



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Per- and polyfluoroalkyl substances (PFAS) and thyroid hormone measurements in dried blood spots and neonatal characteristics: a pilot study

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BACKGROUND: Pediatric thyroid diseases have been increasing in recent years. Environmental risk factors such as exposures to chemical contaminants may play a role but are largely unexplored. Archived neonatal dried blood spots (DBS) offer an innovative approach to investigate environmental exposures and effects.

OBJECTIVE: In this pilot study, we applied a new method for quantifying per- and polyfluoroalkyl substances (PFAS) to 18 archived DBS from babies born in California from 1985–2018 and acquired thyroid hormone measurements from newborn screening tests. Leveraging these novel data, we evaluated (1) changes in the concentrations of eight PFAS over time and (2) the relationship between PFAS concentrations, thyroid hormone concentrations, and neonatal characteristics to inform future research.

METHODS: PFAS concentrations in DBS were measured using ultra-high-performance liquid chromatography-mass spectrometry. Summary statistics and non-parametric Wilcoxon rank-sum and Kruskal–Wallis tests were used to evaluate temporal changes in PFAS concentrations and relationships between PFAS concentrations, thyroid hormone concentrations, and neonatal characteristics.

RESULTS: The concentration and detection frequencies of several PFAS (PFOA, PFOS, and PFOSA) declined over the assessment period. We observed that the timing of specimen collection in hours after birth was related to thyroid hormone but not PFAS concentrations, and that thyroid hormones were related to some PFAS concentrations (PFOA and PFOS).

IMPACT STATEMENT: This pilot study examines the relationship between concentrations of eight per- and polyfluoroalkyl substances (PFAS), thyroid hormone levels, and neonatal characteristics in newborn dried blood spots (DBS) collected over a period of 33 years. To our knowledge, 6 of the 22 PFAS we attempted to measure have not been quantified previously in neonatal DBS, and this is the first study to examine both PFAS and thyroid hormone concentrations using DBS. This research demonstrates the feasibility of using newborn DBS for quantifying PFAS exposures in population-based studies, highlights methodological considerations in the use of thyroid hormone data for future studies using newborn DBS, and indicates potential relationships between PFAS concentrations and thyroid hormones for follow-up in future research.

Keywords: PFAS; Per- and polyfluoroalkyl substances; Dried blood spot; Thyroid hormone; Newborn; Environmental exposure


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INTRODUCTION

Per- and polyfluoroalkyl substances (PFAS) are a family of synthetic chemicals with thyroid hormone-disrupting properties [1]. PFAS are heat-resistant, chemically stable, and repel stains, properties which led to their widespread inclusion in commercial and industrial products. PFAS have been widely detected in drinking water [2, 3], measured in raw, processed, and packaged foods and animal products [4], and are found in cookware, food packaging materials, household products, textiles, clothing, lubricants, and firefighting foams [5]. PFAS originating from indoor sources can accumulate in settled dust [6, 7]. Because

PFAS are highly resistant to degradation and remediation, they can remain in the human body and the environment for extended periods of time [8, 9].

Studies in United States (US) populations have detected one or more PFAS in nearly all blood samples collected from pregnant women and children [10–12]. PFAS readily cross the placenta and are detected in umbilical cord blood, with correlations between maternal serum and cord blood concentrations, underscoring the importance of the in utero exposure pathway [13–17]. Postnatally, children can be exposed through drinking water and dietary sources, incidental ingestion of house dust due to their propensity

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to engage in hand-to-mouth activity, and inhalation of PFAS-laden dust [5, 6, 18]. Prenatal and postnatal exposures exhibit moderate correlations that vary in magnitude depending on the chemical and age of the child [19].

PFAS exposure has been linked to various health endpoints, including dyslipidemia in adults and adverse immunosuppressive and developmental effects in children [5]. Evidence that PFAS exposure impairs thyroid hormone function is growing, with observed heterogeneity in associations by chemical (e.g., long-chain versus short-chain PFAS), age at exposure, and sex. Multiple epidemiologic studies of populations at both high- and low-level exposure have observed sex-specific associations between perfluorooctanoic acid (PFOA) and perfluorooctane sulfonic acid (PFOS) exposure and hypo- and hyperthyroidism [20–23]. Conversely, other studies have yielded suggestive but inconsistent evidence of alterations in thyroid hormone concentration or function [1, 24]. The hypothalamic-pituitary-thyroid axis maintains normal, circulating levels of thyroid hormones, which are critical for metabolism, temperature regulation, cognitive development, and other functions [25]. The release of thyroid stimulating hormone (TSH) initiates the synthesis and release of thyroxine (T_4), which is converted into a more active form, triiodothyronine (T_3). T_3 and T_4 bind to proteins for transport into cells, and inversely regulate TSH through a negative feedback loop. Dysregulation can reduce thyroid hormone circulation, potentially causing abnormal proliferation in the thyroid, leading to thyroid hyperstimulation, hyperplasia, and tumorigenesis [26, 27]. In vivo and in vitro toxicology studies have found PFAS can competitively bind to thyroid transport proteins and upregulate clearance enzymes, leading to both promoting and antagonist effects on thyroid hormone signaling and transport [28–31]. Perfluorohexane sulfonic acid (PFHxS) exposure in rats induced hypertrophy or hyperplasia of thyroid follicular cells [32], and PFOS and PFOA lowered total and free T_4 concentrations [33, 34]. PFAS also have been hypothesized to increase T_4 metabolism in the liver or thyroid, reduce thyroid peroxidase activity, and decrease T_3 and T_4 concentrations, which could lead to an increase in TSH and thyroid proliferation [24, 35].

Given in utero exposures may play an important role in health outcomes later in life, analysis of biological specimens collected at birth provides a valuable opportunity to quantify exposures at a critical window of development [36, 37]. Use of preclinical biospecimens also ensures the proper temporal relationship between assessments of exposures and disease, avoiding reverse causality. Neonatal dried blood spots (DBS) are collected on filter cards shortly after birth, usually 24–48 h postpartum, to screen for congenital issues [38]. Biomonitoring using DBS enables assessment of early life exposures and biological changes in the years preceding clinical manifestation of disease [36, 38–42]. Several studies have developed and validated high-performance liquid chromatography-mass spectrometry (HPLC-MS) methods for quantifying PFAS in DBS [43–48]. These studies have also addressed methodological concerns related to using DBS for PFAS biomonitoring, including demonstrating minimal PFAS background contamination in DBS cards [44, 45, 47, 48], adjusting for DBS variability, and comparing PFAS concentrations between DBS samples and fresh adult venous blood samples, reporting strong correlations between the sample types [45, 46, 48].

Several studies have examined associations between PFAS quantified in newborn DBS and potential early childhood neurotoxic, obesogenic, immunotoxic, and epigenetic effects [49–53]. However, to our knowledge, no studies have examined associations between PFAS concentrations and thyroid hormone levels in neonatal DBS. Using a newly developed method for quantifying 22 PFAS in newborn DBS [48], we conducted a pilot study to evaluate the relationships between PFAS concentrations, thyroid hormone levels, and newborn characteristics in neonatal DBS samples collected over a span of 33 years (1985–2018) for the

purposes of informing future research. Additionally, to our knowledge 6 of the PFAS we examined using this method—perfluorobutanoic acid (PFBA), perfluorononane sulfonic acid (PFNS), perfluoropentane sulfonic acid (PFPeS), 4:2 fluorotelomer sulfonic acid (4:2 FTS), 6:2 FTS, and 8:2 FTS—have not been measured previously in neonatal DBS.

METHODS

Sample acquisition

We obtained 18 neonatal DBS from the California Department of Public Health (CDPH) Newborn Screening Program, the maximum number of samples available to researchers for methods optimization studies. Demographic data accompanying samples included sex, birth year, and race/ethnicity. By request, samples were from 9 male and 9 female infants, and from different decades of birth from 1985–2018. As part of routine testing for congenital conditions at the CDPH, 5 14-mm diameter DBS are collected from newborns on filter paper by heel-stick soon after birth, typically 24–48 h after birth, and optimally by 4 days of age [54]. Typically, 2–3 DBS remain after routine screening, which have been archived by the California Newborn Screening Program since 1982. The program includes nearly all live births in California. Prior to testing, all parents were provided with a privacy notification which describes the possible research use of infant specimens, and had the opportunity to request that their newborn's specimen not be used for such purposes [55].

Thyroid hormone measurement and parameterization

Because hypothyroidism in neonates can lead to severe cognitive impairments in children, US newborns have been screened for congenital hypothyroidism using newborn DBS samples since the 1970s [56, 57]. We obtained thyroid hormone data from the California Newborn Screening Program for the same samples used for PFAS measurement. In California, the neonatal DBS samples were tested for T_4 through 1997. Starting in 1998, T_4 screening was replaced by TSH measurements because T_4 yielded higher rates of false positives (particularly in low birthweight and preterm infants), and TSH was determined to be more specific [57, 58]. The two approaches to screening also detect different etiologies of congenital hypothyroidism: T_4 screening better detects central hypothalamic-pituitary hypothyroidism, while TSH screening better detects subclinical hypothyroidism [59]. Because of these changes to the screening test, 8 of our pilot study samples have T_4 measurements and 10 have TSH measurements.

To maximize use of our samples, we used three approaches to examine thyroid hormone measurements in relation to PFAS concentrations and neonatal characteristics. First, we carried out statistical analyses for T_4 and TSH separately using concentrations measured at the time of the newborn screening test. Second, we dichotomously categorized T_4 and TSH concentrations as normal or abnormal based on newborn screening guidelines for congenital hypothyroidism. Low T_4 concentrations <129 nmol/l or <10 µg/dl, and high TSH concentrations >10 mU/l are considered abnormal values, triggering further testing to rule out congenital hypothyroidism [54]. Third, we converted each thyroid hormone measurement into a z-score so that T_4 and TSH concentrations could be examined together and on a continuous scale. Z-scores were calculated by taking the difference between the observed thyroid hormone concentration and the T_4 or TSH sample mean, and then dividing by the T_4 or TSH sample standard deviation.

Quantification of PFAS concentrations in dried blood spots

Sample preparation. DBS were sectioned into quarters using methanol-cleaned stainless-steel scissors. A quarter of each specimen was selected, measured for area and mass, and placed in a 15 ml tube, which was spiked with 10 µl of a 10 mg/ml mixture of internal standards containing $^{13}C_4$ -labeled PFAS analytes (Wellington Laboratories Inc.) and air-dried for 30 min at room temperature. Blanks used to monitor for contamination were cut from adjacent to each DBS, area and mass measured, and processed using the same method as for DBS samples. Blood and blank samples were extracted with 1 ml methanol containing NaOH (20 mM) by shaking with 4 stainless steel beads for 20 min (1600 MiniG™ SPEX homogenizer, 1500 shakes per minute). Samples were sonicated for 10 min and centrifuged for 20 min (4000 RPM), and 500 µl of supernatant pipetted into a 2 ml polypropylene vial. The sample extraction process was repeated 3 times, with an additional 500 µl methanol with 20 mM NaOH added each time, for a total of 1500 µl supernatant. The supernatant was vortexed and

dried down under nitrogen flow at 50 °C (Biotage TurboVap LV). Samples were reconstituted with 50 µl of methanol and 50 µl Mili-Q water and vortexed. Sorbent and other debris were removed by passing samples through a microcentrifuge tube cellular acetate membrane filter (Fisher Scientific) by centrifuging for 10 min (14,000 RPM). The filtrate was transferred to a polypropylene insert in a liquid chromatography mass spectrometry vial for analysis. One extraction blank and one solvent blank spiked with standards (1 ng/ml) were also extracted alongside the DBS samples to account for potential contamination in the extraction process.

Sample analysis. Samples were analyzed on an Ultimate 3000 ultra-high-performance liquid chromatograph system, coupled to a Q-Exactive high-resolution orbitrap mass spectrometer (Thermo Scientific) [60–62]. The mobile phase was composed of A (0.1% formic acid in ultra-pure water) and B (0.1% formic acid in acetonitrile). A Restek PFAS delay column (50 mm × 2.1 mm, 5 µm particles) and a Thermo Hypersil Gold C-18 column (100 mm × 2.1 mm, 1.9 µm particles) with an Accucore Q guard column (10 mm × 2.1 mm, 2.6 µm particles) were used for chromatographic separation using gradient separations of 20% B (0–2.5 min) and then 30–85% B (2.5–19 min). Between runs, there was a gradient column rinse (20% B at 19 min, up to 100% B at 20.5 min) and re-equilibration with 20% B (20.5–23 min). The injection volume was 10 µl; flow rate 300 µl/min; column oven maintained at 40 °C; and autosampler maintained at 10 °C. Quality control and instrument blank samples were run every 8–12 samples. A six-point calibration curve (0.01, 0.05, 0.1, 0.5, 1, 2 ng/ml) with internal standards (1 ng/ml) in a 50:50 methanol:water solution was run alongside samples. Additional methods parameters have been published previously [48].

PFAS quantification. We analyzed each DBS for 22 PFAS, provided in Supplementary Table S1. Nine PFAS had at least one sample with a concentration >LOD. Of these, 6:2 fluorotelomer sulfonic acid (6:2 FTS), was excluded from further analysis because of challenges with recovery. The 8 remaining PFAS measured were PFOA, PFOS, perfluorooctane sulfonamide (PFOSA), PFBA, PFBS, perfluoroheptanoic acid (PFHpA), perfluoroheptane sulfonic acid (PFHpS), and PFHxS. Full MS scans with exact mass ($\Delta m/z \leq 10$ ppm) were used for PFAS identification and quantification. Calibration was based on an isotope dilution strategy and curves were weighted 1/x. Limits of detection for this method have previously been determined [48] and are as follows: PFOA, 0.083 ng/ml; PFOS, 0.090 ng/ml; PFOSA, 0.014 ng/ml; PFBA, 0.25 ng/ml; PFBS, 0.027 ng/ml; PFHpA, 0.060 ng/ml; PFHpS, 0.0080 ng/ml; PFHxS, 0.011 ng/ml.

PFAS concentration normalization. To account for both (1) heterogeneity in blood spot area and volume and (2) potential contamination of the collection cards with PFAS [44, 63, 64], we applied a multi-step normalization approach using paired PFAS measurements in DBS samples and card blank material as previously described [48]. Briefly, PFAS concentrations (ng/ml) were quantified in the extracts from paired DBS and card blanks. The card blank sample collected was adjacent to and of equal area to the DBS sample. We assumed uniform density of the card material and calculated the mass of the paper and blood in each DBS sample based on the mass of the card blanks. PFAS detected in card blanks were assumed to be present in the DBS at the same level on a mass per card area basis, and levels in card blanks were subtracted from DBS measurements. DBS measurements above the LOD were included in further analyses if the PFAS was not detected in the paired card blank or if the DBS measurement was at least 20% higher than the paired card blank (a criterion informed by previous repeatability testing); all samples satisfied this criterion (Supplementary Table S2). Final PFAS concentrations are reported in units of pg PFAS/g dried blood. An example calculation is available in the Supplementary Material. Concentrations were reported on the basis of dried blood mass to account for variability in the amount of blood absorbed by different types of collection cards.

PFAS exposure assignment. In addition to continuous PFAS concentrations, we created binary PFAS variables for use in statistical comparisons with thyroid hormone concentrations and neonatal characteristics. We used the median number of detected PFAS ($n=3$) to create a binary categorical variable describing samples with either a low number of PFAS detected (0–2) or a higher number of PFAS detected (≥ 3).

Statistical analysis

We described the distribution of newborn characteristics, including year of birth by decade, number of hours after birth when DBS collection occurred

(in three groups of ≤ 24 , 25–48, and 49–72 h), infant sex, and infant race/ethnicity. We calculated median and interquartile ranges (IQR) of T_4 (nmol/l), TSH (mU/l), and PFAS (pg/g) concentrations across all subjects and stratified by newborn characteristics, with non-parametric two-sided Wilcoxon rank-sum and Kruskal–Wallis tests used to compare differences across decade of birth and DBS collection time. Spearman correlation coefficients were used to assess the relationships between those PFAS with at least 50% of samples above the LOD (PFOA, PFOS, and PFOSA), and between thyroid hormone levels and PFOA, PFOS, and PFOSA. We also examined participant characteristics (decade of birth, sex, race/ethnicity, thyroid hormone concentrations, and thyroid hormone z-scores) stratified by the categorical PFAS exposure variables. Because of small group sizes, we consider stratified analyses by infant sex and race/ethnicity to be secondary analyses and have not carried out formal statistical comparisons across the groups. All analyses were carried out in SAS, version 9.4. We used an alpha value of 0.05 for statistical significance; however, due to the pilot nature of this study and small sample size, we do not strictly interpret results using null hypothesis significance testing, but rather attempt to determine if results are compatible with relationships between various factors and are biologically plausible [65].

RESULTS

Participant characteristics

The study population included 9 female and 9 male infants (Table 1). A total of 6 infants were identified as White (33%), 3 were Hispanic (17%), 3 were Asian (17%), 2 were Black (11%), and 4 were of unknown race/ethnicity (22%). The birth years of the 18 infants ranged from 1985 to 2018. Most DBS sampling (13 samples or 72%) was carried out 24 to 72 h after birth, and within 24 h after birth for 5 (28%) infants.

Thyroid hormone concentrations

TSH concentrations for the most recent samples were higher compared to previous decades, but the T_4 concentrations were similar in the decades assessed (Table 1). TSH and T_4 concentrations declined as the DBS collection time (hours after birth) increased; this decreasing trend was clear and statistically significant when analyzed as z-scores (Table 1 and Fig. 1). While TSH concentrations were similar for male and female infants, T_4 concentrations were higher for females. According to newborn screening guidelines, there were three abnormal T_4 concentrations, all from males, and no abnormal TSH concentrations. Thyroid hormone concentrations were similar across different race/ethnicity groups.

PFAS concentrations

PFAS concentrations were above the LOD for more than 50% of samples for PFOA, PFOS, and PFOSA (Table 2). For PFBA, PFBS, PFHpA, PFHpS, and PFHxS, less than 50% of samples had concentrations above the LOD. Correlations between concentrations of PFOA, PFOS, and PFOSA ranged from 0.17 to 0.40, with the strongest correlation between PFOA and PFOS (Spearman's $r=0.40$) (Table 3). Concentrations of PFOA, PFOS, and PFOSA were lower in more recently collected samples, while PFBA was elevated in the most recent decade (Table 2). However, only PFOSA concentrations exhibited a statistically significant difference across the decades evaluated by this study ($p=0.006$). Due to low detection frequencies and small sample size, it was not possible to assess potential trends in concentration over time for the other PFAS. The frequency of detection generally declined for PFOA, PFOS, PFOSA, and PFBS in more recent decades, increased for PFBA, and fluctuated for PFHpA and PFHxS, approximately mirroring potential temporal trends in concentration. There was no statistically significant difference in PFAS concentration with respect to sample collection time (Fig. 1), and concentrations did not appear to differ by sex or race/ethnicity (Table S3). Examining participant characteristics stratified by the PFAS exposure metric, more recent samples appeared to have a lower number of detectable PFAS compared to samples from earlier decades (Tables 4 and S4).

Table 1. Thyroid hormone concentrations in 18 neonatal dried blood spots stratified by study participant characteristics.

Participant characteristics	TSH (mU/l), median (IQR), n	T ₄ (nmol/l), median (IQR), n	Normal NBS, n ^a	Abnormal NBS, n ^a	Thyroid hormone z-score, median (IQR) ^b
Full cohort	5.5 (2.7, 6.3), n = 10	138 (104, 147), n = 8	15	3	0.09 (−0.98, 0.41)
Decade of birth					
1985–1994 (n = 5)	–	139 (92, 142)	3	2	0.07 (−1.02, 0.14)
1995–2004 (n = 7)	3.9 (1.7, 5.6), n = 4	137 (116, 222), n = 3	6	1	0.02 (−0.98, 0.41)
2005–2014 (n = 2)	4.1 (2.4, 5.7)	–	2	0	−0.39 (−1.07, 0.29)
≥ 2015 (n = 4)	6.8 (5.8, 7.9)	–	4	0	0.73 (0.33, 1.21)
	p = 0.10 ^c	p = 0.79 ^d			p = 0.20 ^c
DBS collection time (hours after birth)					
≤24 h (n = 5)	6.0 (5.5, 7.5), n = 4	222, n = 1	5	0	0.55 (0.27, 1.50)
>24–48 h (n = 7)	5.6 (3.9, 6.6), n = 4	137 (116, 152), n = 3	6	1	0.11 (−0.48, 0.41)
>48–72 h (n = 6)	1.7 (0.7, 2.7), n = 2	115.5 (90, 140.5), n = 4	4	2	−1.01 (−1.14, 0.07)
	p = 0.16 ^c	p = 0.26 ^c			p = 0.02 ^c
Infant sex					
Male (n = 9)	5.3 (2.7, 6.0), n = 5	104 (90, 127.5), n = 4	6	3	−0.48 (−1.05, 0.11)
Female (n = 9)	5.7 (5.2, 6.3), n = 5	147 (139.5, 187), n = 4	9	0	0.29 (0.08, 0.55)
	p = 0.84 ^d	p = 0.06 ^d			p = 0.14 ^d
Race/ethnicity					
White (n = 6)	5.7 (5.2, 6.0); n = 5	152; n = 1	6	0	0.33 (0.08, 0.41)
Hispanic (n = 3), Black (n = 2), Asian (n = 3)	5.3 (2.4, 7.2); n = 5	137 (116, 222); n = 3	7	1	0.07 (−0.77, 1.21)
Unknown (n = 4)	–	115.5 (90.0, 140.5); n = 4	2	2	−0.49 (−1.10, 0.11)
	p = 1.00 ^d	p = 0.40 ^c			p = 0.33 ^c

TSH thyroid stimulating hormone, T₄ thyroxine, IQR interquartile range, NBS newborn screening test, DBS dried blood spot, Q1–Q4 first through fourth quartiles. ^aTSH and T₄ concentrations were classified as normal or abnormal based on newborn screening test guidelines for congenital hypothyroidism. Abnormal T₄ concentrations are <129 nmol/l or <10 µg/dl, and abnormal TSH concentrations are >10 mU/l.

^bThyroid hormone z-scores were calculated by taking the difference between the observed thyroid hormone concentration and the T₄ or TSH sample mean, and then dividing by the T₄ or TSH sample standard deviation. The T₄ sample mean was 136.0 nmol/l and sample standard deviation was 42.0 nmol/l; the TSH sample mean was 5.0 mU/l and sample standard deviation was 2.4 mU/l.

^cp values are from a two-sided Kruskal–Wallis test comparing thyroid hormone concentrations or z-scores across the participant characteristic groups.

^dp values are from a two-sided Wilcoxon rank-sum test comparing thyroid hormone concentrations or z-scores across the participant characteristic groups.

Relationships between PFAS and thyroid hormone concentrations

Spearman correlations between PFOA, PFOS, and PFOSA and thyroid hormone concentrations ranged from −0.70 to 0.59 (Table 3). Correlations between PFAS and T₄ were positive, while correlations between PFAS and TSH were negative. PFOA was most strongly correlated with T₄ ($r = 0.59$), and PFOS was most strongly correlated with TSH ($r = -0.70$). Concentrations of PFAS were stratified by different classifications of thyroid hormone measurements based on newborn screening guidelines in Table 5, but low detection frequencies make it difficult to observe clear trends. No differences were observed in PFAS concentrations between newborns with normal or abnormal thyroid hormone levels overall, in relation to normal versus abnormal TSH (all samples had normal TSH concentrations), or for thyroid hormone z-scores. However, when T₄ was classified as normal or abnormal based on newborn screening guidelines, median concentrations of PFOA, PFOS, and PFBS appeared lower in samples with abnormal/low T₄ levels. In addition, samples in which 3–6 different PFAS were detected had lower TSH ($p = 0.01$) compared to samples in which 0–2 PFAS were detected (Table 4). T₄

concentrations ($p = 0.29$) and z-scores ($p = 0.12$) were not statistically significantly different for samples with 0–2 PFAS compared to those with 3–6 PFAS.

DISCUSSION

In this pilot study, we examined the relationship between PFAS concentrations, thyroid hormone levels, and neonatal characteristics in newborn DBS collected over three decades. While PFAS have previously been quantified in DBS, and thyroid hormones are routinely measured in newborn DBS to screen for congenital hypothyroidism, to our knowledge, this is the first study to examine both PFAS and thyroid hormone concentrations using DBS, and their relationships with neonatal characteristics. To our knowledge, it is also the first study to attempt to measure PFBA, PFNS, PFPeS, 4:2 FTS, 6:2 FTS, and 8:2 FTS in newborn DBS. We observed that the concentration and detection frequency of PFOA, PFOS, and PFOSA were generally lower in more recently collected samples compared to the earliest years assessed by this study. The time of specimen collection after birth was related to thyroid hormone concentrations. PFAS concentrations were

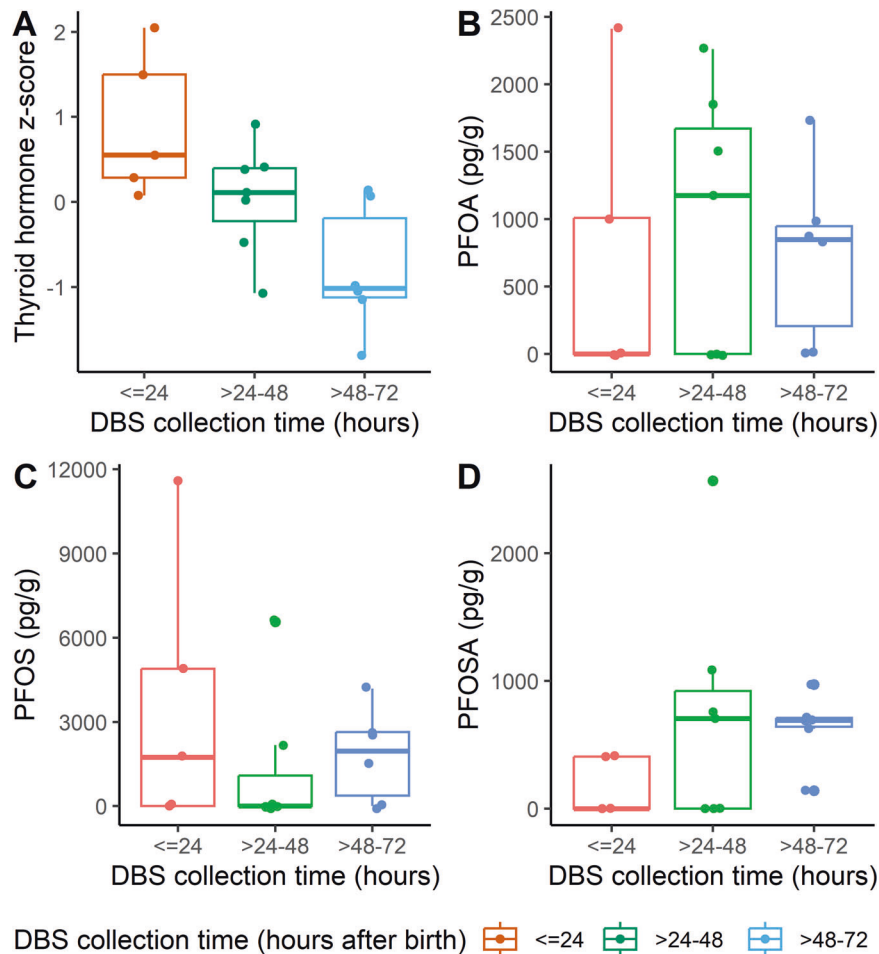


Fig. 1 Thyroid hormone z-scores and PFAS concentrations (pg/g) by DBS collection time (hours after birth). **A** Thyroid hormone z-scores stratified by DBS collection time. **B** PFOA concentrations (pg/g) stratified by DBS collection time. **C** PFOS concentrations (pg/g) stratified by DBS collection time. **D** PFOSA concentrations (pg/g) stratified by DBS collection time. Boxplots show the median, 25th percentile, and 75th percentile values, with whiskers extending to the minimum and maximum values. Only those PFAS with at least 50% of sample concentrations >LOD are included, with concentrations <LOD treated as LOD/2. The limits of detection for the PFAS are: $\text{LOD}_{\text{PFOA}} = 0.083 \text{ ng/ml}$, $\text{LOD}_{\text{PFOS}} = 0.090 \text{ ng/ml}$, and $\text{LOD}_{\text{PFOSA}} = 0.014 \text{ ng/ml}$. DBS dried blood spot, PFAS per- and polyfluoroalkyl substances, PFOA perfluorooctanoic acid, PFOS perfluorooctane sulfonic acid, PFOSA perfluorooctane sulfonamide, LOD limit of detection.

unrelated to time of sample collection, indicating that PFAS are not sensitive to immediate postpartum physiologic changes or post-birth exposures to PFAS occurring in the hours before DBS sampling. Among PFAS with at least 50% of samples >LOD, we found that thyroid hormone measurements were correlated with concentrations of PFOA and PFOS. Our results provide valuable information for biomonitoring studies using neonatal DBS and suggest directions for future research on potential relationships between PFAS exposure and thyroid hormones.

The decline in TSH and T_4 concentrations as the DBS sample collection time increased is consistent with normal physiologic changes occurring soon after birth. At birth, exposure of the newborn's skin to a cooler environment and cutting the umbilical cord stimulate a catecholamine surge, with TSH peaking 15–60 min postpartum [59]. TSH levels decline to 50% of the peak by 2 h postpartum, 20% of the peak by 24 h postpartum, and continue to decline over the next 2–3 days. The post-birth TSH surge stimulates an increase in T_4 , which peaks at 24–36 h postpartum and declines over the next few weeks [59]. For these reasons, most screening occurs between 24 and 48 h after birth and by 4 days of age, timed to ascertain thyroid hormone levels after post-birth surges have begun to normalize in order to minimize false negatives and positives in congenital hypothyroidism screening [54]. However, we observed that time of sampling is

still strongly correlated with both TSH and T_4 levels, even within the recommended timeframe (Table 1 and Fig. 1). This finding underscores the importance of accounting for the time of sample collection in studies using thyroid hormone concentrations from newborn DBS.

In contrast to the patterns observed with thyroid hormone levels, the time of sample collection was unrelated to PFAS concentrations (Table 2 and Fig. 1), suggesting that PFAS concentrations are not sensitive to physiological changes during the immediate postpartum period and providing support for the use of PFAS concentrations measured in newborn DBS as an indicator of in utero exposures. This is consistent with reported serum half-lives in humans of the PFAS measured in this study, which range from 1.5 to 5 years for PFOA, PFOS, PFOSA, PFHpS, and PFHxS, and 3 to 70 days for PFBA, PFBS, and PFHpA (Table 2) [8, 9, 45, 66]. Together with longer half-lives, the stability of PFAS in relation to DBS sample timing supports the validity of using DBS to estimate neonatal PFAS exposures occurring during pregnancy.

The median concentrations of PFOS and PFOA reported in this study are similar to those reported in the limited number of existing studies that have evaluated newborn DBS, particularly when considering samples collected during similar years [43–45, 53]. Serum concentrations of PFAS have changed over the past decades, with the direction of temporal trends varying by

Table 2. PFAS concentrations (pg/g) in 18 neonatal dried blood spots stratified by study participant characteristics.

Participant or sample characteristic	PFOA, median (IQR)	PFOS, median (IQR)	PFOSA, median (IQR)	PFBA, median (IQR)	PFBS, median (IQR)	PFHpA, median (IQR)	PFHpS, median (IQR)	PFHxS, median (IQR)
Full cohort (n = 18)	848.2 (<LOD, 1503.7)	739.1 (<LOD, 2705.5)	519.5 (<LOD, 715.3)	<LOD	<LOD (<LOD, 941.6)	<LOD	<LOD	<LOD (<LOD, 184.6)
Samples >LOD, n (%)	10 (56%)	9 (50%)	12 (67%)	4 (22%)	8 (44%)	1 (6%)	4 (22%)	5 (28%)
LOD (ng/ml)	0.083	0.090	0.014	0.25	0.027	0.060	0.0080	0.011
Half-life ^a	1.8–3.8 years	2.9–4.8 years	1.7 years	3 days	26–44 days	62–70 days	1.5 years	2.9–5.3 years
Decade of birth								
1985–1994 (n = 5)	872.8 (823.6, 1736.9)	2446.0 (<LOD, 2705.5)	715.3 (687.3, 971.3)	<LOD	1095.4 (<LOD, 4091.2)	<LOD	<LOD	<LOD (<LOD, 241.5)
1995–2004 (n = 7)	972.1 (<LOD, 1839.8)	1478.2 (<LOD, 4891.3)	694.4 (407.7, 758.4)	<LOD	<LOD (<LOD, 941.6)	<LOD	<LOD (<LOD, 127.4)	<LOD (<LOD, 509.0)
2005–2014 (n = 2)	751.8 (<LOD, 1503.7)	1955.7 (1741.4, 2170.1)	<LOD	<LOD	274.5 (<LOD, 549.0)	597.8 (<LOD, 1195.7)	<LOD	<LOD
≥2015 (n = 4)	<LOD (<LOD, 588.3)	<LOD	<LOD	1381.2 (<LOD, 3175.4)	<LOD (<LOD, 253.1)	<LOD	<LOD	<LOD
	$p = 0.60^b$	$p = 0.24^b$	$p = 0.0064^b$	$p = 0.46^b$	$p = 0.46^b$	$p = 0.046^{b,c}$	$p = 0.42^b$	$p = 0.37^b$
DBS collection time (hours after birth)								
≤24 h (n = 5)	<LOD (<LOD, 1000.8)	1741.4 (<LOD, 4891.3)	<LOD (<LOD, 407.7)	<LOD (<LOD, 2636.2)	<LOD	<LOD	<LOD (<LOD, 127.4)	<LOD
>24–48 h (n = 7)	1176.6 (<LOD, 1839.8)	<LOD (<LOD, 2170.1)	704.6 (<LOD, 1083.3)	<LOD	549.0 (<LOD, 1536.5)	<LOD	<LOD	<LOD
>48–72 h (n = 6)	848.2 (<LOD, 972.1)	1962.1 (<LOD, 2705.5)	690.9 (625.2, 715.3)	<LOD	<LOD (<LOD, 1095.4)	<LOD	<LOD	92.3 (<LOD, 241.5)
	$p = 0.80^b$	$p = 0.47^b$	$p = 0.12^b$	$p = 0.71^b$	$p = 0.16^b$	$p = 0.46^b$	$p = 0.55^b$	$p = 0.56^b$

PFAS per- and polyfluoroalkyl substances, PFOA perfluorooctanoic acid, PFOS perfluorooctane sulfonic acid, PFOSA perfluorooctane sulfonamide, PFBA perfluorobutanoic acid, PFBS perfluorobutane sulfonic acid, PFHpA perfluoroheptanoic acid, PFHpS perfluoroheptane sulfonic acid, PFHxS perfluorohexane sulfonic acid, IQR interquartile range, LOD limit of detection, DBS dried blood spot, Q1–Q4 first through fourth quartiles, TSH thyroid stimulating hormone, T₄ thyroxine.

^aPFAS serum half-lives in humans as reported in Chang et al. [66], Olsen et al. [8], Spliethoff et al. [45], Xu et al. [9].

^b*p* values are from a two-sided Kruskal–Wallis test comparing thyroid hormone concentrations or *z*-scores across the participant characteristic groups, with concentrations <LOD treated as LOD/2. ^cOnly one sample of PFHpA was >LOD, so this *p* value should not be considered indicative of a statistically significant trend.

chemical. In adult and child populations worldwide, serum concentrations of PFOS and PFOA increased from the 1970s to the 1990s, and began decreasing in 2000 due to regulatory restrictions and voluntary phase-outs [5, 67–69]. Our observation of lower concentrations of PFOA, PFOS, and PFOSA in more recent decades is consistent with these global trends. Similar findings were also reported by a study conducted in New York State using 2640 neonatal DBS from 1997 to 2007 [45]. Maximum concentrations of PFOS, PFOSA, PFOA, and PFHxS occurred between 1998 and 2001, and steadily declined after 2001 [45]. We also found fewer PFAS (of the 8 compounds assessed) were detected in more recent samples (Tables 2 and 4). The exception was PFBA, which was only detectable in the most recent time period, from 2015 to 2018. Exposures to other PFAS, such as PFHxS and perfluoronanoic acid (PFNA), have also increased through the 2000s [67, 70, 71]. The lack of regulation of PFAS as a chemical class has resulted in temporal variation that differs compound by compound. Individual legacy PFAS subject to regulation have declined while alternative and replacement PFAS have increased in use and exposures over time [5]. Because of our pilot study's limited sample size, the temporal trends in PFAS concentrations we observed—and their concordance with trends in larger studies—primarily serve to support the potential representativeness of our samples, rather than to precisely quantify the trends. The correlations between PFOA and PFOS in DBS that we observed

are similar to those reported in National Health and Nutrition Examination Survey (NHANES) samples from a similar time period [72]. Data from NHANES 2003–2004 also found a correlation between PFOS and PFOA (Pearson's $r = 0.66$) [72], which may indicate similarities in their commercial use.

To our knowledge, this pilot study is the first study to use newborn DBS to examine PFAS exposure and thyroid hormone concentrations. Several previous studies have examined associations between PFAS concentrations in maternal serum collected during pregnancy or cord blood, and thyroid hormone levels in neonatal DBS in cohorts from Norway, the Netherlands, Belgium, and the United States [73–77]. Studies from two cohorts used newborn DBS for T_4 measurement and first trimester maternal serum and cord blood for PFAS exposure assessment [73, 75, 76]. These studies observed higher PFOA, PFOS, PFNA, and PFHxS concentrations and lower T_4 , but this relationship rarely reached statistical significance [73, 75, 76]. These associations were often sex-specific, with stronger associations for male infants. Of the two studies examining TSH from newborn DBS in relation to PFAS, one found a consistent inverse association that did not reach statistical significance, with higher cord blood concentrations of PFNA, PFOA, and PFOS and decreased TSH [77], and the other found no associations between second trimester maternal serum PFAS concentrations and TSH concentrations [74].

Research on the directionality of correlations between PFAS concentrations and thyroid hormone levels has yielded varying results [1]. In our study, concentrations of PFOA, PFOS, and PFBS appeared to be lower in samples with abnormal/low T_4 levels (Table 5). Similarly, we found that correlations between PFAS and T_4 concentrations were positive while correlations between PFAS and TSH were negative (Table 3). The opposing directions of correlation coefficients between PFAS concentrations and the two thyroid hormones may reflect the function of the hypothalamic-pituitary-thyroid axis, in which TSH levels are inversely correlated with T_4 levels. TSH stimulates secretion of thyroid hormones, including T_4 , from the thyroid gland, and these thyroid hormones then reduce TSH secretion via a negative feedback loop [78].

Sex differences in associations between PFAS concentrations and thyroid hormone levels have been reported in previous studies. De Cock et al. [76] found that male but not female infants with elevated cord blood PFOS concentrations had lower T_4 levels; this association attenuated after adjustment, and was not present with PFOA [76]. Similarly, Preston et al. found prenatal maternal

Table 3. Spearman correlations between PFAS concentrations (pg/g) and thyroid hormone concentrations in 18 neonatal dried blood spots.

	PFOA	PFOS	PFOSA
PFOA	1	0.40	0.20
PFOS		1	0.17
PFOSA			1
T_4	0.59	0.49	0.19
TSH	−0.40	−0.70	−0.36

PFAS concentrations <LOD were substituted using LOD/2. Only those PFAS with at least 50% of samples >LOD are included in this analysis.

PFAS per- and polyfluoroalkyl substances, PFOA perfluorooctanoic acid, PFOS perfluorooctane sulfonic acid, PFOSA perfluorooctane sulfonamide, TSH thyroid stimulating hormone, T_4 thyroxine, LOD limit of detection.

Table 4. Participant characteristics of 18 infants stratified by the number of different PFAS detected in individual dried blood spot samples.

Participant characteristics	Participants with 0–2 PFAS detected, n^a	Participants with 3–6 PFAS detected, n^a	p value ^b
Full cohort	8	10	–
Decade of birth			
1985–1994	1	4	–
1995–2004	2	5	–
2005–2014	1	1	–
≥2015	4	0	–
Thyroid hormone z-score, median (IQR)	0.35 (−0.18, 0.73)	0.05 (−1.07, 0.14)	0.12
TSH (mU/l), median (IQR) ($n = 10$)	6.17 (5.70, 7.21)	2.55 (1.56, 3.93)	0.01
T_4 (nmol/l), median (IQR) ($n = 8$)	104 (92, 116)	140.5 (137.0, 152.0)	0.29
Newborn screening test results ^c			
Normal thyroid hormone level	6	9	–
Abnormal thyroid hormone level	2	1	–

PFAS per- and polyfluoroalkyl substances, IQR interquartile range, TSH thyroid stimulating hormone, T_4 thyroxine.

^aThe median number of PFAS detected in cohort samples was 3.

^b p values are from a two-sided Wilcoxon rank-sum test comparing thyroid hormone concentrations across the PFAS exposure metric categories.

^cTSH and T_4 concentrations were classified as normal or abnormal based on newborn screening test guidelines for congenital hypothyroidism. Abnormal T_4 concentrations are <129 nmol/l or <10 µg/dl, and abnormal TSH concentrations are >10 mU/l.

Table 5. PFAS concentrations (pg/g) stratified by thyroid hormone concentrations in 18 neonatal dried blood spots^a.

Thyroid hormone measurement	PFOA, median (IQR)	PFOS, median (IQR)	PFOSA, median (IQR)	PFBA, median (IQR)	PFBS, median (IQR)	PFHpA, median (IQR)	PFHpS, median (IQR)	PFHxS, median (IQR)
Newborn screening test results								
Normal thyroid hormone level (n = 15)	972.1 (<LOD, 1736.9)	1478.2 (<LOD, 4189.1)	407.7 (<LOD, 715.3)	<LOD (<LOD, <LOD)	<LOD (<LOD, 941.6)	<LOD (<LOD, <LOD)	<LOD (<LOD, 32.9)	<LOD (<LOD, 184.6)
Abnormal thyroid hormone level (n = 3)	<LOD (<LOD, 872.8)	<LOD (<LOD, 2705.5)	687.3 (625.2, 758.4)	<LOD (<LOD, 4057.6)	<LOD (<LOD, 1095.4)	<LOD	<LOD	<LOD (<LOD, 241.5)
Thyroid hormone concentrations ^b								
T ₄ Normal (n = 5)	1736.9 (1008.8, 1839.8)	2446.0 (<LOD, 6569.6)	715.3 (704.6, 971.3)	<LOD	941.6 (378.2, 4091.2)	<LOD	<LOD (<LOD, 173.7)	<LOD
T ₄ Abnormal, <129 nmol/l (n = 3)	<LOD (<LOD, 872.8)	<LOD (<LOD, 2705.5)	687.3 (625.2, 758.4)	<LOD (<LOD, 4057.6)	<LOD (<LOD, 1095.4)	<LOD	<LOD	<LOD (<LOD, 241.5)
TSH Normal (n = 10)	<LOD (<LOD, 1176.6)	739.1 (<LOD, 2170.1)	<LOD (<LOD, 413.9)	<LOD (<LOD, 2636.2)	<LOD (<LOD, 506.3)	<LOD	<LOD	<LOD (<LOD, 184.6)
TSH Abnormal, >10 mU/l (n = 0)	-	-	-	-	-	-	-	-
Thyroid hormone z-score								
Q1, z ≤ -0.97 (n = 4)	922.5 (436.4, 1237.9)	1824.2 (739.1, 2437.8)	383.2 (70.6, 656.3)	<LOD (<LOD, 2028.8)	274.5 (<LOD, 822.2)	<LOD (<LOD, 597.8)	<LOD (<LOD, 16.4)	92.3 (<LOD, 213.1)
Q2-3, -0.98 ≤ z < 0.41 (n = 9)	823.6 (<LOD, 1839.8)	1741.4 (<LOD, 4189.1)	704.6 (413.9, 758.4)	<LOD	<LOD (<LOD, 941.6)	<LOD	<LOD	<LOD (<LOD, 509.0)
Q4, z ≥ 0.41 (n = 5)	<LOD (<LOD, 1008.8)	<LOD	<LOD (<LOD, 407.7)	<LOD	<LOD (<LOD, 378.2)	<LOD	<LOD	<LOD

PFAS per- and polyfluoroalkyl substances, PFOA perfluorooctanoic acid, PFOS perfluorooctane sulfonic acid, PFOSA perfluorooctane sulfonamide, PFBA perfluorobutanoic acid, PFBS perfluorobutane sulfonic acid, PFHpA perfluoroheptanoic acid, PFHpS perfluoroheptane sulfonic acid, PFHxS perfluorohexane sulfonic acid, IQR interquartile range, LOD limit of detection, DBS dried blood spot, Q1-Q4 first through fourth quartiles, TSH thyroid stimulating hormone, T₄ thyroxine.

^aThe limits of detection for the PFAS are: LOD_{PFOA} = 0.083 ng/ml, LOD_{PFOS} = 0.090 ng/ml, LOD_{PFOSA} = 0.014 ng/ml, LOD_{PFBA} = 0.25 ng/ml, LOD_{PFBS} = 0.027 ng/ml, LOD_{PFHpA} = 0.060 ng/ml, LOD_{PFHpS} = 0.0080 ng/ml, and LOD_{PFHxS} = 0.011 ng/ml.

^bTSH and T₄ concentrations were classified as normal or abnormal based on newborn screening test guidelines for congenital hypothyroidism. Abnormal T₄ concentrations are <129 nmol/l or <10 µg/dl, and abnormal TSH concentrations are >10 mU/l.

concentrations of PFOS, PFOA, and PFHxS were inversely associated with T_4 levels in male but not female infants, including in a PFAS mixtures analysis [73, 75]. In our cohort, T_4 levels were lower for male infants ($p = 0.06$), and the 3 abnormal thyroid screens were all in male infants and based on T_4 levels.

The strengths of this pilot study include assessment of a racially and ethnically diverse cohort sampled from a period spanning 33 years. This is the first study to use newborn DBS to quantify PFAS that also leverages available thyroid hormone measurements from the same DBS, and the first study to consider an expanded panel of PFAS, including 6 not previously quantified in newborn DBS. Results from this study address several critical methodological issues for application in future larger studies. The limitations of this study include its small sample size. This study was conducted as a pilot study, which precluded more complex modeling of PFAS-thyroid hormone relationships. While the relationships observed have been contextualized, these findings may be subject to confounding or due to chance. Samples may not be representative of the larger population. Descriptions of temporal trends for PFAS were limited by our sample size and many samples being below the LOD; as such, these patterns should be examined in larger cohorts. Additionally, it is unknown whether the abnormal newborn screening test results in this cohort were later diagnosed as cases of congenital hypothyroidism, as we do not have access to follow-up testing results. Future studies could seek to link newborn screening test results with follow-up testing and subsequent diagnosis.

Findings of this pilot study suggest that several PFAS warrant further investigation in relation to thyroid hormone levels, notably PFOA and PFOS in relation to T_4 , and PFOS in relation to TSH. Future studies with larger sample sizes could explore the opposing directions of the associations between T_4 and TSH and various PFAS, and mechanistic studies could investigate the implications of this for the potential mechanisms of PFAS-induced thyroid hormone disruption. Additionally, this pilot study offers several methodological lessons for future research using newborn DBS to examine PFAS and thyroid hormone concentrations. Although the filter paper used in DBS stabilizes many analytes, and PFAS are persistent compounds with longer half-lives, future studies designed to investigate the stability of PFAS and other environmental chemicals in DBS after long-term storage would be informative as researchers increasingly leverage archived DBS for environmental health research. In relation to epidemiologic analyses, future studies should account for the time of DBS sample collection, which influences thyroid hormone levels even when samples are collected during the specified timeframe for newborn screening tests. Studies using archived newborn DBS across multiple decades may also encounter the challenge of harmonizing TSH and T_4 measurements. When using the z-score approach to combine both T_4 and TSH measurements, abnormal thyroid hormone concentrations will have both low and high z-scores; as a result, associations with PFAS concentrations or other exposures of interest could have a U-shaped distribution, and so statistical models should be able to accommodate nonlinear associations. Despite some challenges in using archived newborn DBS in environmental health studies, these samples offer unique and powerful opportunities to interrogate preclinical, population-based samples for a range of environmental chemicals, offering great potential for children's health research [42].

CONCLUSION

This pilot study uses newborn DBS to measure a panel of 8 PFAS, demonstrating the feasibility of quantifying PFAS in archived newborn DBS. This study also leverages thyroid hormone concentrations previously measured as part of routine newborn screening to highlight several methodological considerations for future studies using thyroid hormone screening data and

measurements from newborn DBS. Although exploratory, potential relationships between thyroid hormones and PFAS exposure were observed. These findings should be examined in a larger cohort with a broader range of thyroid hormone measurements to more thoroughly describe potential patterns of association.

DATA AVAILABILITY

The datasets generated and analyzed during the current study are generated from the California Biobank and are the property of the State of California. We are therefore unable to share the data. Researchers who would like to use the data can contact the California Department of Public Health Institutional Review Board to seek approval to utilize the data, which can then be shared peer-to-peer.

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AUTHOR CONTRIBUTIONS

AKRV: formal analysis, writing (original draft, review, and editing), visualization; EZL: methodology, investigation, data curation, writing (review and editing); SLN: methodology, investigation, writing (review and editing); KS: formal analysis, writing (review and editing); CHJ: investigation, writing (review and editing); XM: conceptualization, writing (review and editing); KJGP: methodology, investigation, resources, writing (review and editing), supervision; NCD: conceptualization, formal analysis, writing (original draft, review, and editing), supervision, funding acquisition.

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COMPETING INTERESTS

The authors declare no competing interests.

ETHICAL APPROVAL

This study was not considered human subjects research as all data were fully de-identified.

ADDITIONAL INFORMATION

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