Candidate Genes and Risk for CP: A Population-Based Study

YVONNE W. WU, LISA A. CROEN, ANDREW VANDERWERF, AMY A. GELFAND, AND ANTHONY R. TORRES

Departments of Neurology and Pediatrics [Y.W.W., A.A.G.], University of California, San Francisco, California 94143; Division of Research [L.A.C.], Kaiser Permanente, Oakland, California 94612; Department of Bioengineering and Center for Persons With Disabilities [A.V., A.R.T.], Utah State University, Logan, Utah 84321

ABSTRACT: Studies suggest that genetic polymorphisms may increase an individual's susceptibility to CP. Most findings have yet to be corroborated in an independent cohort. This case-control study is nested within all 334,333 infants \geq 36 wk gestation born at Kaiser Permanente Medical Care Program, 1991-2002. We included only non-Hispanic whites who had a neonatal blood sample available. Case patients (n = 138) were identified from medical records to have spastic or dyskinetic CP. Controls (n = 165) were randomly selected from the population. We genotyped polymorphisms previously associated with CP: inducible NOS (iNOS)-231, apolipoprotein E (apoE) ϵ^2 and ϵ^4 alleles, TNF- α -308, IL-8 -251, lymphotoxin 60, endothelial NOS -922, endothelial protein C receptor 219, mannose-binding lectin 54 and 52, factor V Leiden, methyltetrahydrofolate reductase 1298 and 667, prothrombin 20210, and platelet activator inhibitor 11053. Similar to previous reports, the iNOS-231 T allele (25.7 versus 18.9%, p = 0.04) and the apoE $\epsilon 4$ allele (19.3 versus 13.2%, p = 0.04) were more common in patients with CP than in controls. However, there was no statistically significant association between any genetic polymorphism and CP after correction for multiple comparisons. (Pediatr Res 70: 642-646, 2011)

C^P is a group of nonprogressive motor impairment syndromes caused by lesions of the brain arising early in development (1). The etiology of CP remains unclear in most cases. Alterations in genes involved in inflammation and coagulation have been implicated as risk factors for CP. More than 20 studies of CP have evaluated single-nucleotide polymorphisms (SNPs) in genes that regulate the inflammatory and coagulation cascades, and polymorphisms in more than 15 genes have been associated with CP (2–12). However, genetic association studies of CP have been hampered by multiple comparisons, small sample size and population heterogeneity, thus leading to inconsistent findings (3,12–14).

A promoter region polymorphism in the IL-6 gene has been associated with CP both in Australia (4) and in our California population (5). The ϵ 4 allele of the apolipoprotein E (apoE) gene has also been associated with CP in more than one population (15,16), although not all studies have supported this finding (17,18). Few genetic studies of CP have been conducted in the United States, and the majority of findings have yet to be corroborated in an independent cohort. Therefore, we set out to validate previously described genetic

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associations with CP, within a large California birth cohort of term and near-term infants.

METHODS

Our study population consists of all singleton live births \geq 36 wk gestation born between January 1, 1991, and December 31, 2002, in the Kaiser Permanente Medical Care Program (KPMCP), a large managed care organization. The members of KPMCP are demographically similar to the California population, except that the very poor and very wealthy are under-represented (19).

Children with CP were identified from the study population as previously reported (20). After electronically searching KPMCP records for physician diagnoses of "CP," "paresis," and "gait abnormality," a single child neurologist (Y.W.W.) reviewed medical records to confirm the diagnosis of CP. We defined CP as a nonprogressive congenital motor dysfunction with examination findings of increased tone (spasticity, rigidity, dystonia) or choreoathetosis (21). Children with hypotonia, ataxia, myopathy, neural tube defect, genetic syndrome, and chromosomal anomaly were excluded (22). We defined mild disability as minimal functional limitation; moderate disability as diminished use of the most affected limb; and severe disability as the lack of any functional use of the most affected limb (23).

Case selection. To control for racial heterogeneity in SNP frequencies, our study included only non-Hispanic whites (referred to as "whites" in the remainder of the article). Of the 377 infants with CP identified in the birth cohort (20), we excluded 74 infants from this study for the following reasons: brain malformation (39), resolution of motor abnormality by 3 y of age (24), unclear severity of CP (7), and congenital cytomegaloviral infection (4). Case infants whose newborn blood samples were unavailable for study (47), whose blood samples were taken after having received a blood transfusion (5), or whose blood samples were mislabeled (1) were also excluded. After excluding 112 nonwhites, the remaining 138 infants with CP represent the cases in this study.

Control selection. For the larger study, we randomly selected 652 control infants from the KPMCP birth cohort. Control infants with missing (64) or mislabeled (1) newborn blood samples were excluded. We were unable to genotype all control subjects because of financial constraints and randomly excluded an additional 282 control infants. After excluding 140 nonwhites, the remaining 165 infants comprised the control group of this study.

Blood sample collection. Stored neonatal blood specimens were retrieved from the newborn screening specimen archives maintained by the California Department of Public Health. Newborn blood specimens are collected on Guthrie card filter paper and allowed to dry at room temperature before submission for routine genetic and metabolic screening. On completion of the screening tests, remaining blood samples are stored at -15° C in a single refrigerated warehouse.

Blood spot Guthrie cards were punched with a 3-mm paper punch in a laminar flow hood under aseptic conditions. The Qiagen QIAamp blood kit 51161 (Valencia, CA) was used for preparing genomic DNA from the blood spots. Two 3-mm punches from each subject were placed into a 96-well plate and incubated at 56[dg]C for 1 h in Qiagen buffer and Proteinae K enzyme from Amresco (Solon, OH). Quantitation of genomic DNA was performed using the Quant-iT DNA Assay Kit (24) (Q33130) from Molecular Probes (Eugene, OR) with lambda DNA as a standard. PicoGreen fluorescence was measured with a Synergy HT microplate reader (BIO-TEK, Winooski, VT).

Abbreviations: KPMCP, Kaiser Permanente Medical Care Program; MBL, mannose binding lectin; MTHFR, methyltetrahydrofolate reductase; SNP, single-nucleotide polymorphism

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Correspondence: Yvonne Wu, M.D., UCSF Child Neurology, 350 Parnassus Avenue, Ste 609, San Francisco, CA 94143; e-mail: wuy@neuropeds.ucsf.edu

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Table 1. Cl	haracteristics o	f 138	white	infants	with	CP	who	were
born \geq 36 wk gestation								

Ν	%
113	82
125	91
11	8
5	4
57	41
38	28
34	25
2	1
7	5
53	38
53	38
32	23
	N 113 125 11 5 57 38 34 2 7 53 53 32

* Note that a child may have more than one type of CP.

Genotyping assays. All genotyping was performed blind to case status and clinical history. Commercial Applied Biosystems Taqman SNP probes (Foster City, CA) were used to genotype SNPs using 0.5 ng of genomic DNA. We genotyped the following SNPs that have been previously associated with CP: TNF- α -308 (rs1800629) (8), IL-8 (IL-8)-251 (rs4073) (6), lymphotoxin 60 (rs1041981) (6,11), endothelial NOS (eNOS)-922 (rs1800779) (11), inducible NOS (iNOS)-231 (rs1137933) (6), endothelial protein C receptor (EPCR) 219 (rs867186) (6), mannose-binding lectin (MBL) 54 (rs1800450) and 52 (rs5030737) (8), factor V Leiden (FVL) 506 (rs6025) (10), methyltetrahydrofolate reductase (MTHFR) 1298 (rs1801131) and 667 (rs1801133) (10), prothrombin 20210 (rs1799963) (10), platelet activator inhibitor (PAI-1) 11053 (rs7242) (11), and apolipoprotein E (apo E) ε 2 and ε 4 alleles (rs429358 and rs7412) (15,16,18). The IL-6-174 polymorphism was previously studied in this population (5) and therefore was not included in this study.

Standard Taqman PCRs were performed using an Applied Biosystems 7500 Fast system AB 96-well optical plates (plates P/N 4366932). The reactions were designed according to the Applied Biosystems SNP assay protocol in 10 μ L volumes. Each reaction was done in a single well because of limited amounts of genomic DNA. Results from all experiments were obtained from Applied Biosystems SDS software v2.0 and Copy Caller software v1.0.

Data analysis. We defined SNP genotypes as follows: common homozygote = two copies of the common allele; heterozygote = one copy of each allele; and rare homozygote = two copies of the rare allele. The goal of this study was to replicate previously reported genetic associations with CP. Therefore, using logistic regression, we determined ORs and 95% CI using the three genetic comparisons that were most commonly reported in the literature: 1) rare homozygote versus common homozygote; 2) heterozygote versus common homozygote; and 3) heterozygote or rare homozygote versus common homozygote. We also compared allele frequencies between the case and control infants. Using $\alpha = 0.05$, we had adequate power ($\beta > 0.9$) to detect previously described effect sizes for SNPs in the following genes: eNOS, MBL 52, MTHFR 677, MTHFR 1298, and apoE E2 and E4 alleles. We had marginal power ($\beta = 0.75$) to detect previously reported effect sizes for the genes TNF- α and IL-8. Our study had inadequate power (β = 0.21-0.65) to detect previously described effect sizes in the remaining gene polymorphisms studied. We used a Bonferroni correction to adjust for the multiple case-control comparisons; a p value of 0.001 was considered statistically significant after adjusting for multiple genetic analyses of 15 SNPs. ORs are close approximations of the relative risk, because the outcome of CP is rare in term infants. All analyses were performed using STATA (25) statistical software package.

Study procedures and a waiver of consent were approved by the Institutional Review Boards at KPMCP, UT State University, University of California, San Francisco, and by the California Committee for the Protection of Human Subjects.

RESULTS

Among 334,333 newborn infants, we identified 377 with spastic or dyskinetic CP. The prevalence of CP among term and near-term infants was 1.1 per 1000 live births. After

 Table 2. Genotype distributions among 165 white control infants without CP

Gene	Polymorphism	Common homozygote (%)	Heterozygote (%)	Rare homozygote (%)
TNF-alpha	-308 G/A	67.9	30.3	1.8
IL-8	-251 A/T	28.8	49.1	22.1
Lymphtotoxin	60 C/A	38.3	50.6	11.1
eNOS	-922 A/G	41.5	47.6	11.0
iNOS	-231 C/T	65.9	30.5	3.7
EPCR	219 G/A	78.1	20.7	1.2
MBL	54 G/A	70.6	28.2	1.2
MBL	52 A/C	85.9	13.5	0.6
FVL	506 G/A	67.9	30.3	1.8
MTHFR	677 C/T	33.5	52.8	13.7
MTHFR	1298 A/C	50.6	42.0	7.4
Prothrombin	20210 G/A	98.2	1.8	0.0
PAI-1	11053 G/T	34.4	45.0	20.6
ApoE	ε3/ε4	66.3	20.3	3.1
ApoE	ε3/ε2	66.3	12.3	0.0

applying exclusion criteria, our study included 138 case and 165 control infants. CP was diagnosed by a neurologist in most cases (82%). Spastic hemiparesis (39%) was the most common CP type, followed by spastic quadriparesis (25%). Sixty-one percent of patients had moderate to severe functional impairment (Table 1).

The genotyping yield was 99% for all polymorphisms, with the exception of PAI-1 that was successfully genotyped in only 96% of patients. There was no difference in the rate of successful genotyping between case and control infants. Among the control population, all allele frequencies were in Hardy-Weinberg equilibrium. Control population genotype distributions are listed in Table 2.

First, we compared the rate of CP among children with two copies of the rare allele with children who were homozygous for the common allele; unlike previous reports whose findings are summarized in Table 3, none of the genetic polymorphisms in our study were associated with increased risk of CP even without adjustment for multiple comparisons (Table 3). The MTHFR 677 polymorphism was associated with a reduced risk of CP (OR, 0.4; 95% CI, 0.2–0.9), but this was also no longer significant after adjusting for multiple comparisons.

We then studied the relationship between genetic polymorphisms and CP by comparing heterozygotes to common homozygotes, as was done in previous studies (Table 3) (6,8,10). In these analyses, iNOS-231 (OR, 1.9; 95% CI, 1.2–3.1) and apoE ϵ 4 (OR, 1.7; 95% CI, 1.01–2.9) were associated with increased risk of CP, but these findings were not significant after adjustment for multiple comparisons. Finally, we compared the rate of CP in children with at least one copy of the rare allele with children carrying two copies of the common allele. No other associations with CP were found when the genetic variants were analyzed in a dominant genetic comparison (data not shown).

When we compared allele frequencies between case and control infants, we found that the iNOS T allele (25.7 *versus* 18.9%, p = 0.04) and the apoE ϵ 4 allele (19.3 *versus* 13.2%, p = 0.04) were more common in case than control infants.

64	Δ
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 Table 3. Summary of previously reported associations between CP and polymorphisms in inflammatory, thrombotic, and apolipoprotein E genes, juxtaposed with results of similar analyses performed in this study

	Previous studies					Present study $N = 138$ White, ≥ 37 wk			
Gene (codon)	CP (N)	GA (wk)	Demographics	CP type	Rare homozygote vs. common homozygote OR (95% CI)	Heterozygote vs. common homozygote OR (95% CI)	CP type	Rare homozygote vs. common homozygote OR (95% CI)	Heterozygote vs. common homozygote* OR (95% CI)
Inflammatory									
TNF-alpha-308 (8)	65	≥37	Whites	QP	0.0 (0.0-1.6)	1.8 (1.04-3.2)	All	1.9(0.4 - 8.0)	0.8 (0.5-1.3)
IL-8-251 (6)	153	<37	Whites	All	2.4 (1.3-4.4)	2.0 (1.2-3.5)†	All	1.3 (0.7-2.5)	1.1 (0.7–1.9)
	121	All	Whites	DP	0.8 (0.5-1.3)†	1.9 (1.2–3.1)	DP	1.7 (0.6-4.9)	1.5 (0.6-3.9)
Lymphtotoxin 60 (6, 11)	356	All	Whites	All	1.5 (1.01–2.18)	1.2 (0.9–1.6)†	All	1.3 (0.6–2.7)	0.8 (0.5–1.3)
(0,)	118	All	Whites	HP	1.8 (1.02-3.23)	$1.3(0.9-2.1)^{\dagger}$	HP	0.9(0.3-1.4)	0.6(0.3-1.2)
	110	All	Whites	OP	1.9 (1.01–3.3)	$1.5(0.9-2.4)^{\dagger}$	OP	1.5(0.5-4.4)	1.0(0.5-2.1)
	96	<32	Whites, Hispanics	All	0.4 (0.1–1.2)†	1.4 (0.8–2.6)†	DP	1.6(0.5-4.8)	0.9(0.4-2.0)
eNOS-922 (6, 11)	96	<32	Whites, Hispanics	All	0.5 (0.1–1.6)†	2.2 (1.2-4.0)†	All	1.4(0.7-2.9)	0.9(0.6-1.5)
	126	All	Whites	DP	0.5 (0.3-0.95)	0.7 (0.5–1.1)†	DP	1.7 (0.6-5.0)	0.7 (0.3–1.6)
iNOS-231 (6)	180	≥37	Whites	All	0.9 (0.5–1.7)†	1.6 (1.1-2.2)	All	1.01 (0.3-3.7)	1.9 (1.2–3.1)
EPCR 219 (6)	190	≥37	Whites	All	$0(0-2.3)^{\dagger}$	1.6 (1.1-2.3)	All	1.2(0.2-8.5)	0.9 (0.5–1.6)
	127	All	Whites	DP	0.9 (0.02-6.4)†	1.9 (1.2–3.1)	DP	2.4 (0.2–27.1)	0.8 (0.3-2.2)
MBL 54 (8)	49	All	Whites	DP	0.7 (0.1–3.2)	1.6 (1.1-2.4)	All	2.8 (0.5-15.0)	0.7 (0.4–1.3)
	21	≥37	Whites	DP	1.2(0.03 - 8.1)	2.2 (1.1-4.2)	DP	2.2 (0.2-25.3)	0.6 (0.2–1.5)
MBL 52 (8)	65	≥37	Whites	QP	0 (0-18.8)	3.8 (1.03-11.1)	All	1.2 (0.1–18.9)	0.9 (0.4–1.7)
Thrombotic									
FVL (506)	1	NA	NA‡	HP	NA	NA	All	_	0.8 (0.4-1.8)
MTHFR 677 (10)	58	32-36	Whites	All	2.6 (1.1-5.7)	1.9 (1.01-3.7)	All	0.4 (0.2–0.9)	0.6 (0.4-1.04)
	58	<32	Whites	DP	2.8 (1.2-6.1)	1.6 (1.02-2.5)	DP	0.5 (0.1-2.0)	0.8 (0.4-1.7)
MTHFR 1298 (10)	20	32-36	Whites	DP	0.5 (0.1-2.3)	0.2 (0.02-0.07)	All	0.6 (0.7-3.8)	0.3 (0.8-2.0)
Prothrombin 20210 (10)	20	32-36	Whites	DP	0 (0.0-67.5)	4.3 (0.8–16.6)†§	All	_	0.5 (0.1-2.1)
PAI-1 11053 (6)	150	All	White Girls	All	1.9 (1.1–3.4)	1.6 (0.9-2.6)†	All	1.0 (0.5-2.0)	1.2 (0.7-2.1)
Apolipoprotein E									
ϵ 4 allele (15, 16)	209	All	White, Hispanic, black	All	2.9 (0.5-30.8)†	3.4 (1.4–8.7)	All	1.6 (0.5–5.6)	1.7 (1.01–2.9)
	40	All	Brazilian	All	Unknown	5.8 (1.3-34.8)*	HP	2.1 (0.5-9.1)	1.9 (0.96-3.8)
ϵ 2 allele (16–18)	209	All	White, Hispanic, black	All	Unknown	12.0 (1.6–247)	All	_	1.1 (0.6–2.1)
	106	<32	Whites	All	Unknown	3.5 (1.1–12.7)	HP	_	1.3 (0.6-3.1)
	243	All	Brazilian	All	Unknown	2.8 (1.01-7.66)	DP	_	1.2 (0.4–3.6)

Significant findings without adjustment for multiple comparisons highlighted in bold.

* Note that none of the genetic associations were statistically significant after adjusting for multiple comparisons. Because of space constraints, this table does not include dominant genetic analyses (*i.e.* rare homozygote or heterozygote, compared with common homozygote). We found no significant associations when data were analyzed with dominant genetic comparisons.

† These ORs were calculated from the raw data provided in published articles.

 \ddagger NA, not available. An association between FVL and CP has only been reported in case reports and case series, and therefore no risk ratios are available. \$ The controls used in this calculation were term infants \ge 37 wk gestation.

S The controls used in this calculation were term infants = 57 wk gestation.

DP, diplegic; HP, hemiplegic; QP, quadriplegic; All, DP + HP + QP. Note that when a polymorphism was not associated with overall CP, there was similarly no association found between that polymorphism and any subtype of CP.

However, these differences were no longer statistically significant after correction for multiple comparisons.

We stratified our genetic analyses by CP subtype to compare our findings with previous reports (Table 3). No additional information was gleaned from these stratified analyses; *i.e.* when there was no association between a genetic polymorphism and CP, there was similarly no association seen between that genetic polymorphism and any subset of CP, including diplegic, hemiplegic, or quadriplegic CP.

DISCUSSION

In a study of non-Hispanic white infants born at or near term, we found an increase in the frequency of the apoE $\epsilon 4$

and iNOS-231 T alleles in children with CP that was of borderline significance. These associations had small effect sizes, and after adjusting for multiple comparisons in an attempt to avoid false-positive findings, we found that these associations were no longer statistically significant.

Genotype-phenotype associations have been observed in many complex diseases; yet most findings have been difficult to replicate (26–28). CP is a complex and heterogeneous condition, and it is not surprising that genetic association studies have produced inconsistent results. Exploratory studies evaluating a large panel of SNPs often involve numerous statistical analyses stratified by CP subtype, gender, ethnicity, and GA. Such studies also use multiple control groups and perform a variety of genetic comparisons. Thus, in the absence of statistical adjustment for multiple comparisons, exploratory studies testing between 93 and 720 hypotheses (4,6,8,10,11) would be expected to yield \sim 5 to 30 genetic associations from chance alone.

Other factors that might contribute to the inconsistent results across studies include small sample size, population heterogeneity, differences in gene-environment interactions, and publication bias (3,26). It is also important to remember that CP is a heterogeneous disorder that results from numerous causal pathways leading to a variety of different types of brain injury, and that lumping all patients with CP into one group further limits our ability to discern meaningful genotypephenotype associations. Our study is limited by the inclusion of only white infants born at or near term who have spastic or dyskinetic CP. Therefore, our study is not a direct replication of all previous reports, because several past studies have included preterm and nonwhite infants. Furthermore, we did not perform haplotype analyses or evaluate the presence of viral infections that might modify the relationship between genetic variants and CP (9,29).

The weak statistical associations identified in our cohort did not persist after adjustment for multiple comparisons. Given the large number of hypotheses that we tested, it was important to perform statistical adjustment to avoid type 1 error. Although we conservatively used the Bonferroni test, any method of adjustment for multiple comparisons would have rendered our findings insignificant, given the *p* values of 0.04 for the uncorrected genetic associations. However, the weak associations found between the apoE ϵ 4 and iNOS-231 T alleles and CP are similar to previous findings in other populations (6,15,16) and therefore deserve further discussion.

The relationship between the apoE $\epsilon 4$ allele and CP is controversial. Two studies have found a modest increased risk of CP among children who carry at least one $\epsilon 4$ allele (15,16), but a recent meta-analysis suggests that no significant association exists (3). Apolipoprotein E is a lipid transport protein widely expressed in the brain. Carriage of at least one copy of the $\epsilon 4$ allele is associated with Alzheimer disease (30) and ischemic stroke in adults (31,32). Children with the $\epsilon 4$ allele have been shown to exhibit worse neurobehavioral performance and higher birth complication rates (33). We found that children with CP had a higher frequency of carrying an apoE $\epsilon 4$ allele, but further large-scale studies will be necessary to determine whether this trend reflects a biologically meaningful relationship.

Similar to previous studies, we found that children with CP were more likely to have a copy of the iNOS-231 T allele, although this finding was not statistically significant after adjustment for multiple comparisons. The iNOS gene was first evaluated in relation to CP because of its role in cardiovascular regulation and ischemic and inflammatory brain injury (2,6,34). Overexpression of iNOS in the brain has been reported in newborn periventricular white matter injury (35) and in adult stroke (36). In a rat model of newborn brain injury caused by intrauterine infection, iNOS was found to be a key mediator of oligodendrocyte injury (34). Studies comparing iNOS production in patients with and without CP may help us better understand whether genetic variation in the iNOS gene is related to CP risk.

The IL-6-174 polymorphism has been linked with several adverse perinatal neurologic outcomes including CP (4,5), periventricular white matter injury (37), and reduced gray matter volume (38). We reported in a single candidate gene study that the IL-6-174 C allele was associated with a 2.5-fold elevated risk of CP (5), suggesting that an altered fetal inflammatory response because of genetic variation in inflammatory genes could contribute to a higher risk of CP (4,8). However, none of the other cytokine gene variants in this study were significantly associated with CP.

Given the limited sample size, it is possible that our negative results reflect type 2 error because of lack of statistical power, rather than the absence of a true genetic association. Our power analyses suggest that we had adequate power to detect previously described effect sizes for six of the polymorphisms studied (s: eNOS, MBL 52, MTHFR 677, MTHFR 1298, and apoE E2 and E4 alleles), and thus our negative findings for these genes, excepting the apoE E4 allele, are relatively robust. Our study had only marginal or poor power to detect previously described associations in all other genetic polymorphisms. Therefore, these other negative findings should be interpreted with caution pending further evaluation in other populations.

Despite the growing number of studies evaluating genetic risk factors for CP, the contribution of genetic factors to CP is likely to be small. The risk of recurrent isolated CP following a first affected child was 0.5% in a US population (39). Among children with CP not due to a brain malformation, genetic syndrome, or neurometabolic disease, the recurrence risk is likely to be even smaller. Given the relatively common population occurrence of genetic polymorphisms, and the relatively rare occurrence of CP in term infants, it is unlikely that successful preventative measures will be developed based on genetic risk factors alone, unless we can identify strong gene-environment interactions that confer a significantly increased risk of CP, or we can identify combinations of genetic variants that together signify a particularly high risk of CP. Our data support the evidence that the contribution of genetic factors to CP is likely to be small. Very large-scale studies of genetic, environmental, and obstetric factors will be needed before effective preventative strategies can be devised.

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