

# The Pioneer Gut Microbiota in Human Neonates Vaginally Born at Term—A Pilot Study

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**ABSTRACT:** The pioneer microbiota of the neonate may affect future actions of the immune system. This study aimed to map the pioneer microbiota in healthy neonates vaginally born at term. A subgroup of neonates born large for GA (LGA) was compared with the neonates appropriate for GA (AGA). Fecal samples were collected, within 48 h after birth, from 79 neonates. Quantitative PCR was used for enumeration of *Lactobacillus*, a subgroup of *Lactobacillus* common in the vagina, *Bifidobacterium*, *Enterococcus*, *Enterobacteriaceae*, and the *Bacteroides fragilis* group. Cloning and sequencing were applied for subgroups of neonates born LGA or AGA. *Lactobacillus* was detected in all neonates, whereas other bacterial groups were detected only in 14 to 30% of the subjects. The prevalence of Gram-negative *Proteobacteria* was higher in neonates born LGA, whereas Gram-positive *Firmicutes* was more prevalent in neonates born AGA ( $p < 0.001$ ). This study contributed to increased knowledge of the pioneer microbiota and indicates that neonates born LGA had significantly different microbiota compared with those born AGA. As the early microbiota can be important for maturation of the immune system, the outcome from this study may be relevant in the care of pregnant woman and newborns. (*Pediatr Res* 70: 282–286, 2011)

The fetal gastrointestinal tract is considered sterile, but at birth, the neonate is exposed to various bacteria from the birth canal *via* maternal vaginal and fecal microbiota, the hospital environment, and from handling by the parents and nursing staff (1). Various studies revealed immediate colonization of the newborn, the first days by only a few types of bacteria but a more complex ecosystem develops with time (2–4). The traditional view comprises initial colonization by facultative aerobes such as *Enterobacteriaceae*, *Enterococcus*, and *Streptococcus* (3,5) and later obligate anaerobes such as *Bifidobacterium*, *Bacteroides*, and *Clostridium* (5,6).

Microbes are thought to stimulate and tune the neonatal immune system and so the first microbiota can be of considerable importance to direct the neonatal immature immune system correctly (6–8). *Lactobacillus* is a genus of Gram-positive bacteria that dominates a healthy vagina (9). However, one third of women have bacterial vaginosis, which is characterized by reduced amount of lactobacilli, whereas other anaerobic bacteria, including Gram negatives, are more abundant (10,11). Gram-negative bacteria have lipopolysaccha-

rides with strong proinflammatory capacity in the outer part of the cell wall, thereby contributing to a subclinical inflammatory tone connected to a range of metabolic disorders such as obesity and type 2 diabetes (12). Noteworthy, in neonates with a not yet fully mature immune system, lipopolysaccharide stimulation have different effects compared with adult individuals (13). Various reports show transfer of the maternal vaginal microbiota to the newborn (14,15), highlighting the importance of a healthy vaginal microbiota for desirable stimulation of the neonatal immune system.

Obese women and women with excessive weight gain during pregnancy more often give birth to neonates large for GA (LGA) (16). High birth weight is known to have impact on risk of metabolic disturbances, such as type 1 diabetes and increased BMI, later in life (17,18). Obesity is a risk factor for type 2 diabetes and has recently been linked to differences in intestinal microbiota (19,20), but how the neonatal microbiota affects the homeostasis later in life is unknown. The microbiota in neonates with normal birth weight has been studied [*e.g.* Palmer *et al.* (3)], but the intestinal ecosystem in neonates born LGA and the immediate and potentially lifelong influences needs further evaluation.

The present pilot study aimed, by direct gene identification and quantification, to map the first intestinal microbiota in healthy neonates vaginally born at term. A subgroup of neonates born LGA was compared with neonates born appropriate for GA (AGA). Fecal samples were collected within 48 h after birth and bacterial groups of interest were analyzed, as well as the dominating microbiota at different birth weights. To our knowledge, this is the largest study using molecular techniques on gut microbiota in the first days of life of neonates vaginally born at term.

## METHODS

**Subjects and sample collection.** Healthy singleton neonates vaginally born, without complications, at term were enrolled in this study, which was performed at an outpatient accommodation facility close to the labor ward at Skåne University Hospital, Malmö, Sweden, January through December 2008. Births with any complications, *e.g.* caesarean section, gestational diabetes, preterm delivery, or neonates born small for GA, remained in the maternity ward and were not recruited to this study. At the outpatient accommodation facility, parents and the newborn stay some days after delivery, and nursing staff give advice and recommendations about matters such as breastfeeding. In Sweden, all mothers are strictly advised to breastfeed if possible, and all participants presume to follow this guideline. Parents

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**Abbreviations:** AGA, appropriate for GA; LGA, large for GA

collected one fresh stool sample, the meconium, from the diaper within 48 h after birth, and samples were immediately frozen. The samples were regularly transported frozen to the laboratory and kept at  $-80^{\circ}\text{C}$  until processing. The parents answered questionnaires about the pregnancy and delivery. Participation was voluntary, and parents gave written informed consent. The study was approved by the Regional Ethical Review Board in the south of Sweden.

**DNA extraction.** Techniques based on amplification of the 16S rRNA gene require the bacteria to be lysed to facilitate DNA extraction. Consequently, DNA from stool content was isolated and purified by QIAamp DNA Stool Mini Kit (Qiagen, Hilden, Germany) in combination with glass bead beating to enhance disruption of bacterial cell walls, and BioRobot EZ1 (tissue kit and card; Qiagen) as described elsewhere (8). Negative controls for DNA extraction and downward analyses were run in parallel.

**Quantitative PCR.** The amount of 16S rRNA genes of bacteria belonging to *Lactobacillus*, *Bifidobacterium*, *Enterococcus*, *Enterobacteriaceae*, and the *Bacteroides fragilis* group were estimated using separate qPCR assays. To elucidate whether vaginal lactobacilli, e.g. *Lactobacillus crispatus* and *Lactobacillus gasseri* were colonizing the neonates, a qPCR assay was designed with primers targeting the sequence of the 16S-23S rRNA intergenic spacer region [*Lactobacillus* group II: GII defined by Song *et al.* (21)]. For all assays, each reaction contained  $10\ \mu\text{L}$   $2\times$  Rotor-Gene SYBR Green PCR Master Mix (Qiagen),  $0.5\ \mu\text{M}$  of each primer [Table 1, (21–27)],  $2\ \mu\text{L}$  of template DNA, and RNase-free water to the final volume of  $20\ \mu\text{L}$ . Samples, standards, and nontemplate controls were run in triplicate. The thermal cycling was performed in Rotor-Gene Q (Qiagen) with a program of  $95^{\circ}\text{C}$  for 5 min, followed by 40 cycles with denaturation at  $95^{\circ}\text{C}$  for 5 s, annealing, and elongation at  $60^{\circ}\text{C}$  for 10 to 30 s (Table 1). The fluorescent products were detected at the last step of each cycle. Melting curve analysis was made to ensure specific amplification. Absolute abundance of 16S rRNA genes was calculated based on standard curves using Rotor-Gene Q Series Software 1.7 (Qiagen),  $R^2 > 0.998$ . Detection limit was  $10^4$  genes/reaction for the *B. fragilis* group and *Lactobacillus* GII while all other assays detected  $10^2$  genes/reaction. As standard curves, cloned PCR products from *Lactobacillus plantarum* DSM9843, *Lactobacillus iners* CCUG28746<sup>T</sup>, *Enterococcus faecalis* CCUG19916<sup>T</sup>, *Bifidobacterium infantis* DSM15159, *Escherichia coli* CCUG29300<sup>T</sup>, and *B. fragilis* CCUG4856<sup>T</sup> were used. Ten-fold dilution series of the cloned PCR products were made in TE buffer (10 mM Tris, 1 mM EDTA, pH 8.0) supplemented with  $0.1\ \mu\text{g}/\mu\text{L}$  herring sperm DNA (VWR International, West Chester, PA). Number of bacteria was expressed as numbers of 16S rRNA genes/g wet weight of feces.

**Cloning and sequencing.** To investigate whether birth weight was related to the composition of the intestinal microbiota of newborns, the 16S rRNA genes from the feces of the five neonates with the highest birth weight, classified as LGA according to Marsál *et al.* (28), and five neonates with the lowest but still appropriate birth weight for GA, were cloned and sequenced. GA was 39 to  $41\frac{1}{2}$  wk. The 16S rRNA genes were amplified with the universal forward primer ENV1 (5'-AGA GTT TGA TII TGG CTC AG-3') and the reverse primer ENV2 (5'-CGG ITA CCT TGT TAC GAC TT-3'), which anneal with 8–27 bp and 1511–1492 bp, respectively. PCR reaction mixture contained  $0.2\ \mu\text{M}$  of each primer,  $0.2\ \text{mM}$  of each deoxyribonucleotide triphosphate (Roche Diagnostics, Mannheim, Germany),  $5\ \mu\text{L}$  of  $10\times$  PCR reaction buffer (100 mM Tris-HCl, 500 mM KCl, pH 8.3),  $2\ \text{U}/\mu\text{L}$  FastStart Taq polymerase (Roche Diagnostics), and  $5\ \mu\text{L}$  of template, in a final volume of  $50\ \mu\text{L}$ . Amplification was made for 30 cycles as described by Karlsson *et al.* (29), and PCR products were verified and purified as described elsewhere. Four PCR reactions from the same neonate were pooled and run on

$1.5\%$  agarose gel in Tris-acetate-EDTA (TAE) buffer. Bands were excised and DNA was purified with Wizard SV Gel and PCR Clean-Up System (Promega, Madison, WI). Purified PCR products were ligated into pGEM-T vector system and transformed into *E. coli* JM 109 according to the manufacturer's instructions (Promega). Colonies were blue/white screened on Luria-Bertani agar with Ampicillin ( $100\ \mu\text{g}/\text{mL}$ ; Sigma-Aldrich Co., St. Louis, MO), IPTG ( $0.5\ \text{mM}$ , Promega), and X-Gal ( $80\ \mu\text{g}/\text{mL}$ ; Promega). Approximately 20 random white colonies were selected from each neonate and single-stranded sequenced by Eurofins MWG Operon (Ebersberg, Germany) using primer ENV1. Sequences were checked and edited in BioEdit Sequence Alignment Editor version 7.0.9.0. before submission to Ribosomal Database Project for phylogenetic affiliation to the closest database sequence with known identity.

**Calculations.** Descriptive analyses were performed using SPSS Statistics 17.0.2 (SPSS, Inc., Chicago, IL). Data normally distributed were described with mean and SD whereas nonparametric data were described with median and 25th to 75th percentiles. For library comparison, the same number of sequences has to be used; therefore, 15 sequences from each neonate were randomly selected for overall clone library comparison with LIBSHUFF in mothur (30,31) and LibCompare with Naïve Bayesian rRNA Classifier, confidence threshold 80%, to reveal differences in microbial groups between neonates born LGA and AGA.

## RESULTS

**Cohort.** Seventy-nine neonates were enrolled in this study. All neonates were vaginally delivered, without complications, at gestational mean age of 40 wk and with a mean birth weight of 3682 g. Ten neonates were classified as LGA and the remaining 69 neonates were classified as AGA according to Marsál *et al.* (28). Between the two groups, there were no differences in GA or regarding antibiotic use during pregnancy. Characteristics of the cohort are summarized in Table 2.

**Quantification of different bacterial groups.** *Lactobacillus* was detected with qPCR in all subjects (Fig. 1) with the load of  $10^6$  to  $10^8$  16S rRNA gene copies/g feces (Table 3). *Bifidobacterium* was found in 18% of the subjects, and *Enterococcus* was detectable in 27% but in relatively low numbers of the 16S rRNA gene. The *Lactobacillus* primers have previously been reported not to amplify enterococci (25), but we found the opposite (data not shown), so some detected lactobacilli could actually have been enterococci. However, the assay specific for *Enterococcus* only detected these in every third neonate, despite high assay sensitivity and specificity. The Gram-negative family *Enterobacteriaceae* was detected in 30% of the neonates, and the Gram-negative *B. fragilis* group in 14% (Fig. 1; Table 3). *Bacteroides* was found in strikingly high numbers per gram feces (Table 3). Eighteen

**Table 1.** Oligonucleotide primers used for the qPCR

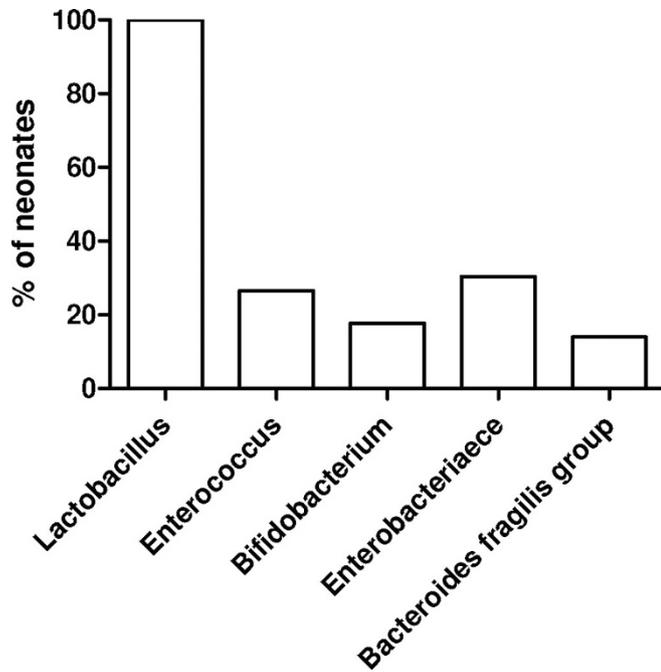
Target bacterial group	Sequence (5'-3')	Amplicon size (bp)	Annealing and elongation time (s)	References
<i>Lactobacillus</i>	Lact-F: AGC AGT AGG GAA TCT TCC A	341	20	22
	Lact-R: CAC CGC TAC ACA TGG AG			
<i>Lactobacillus</i> GII	LU-1: ATT GTA GAG CGA CCG AGA AG	300	20	21
	Lac-2: CCT CTT CGC TCG CCG CTA CT			
<i>Enterococcus</i>	Ent-F: CCC TTA TTG TTA GTT GCC ATC ATT	144	10	24
	Ent-R: ACT CGT TGT ACT TCC CAT TGT			
<i>Bifidobacterium</i>	Bifido-F: TCG CGT CYG GTG TGA AAG	243	15	25
	Bifido-R: CCA CAT CCA GCR TCC AC			
<i>Enterobacteriaceae</i>	Eco1457-F: CAT TGA CGT TAC CCG CAG AAG AAG C	195	10	26
	Eco1652-R: CTC TAC GAG ACT CAA GCT TGC			
<i>Bacteroides fragilis</i> group	Bfra-F: ATA GCC TTT CGA AAG RAA GAT	495	30	27
	Bfra-R: CCA GTA TCA ACT GCA ATT TTA			

Primers were synthesized commercially by Eurofins MWG, Ebersberg, Germany.

**Table 2.** Characteristics of the cohort

Characteristics	Mean and proportions of neonates in the cohort ( <i>n</i> = 79)	Median (25th–75th) and proportion of neonates born LGA* ( <i>n</i> = 10)	Median (25th–75th) and proportion of neonates born AGA* ( <i>n</i> = 69)
Gender ( <i>n</i> ), F/M	32/47	3/7	29/40
GA (wk) (95% CI)	40 (39.7–40.2)	39.9 (39.2–40.7)	40 (39.3–41.0)
Birth weight (g) (95% CI)	3682 (3575–3789)	4350 (4179–4489)	3605 (3380–3865)
LGA* ( <i>n</i> )	12.7% (10/79)	100%	0%
Antibiotics during pregnancy ( <i>n</i> )	17.7% (14/79)	20% (2/10)	17.4% (12/69)

\* LGA, according to the Swedish standard of 2 SD above the mean weight for that GA, as described by Marsál *et al.* (28). Neonates born AGA had birth weight within the mean weight  $\pm 2$  SD.



**Figure 1.** Bacterial incidence in healthy neonates vaginally born at term. Incidence of different bacterial groups in the fecal microbiota of neonates in their first 48 h of life, presented as percentage of total number of neonates (*n* = 79). Primers used for the qPCR analysis are indicated in Table 1.

percent of the neonates had detectable levels of *Lactobacillus* GII (Fig. 1; Table 3), which includes *Lactobacillus* species such as *L. crispatus*, *L. gasseri*, *L. jensenii*, and *L. acidophilus* (21). In this study, it was also observed that *L. iners* was amplified by the primers LU-1 and Lac-2. Notably, *Lactobacillus* GII was only detected in neonates born AGA. *Bifidobacterium* were more frequently detected in AGA, whereas 50% of neonates born LGA had *Enterobacteriaceae* and in fairly high numbers (Table 3).

**Comparison of the microbiota in neonates born LGA or AGA.** Cloning and sequencing were applied to further elucidate differences in neonates born LGA and AGA. Significant differences in the bacterial composition were found between neonates with high and appropriate birth weight (LIBSHUFF;  $p < 0.001$ ). Significantly higher prevalence of sequences belonging to *Proteobacteria* was found in neonates born LGA (LibCompare;  $p < 0.001$ ) and that was in accordance with the qPCR result (Table 3). *Firmicutes* were more prevalent in neonates born AGA (LibCompare;  $p < 0.001$ ). No significant difference in *Bacteroidetes* was observed. Neonates were mainly dominated by a single genus, but the genus varied

between individuals. The Gram-negative *Escherichia* was the most abundant genus in neonates born LGA, whereas Gram-positive genera such as *Lactobacillus*, *Staphylococcus*, and *Clostridium* were present among neonates born AGA. No difference in abundance of *Enterococcus* and *Bacteroides* was observed between the groups (Fig. 2). Sequences are deposited to GenBank, accession numbers HQ536093–HQ536167 for sequences from neonates born LGA and HQ536018–HQ536092 for neonates born AGA.

## DISCUSSION

**The pioneer microbiota of the entire cohort.** The neonatal gut microbiota may be important for later homeostasis (8). To our knowledge, this is the first report showing presence of lactobacilli in all neonates within the first 48 h of life. Previous studies report only sporadic lactobacilli presence at this early age (3,32). However, lactobacilli commonly dominate a healthy vagina (9,14) and have been found in breast milk (33,34). In this study, lactobacilli were detected in all neonates but *Lactobacillus* GII was only present in 20% of the AGA neonates and none of the LGA neonates had detectable levels. However, low sensitivity and target site discrepancy for the primer pairs may contribute to underestimation of *Lactobacillus* GII.

In this study, enterococci were detected in one third of the neonates. Enterococci are opportunistic pathogens causing, for example, nosocomial infections (35). Previous studies found these bacteria in two thirds of the subjects (36,37). However, those studies investigated the situation at the third and fourth day of life (36,37), which might have given the enterococci time to colonize and multiply to a higher degree. Enterococci can be contaminants from the environment at the outpatient accommodation facility and may not necessarily originate from the mother. When Enterococci were analyzed on the first day of life, similar colonization rates as the present study was obtained (34).

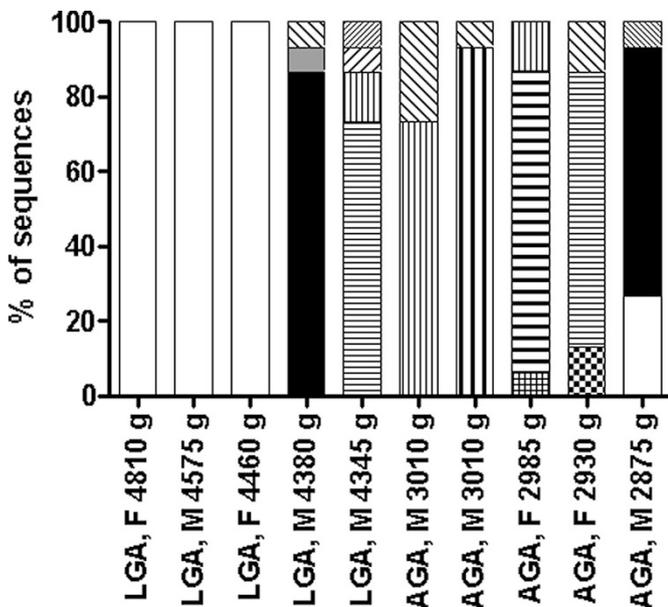
Diet influences the microbial ecosystem and breast milk is known to stimulate bifidobacteria and lactobacilli (34). *Bifidobacterium* is considered abundant in the neonatal microbiota (32), but in this cohort, only some of the neonates had detectable levels. However, previous studies have found *Bifidobacterium* in similar or even lower incidence at this early age (5,37). Detection discrepancy might be a consequence of different methods being used or differences in microbiota among mothers.

In one third of all neonates, *Enterobacteriaceae* was detected in the early microbiota. Adlerberth *et al.* (36) found *E.*

**Table 3.** Percentage of neonate harboring the analyzed bacterial taxa and its levels detected by qPCR (16S rRNA genes/g feces)

Bacterial taxa	The entire cohort (n = 79)	LGA (n = 10)	AGA (n = 69)
<i>Lactobacillus</i>	100%	100%	100%
	$1.02 \times 10^7$ ( $5.22 \times 10^6$ – $1.51 \times 10^7$ )	$1.11 \times 10^7$ ( $3.31 \times 10^6$ – $1.67 \times 10^7$ )	$9.84 \times 10^6$ ( $5.40 \times 10^6$ – $1.47 \times 10^7$ )
<i>Lactobacillus</i> group GII	18%	Under detection limit	20%
	$1.11 \times 10^8$ ( $3.01 \times 10^7$ – $6.09 \times 10^8$ )		$1.11 \times 10^8$ ( $3.01 \times 10^7$ – $6.09 \times 10^8$ )
<i>Enterococcus</i>	27%	30%	26%
	$3.58 \times 10^6$ ( $1.14 \times 10^6$ – $1.43 \times 10^8$ )	$1.43 \times 10^7$ ( $8.79 \times 10^5$ – $5.63 \times 10^7$ )	$3.10 \times 10^6$ ( $1.20 \times 10^6$ – $8.83 \times 10^8$ )
<i>Bifidobacterium</i>	18%	10%	19%
	$1.52 \times 10^7$ ( $2.88 \times 10^6$ – $1.48 \times 10^8$ )	$6.20 \times 10^8$	$1.18 \times 10^7$ ( $2.87 \times 10^6$ – $8.40 \times 10^7$ )
<i>Enterobacteriaceae</i>	30%	50%	28%
	$3.10 \times 10^9$ ( $1.31 \times 10^8$ – $1.37 \times 10^{10}$ )	$1.16 \times 10^9$ ( $1.68 \times 10^8$ – $1.35 \times 10^{10}$ )	$3.40 \times 10^9$ ( $7.24 \times 10^7$ – $1.46 \times 10^{10}$ )
<i>Bacteroides fragilis</i> group	14%	10%	14%
	$7.99 \times 10^9$ ( $4.19 \times 10^9$ – $2.17 \times 10^{11}$ )	$7.99 \times 10^9$	$7.16 \times 10^9$ ( $3.14 \times 10^9$ – $2.47 \times 10^{11}$ )

The percentage of neonates where the specific bacterial taxa was detected within their first 48 h of life. Medians (25th–75th percentile) of 16S rRNA genes/g feces are reported.



**Figure 2.** Microbial differences between neonates born LGA or AGA. Phylogenetic affiliation of sequences from neonates born LGA or AGA. Gender and birth weight (g) are indicated. Fifteen sequences from each neonate were used for clone library comparison. F, female; M, male. *Escherichia* □, *Bacteroides* ■, *Parabacteroides* ▨, *Neisseria* ▩, *Ralstonia* ▪, *Clostridium* ▫, *Lactobacillus* ▬, *Enterococcus* ▮, *Staphylococcus* ▯, *Streptococcus* ▰, *Acidaminococcus* ▱, *Leuconostoc* ▲, and *Bacillus* △.

*coli* in 40% of neonates at d 3, and 10% had other genera of *Enterobacteriaceae*. In a culture-based study from rural Guatemala, half of the neonates had *E. coli* during the first 24 h and all ( $n = 30$ ) harbored this bacterium at their second day of life (5). Other investigations have found *Enterobacteriaceae* and *E. coli* in various amounts (2,3). Recently, *E. coli* in pregnant women was shown to positively correlate with neonate birth weight (38), which in turn, increases the risk of metabolic disturbances later in life (17,18). Moreover, offspring to rat dams supplemented with *E. coli* had higher *Enterobacteriaceae* load and increased gut permeability and systemic inflammation (39). The opposite was shown when rat dams were supplemented with the Gram-positive *L. plantarum*, suggesting altered physiology depending on the microbial transfer from mother to offspring (40). Interestingly, 50% of neonates born LGA were colonized by *Enterobacteriaceae*

in substantial amounts. However, because of limited numbers of neonates born LGA, prevalence of the detected bacterial groups were too low to be statistically evaluated. The only exception would be *Lactobacillus*, but clearly there were no difference in prevalence and concentration of this bacterial group among neonates born LGA and AGA.

Only 14% of neonates in this cohort were colonized by strikingly high numbers of the Gram-negative obligate anaerobic *B. fragilis* group. In the literature, no clear evidence on *B. fragilis* colonization rate has been obtained at this early age.

**The pioneer microbiota of subgroups of neonates born LGA or AGA.** Cloning and sequencing of the 16S rRNA genes were used to obtain a representation of the predominant microbiota. To the best of our knowledge, this is the first study among newborns with noncomplicated vaginal births to demonstrate that those born LGA have significantly different intestinal microbiota during their first 2 d of life compared with neonates born AGA. In accordance with detection of *Enterobacteriaceae* in neonates born LGA, these neonates harbored significantly more sequences corresponding to Gram-negative *Proteobacteria*, mainly *E. coli*, whereas neonates born AGA had significantly more sequences corresponding to Gram-positive *Firmicutes* and their microbiota seemed to be more diverse. Higher diversity has been reported in, for example, infants with atopic eczema compared with the ones without eczema (8) and diversity is further known to increase with age (4). Future studies should investigate how diversity differences early in life affect microbial ecosystem in adolescence and adulthood. Although cloning and sequencing were performed on only subgroups, the two methodological approaches used in this study resulted in similar results, namely that *Proteobacteria* was more prevalent in LGA. An explanation to the changed microbiota in LGA can be that these neonates may have obese mothers as maternal weight is known to affect infant microbiota (41).

Because the early microbiota can be important for maturation of the newborn immature immune system, the outcome from this study is of interest in the care of pregnant woman and newborns. Future studies should preferably address larger cohorts. They should elucidate the vaginal and the gastrointestinal microbiota of the mothers, the consequence of the pioneer microbiota on later homeostasis, and whether the

microbial difference between neonates born LGA and AGA persist later in life.

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