




# HNF1 $\beta$ is a sensitive and specific novel marker for yolk sac tumor: a tissue microarray analysis of 601 testicular germ cell tumors

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## Abstract

Hepatocyte Nuclear Factor 1 beta (HNF1 $\beta$ ) is a transcription factor which plays an important role during early organogenesis, especially of the pancreato-biliary and urogenital tract. Furthermore, HNF1 $\beta$  is an established marker in the differential diagnosis of ovarian cancer and shows a distinct nuclear expression in the clear cell carcinoma subtype. Recently, it has been described in yolk sac tumor, which represents a common component in many non-seminomatous germ cell tumors. Due to its broad histologic diversity, the diagnosis may be challenging and additional tools are very helpful in the workup of germ cell tumors. Immunohistochemistry was used to study HNF1 $\beta$  expression in a tissue microarray (TMA) of 601 testicular germ cell tumors including seminoma, embryonal carcinoma, yolk sac tumor, choriocarcinoma, teratoma, germ cell neoplasia in situ (GCNIS), and normal tissue. The expression pattern was compared to glypican 3 (GPC3) and  $\alpha$ -fetoprotein (AFP), two markers currently in use for the detection of yolk sac tumor. HNF1 $\beta$  showed a distinct nuclear staining in comparison to the cytoplasmic pattern of GPC3 and AFP. The sensitivity and specificity of HNF1 $\beta$  were 85.4% and 96.5%, of GPC3 83.3% and 90.7%, of AFP 62.5% and 97.7%. We conclude that HNF1 $\beta$  allows a reliable distinction of yolk sac tumor from other germ cell tumor components. Therefore, we propose HNF1 $\beta$  as a novel and robust marker in the immunohistochemical workup of testicular germ cell tumors.

## Introduction

Testicular germ cell tumors (GCT) represent only 1% of all cancers in males. They are however, the most common neoplasms of the testis. Their predominance in young men in the fertile and productive phase of life makes them an important entity [1]. WHO 2016 classification divided TGCT into two major groups according to whether they derived from germ cell neoplasia in situ (GCNIS) (post-pubertal GCT) or not (spermatocytic tumors, prepubertal-type teratoma, and yolk sac tumor (YST)). Testicular GCT

derived from GCNIS can further be classified into two main categories which have an impact on the clinical management: Seminomas and non-seminomatous GCT. The latter are subdivided into undifferentiated components (embryonal carcinoma) and into components with embryonal differentiation (teratoma) and extra-embryonal differentiation (choriocarcinoma and YST). Due to this heterogeneity, morphologic overlap between the different subtypes can be a challenge in daily practice. Nonetheless, the accurate histopathological diagnosis is critical for further patient management [2]. To enhance diagnostic accuracy, immunohistochemistry can serve as a valuable tool.

Among the mentioned GCT subtypes, YST shows the broadest morphological spectrum with more than ten described architectural patterns [3]. This diversity highlights the need for reliable markers. Current diagnostic algorithms recommend  $\alpha$ -fetoprotein (AFP) and glypican 3 (GPC3) as YST markers, although their sensitivity and specificity are not perfect. Recently, Rougemont et al. reported on hepatocyte nuclear factor 1 beta (HNF1 $\beta$ ) expression in 45 testicular and ovarian GCT and concluded that it is a sensitive and reliable marker for the detection of YST [4].

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The aim of our study was to assess HNF1 $\beta$  expression in a large cohort of over 600 testicular GCT and to compare its sensitivity and specificity to the established markers AFP and GPC3.

## Materials and methods

### Patient selection

Paraffin blocks from 601 testicular GCT (period from 1990 to 2014, mean patient age of 36 years) were retrieved from the archives of Department of Pathology and Molecular Pathology, University Hospital Zurich, Switzerland. Two pathologists with special expertise in testicular pathology (AG, PKB) re-evaluated the slides and classified the tumors according to the 2016 WHO Classification. The resulting cohort consisted of 392 pure seminomas (65.2%), 147 non-seminomatous GCT (23.6%), 58 mixed seminomatous and non-seminomatous GCT (9.7%), and 4 spermatocytic tumors (0.7%).

### Tissue microarray

A tissue microarray (TMA) was created as previously described [5]. Two tissue cores with a diameter of 0.6 mm were taken from every tumor. In mixed GCT every subtype was punched twice separately in order to reflect the tumor heterogeneity. In summary, the TMA contained the following components: 450 seminomas, 123 embryonal carcinomas, 48 YSTs, 42 teratomas, 8 choriocarcinomas, 4 spermatocytic tumors and 24 precursor lesions (GCNIS) from adjacent testicular tissue. In addition, nonneoplastic testicular tissue was included from 35 patients who underwent diagnostic procedures due to infertility. During processing 17 tissue cores were lost. In total, 1451 testicular tissue cores were analyzed.

### Whole slides

In addition to the TMA, 15 whole slides of GCT with at least 20% of YST component were selected in order to analyze the immunohistochemical staining in different growth patterns. Nine cases were primary tumors, six cases metastases. The blocks chosen covered the most common growth patterns: microcystic-reticular, macrocystic, solid, glandular, and hepatoid. If a case showed different growth patterns, each pattern was analyzed separately. Details are summarized in Table 2.

### Histology and immunohistochemistry

Three micro-thick sections of TMA blocks were mounted on glass slides (SuperFrost Plus; Menzel, Braunschweig,

Germany), deparaffinized, rehydrated, and stained with hematoxylin and eosin using standard protocols.

We investigated the expression of HNF1 $\beta$  using a polyclonal antibody (SIGMA Chemical Company, dilution 1:200). The immunostaining was performed with the Leica Bond III 255 Stainer. Positive control tissues were normal kidney, liver, lung, prostate, and brain tissue. The staining pattern observed was nuclear.

GPC3 expression was investigated by using the antibody clone 1G12 (DCS Immuno Line, dilution 1:100). Paraffin embedded cell blocks of several cell lines were used as positive controls (Myeloid cell line, Marimo; human ovary adenocarcinoma: Ovc3-3; Human melanoma cell line: SK-Mel-30; Human hepatocellular carcinoma: HepG2; human colon adenocarcinoma: SW480). The staining pattern observed was both membranous and cytoplasmic.

For AFP immunostaining, a polyclonal antibody (DAKO, dilution 1:1000) was used. Fetal liver tissue was used as a positive control. The positive tissues often showed a granular cytoplasmic staining pattern. Sometimes a strong unspecific background staining, especially in necrotic and cystic areas was noted, as is characteristic for a secreted protein. This background reaction was not considered positive.

Both stainings (GPC3 and AFP) were performed on the Ventana Stainer platform in combination with OptiView DAB Kit. The microarray spots were digitalized and evaluated by two pathologists (AG, PKB) using imaging software (NanoZoomer by Hamamatsu). Tissue cores were dichotomized into positive vs negative cases. All cores with >5% of positive cells (according to the above mentioned staining patterns) were counted as positive. If one core of the punched tumor component was positive and the other one negative, the case was considered positive.

## Results

### HNF1 $\beta$ immunohistochemistry

Due to a moderate to strong nuclear staining the expression of HNF1 $\beta$  was easy to evaluate. Overall, the YST tumor component showed a homogeneous staining pattern in 31/48 cases (both cores positive) and a heterogeneous staining pattern in 10/48 cases (only one core positive). Of the 48 cases assessed, seven were found to be negative.

In the other non-seminomatous components a weak to moderate HNF1 $\beta$  expression was observed in 24/346 cases. All seminomas, spermatocytic tumors, GCNIS, and non-neoplastic testicular tissue samples were negative.

HNF1 $\beta$  exhibited a sensitivity of 85% (the 95% CI is 0.7162–0.9345) and a specificity of 96.5% (the 95% CI is 0.9476–0.9770).

### GPC3 immunohistochemistry

In contrast to HNF1 $\beta$ , the staining pattern of GPC3 was membranous and/or cytoplasmic. Due to a weak background staining, only a moderate to strong signal was considered positive. A more or less homogeneous pattern was observed in 32/48 YST cases (both cores positive), whereas a heterogeneous pattern was seen in 8/48 cases (only one core positive). Of the 48 YST cases investigated, 8 were negative. Among non YST cases GPC3 expression was weak to moderate. It was most commonly detected in embryonal carcinoma (57/123 cases), 1/42 teratoma and 6/8 choriocarcinoma. Interestingly, 27/450 seminoma and 16/25 GCNIS showed a faint homogenous staining pattern which was interpreted as unspecific background and finally considered negative.

GPC3 showed a sensitivity of 83.3% (the 95% CI is 0.6923–0.9203) and a specificity of 90.7% (the 95% CI is 0.8818–0.9269).

### AFP immunohistochemistry

The third marker AFP had a typically granular cytoplasmic expression. In our study, it showed a homogeneous staining pattern in 20/48 cases of YST (both cores positive) and a heterogeneous staining pattern in 10/48 cases (only one core positive). Of the YST investigated cases, 18/48 were negative. AFP was positive in 11/123 embryonal carcinoma, in 3/42 teratoma, and in 2/8 choriocarcinoma. Spermatocytic tumors, seminoma and GCNIS were negative.

The sensitivity of AFP was 62.5% (the 95% CI is 0.4733–0.7567) and the specificity was 97.7% (the 95% CI is 0.9616–0.9862).

All results are summarized in Table 1 and Fig. 1.

### Whole slides

A semiquantitative evaluation was performed: homogenous expression (>50% positive cells), heterogenous expression (5–50% positive cells), scattered single cells (<5% positive cells).

Strong and homogenous HNF1 $\beta$  expression was detected in all cases, except in solid YST in which a heterogenous expression pattern was observed. In contrast, GPC3 and AFP showed a much more heterogenous staining pattern, sometimes only with scattered positive cells. In one case (lymph node metastasis) GPC3 and AFP were negative. The results are summarized in Table 2 and Fig. 2.

### Discussion

In this study of more than 600 testicular GCT, we analyzed HNF1 $\beta$  expression in testicular YST and non YST

components and compared it to the commonly used and recommended markers AFP and GPC3 [6]. We found that HNF1 $\beta$  had a comparable sensitivity relative to GPC3 (85.4% versus 83.3%) and a comparable specificity relative to AFP (96.5% versus 97.7%). However, HNF1 $\beta$  had a higher sensitivity than AFP (85.4% versus 62.5%) and a slightly higher specificity than GPC3 (96.5% versus 90.7%).

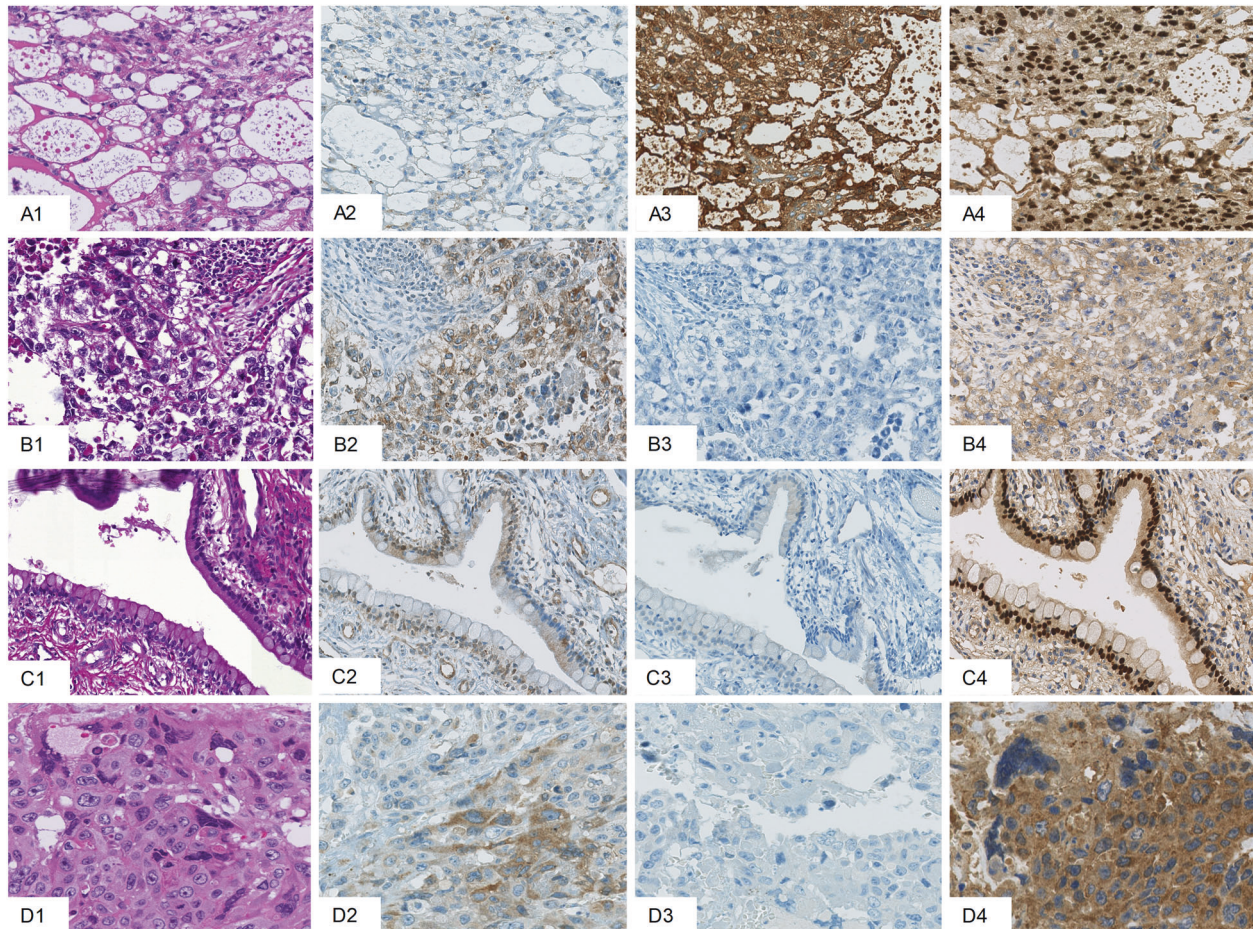
Postpubertal testicular GCT can show a striking heterogeneity consisting of different components, e.g., seminoma, embryonal carcinoma, YST, choriocarcinoma, and teratoma. The correct diagnosis and quantification of the different components has an important influence on further therapeutic management [2]. The morphologic overlap between the distinct tumor subtypes can represent a significant diagnostic challenge [7, 8]. This is further compounded by the fact that YST alone is known for its broad morphology. The current WHO classification lists 11 different growth patterns: microcystic/reticular, myxomatous, macrocystic, solid, glandular/alveolar, endodermal sinus/perivasular, hepatoid, papillary, sarcomatoid/spindle cell, parietal and polyvesicular vitelline. All of them may occur in combination and can imitate other GCT [3]. In particular, the solid and glandular pattern can be difficult to differentiate from embryonal carcinoma, which behaves in a more aggressive fashion and may need a more intensive treatment [9]. Therefore, immunohistochemistry is recommended in difficult cases [6].

Currently, AFP and GPC3 are the best characterized YST markers [10]. During development, AFP is physiologically secreted by the yolk sac [11]. Up to now, AFP remains the gold standard marker for YST and correlates with corresponding serum levels. Hence, it is an integral part of clinical workup and follow-up in GCT patients [12]. AFP however, may not be the optimal marker as relevant serum AFP isoforms can also be detected in patients with nonneoplastic and neoplastic liver disease [13]. AFP immunohistochemistry shows a heterogeneous pattern with a granular cytoplasmic staining [10]. When compared to AFP, GPC3 has been shown to be a more sensitive marker for YST [14, 15], although the expression pattern was also found to be heterogeneous. Interestingly, similar to that observed for AFP, GPC3 can also be expressed in liver neoplasms [16], and in other non-testicular tumors [17–19].

HNF1 $\beta$  is a nuclear protein that is a member of the homeodomain-containing superfamily of transcription factors [20]. Its expression was previously observed in fetal liver, pancreas, stomach, lung, and kidney, indicating a possible role in the development of these organs [21, 22]. HNF1 $\beta$  mutations can cause renal cysts and maturity-onset diabetes of the young (MODY5) [23]. Rebouissou et al. suggested that HNF1 $\beta$  germline mutations predispose to renal tumors and proposed a role for HNF1 $\beta$  as a tumor

**Table 1** Results of the TMA: GPC3, AFP, and HNF1 $\beta$  expression in germ cell tumor components, germ cell neoplasia in situ, and normal testicular tissue.

	HNF1 $\beta$	GPC3	AFP
Yolk sac tumor ( $n = 48$ )	41/48 (85.4%)	40/48 (83.3%)	30/48 (62.5%)
Embryonal carcinoma ( $n = 123$ )	7/123 (5.6%)	57/123 (46.3%)	11/123 (8.9%)
Teratoma ( $n = 42$ )	16/42 (38.0%)	1/42 (2.4%)	3/42 (7.1%)
Choriocarcinoma ( $n = 8$ )	1/8 (12.5%)	6/8 (75.0%)	2/8 (25.0%)
Seminoma ( $n = 450$ )	Negative	Negative	Negative
Spermatocytic tumors ( $n = 4$ )	Negative	Negative	Negative
Germ cell neoplasia in situ ( $n = 24$ )	Negative	Negative	Negative
Normal testicular tissue ( $n = 35$ )	Negative	Negative	Negative



**Fig. 1** Histology (column 1) and expression patterns of GPC3 (column 2), AFP (column 3), and HNF1 $\beta$  (column 4) in non-seminomatous testicular tumors. **a** Yolk sac tumor (YST). **b** Embryonal carcinoma (EC). **c** Teratoma (intestinal differentiation). **d** Choriocarcinoma (CC). GPC3 with a negative example of YST (A2). EC shows a weak cytoplasmic staining (B2). Teratoma is negative (C2). CC with scattered weakly stained syncytiotrophoblasts

(D2). AFP with strong and diffuse positivity in YST (A3). EC (B3), Teratoma (C3) and CC (D3) are negative. HNF1 $\beta$  shows a strong nuclear expression in YST (A4). EC with no nuclear staining (B4). Glandular proliferations with intestinal differentiation in teratoma exhibit a striking nuclear staining (C4). CC with a diffuse cytoplasmic staining (D4). All images  $\times 200$  magnification.

suppressor in chromophobe renal cell carcinoma [24]. Moreover, a possible association between HNF1 $\beta$  polymorphisms and susceptibility to prostate cancer is described [25]. Kato et al. reported an overexpression of HNF1 $\beta$  not only in clear cell carcinoma of the ovary but also in

endometriosis. In both entities, the authors observed a reduction of apoptosis suggesting a potential role for HNF1 $\beta$  in inhibition of apoptotic pathway activation [26]. In pathological practice, HNF1 $\beta$  is the current marker used for detection of clear cell carcinoma of the ovary [27, 28].

**Table 2** Summary of the whole slides: GPC3, AFP, and HNF1 $\beta$  expression in different yolk sac tumor growth patterns.

	Localization	Pattern	HNF1- $\beta$ expression	GPC3 expression	AFP expression
1	Primary tumor	Microcystic/ Reticular	++	++	++
2	Primary tumor	Microcystic/ Reticular	++	++	++
3a	Primary tumor	Solid	+	+	++
3b	Primary tumor	Microcystic/ Reticular	++	++	++
4	Primary tumor	Microcystic/ Reticular	++	++	++
5	Primary tumor	Macrocytic	++	(+)	++
6	Primary tumor	Microcystic/ Reticular	++	++	++
7a	Primary tumor	Solid	+	-	(+)
7b		Microcystic/ Reticular	++	(+)	+
8a	Primary tumor	Solid	+	(+)	+
8b		Microcystic/ Reticular	++	+	++
9	Primary tumor	Microcystic/ Reticular	++	+	++
10	Metastasis (bone)	Hepatoid	++	++	(+)
11	Metastasis (liver)	Glandular	++	(+)	(+)
12a	Metastasis (lymph node)	Solid	+	(+)	++
12b		Glandular	++	-	++
12c		Macrocytic	++	(+)	++
13	Metastasis (muscle)	Hepatoid	++	++	(+)
14a	Metastasis (lung)	Macrocytic	++	++	++
14b		Microcystic/ Reticular	++	++	++
15	Metastasis (lymph node)	Glandular	++	-	-

++ homogenous expression (>50% positive cells), + heterogenous expression (5–50% positive cells), (+) scattered positive cells (<5%).

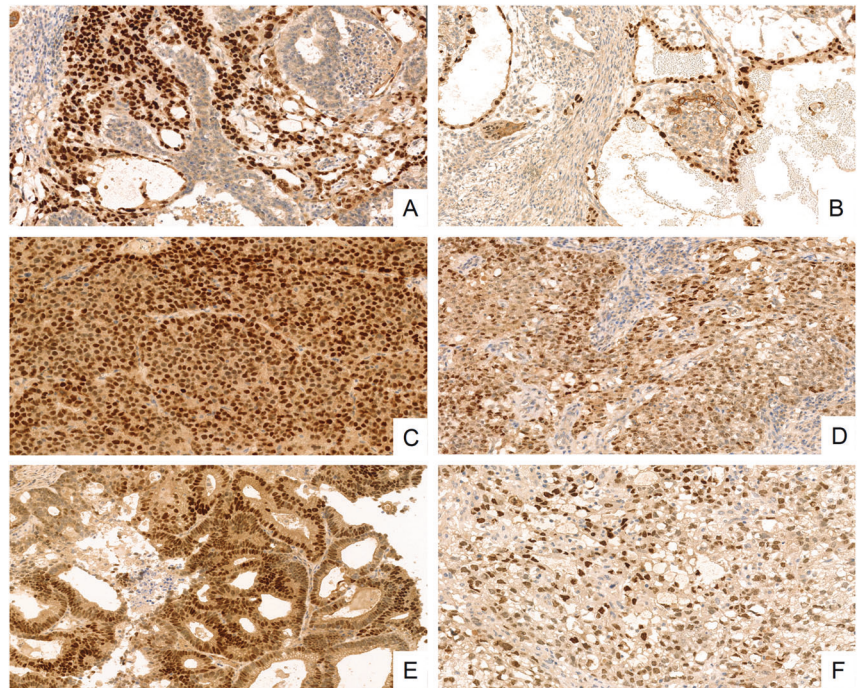
Interestingly, the somatic subtype of YST can arise in clear cell carcinoma of the ovary, both of which express HNF1 $\beta$  [29]. Based on this finding, Rougemont and Tille investigated HNF1 $\beta$  expression in 45 testicular and ovarian YST. In their study, the sensitivity was 100% and the specificity 80%. In summary, and in accordance with data presented here, they concluded that HNF1 $\beta$  represents a reliable YST marker [4].

In our study, we concentrated on the analysis of HNF1 $\beta$ , AFP, and GPC3 expression in testicular GCT to establish specificity and sensitivity values for each marker. We chose a TMA-based approach which allowed us to investigate more than 600 tumors. HNF1 $\beta$  expression was found in 85.4% of YST, compared to 83.3% for GPC3 and 62.5% for AFP. Specificity values were 96.5% (HNF1 $\beta$ ), 90.7% (GPC3), and 97.7% (AFP). HNF1 $\beta$  expression was also detected in 16 cases of teratoma, 7 cases of embryonal carcinoma and in one case of choriocarcinoma (Table 1 and

Fig. 1). The HNF1 $\beta$ -positivity in teratomas was only seen in mature and not in immature elements, particularly in glands with intestinal differentiation which is in line with previous findings by Rougemont and Tille [4]. In the cases of HNF1 $\beta$  positive embryonal carcinoma, only a few cells were positive. Interestingly, GPC3 immunostaining was also positive in these cases, suggesting small foci of previously undetected YST. The only HNF1 $\beta$  positive choriocarcinoma case exhibited a weak nuclear staining in a background cytoplasmic pattern which was in line with the results of Rougemont and Tille who observed the identical pattern in their cases of choriocarcinomas [4].

In contrast to HNF1 $\beta$ , GPC3 also stained 46.3% of embryonal carcinomas and 75% (6/8) of choriocarcinomas. In these cases, the intensity of staining was generally weak to moderate in comparison to YST, which exhibited a moderate to strong GPC3 staining. Hence, GPC3 does not reach the specificity of HNF1 $\beta$  or AFP. Similar results were

**Fig. 2 HNF1 $\beta$  expression in different YST growth patterns.** **a** Strongly positive YST component intermixed with negative embryonal carcinoma. **b** Macrocystic pattern. **c** Hepatoid. **d** Solid. **e** Glandular. **f** Microcystic/reticular. All images  $\times 100$  magnification.



also described by other groups [15, 30]. AFP showed a slightly higher specificity than HNF1 $\beta$  in our study (97.7% versus 96.5%) but the sensitivity was inferior (62.5% versus 85.4%). In addition, HNF1 $\beta$  expression is nuclear which makes it easier to evaluate than the cytoplasmic and membranous staining pattern seen in AFP and GPC3 immunohistochemistry. This facilitates the detection especially of very small foci of YST.

Remarkably, Rougemont and Tille described a sensitivity of 100% for HNF1 $\beta$  in their study but the immunostainings were conducted on whole slides. The reason for our lower sensitivity value might be due to the TMA approach, which may underestimate the real prevalence of positive cases because of sampling errors and tumor heterogeneity. Thus, we studied 15 additional GCT with a considerable percentage of YST on whole slides to analyze HNF1 $\beta$  heterogeneity in different YST growth patterns. In fact, in all cases (primary tumors and metastases) we could demonstrate a strong and quite homogeneous HNF1 $\beta$  expression except in solid YST, in which less than 50% tumor cells were positive. In contrast, AFP and GPC more often showed a heterogeneous pattern. However, the number of examined standard blocks is small and we could not analyze all reported YST growth patterns. Therefore, our study remains somewhat weakened by the TMA approach although it allowed a larger number of cases to be examined.

There exist some more limitations. Firstly, we mainly investigated untreated tumors. After chemotherapy, morphology can be much more difficult to interpret due to

regressive changes and the persistence of unusual growth patterns [31, 32]. Moreover, protein expression can change after treatment. For instance, CD30 expression can be lost in embryonal carcinoma in a post-chemotherapeutic setting [33]. Secondly, our TMA cohort consisted of primary tumors. Sometimes testicular GCT primarily manifest or relapse with metastasis. In this setting, correct diagnosis may be challenging because of morphologic overlap to other tumor entities like carcinomas [34]. Thirdly, somatic-type malignancies arising in GCT were not included in our study. Thus, further investigations of HNF1 $\beta$  expression are needed to further elucidate the diagnostic utility of HNF1 $\beta$  in the three scenarios described.

In summary, we investigated HNF1 $\beta$  expression in more than 600 testicular GCT. Compared to the commonly used YST markers AFP and GPC3, HNF1 $\beta$  immunohistochemistry has a higher sensitivity than AFP and a higher specificity than GPC3. Furthermore, the nuclear expression pattern makes it easy to evaluate. Therefore, we conclude that HNF1 $\beta$  is a reliable marker in the diagnosis of YST and recommend that it be added to immunohistochemical panels in the differential diagnosis of testicular GCT.

### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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