observe significant associations with total AB levels in the FHS cohort, future studies should examine associations with soluble AB and cerebral amyloid angiopathy. Sixth, although WGCNA is a powerful computational tool for identifying gene co-expression patterns, this method does not consider any biological implications when creating networks. Finally, single-nuclei RNA sequence analysis, the best option for assessing expression in post-mortem brain tissue [82], limits interpretation of expression findings to the nucleus instead of the whole cell especially because many mRNA transcripts localize in the cytoplasm for translation [83]. Taken together, these caveats emphasize the need for replication in independent samples and validation using other approaches.

In summary, our findings provide further evidence that the complement cascade, and the classical complement pathway in particular, has an important role in AD. Complement proteins including C4A and C4B, as well as HSPA2 protein that we linked to the complement pathway, may confer a neuroprotective effect against AD through interaction with APOE $\epsilon 2$.

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ACKNOWLEDGEMENTS

This study was supported by the National Institute on Aging (NIA) grants RF1-AG057519, R01-AG048927, P30-AG013846, U01-AG032984, U19-AG068753, U01-AG062602, U01-AG058654, RF1-AG054156, RF1-AG08122, RF1-AG054076, RF1-AG054199, R01-AG066429, and R01-AG054672, and by Framingham Heart Study contracts 75N92019D00031 and HHSN2682015000011. Collection of study data provided by the Rush Alzheimer's Disease Center, Rush University Medical Center, Chicago was supported through funding by NIA grants P30-AG10161, R01-AG15819, R01-AG17917, R01-AG30146, R01-AG36836, U01-AG32984, U01-AG46152, and U01-AG61358, and funding from the Illinois Department of Public Health and the Translational Genomics Research Institute. Study data were also provided by Dr. Nilüfer Ertekin-Taner and Dr. Steven G. Younkin, Mayo Clinic, Jacksonville, FL using samples from the Mayo Clinic Study of Aging, the Mayo Clinic Alzheimer's Disease Research Center, and the Mayo Clinic Brain Bank. Collection of these data was supported through funding by NIH grants P50-AG016574, R01-AG032990, U01-AG046139, R01-AG018023, U01-AG006576, U01-AG006786, R01-AG025711, R01-AG017216, R01-AG003949, and R01-NS080820, and by funding from the CurePSP Foundation and the Mayo Foundation.

AUTHOR CONTRIBUTIONS

RP, LAF, and GRJ conceived the study design. RP and GRJ conceived the statistical analysis. RP, JH, JC, and CZ performed data analyses. RP, TDS, LAF, and GRJ wrote

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the paper. DAB and RA provided data critical to the study. KLL, TI, DAB, TDS, LAF, and GRJ edited the paper. GM, WX, and TDS conceived and performed immunoassay experiments. LAF and GRJ obtained funding for this project.

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

Supplementary information The online version contains supplementary material available at https://doi.org/10.1038/s41380-021-01266-z.

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