ARTICLE





Morphologic subtypes of lobular carcinoma in situ diagnosed on core needle biopsy: clinicopathologic features and findings at follow-up excision

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Abstract

Lobular carcinoma in situ (LCIS) is currently classified as classic (CLCIS), florid (FLCIS), and pleomorphic (PLCIS). Given the rarity of FLCIS and PLCIS, information on their clinico-pathologic features and biologic potential remains limited. We evaluated the upgrade rates at excision of FLCIS and PLCIS diagnosed on inhouse core needle biopsy (CNB) and their clinical presentation and follow-up. Over a period of 11 and a half years, there were a total of 36 inhouse CNBs with pure PLCIS (n = 8), FLCIS (n = 24), or LCIS with pleomorphic features (LCIS-PF) (n = 4). The upgrade rates to invasive carcinoma or ductal carcinoma in situ (DCIS) were 25% for PLCIS (2/8), 17% for FLCIS (4/24), and 0% for LCIS-PF (0/4). The overall upgrade rate of PLCIS and FLCIS combined was 19% (6/32). All but one case (not upgraded at excision) were radiologic–pathologic concordant. Apocrine features, previously reported only in PLCIS, were also noted in FLCIS. HER2 overexpression was seen in 13% of cases. This study highlights the more aggressive biologic features of PLCIS and FLCIS compared to CLCIS and supports surgical management for these lesions.

Introduction

The morphologic spectrum of lobular carcinoma in situ (LCIS) includes classic type (CLCIS), florid (FLCIS), and pleomorphic (PLCIS) [1]. These lesions share dysregulation in the cadherin–catenin cell adhesion complex, which translates into loss of or aberrant expression of E-cadherin on the cell membrane that accounts for the characteristic non-cohesive pattern of LCIS [2]. CLCIS, first described by Foote and Stewart in 1941 as the morphologic precursor of invasive lobular carcinoma [3], is currently considered both a risk indicator and nonobligate precursor for breast cancer

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[4–6]. Contemporary studies have shown that when CLCIS is the highest risk finding on core needle biospy (CNB) excision can be spared if the pathologic and imaging findings are concordant [7–10]. PLCIS [1, 11] and more recently FLCIS [1] have been recognized as morphologic subtypes of LCIS but their clinical behavior and optimal management have not been fully characterized.

PLCIS was first described by Frost et al. in 1996 [12], as an in situ component morphologically similar to pleomorphic invasive lobular carcinoma (P-ILC) in the breast excision specimen from a 78 year-old patient who presented with mammographic calcifications. In 2000, Middleton et al. [13] reported concurrent PLCIS in 45% of cases with P-ILC. In 2012 PLCIS was introduced as a distinct variant of LCIS in the WHO classification of tumors of the breast [11].

In 2006, Fadare et al. first described a form of LCIS with central necrosis, showing morphologic features of what is now called FLCIS with necrosis [1, 14]. In their series of 18 cases, 67% were associated with invasive carcinoma [14]. The 5th edition of the World Health Organization Classification of Tumors of the Breast has defined histologic criteria for FLCIS and PLCIS [1].

In prior series the upgrade rate at excision of variant LCIS diagnosed on core needle biopsy ranged from

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12–64% [15–29]. However, many of these series did not include information on pathologic–radiologic correlation and/or independent pathologic review. In addition, most of these series focused on PLCIS and it is unclear if FLCIS was examined. We sought to assess the clinicopathologic features and upgrade rate at excision of PLCIS and FLCIS diagnosed on CNB at our institution.

Materials and methods

Study cohort

We searched our pathology database for inhouse CNBs obtained between January 2007 to June 2019 with a diagnosis of "carcinoma in situ with ductal and lobular features", or "lobular carcinoma in situ" and the following keywords: "pleomorphic", "massive acinar expansion", and/or "necrosis". These keywords were commonly used in the pathology reports at our institution to characterize the morphologic features of the LCIS subtypes now classified as FLCIS and PLCIS. Patients with concurrent ipsilateral (micro)invasive carcinoma, DCIS, atypical ductal hyperplasia (ADH), no follow-up excision, or no available slides were excluded. Cases were reviewed by at least two breast pathologists (MGK, MPM, and/ or EB) and reclassified according to the WHO 5th edition criteria as CLCIS, PLCIS, and FLCIS, with an additional descriptive category of LCIS with pleomorphic features (LCIS-PF) (Table 1). All lesions consisted of dyscohesive cells filling acini and/or ducts. CLCIS showed involvement and expansion of at least 50% of the terminal duct lobular unit by a proliferation of type A and/or type B cells. Type A cells were small with uniform hyperchromatic nuclei, whereas type B cells showed slightly larger nuclei with mild variation in size and shape, and small nucleoli. Single cell necrosis/apoptosis or minute foci of necrosis could be seen, but no comedonecrosis was present. FLCIS also consisted of type A and/or type B cells with >40 cells across the diameter of the expanded acini and ducts, and/or little to no intervening stroma between the distended acini. PLCIS showed nuclear atypia similar to high grade DCIS with nuclear size at least four times that of mature lymphocytes. LCIS with pleomorphic features showed predominantly CLCIS type B cells with scattered cells having pleomorphic nuclei.

The following features were also evaluated in each case: apocrine morphology (abundant eosinophilic, granular cytoplasm with round, often central nucleus and prominent nucleoli), necrosis (defined as more than single cell necrosis/apoptosis or minute foci), and calcifications. If PLCIS and FLCIS coexisted in the same CNB, the case was classified as PLCIS. A breast radiologist (KC) reviewed all

	Classic LCIS (CLCIS)	Pleomorphic LCIS (PLCIS)	Florid LCIS (FLCIS)	LCIS with pleomorphic features (LCIS-PF)
Cytologic features	Dyscohesive proliferation of epit Type A cells (small with uniform hyperchromatic Marked nuclear pleomorphism; >4x size of nuclei) or Type B cells (slightly larger vesicular nuclei with DCIS). May show apocrine features	Dyscohesive proliferation of epithelial cells Marked nuclear pleomorphism; >4x size of Similar t lymphocyte nucleus (similar to high grade or type DCIS). May show apocrine features May sho	elial cells Similar to classic LCIS: type A Borderline between classic LC or type B, or mixture of both. composed of type B cells and May show apocrine features pleomorphic LCIS	ial cells Similar to classic LCIS: type A Borderline between classic LCIS or type B, or mixture of both. composed of type B cells and May show apocrine features pleomorphic LCIS
Architectural features	mild variability in size/shape and small nucleoli), or mixture of type A and type B cells >50% of acini expanded in TDLU	None	 (a) Little to no intervening stroma between markedly distended acini and/or (b) expanded acinus or duct with ~40–50 cells in diameter 	>50% of acini expanded in TDLU
Necrosis	Single cell apoptosis or minute foci of necrosis. No comedo necrosis	Comedo necrosis may be present		Single cell apoptosis or minute foci of necrosis. No comedo necrosis
Calcifications	May be present, but usually rare or minute	Coarse calcifications may be present		May be present, but rare or minute

pertinent imaging studies. Histologic and imaging correlation was assessed for all cases. Upgrade was defined as (micro)invasive carcinoma or DCIS at excision. The extent of PLCIS/FLCIS on excision was estimated based on number of tissue slices involved on excision specimens, where the exact tissue thickness can be calculated, or measuring the lesion on single glass slide or full face sections for mastectomies. If the extent of PLCIS/FLCIS was larger on CNB, this measurement was used. Clinical data were obtained from the electronic medical records. The Institutional Review Board approved the study.

Immunohistochemistry for ER, HER2, AR, and Ecadherin

Immunohistochemistry was performed in whole tissue sections for cases with available material using the following antibodies and dilutions: estrogen receptor (ER) (clone 6F11, prediluted, Leica Byosystens, Newcastle Upon Tyne, UK); androgen receptor (AR)(clone M4070, 1:250, Spring Bioscience, Pleasanton, CA), human epidermal growth factor receptor 2 (HER2)(clone 4B5, 1:2, Ventana Medical Systems, Tucson, AZ), E-cadherin (clone 36, 1:2, Ventana Medical Systems, Tucson, AZ), and a a triple stain antibody cocktail (CK HMW clone 34BE12, p63 clone 4A4, CK7 clone BC1, CK8/18 clone 5D3, prediluted, Biocare Medical, Pacheco, CA). Antigen retrieval was performed with BOND epitope retrieval solution 1 for ER, BOND epitope retrieval solution 2 for AR and triple stain, and CC1 for HER2 and E-cadherin. The triple stain was performed on selected cases to rule out microinvasion. ER and HER2 were scored on invasive carcinoma and LCIS using ASCO/ CAP guidelines [30, 31]. ER and HER2 were performed on LCIS for this study only and not for clinical purposes. ER staining in at least 1% of the tumor cells was classified as a positive result. ER expression in 1-10% nuclear expression of the tumor nuclei was categorized as low positive. AR was classified as positive if nuclear staining was present in at least 1% of lesional cells. E-cadherin expression was classified as negative if no staining was present, or aberrant if the cell membrane showed granular/incomplete or reduced staining compared to adjacent normal ductal epithelium [2, 32, 33]

Dual-in-situ-hybridization (DISH) for HER2

Cases with HER2 IHC equivocal results were subjected to the INFORM HER2 Dual ISH DNA Probe Cocktail assay as per the manufacturer's validated and recommended protocol (Catalog #780-4422, Ventana Medical Systams, Tucson, AZ). At least 20 lesional cells were manually counted, and amplification status was assigned as per ASCO/CAP 2018 guidelines [31].

Statistical analysis

Statistical analysis was performed using Fisher's exact test and two-tailed *t*-test. A P value <0.05 was considered significant.

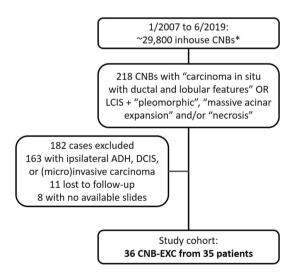
Results

Patient cohort

We identified 218 inhouse CNBs with CIS or LCIS and "pleomorphic", "massive acinar expansion", and/or "necrosis" out of \approx 29,800 CNBs performed in our search period. Of these cases, 163 had a concurrent ipsilateral diagnosis of ADH, DCIS, or (micro)invasive carcinoma, 11 had no subsequent excision or were lost to follow-up, and eight CNBs had no available material for review. Our final study cohort consisted of 36 matched CNB-excision specimens from 35 women, including one patient with two ipsilateral breast lesions at different sites that were excised separately (see Fig. 1). The median age at diagnosis was 61 years (range 45–79). Fifteen patients (15/35, 43%) had a personal history of breast cancer (six ipsilateral and nine contralateral), four of CLCIS (4/35, 11%) and one of ipsilateral PLCIS (1/35, 3%).

Imaging and histologic findings on Core Needle Biopsy

The most common method of biopsy was stereotactic (n = 25), followed by MRI-guided (n = 8) and ultrasound-



*Between 2009 and 2019 there were 322 CNBs with a diagnosis of CLCIS only.

Fig. 1 Study cohort. Diagram showing final study cohort of 36 matched core needle biopsies and excisions after applying search and exclusion criteria.

guided (n = 3). The majority of CNB were performed for suspicious mammographic calcifications (24/36, 67%); other biopsy targets included non-mass enhancement (8/36, 22%), masses (3/36, 8%), or architectural distortion (1/36, 3%). Biopsies were performed with 9–12 gauge needles. The number of tissue cores obtained ranged from 3 to 14 (mean number of cores = 8).

Upon re-review of the CNB cases, there were eight (22%) PLCIS, 24 (67%) FLCIS and four (11%) LCIS-PF. Apocrine features were seen in 5/8 (63%) PLCIS and 6/24 (25%) FLCIS (Fig. 2). Necrosis was present in 63% PLCIS and 71% FLCIS. Negative or aberrant E-cadherin expression was confirmed in all cases except one, in which LCIS-PF spanning 1 mm was present in the initial CNB slides, but the focus was not present in deeper sections used for immunohistochemical stains; follow-up excision of this lesion yielded ALH. One case was considered radiologic–pathologic discordant. Imaging showed an irregular mass on screening mammogram; the CNB had FLCIS with apocrine features and necrosis; no upgrade was identified at excision. All remaning 35 cases were deemed concordant.

Upgrade rate at excision

The combined upgrade rate of PLCIS and FLCIS was 19% (6/32). Table 2 summarizes the clinicopathologic features and upgrade rates. The CNBs with upgrade at excision showed 2 PLCIS with apocrine features and necrosis, and four cases of FLCIS with necrosis. The clinicopathologic findings of upgraded cases are detailed in Table 3. The radiologic target was calcifications in all cases, and all calcifications were associated with the FLCIS or PLCIS at CNB. The cases with upgrade had a higher number of tissue cores removed at CNB (mean number of cores 10 vs. 7; p =0.034). Menopausal status, breast cancer history, use of different imaging modalities between detection/diagnosis and biopsy, mean size of lesion on imaging, radiologic calcifications, and apocrine features did not correlate with upgrade, but necrosis showed a positive trend (p = 0.062, Table 4). We found that while the size of the lesion was slightly overestimated on imaging, the mesaurement was comparable to the estimated size of PLCIS/FLCIS at excision (mean size 1.48 vs. 1.31 cm; p = 0.507). The size of the radiologic target did not correlate with upgrade, however, we found that upgraded cases at excision were more likely to be associated with extensive PLCIS or FLCIS (mean size 3.2 cm vs. 0.9 cm; p = 0.001).

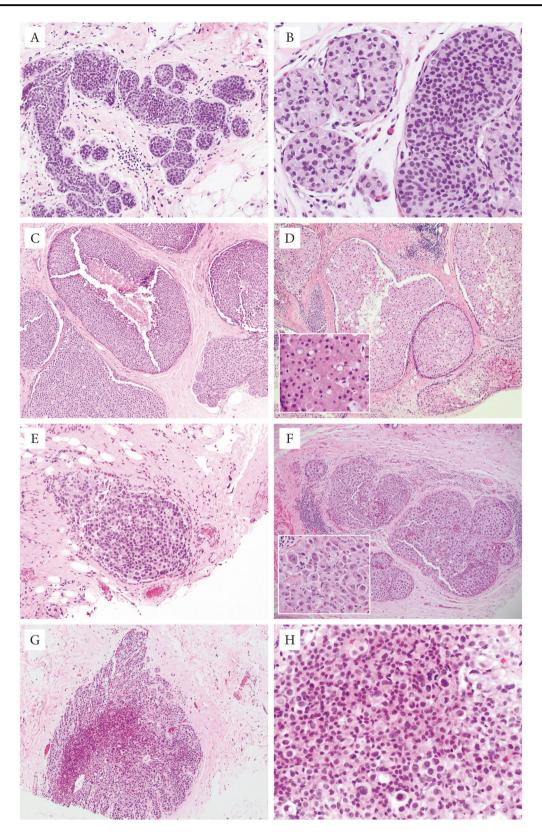
For the purposes of this study, deeper H&E levels were performed in all cases. A triple stain (brown chromogen = p63 and basal cytokeratins CK5 and CK14; red chromogen = luminal cytokeratins CK7 and CK18) was performed in a subset of cases with suspicious findings on H&E to rule out the presence of microinvasive carcinoma. In three cases, microinvasive lobular carcinoma was not present in initial CNB slides, but was identified in the deeper sections obtained for immunohistochemical studies. Two of these three CNBs contained FCLIS with necrosis and were upgraded to ILC (0.4 cm) and LCIS with microinvasion at excision, respectively. In the third case, the CNB had FLCIS, and no DCIS or invasive carcinoma was identified at excision. The patient had a prior history of contralateral BC and was treated with anastrozole at the time of the index CNB and subsequently. At a follow-up time of 38 months she had no evidence of recurrence or disease progression.

None of the four cases of LCIS-PF at CNB were upgraded at excision.

Treatment and follow-up

Five of six patients with upgrade at excision underwent lumpectomies; three had axillary staging with sentinel lymph node biopsy, all of which were negative. Two of three patients with ER positive (micro)invasive carcinoma received endocrine therapy (one patient refused treatment). Three patients underwent radiation therapy. One patient did not receive any adjuvant treatment post-lumpectomy (age 75 at diagnosis with microinvasive carcinoma and PLCIS on excision; receptor status unknown). The sixth patient underwent mastectomy with negative sentinel lymph nodes and received endocrine therapy for ER positive microinvasive carcinoma.

Thirty patients did not have an upgrade at excision. One of these patients underwent radiation therapy after lumpectomy for a diagnosis of "carcinoma in situ with mixed ductal and lobular features with reduced E-cadherin membranous expression"; CLCIS was also present. Upon rereview the carcinoma in situ was reclassified as FLCIS with aberrant E-cadherin expression. Fourteen of the 30 patients with no upgrade at excision were treated with endocrine therapy. Only five of the 14 patients received endocrine therapy for LCIS, while the remaining patients had a prior or concurrent contralateral diagnosis of invasive carcinoma or DCIS. The median follow-up time was 37.5 months. Three patients subsequently presented with ipsilateral (micro)invasive carcinoma (Table 5) Patient 1 was a 73 year-old woman with no personal or family history of breast cancer. She had FLCIS (ER positive, HER2 status not available) on CNB. Excision yielded PLCIS (spanning 1.0 cm) with >0.5 cm margin clearance for which she received no additional treatment. At 24 months an ipsilateral area of linear non-mass enhancement was noted on MRI. CNB showed microinvasion and FLCIS (receptors not available for microinvasive carcinoma). No residual microinvasive carcinoma was present in the lumpectomy specimen (only CLCIS present) and the sentinel lymph nodes were negative. She did not receive radiation treatment. The patient



remained disease-free 87 months after the index CNB. The second patient was a 75 year-old female with a history of contralateral DCIS 9 years prior treated with excision alone

(no radiation or hormonal therapy) and ipsilateral PLCIS 2 years prior status post excision. The index CNB showed PLCIS (ER positive, HER2 negative); excision yielded ◄ Fig. 2 Classic LCIS and morphologic variants. A,B CLCIS showing a dyscohesive proliferation of type A and B cells expanding more than 50% of the acini. C FLCIS with massive acinar expansion and central necrosis. D FLCIS with apocrine features, showing marked expansion of the acini by dyscohesive cells with ample granular eosinophilic cytoplasm and minimal nuclear atypia. E PLCIS is composed of a dyscohesive proliferation of cell with marked nuclear pleomorphism. F PLCIS with apocrine features. G LCIS-PF consists predominantly of type B cells with scattered cells showing enlarged and pleomorphic nuclei.

PLCIS with microinvasion (receptors not available for microinvasive carcinoma). PLCIS was <0.1 cm from margin. The patient did not receive radiation or hormone therapy. Fifteen months later she presented with calcifications in the same breast, CNB showed PLCIS and subsequent excision PLCIS with microinvasion, focally involving margins. She recurred with a 2.6 cm P-ILC (ER negative, PR negative, HER2 negative), DCIS, PLCIS, and FLCIS 29 months from initial diagnosis. She completed chemotherapy after excision. She subsequently died of disease 73 months after the index biopsy. The third patient was a 57 year-old who underwent excision, radiation therapy and hormone therapy (tamoxifen, later changed to anastrozole and then raloxifene) for an ipsilateral tubular carcinoma 8 years prior to the index CNB. She was diagnosed with FLCIS (ER positive, HER2 negative) on CNB; underwent excision showing FLCIS present 0.1 mm from the closest margin. She received no radiation therapy but continued raloxifene. The patient recurred after 22 months with a 0.15 cm P-ILC (ER positive, PR negative, HER2 positive), PLCIS, and FLCIS at the site of the prior FLCIS. The patient remained disease free 65 months after the index CNB.

Receptor profile on CNB

The majority of FLCIS and PLCIS cases in our study were ER positive (26/32, 81%). Apocrine features were more common in ER negative than ER positive cases (45% vs. 5%, respectively; p = 0.01). Androgen receptor was detected in all 32 cases. HER2 was positive by IHC (3+) in 4/31 cases (13%), including three with apocrine features; 4/31 (13%) cases were HER2 IHC equivocal (2+), and 23 (74%) HER2 negative (0 or 1+). All HER2 equivocal cases (three

Table 2 Clinicopathologic findings on core needle biopsy (CNB) and excision, and treatment information.

CNB findings		Median	Imaging findi	ngs			Upgrade at			
Diagnosis	N	age (range)	Calcifications	Non-mass enhancement		Architectural distortion	excision	Lumpectomy (L)	therapy	therapy
All cases ^a	36	61 (45–79)	24	8	3	1	6 (17%)	M = 4 $L = 32$	0/4 4/32	3/4 14/32
Variant LCIS (PLCIS and FLCIS) PLCIS	32	61 (45–79)	23	5	3	1	6 (19%)	M = 4 $L = 28$	0/4 4/28	3/4 13/28
With necrosis ^b	5	64 (45–75)	4	0	1	0	2	M = 0 $L = 5$	_ 1/5	_ 2/5
Without necrosis ^c	3	60 (48–69)	2	1	0	0	0	M = 2 $L = 1$	0/2 0/1	1/2 1/1
Total	8	62.5 (45–75)	6	1	1	0	2 (25%)	M = 2 $L = 6$	0/2 1/6	1/2 3/6
FLCIS										
With necrosis ^d	17	61 (48–79)	14	1	2	0	4	M = 2 $L = 15$	0/2 3/15	2/2 8/15
Without necrosis ^e	7	63 (54–74)	3	3	0	1	0	M = 0 $L = 7$	_ 0/7	_ 2/7
Total	24	61 (48–79)	17	4	2	1	4 (17%)	M = 2 $L = 22$	0/2 3/22	2/2 10/22
LCISPF	4	52.5 (49–73)	1	3	0	0	0 (0%)	M = 0 $L = 4$	_ 0/4	_ 1/4

^a35/35 cases were E-cadherin negative on immunohistochemistry; in the remaining case the lesion was depleted in the deeper section used for E-cadherin staining.

^bone apocrine PLCIS, one FLCIS, and two apocrine PLCIS + apocrine FLCIS.

^cone apocrine PLCIS and one apocrine PLCIS + apocrine FLCIS.

^dfive apocrine FLCIS.

Table	Table 3 Clinicopathologic features of upgraded cases.	: features of up,	graded cases.					
Age	Age Radiologic target Concordance CNB	Concordance	CNB		Excision		Surgery Radi	Radiation/hormonal
			Diagnosis	LCIS	Diagnosis	(micro)invasive carcinoma	therapy	apy
				ER HER2	<u>R2</u>	ER PR HER2		
1 79	79 Calcifications	Yes	FLCIS with necrosis	pos neg	neg ILC multiple foci (largest 4 mm); LCIS-PF, LN 0/1	pos pos neg	pos pos neg lumpectomy yes/no	ou
2 75	2 75 Calcifications	Yes	PLCIS with necrosis and apocrine features + FLCIS with apocrine features	pos neg	PLCIS and FLCIS with microinvasion na^a na^a na^a	na ^a na ^a na ^a	lumpectomy no/no	0
3 68	3 68 Calcifications	Yes	PLCIS with necrosis and apocrine features + FLCIS with apocrine features	sod sod	ILC (5 mm); FLCIS, LN 0/3	pos pos neg	pos pos neg lumpectomy yes/yes	yes
4 61	4 61 Calcifications	Yes	FLCIS with necrosis	pos neg	FLCIS with microinvasion, LN 0/4	pos neg neg	mastectomy no/yes	es
5 61	Calcifications	Yes	FLCIS with necrosis	pos neg	CLCIS with microinvasion, LN 0/1	na ^a na ^a na ^a	lumpectomy yes/no	ou
6 78	6 78 Calcifications	Yes	FLCIS with necrosis	pos neg	PLCIS and FLCIS with microinvasion pos pos	sod sod sod	lumpectomy no/yes	es
ILC in	ILC invasive lobular carcinoma.	noma.						
^a The fc	oci of microinvasion	n were not pres	^a The foci of microinvasion were not present in deeper sections submitted for immunohistochemistry.	nohistoche	mistry.			

 Table 4
 Association between clinicopathologic features at presentation and upgrade.

Clinicopathologic variable	n	Upgraded	Not upgraded	p value
Postmenopausal status	33	6	27	1
History of breast cancer	15	3	12	0.375
Different imaging modality for detection and biopsy	3	0	3	1
Mean number of tissue cores ^a		10	7	0.034
Mean size lesion by imaging (cm) ^a		2.7	1.2	0.116
Radiologic calcifications	24	6	18	0.149
Necrosis	22	6	16	0.062
Apocrine features	11	2	9	1
FLCIS	25	6	19	0.302
PLCIS	8	2	6	0.596

Fisher's exact test (t-test).

^aTwo-tailed *t*-test.

FLCIS and one PLCIS) showed apocrine features and were negative by HER2 Dual ISH (Table 6).

Discussion

Classic LCIS is both a high risk lesion and a nonobligate precursor of invasive carcinoma. Modern management is non-surgical with the use of endocrine chemoprevention to reduce the risk of subsequent invasive carcinoma or DCIS. In recent years, the morphologic spectrum of LCIS has expanded to include PLCIS and FLCIS, two uncommon morphologic subtypes. Contemporary data on FLCIS and PLCIS is limited, but suggests that these morphologic subtypes of LCIS are more frequently associated with invasive carcinoma or DCIS than CLCIS [21, 29, 34-36] and have genomic alterations that are usually associated with more aggressive behavior in the context of breast cancer, such as ERBB2 and ERB3 alterations [35, 36], defying classification as "benign" entities. However, the AJCC staging manual, 8th edition and the National Comprehensive Cancer Network guidelines [37, 38] regard LCIS as a benign entity with no distinction between CLCIS and the morphologic subtypes FLCIS and PLCIS. The latest ESMO guidelines for early breast carcinoma acknowledge that "The pleomorphic variant of lobular neoplasia may behave similarly to DCIS and should be treated accordingly, after multidisciplinary discussion." but do no mention FLCIS [39]. There is lack of consensus on the management of these unusual subtypes of LCIS, including the need for surgical management, and adjuvant radiation or hormonal treatment [18, 22, 39, 40].

In this study, we reclassified LCIS with nonclassic morphology diagnosed on CNB from 2007 to 2019 using

Table 5 Patients with disease progression.

Age (yr)	Clinical presentation/Initial diagnosis	Treatment	Disease progression/recurrence Treatment	Follow-up (since index CNB)
1 73	No personal or family hx of BC Screening Mx: Ca++ (0.5 cm) CNB: apo FLCIS with necrosis (ER+) EXC: PLCIS, margins negative	Excision No XRT No HT	24 months from initial diagnosis MRI: linear NME (3.3 cm) Pathology: FLCIS with microinvasion; margins negative; 0/2 SLNs Excision with SLN	87 months NED
2 75	History of contralateral DCIS and ipsilateral PLCIS (s/p excision with negative margins) Family history of BC Screening Mx: Ca++ (0.3 cm) CNB: apo PLCIS with necrosis (ER+/HER2-) EXC: apo PLCIS with microinvasion; margins negative	Excision No XRT No HT	15 months from initial diagnosis Mx: Ca++ Pathology: PLCIS with microinvasion; margin positive for microinvasion Excision, no SLN; patient declined mastectomy/re-excision 29 months after index CNB mass at the site of prior excision Pathology: Invasive carcinoma with mixed features (2.6 cm), DCIS, PLCIS; margins negative; 0/5 SLN Excision with SLN; XRT; CMF	73 months DOD
3 57	History of ipsilateral tubular carcinoma s/p excision with negative SLN, XRT, and tamoxifen switched to anastrozole, then raloxifene Screening Mx: Ca++ (2.4 cm) CNB: apo FLCIS with necrosis (ER+/HER2-) EXC: apo FLCIS; margins negative	Excision No XRT Raloxifene (for prior tubular carcinoma)	59 months after index CNB Palpable lesion US: irregular mass (1.2 cm) Pathology: P-ILC (0.15 and 0.1 cm), PLCIS, FLCIS; margins negative Mastectomy, continue raloxifene	65 months NED

BC breast cancer, *Mx* mammogram, C++ calcifications, *CNB* core needle biopsy, *apo* apocrine, *FLCIS* florid lobular carcinoma in situ, *EXC* excision, *PLCIS* pleomorphic lobular carcinoma in situ, *XRT* radiation therapy, *HT* hormonal therapy, *NME* non-mass enhancement, *SLN* sentinel lymph node, *NED* no evidence of disease, *DOD* died of disease, *CMF* cyclophosphamide, methotrexate, fluorouracil, *P-ILC* pleomorphic invasive lobular carcinoma.

Table 6 Receptor status of LCISon CNB.

CNB findings						
Diagnosis	ER		AR		HER2	
	pos	neg	pos	neg	pos	neg
All cases						
With apocrine features	6 (55%)	5 (45%)	11 (100%)	0 (0%)	3 (30%)	7 (70%)
Without apocrine features	20 (95%)	1 (5%)	21 (100%)	0 (0%)	1 (5%)	20 (95%)
Total	26 (81%)	6 (19%)	32 (100%)	0 (0%)	4 (13%)	27 (87%)
PLCIS						
With apocrine features	4 ^a (80%)	1 (20%)	5 (100%)	0 (0%)	2 (40%)	3 ^b (60%)
Without apocrine features	2 ^a (100%)	0 (0%)	2 (100%)	0 (0%)	1 (50%)	1 (50%)
Total	6 (86%)	1 (14%)	7 (100%)	0 (0%)	3 (43%)	4 (57%)
FLCIS						
With apocrine features	2 ^a (33%)	4 (67%)	6 (100%)	0 (0%)	1 (20%)	4 ^c (80%)
Without apocrine features	15 (94%)	1 (6%)	16 (100%)	0 (0%)	0 (0%)	16 (100%)
Total	17 (77%)	5 (23%)	22 (100%)	0 (0%)	1 (5%)	20 (95%)
LCIS-PF	3 (100%)	0 (0%)	3 (100%)	0 (0%)	0 (0%)	3 (100%)

^aincludes one ER low positive case.

^bincludes one HER2 equivocal case (2+), HER2 DISH negative for amplification (69 yo HER2 copy number 2.0/ratio 1.2).

^cincludes three HER2 IHC equivocal cases; all HER2 DISH negative for amplification (49 yo HER2 copy number 2.5/ratio 1.5; 68 yo HER2 copy number 2.7/ratio 1.8; 74 yo HER2 copy number 1.8/ratio 1.1).

the WHO 2019 consensus criteria [1], which introduced specific criteria for the diagnosis of FLCIS. Overall, CNBs with FLCIS and PLCIS without associated ADH, DCIS, or invasive carcinoma were very rare, and accounted for approximately only one in a thousand of consecutive inhouse CNBs in the same study period. Compared to the rate of inhouse CNBs with only CLCIS, which in isolation also constitutes a rare finding, CNBs with FLCIS or PLCIS were at least 10 times less frequent.

In a prior retrospective study at our center, the upgrade rate of radiologic-pathologic concordant CNBs with classic LCIS was 3% [7]. In contrast, the combined rate of upgrade at excision of FLCIS and PLCIS was 19%, 25% for PLCIS, and 17% for FLCIS, and all cases with upgrade had concordant imaging and pathologic findings. Prior series have reported upgrade rates ranging from 12 to 64% [15-20, 22-29]. Many of these studies did not include information on radiologic-pathologic concordance, or an independent review of the slides to confirm diagnosis/classification, which may account for the wide range of upgrade rates. In addition, most series published before 2019 classified all cases as PLCIS, even though they included lesions that today qualify as FLCIS [41]. Only few groups have used diagnostic criteria consistent with the criteria recently codified in the WHO 2019 Classification of Tumors of the Breast [1] and reported separately the upgrade rates of FLCIS and PLCIS [10, 21, 29].

In the few studies that correlated imaging and CNB findings, cancer was found in 23 of 55 cases (42%) with four discordant cases among upgraded cases (4/23, 17%) [9, 16–18, 29]. The most common imaging finding at presentation were calcifications, with very few lesions presenting as masses or abnormal MRI enhancement [9, 15, 17, 18, 22, 28], similar to our cohort. It is worth noting that in the study by Carder et al. three of 10 upgraded cases of pure PLCIS on biopsy presented as radiologic calcifications and were deemed radiologic-pathologic concordant [17]. Two of the upgraded cases showed invasive lobular carcinoma at excision, ranging from 0.9 to 1.2 cm, neither of which had been detected on diagnostic imaging. These observations emphasize the importance of recognizing the morphologic subtypes of LCIS on CNB and support excision of these lesions for complete histologic evaluation.

The WHO 2019 recommends classifying LCIS composed predominantly of type B cells and few scattered large cells having large pleomporphic nuclei as classic LCIS with type B cells. No specific evidence is provided to support this recommendation, but this is a practical and reasonable approach to the classification of LCIS with ambiguous features in surgical excision specimens. Whether this recommendation should also be extended to the diagnosis of CNB material is unclear as no literature is available on this issue. We identified four CNBs with LCIS composed of type B cells with scattered pleomorphic nuclei. All four cases were radiologic-pathologic concordant. At excision none of these cases yielded an upgrade, and none of the patients developed carcinoma at follow-up. Although our results are compatible with the WHO 2019 recommendations, the number of CNB cases is limited and we cannot make a definitive statement on whether surgical excision can be spared in these cases.

In our series, the mean number of tissue cores retrieved during the biopsy procedure was higher for upgraded cases (mean 10 vs. 7), however, the mean size of the imaging target was not predictive of upgrade. The reason for this findings is not clear. No other clinicopathologic features at presentation on CNB were associated with upgrade at excision, as observed in other studies [22, 25], however, the presence of necrosis on CNBs showed a positive trend. Sullivan et al. found that upgrades were more common in younger patients and in LCIS with necrosis, but these associations were not statistically significant [18]. A recent study by Foschini et al. found that the extent of radiologic microcalcifications was associated with upgrade at excision [29].

We observed apocrine features in what would otherwise qualify as FLCIS. Apocrine features in LCIS were initially described in 1984 by Eusebi et al. in two cases, including one associated with invasive carcinoma [42]. The WHO 5th edition recognizes apocrine morphology only in PLCIS. We have observed that many practicing pathologists interpret apocrine morphology in LCIS as evidence of PLCIS, even in the absence of nuclear pleomorphism. For accurate classification, it is therefore important to recognize that apocrine features can also occur in FLCIS. It is unclear if these lesions have been previously classified as PLCIS based solely on the presence of apocrine features, or whether the presence of apocrine features were not recognized in FLCIS [43].

Morphologic subtypes of LCIS are characterized by biallelic *CDH1* inactivation, which translates into loss or aberrant membranous E-cadherin expression by IHC, similar to CLCIS [44]. Recent genomic studies have shown that PLCIS and FLCIS represent more genetically complex lesions than CLCIS, with highly recurrent *ERBB2* and *ERBB3* gene alterations [35, 36]. In addition, genetic analysis of synchronous paired variant LCIS and ILC demonstrated similar or identical copy number alterations and mutational profile [35]. These findings support a more robust role as precursors of invasive carcinoma for FLCIS and PLCIS from compared to classic LCIS, in accordance with the WHO 5th classification scheme.

Although there appears to be no difference in prognosis between apocrine and non-apocrine PLCIS [21], arraybased comparative genomic hybridization studies showed that apocrine PLCIS have significantly more genomic alterations than non-apocrine PLCIS, including amplification of *cyclin D1* and *HER2* genes [45]. In addition, apocrine PLCIS has been shown to be more frequently ER negative compared to non-apocrine PLCIS and CLCIS [43, 45]. Genomic analysis in a series of 20 FLCIS showed that these lesions share the same genetic complexity as apocrine PLCIS [43], with decreased expression of ER (23% vs. 100%) and more frequent HER2 overexpression (31% vs. 0%) compared to non-apocrine PLCIS. We also found that LCIS with apocrine features (PLCIS or FLCIS) was more frequently ER negative compared to non-apocrine variants (45% vs. 5%) and was more likely to be HER2 positive (30% vs. 5%). Further studies are needed to determine if apocrine FLCIS is genetically different from non-apocrine FLCIS.

Our results are in keeping with previous findings that PLCIS and FLCIS are frequently associated with invasive carcinoma or DCIS, and that these lesions should be not be managed as CLCIS [21]. Therefore, excision should be recommended, even if there is radiologic-pathologic concordance at presentation. At present there is no consensus regarding optimal margin clearance (we currently report margins for PLCIS and FLCIS at our institution, but not for LCIS-PF), adjuvant radiotherapy and the benefits of adjuvant hormonal therapy for PLCIS and FLCIS [46] and there are substantial variations in the classification and management of LCIS and its variants. In the latest AJCC Cancer Staging Manual 8th Ed. and in the NCCN 2020 guidelines LCIS is classified only as a high risk lesion, and there is no mention of LCIS variants [37, 38]. In contrast, the practice guidelines released by ESMO do not mention FLCIS, but recommend that PLCIS be managed as high-grade DCIS [39].

Information on the long term follow-up of patients with only FLCIS or PLCIS continues to be very limited. In our series, three patients without immediate upgrade at excision developed microinvasive or invasive carcinoma on followup and one of the patients died of disease.

Our study also demonstrates that additional workup to rule out (micro)invasion on CNB is warranted on PLCIS and FLCIS, especially in cases with extensive LCIS, presence of fibrosis, inflammation, or slight increase in stromal cellularity [47]. Deeper H&E levels and/or IHC, preferably a double immunostaining technique such as ADH5, or p120 catenin or a cytokerain used as sole marker, can help identify foci of (micro)invasion associated with FLCIS or PLCIS.

Limitations to our study include the small number of cases and its retrospective design with potential selection bias as not all patients with a diagnosis of LCIS other than classic were referred for excision at our institution, as well as inclusion of only cases with available material for review. In summary, the upgrade rates of PLCIS and FLCIS at excision were 25 and 17%, respectively. These lesions frequently show an unfavorable biomaker profile with frequent HER2 overexpression. The findings of this study and of previously published series, support surgical management of PLCIS and FLCIS for complete histologic evaluation, given frequent association with (micro)invasive carcinoma, most of which are not detected radiologically. In addition, our study is the first one to describe apocrine features in FLCIS, only previously reported in PLCIS. While apocrine PLCIS appear be more genomically complex than non-apocrine PLCIS, the significance of apocrine features in FLCIS is unknown.

Data availability

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Author contributions MGK and EB performed study concept and design. MGK, MPM, KC, CC and EB developed methodology, acquisition and analysis of data. MGK performed statistical analysis. MGK, MPM, KC, CC, MM and EB performed writing, reviews and revision of the paper. All authors read and approved the final paper.

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Compliance with ethical standards

Conflict of interest The authors declare no competing interests.

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