

# Host Genetic Determinants of Human Immunodeficiency Virus Infection and Disease Progression in Children

KUMUD K. SINGH AND STEPHEN A. SPECTOR

*Department of Pediatrics, University of California, San Diego, La Jolla, California 92093*

**ABSTRACT:** Increasing data support host genetic factors as an important determinants of human immunodeficiency virus type-1 (HIV-1) susceptibility, mother-to-child transmission (MTCT), and disease progression. Of these genetic mediators, those impacting innate and adaptive immune responses seem to play a critical role in viral infectivity and pathogenesis. During primary infection, CCR5 using virus is predominantly transmitted and polymorphisms that affect the expression of CCR5 alter the risk for MTCT and rate of disease. Chemokines that naturally bind to coreceptors alter infectivity and viral pathogenesis. Additional genes that affect innate immunity including those encoding for MBL2 and those modulating the adaptive immune response including CX<sub>3</sub>CR1 and human leukocyte antigen types can significantly modify susceptibility and response to HIV-1 infection. As young children develop, the dependence on certain arms of the immune system varies and can alter the effect of genetic variants. Additionally, host genetic factors may alter the response to antiretrovirals. Finally, because HIV-infected children progress more rapidly than adults and have fewer background cofactors, such as drug use and coinfections, the effects of host factors on HIV-1 disease may be more clearly identified. In this review, we summarize available data on the impact of host genetics on MTCT and disease progression of HIV-infected children. (*Pediatr Res* 65: 55R–63R, 2009)

Remarkable progress has been made in the interruption of human immunodeficiency virus type-1 (HIV-1) mother-to-child transmission (MTCT) and treatment for HIV-infected children. However, despite these advances many challenges remain to eliminate MTCT through the safest ways possible and in optimizing antiretroviral therapy for pregnant women and children in developed and developing countries. Infants and children can be particularly susceptible to the long-term effects of antiretroviral exposure. Therefore, approaches that lead to optimal drug exposure with the least potential for toxicity for the individual patient are critical if HIV-infected and -exposed children are to lead healthy and productive lives. Moreover, the recent HIV-1 vaccine failures dramatically demonstrate the need to further understand the interactions between host and virus.

HIV usually uses CD4 and a coreceptor to infect cells. The most common HIV coreceptors are the chemokine receptors CCR5 and CXCR4. Although most primary infections involve

viruses that use CCR5 as a coreceptor, CXCR4 using virus is often identified in persons with more advanced disease and is associated with more rapid disease progression. To enter target cells, HIV interacts with the CD4 receptor *via* its gp120 protein, thereby stimulating a conformational change in gp120, which exposes a portion of transmembrane glycoprotein gp41, and allows access of the gp120 V-loop to either CCR5 or CXCR4. Subsequently, a peptide in gp41 causes the fusion of the viral envelope and host cell membrane, and allows the viral capsid to enter the target cell.<sup>1</sup>

Host factors are important determinants of susceptibility and pathogenesis of infectious diseases in children and adults. Identifying genetic variants that influence the response to HIV-1 can provide insights into approaches to predict disease progression, can lead to development of new treatments, and can provide new immunologic targets for vaccine development. In this review, we summarize the available data on the impact of host genetics on MTCT and treatment of HIV-infected children. Where appropriate the differences between findings in children compared with adults are discussed. Additionally, we describe the role a particular variant may play in the control of HIV-1 through innate vs. adaptive immunity.

## Genetic Variants of Coreceptors and Their Ligands Alter HIV-Related Disease Progression

Host and microbial genetics are important determinants of infection and disease outcome. Viruses subvert the immune system by mimicking cellular genes to gain entry into cells and to avoid immunologic detection. With the identification that HIV-1 uses chemokine receptors for cell binding and entry, variants in genes encoding these receptors and their natural ligands have been shown to modify the risk for infection and disease progression. Several single nucleotide polymorphisms (SNPs) within the CC chemokine receptor 5 (CCR5) coding and regulatory region seem to affect HIV-1 disease. For HIV-1 infection of adults and children, CCR5 is a critical coreceptor modulating perinatal transmission (1–3)

**Abbreviations:** APOBEC3G, apolipoprotein B mRNA editing catalytic polypeptide 3G; CCR2, chemokine (C-C motif) receptor 2; CCR5, chemokine (C-C motif) receptor 5; CCL3L1, chemokine (C-C motif) ligand 3-like 1; CXCR4, chemokine (C-X-C motif) receptor 4; CX<sub>3</sub>CR1, chemokine (C-X<sub>3</sub>-C motif) receptor 1; HIV-1, human immunodeficiency virus type-1; IL4, interleukin-4; MBL, mannose-binding lectin; MCP-1, monocyte chemoattractant protein-1; MTCT, mother-to-child transmission; SDF-1, stromal cell-derived factor-1

Received November 11, 2008; accepted December 17, 2008.

Correspondence: Stephen A. Spector, M.D., Department of Pediatrics, University of California, San Diego, 9500 Gilman Drive, La Jolla, CA 92093-0672; e-mail: saspector@ucsd.edu; kusingh@ucsd.edu

This work was supported by Grants from the National Institute of Allergy and Infectious Diseases, The International Maternal Pediatric Adolescent AIDS Clinical Trials (IMPAACT) Network (AI-069536).

with a deletion of 32-bp from the coding region of the *CCR5* gene ( $\Delta 32$ ) providing almost complete protection against HIV-1 infection in individuals with the homozygous mutant genotype (*CCR5- $\Delta 32/\Delta 32$* ) (4–7). Individuals heterozygous for *CCR5-wt/ $\Delta 32$*  are less likely to be infected with HIV-1 and show a slower rate of disease progression (2,3,5,6,8,9). Several SNPs in the regulatory region of the *CCR5* gene, including a G to A polymorphism at position 59029, a T to C polymorphism at position 59353 and a C to T polymorphism at position 59356 have been reported to alter the rate of disease progression to AIDS (8,10).

A polymorphism in the coding region of the CC chemokine receptor 2 (*CCR2*), a minor HIV-1 coreceptor, at position 180 (G to A polymorphism) leads to expression of an isoleucine replacing a valine at amino acid position 64 (designated as *CCR2-64I*) and has been associated with slower disease progression of HIV-1–infected adults (9,11). Similarly, in the 3′-untranslated region of the gene encoding the CXC chemokine *SDF-1 $\alpha$* , the only natural ligand of the HIV-1 coreceptor *CXCR4*, a G to A polymorphism at position 801 has been reported to delay the rate of disease progression to AIDS in its homozygous (A/A) form in an initial report (12), but not by others (13–15). The *SDF1-3′-A* polymorphism has also been reported to be associated with increased perinatal transmission of HIV-1 (16). Some of the studies demonstrating these effects are discussed below and are summarized in Table 1 and Figures. 1 and 2.

In a cohort of over 1000 HIV-infected children, who participated in Pediatric AIDS Clinical Trials Group 152 or 300 protocols and received mono- or dual-nucleoside reverse transcriptase inhibitor treatment before the availability of effective antiretroviral therapy, we studied the effects of *CCR2-V64I*, *CCR5-wt/ $\Delta 32$* , *CCR5-59029-G/A*, *CCR5-59353-T/C*, *CCR5-59356-C/T* and *SDF1-3′-G/A* polymorphisms on the rate of disease progression and neurologic impairment (17). These studies confirmed that the *CCR5-wt/ $\Delta 32$*  genotype is associated with slower disease progression. HIV-infected children with the *CCR5-wt/ $\Delta 32$*  genotype at study entry had higher mean CD4<sup>+</sup> lymphocyte counts, CD4<sup>+</sup> percentages and cognitive scores, and lower plasma HIV-1 RNA levels than those with the wt/wt genotype. Moreover, children with the *CCR5-wt/ $\Delta 32$*  genotype were less likely to be severely impaired (cognitive index score <70) at baseline or experience central nervous system (CNS) impairment than those with the homozygous wild type. Although there was a clear benefit observed from the *CCR5-wt/ $\Delta 32$*  genotype, protection was incomplete and some children did experience disease progression in this group. Because HIV-1 coreceptor usage may change over time in a given individual, it is possible that a switch from *CCR5* to *CXCR4* usage, which is associated with accelerated disease progression in adults and children (18–21), may have occurred in some of those who progressed.

Despite the important impact of the *CCR5-32bp* deletion in delaying disease (12% vs. 26% of those with and without the 32bp deletion progressed within 2 y), the  $\Delta 32$  variant occurred in only 6% of the total cohort. In contrast, a genetic variant within the *CCR5* promoter region, 59029-A/A was found in ~25% of HIV-infected children and was associated with an

almost 50% increase in disease progression when compared with those with the G/A or G/G genotype. Therefore, on a population basis, the *CCR5-59029-G/A* genetic variants have a greater overall impact on HIV-related disease in children than the *CCR5-wt/ $\Delta 32$*  variant. Another variant in the *CCR5* promoter region at position 59353-C/T was found to have an almost 40% greater risk for children with the C/C genotype having a cognitive-index score of <70 compared with those with the T allele.

The impact of *CCR2* on HIV-related disease remains controversial. Although *CCR2* is recognized as a minor coreceptor for HIV-1 *in vitro*, whether it serves as a coreceptor in humans remains to be clarified. However, several studies in adults have identified that a *CCR2* variant, *CCR2-64I*, alters disease progression (9,11,13). In contrast, we have found no association between disease progression and death with any of the *CCR2* genotypes. The reason(s) for the different effects observed in children vs. adults with the *CCR2* variants are unclear. However, it is likely that the alteration in disease progression found in adults results from modulation of the immune response to HIV-1 as related to the binding of *CCR2* with its ligand MCP1 (CCL2). It is possible that the broad immaturity of the immune system of young children compared with adults masks any effect this interaction may have on viral control.

The natural ligand for *CXCR4*, *SDF-1*, has also been associated with HIV-1 disease in some studies of adults and children. In our own research, children with the *CCR5-wt/wt* genotype and the *SDF1-3′-A/A* variant were more likely to experience disease progression than those with either the G/A or G/G genotypes. These findings are consistent with other studies in children that reported slower disease progression associated with the *SDF1-3′-A/A* genotype (22,23). However, only a small number of individuals within a given population have the *SDF1-3′-A/A* genotype (<2% in our studies).

#### **A Chemokine Receptor Genetic Variant that Affects Inflammatory Cell Recruitment Alters HIV-1 Disease Progression Independent of CD4<sup>+</sup> Lymphocyte Count and HIV-1 RNA Load**

Clinical monitoring of infected persons relies on monitoring of CD4<sup>+</sup> lymphocyte count and viral load where the plasma HIV-1 RNA predicts that rate of disease progression, whereas the CD4<sup>+</sup> count is used as a predictor of the current risk an individual will develop an HIV-related complication. Our studies of the chemokine receptor *CX<sub>3</sub>CR1* demonstrate how host genetics has the potential to provide additional information about an individual's risk for disease progression and related complications independent of CD4<sup>+</sup> count and viral load (24).

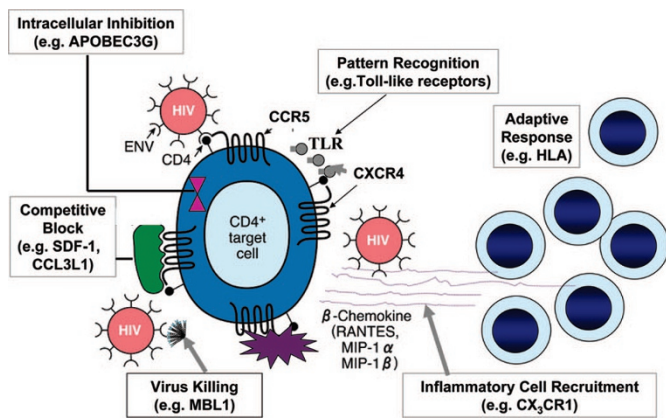
*CX<sub>3</sub>CR1* belongs to a family of G-protein–coupled receptors and is a leukocyte chemotactic and adhesion receptor for fractalkine (25) and may also serve as a minor HIV-1 coreceptor (26,27). Two nonsynonymous polymorphisms in the coding region of the *CX<sub>3</sub>CR1* gene were initially identified as possibly being associated with more rapid disease progression in HIV-1–infected adults (28): a “G” to “A”

**Table 1.** Effects of host genetic factors reported in HIV-1 infected children

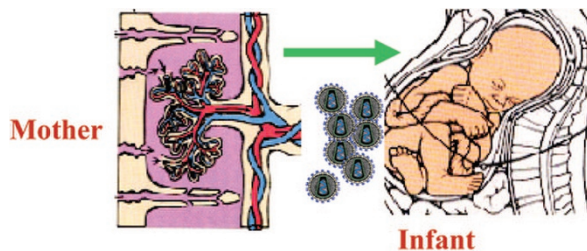
Gene	Allele	Effects observed	Presumed mechanism of action	References
<i>CCR5</i>	Δ32	Decrease risk of MTCT	Knockout <i>CCR5</i> expression	17
	Δ32	Delay disease progression and decrease CNS	Decrease available <i>CCR5</i>	17
<i>CCR5</i>	59029A	Accelerate disease progression	Increase <i>CCR5</i> expression	17
<i>CCR2</i>	64I	No effect	Interact with and reduce <i>CXCR4</i>	17
<i>CCL3L1</i>	Copy number variation	Lower copy numbers accelerate disease progression	Low copy numbers decrease <i>CCL3L1</i> expression levels	54
<i>CCL3L1</i>	Copy number variation	Lower copy numbers affect HIV mother-to-child-transmission	Low copy numbers decrease <i>CCL3L1</i> expression levels	46
<i>CXCL12 (SDF-1)</i>	3'A	Accelerate disease progression	Impede <i>CCR5-CXCR4</i> transition (?)	17
<i>CCL2 (MCP-1)</i>	-2518-G	No effect	Stimulate immune response (?)	78
<i>CX3CR1</i>	249I	Accelerate disease progression	Impedes immune response (?)	24
<i>IL-4</i>	V249 T280	Delays disease progression	Enhance immune response (?)	24
	-589T	No significant effect	Increase <i>IL-4</i> expression, enhances immune response	76
<i>MBL-2</i>	A/B/C/D or A/O	Enhance infection accelerate AIDS	Decrease <i>MBL</i> expression, incorrect assembly makes protein vulnerable to degradation by metalloproteinases	61
	H/L, X/Y	Enhance infection accelerate AIDS	Genetic variant at promoter positions, decrease <i>MBL</i> expression	61
	P/Q	Enhance infection accelerate AIDS	Genetic variant at 5' untranslated region, decrease <i>MBL</i> expression	61
<i>APOBEC3G</i>	H186R	Accelerate HIV disease and CNS impairment	Changes histidine to arginine (CAC-CGC) at 186 amino acid position in exon 4	(Singh & Spector) Presented, 16th Congress on Retroviruses & Opportunistic infections, Feb 8–11, 2009, Montreal, Canada
	F119F	Accelerate HIV disease and CNS impairment	Changes TTC-TTT, but no change for phenylalanine at 119 amino acid position	
<i>HLA class I</i>	Maternal HLA homozygosity and mother-child HLA concordance	Increase the risk of vertical transmission of HIV-1	Increased risk may be due to reduced alloimmunity or less diverse protective immune responses	69, 70
<i>HLA class I</i>	Homozygous <i>HLA-B</i> or -C alleles	More rapid disease progression	Loss of heterozygous advantage, hence lesser variety of antigenic peptides to T cells and weaker response to HIV epitopes	(Singh & Spector) Presented, 16th Congress on Retroviruses & Opportunistic Infections, Feb 8–11, 2009, Montreal, Canada
	B*27	Slower disease progression	Immunodominant CTL responses to the HIV peptides	
	B*57	Slower disease progression	Restriction of virus replication	
<i>HLA class II</i>	DQB1*2	Protect against HIV-1 disease progression and CNS impairment	Effective CD4 <sup>+</sup> lymphocyte mediated immune response against HIV	(Singh & Spector) Presented, 16th Congress on Retroviruses & Opportunistic Infections, Feb 8–11, 2009, Montreal, Canada

polymorphism at nucleotide position 745 changes a valine to isoleucine at amino acid position 249 (V/I249) in the sixth transmembrane domain and a “C” to “T” polymorphism at nucleotide position 849 changes a threonine to methionine at amino acid 280 (T/M280) in the seventh

transmembrane domain of CX<sub>3</sub>CR1 protein. Faure *et al.* (29) reported that HIV-1–infected persons homozygous for CX<sub>3</sub>CR1-M280 progress to AIDS more rapidly than those with other genotypes. In another study, Brumme *et al.* (30) found a trend toward an association of the I249-T280 and



**Figure 1.** Points where genetics can affect innate and adaptive immunity, and alter HIV-1–related disease progression.



### Genetic Variants Associated with MTCT

<i>CCR5</i> Δ32	<i>SDF-1</i>
<i>CCR5</i> promoter	<i>CCL3L1</i>
<i>CX<sub>3</sub>CR1</i>	<i>MBL2</i>
<i>CCR2</i>	<i>HLA</i> and <i>HLA</i> homozygosity

**Figure 2.** Genetic variants identified to alter HIV-1 mother-to-child transmission. Examples include *CCR5* Δ32, *CCR5* promoter, *CX<sub>3</sub>CR1*, *CCR2*, *SDF-1*, *CCL3L1*, *MBL2*, *HLA*, and *HLA* concordance. Figure adapted from Spector SA, *J Clin Invest* 107:267–269, Copyright © 2001, The American Society for Clinical Investigation, with permission.

I249-M280 haplotypes with early immunologic failure in HIV-1–infected adults.

The expression of CX<sub>3</sub>CR1 and its ligand, fractalkine, are increased during HIV-1 infection and are reduced on treatment with effective active antiretroviral therapy suggesting that they are important in directing the immune response against HIV-1 (31). Fractalkine expression has been reported to be up-regulated in the brains of patients with AIDS and may be important in HIV-1–associated dementia by regulating the trafficking of monocytes in brain parenchyma (32–34). In addition, fractalkine and CX<sub>3</sub>CR1 are expressed in human neurons and glial cells (35). Our findings in HIV-infected children strongly indicate that genetic variants that alter the expression of functional CX<sub>3</sub>CR1 can profoundly influence the risk and rate of disease. Moreover, in multivariate analyses, a specific CX<sub>3</sub>CR1 genotype and haplotype strongly predicted both disease progression and CNS impairment independent of markers including CD4<sup>+</sup> lymphocytes and plasma HIV-1 RNA load or *CCR5*-wt/Δ32, *CCR5*-59029-G/A and *CX<sub>3</sub>CR1*-T/M280 polymorphisms. Children with the wild-type V/V249 genotype that has been associated with greater binding to fractalkine when compared with the I/I249 genotype (28), experienced slower disease progression than chil-

dren with the I/I249 genotype; however, the disease progression in V/I249 heterozygotes was similar to those with V/V249 genotype. For children with the *CCR5*-wt/wt genotype, we observed a significant association between the presence of the I/I249 genotype and an increased risk for impaired cognition. The same trend of increased risk was observed for children with the M/M280 genotype, albeit with a small number of children. Therefore, it would appear that genetic variants resulting in less CX<sub>3</sub>CR1 may place HIV-1–infected children at increased risk for CNS impairment.

### Chemokine Receptor Genetic Variants Affect HIV-1 MTCT in the Absence of Antiretrovirals and Perinatal Antiretrovirals that Provide Partial Viral Suppression

Although much progress has been made in the development and implementation of strategies designed to interrupt MTCT, many women in developing countries still have limited access to antiretrovirals (Table 1 and Fig. 2). The identification of genetic markers linked with transmission can help to define risk factors associated with MTCT and provide new insights into HIV-1 pathogenesis that can help in the development of an effective HIV-1 vaccine.

MTCT occurs predominantly with macrophage-tropic (M-tropic), non-syncytium-inducing viruses that use CCR5 as a coreceptor (36), and up-regulation of CCR5 expression in the placenta is associated with vertical transmission (37). A decrease in CCR5 expression could negatively affect immunologic function in newborns and alter the risk of MTCT (38). Moreover, the 32-bp *CCR5* deletion is absent in most African populations (39). Hence, other genetic variants that modify the expression or function of CCR5 or other HIV-1 coreceptors or modulators of innate immunity might alter the risk of MTCT. We examined the impact of *CCR5* promoter, *CCR2*, *CX<sub>3</sub>CR1*, and *SDF-1* polymorphisms on the risk of HIV-1 MTCT in three sub-Saharan African cohorts of infants. The study subjects were from Malawi, South Africa, and Uganda. The Malawi and South Africa cohorts consisted of antiretroviral naïve pregnant women and their infants who were involved in vitamin A intervention trials that proved unsuccessful in reducing MTCT (40–42). For the Uganda cohort (HIVNET 012), mothers and their infants were randomly assigned to receive nevirapine or zidovudine (43). Nevirapine given to mother and baby significantly reduced MTCT compared with the zidovudine intervention. Overall, 21.4% of infants were determined to be HIV-1 infected, 20.2% of infants from Malawi, 24.7% from South Africa, and 19.8% from Uganda.

Our findings established a link between *CCR5* promoter variants at positions 59029 and 59353 in infants and the risk for perinatal infection (44). Antiretroviral naïve infants with the 59029-A allele had a higher risk of MTCT vs. G/G infants. Exposure to antiretrovirals modified the impact of these genetic variants on MTCT. Children with the *CCR5*-59029-A allele, which has been associated with higher expression of CCR5, were less likely to be infected when exposed to nevirapine (45). However, this same variant was associated with a higher risk for MTCT when zidovudine was given perinatally. Because *CCR5*-59029 and -59353 are in linkage

disequilibrium, the associations between each of these polymorphisms and risk of transmission were similar. We speculate that the difference observed for MTCT between nevirapine and zidovudine exposed mother–infant pairs relates to the long half-life of nevirapine and its ability to rapidly decrease viral load. The effect of zidovudine on viral load was likely less than that of nevirapine and combined with its shorter half-life resulted in similar associations for zidovudine-treated mother–infant pairs as for antiretroviral naïve infants. An alternative explanation for the effects observed with nevirapine and zidovudine on MTCT could be an unidentified differential modulation of the expression of chemokines or chemokine receptors that alter the risk for transmission or effect of other genetic factors (46,47). A recent study reported the potential protective effects of CCR5-59029-G and -59356-T alleles against MTCT in Malawi children with lower maternal viral load (8,48); however, this effect was lost in children with higher maternal viral load.

For the CCR5-59356-C/T promoter variant, infants with the T allele had a lower rate of transmission than infants with the C/C genotype. These findings differ from those of Kostrikis *et al.* (8) who reported that the presence of the T/T genotype was associated with a higher rate of MTCT in untreated mother–infant pairs in the United States. Although this may reflect geographic differences (Kostrikis *et al.* evaluated mother–infant pairs from the United States, whereas we studied the children from sub-Saharan Africa), this seems unlikely to be the explanation. Of interest, in a smaller cohort of Kenyan infants, John *et al.* (49) also found a trend toward decreased transmission associated with the 59656-T allele.

No significant effect of CX<sub>3</sub>CR1 genotypes on MTCT was observed in antiretroviral naïve mother–infant pairs. However, antiretroviral-exposed infants carrying the CX<sub>3</sub>CR1-745-A allele had a significantly higher rate of early transmission compared with infants with the G/G genotype that did not differ with nevirapine and zidovudine exposure.

Mangano *et al.* (50) observed protective effects of CCR2-A/A genotype in Argentinean children born to HIV-1-infected mothers, whereas Teglas *et al.* (51) and Brouwer *et al.* (52) failed to find any impact of the CCR2 genotype on perinatal transmission in France and Western Kenya, respectively. In our study, the CCR2-A/A genotype was associated with higher risk of transmission vs. G allele carriers suggesting a modest effect of CCR2 genotypes on MTCT in mother–infant pairs in sub-Saharan Africa.

The presence of SDF-1-G/A genotype in mothers was found to be associated with increased perinatal transmission of HIV-1 in Kenya in an earlier study (16). In our own studies, the presence of the SDF-1-A allele in infants was rare and no impact on MTCT could be identified. It is likely that if SDF-1-A alters MTCT that the relatively low frequency limits its impact on a population basis.

### Segmental Duplications in Critical CCR5 Ligand Genes Can Alter HIV-1 Susceptibility and Disease Progression

Much data support a role for the CC chemokines CCL3 [macrophage inflammatory protein (MIP)-1 $\alpha$ ], CCL4 (MIP-

1 $\beta$ ), and CCL5 (RANTES) in HIV-1 pathogenesis. In addition to chemotaxis, CC chemokines also play an important role in T-cell activation and in directing and enhancing adaptive immune responses. In humans, CCL3 protein is encoded by two functional genes (CCL3/ID78 $\alpha$  and CCL3L1/ID78 $\beta$ ), occurring as two copies and as variable copy numbers, respectively, in different individuals (53). CCL3 may mediate its protective effects through its ability to enhance adaptive immune responses. Research by Gonzalez *et al.* (54) has shown that segmental duplications containing the gene encoding CCL3L1, a potent agonist and HIV-suppressive ligand for CCR5, is associated with variable chemokine expression, and risk of acquiring HIV and rates of disease progression. Of note, they identified that the number of CCL3L1 copies (gene dose) within a given population may vary and the risk of infection and rate of disease progression is dependent on the relative number of gene copies compared with the mean background for a given ethnic group. Meddows-Taylor *et al.* (55) identified a complex association between CCL3L1 copy numbers and MTCT. Infants born to HIV-infected mothers with lower levels of CCL3 production were at increased risk of infection and similarly, mothers who transmitted virus had low levels of CCL3. They also suggest that “. . . all CCL3L1 gene copies are not created equal. . . ” and that some duplications may not be as functional as others. In an extension of this group’s research, Kuhn *et al.* (46) demonstrated a copy number-dependent relationship between CCL3L1 gene duplication and MTCT in a cohort from South Africa. In this study, the mean number of copies per diploid genome was 4–5 with infants having fewer copies at greatest risk of infection and those with higher numbers at least risk.

### Strength of Innate Immunity Genetic Associations with HIV-1 Disease may Change with Age of Child

As the immune system of children matures, changes occur in how both innate and adaptive immunity respond to microorganisms. Mannose-binding lectin (MBL) protein, encoded by the MBL2 gene, is an important determinant of the innate immune response during infection (56–59). MBL is an acute-phase protein that is synthesized by the liver and released into the bloodstream where it binds to the mannose residues present on some bacteria, yeast, viruses, and parasites. Binding activates the lectin complement pathway and production of C3b protein *via* MBL-associated serine proteases results in opsonization of pathogens, chemotaxis, activation of leukocytes, and direct pathogen killing (60). The MBL2 gene encodes 32 kDa subunits which further associate to form high-molecular weight (MW) MBL oligomers (60). MBL2 variants at the following nucleotide positions affect MBL levels: two SNPs at promoter positions -550-G/C (H/L variant) and -221-G/C (X/Y variant); one in the 5’ untranslated region + 4-C/T (P/Q variant) and three genetic variants at codons 52, 54, and 57 in exon 1, at nucleotide positions 223-C/T (Arg52Cys, A/D allele), 230-G/A (Gly54Asp, A/B allele), and 239-G/A (Gly57Glu, A/C allele), respectively. MBL2 exon 1 variants result in single amino acid changes affecting oligomerization of MBL. Homozygous wt (A/A) sera

contain predominantly fully functional MBL whereas homozygous mutant sera (any combination of *B*, *C*, or *D* allele) contain mostly low MW MBL.

MBL deficiency has been identified as the cause of common opsonic defect of children. Additional studies have suggested that MBL plays a particularly important role in control of microorganisms in children aged 6–24 mo when maternal IgG levels have waned and the infant's own adaptive immune response is immature (56). Our studies in HIV-infected children indicate that the presence of the *MBL2-O/O* genotype that results in lower expression of MBL protein and impaired innate immunity is associated with more rapid development of CNS impairment in children (61). Similar to earlier studies of the association of *MBL2* in HIV-1-infected adults (62–64), we observed an overall trend for a more rapid disease progression in children with variant *MBL2* genotypes. However most strikingly, we observed significant associations between *MBL2* variants and disease progression including cognitive impairment in children below 2 y of age. These findings are consistent with a critical role for MBL in the immune response of young children (65,66). MBL deficiency has also been associated with increased HIV-1 vertical transmission (62), which emphasizes its important role in controlling HIV-1 infection in young infants and children.

#### Human Leukocyte Antigen Genotypes Alter MTCT and Rate of Disease Progression

Human leukocyte antigen (*HLA*) class I and class II alleles are the most polymorphic genes in humans and play a fundamental role in acquired immune responses. *HLA* types were among the first genetic markers found to alter the risk of becoming infected with HIV-1 and subsequent rate of disease progression (67,68). Recently, in a case-cohort study of 572 HIV-infected children from Pediatric AIDS Clinical Trials Group 152 and 300, we assessed the impact of *HLA* class I and II alleles on HIV-related disease progression. Our preliminary findings have demonstrated that the presence of homozygous *HLA-B* or *C* alleles was associated with more rapid disease progression (Singh and Spector, unpublished). In contrast, the presence of *B\*27* or *B\*57* alleles was associated with slower disease progression which remained significant after adjustment for race, gender, age, and baseline HIV-1 log RNA,  $CD4^+$  count and percent and weight for age z score or other genetic variants including *CCR5-wt/Δ32*, -59029-G/A, *CCR2-wt/64I*, *CX<sub>3</sub>CR1-249-V/I*, -280-T/M, *SDF-1-180-G/A*, *MCP-1-G/A*, *MBL2-A/O*, *MBL2-X/Y*, *MBL2-P/Q*, and *MBL2-H/L*. Additionally, the *Cw-2* allele protected against disease progression and the *A-24* allele was associated with more rapid CNS impairment. For *HLA* class II, the presence of the *DQB1\*2* allele protected against HIV-1 disease progression and CNS impairment.

*HLA* has also been found to play an important role in MTCT. *HLA* concordance between a mother and her infant is associated with increased risk of transmission, while *HLA* discordance decreases the risk of MTCT (69,70). Additionally, *HLA* class I homozygosity has been associated with more rapid disease progression (67,68). Children homozygous or

who have the same *HLA* class I alleles at both sites with their mothers at one of more *HLA* locus are at increased risk for more rapid disease progression (71).

#### An Intracellular Antiviral Host Factor Affects HIV Disease

A new class of host restriction factors has been found to play an important role in restricting intracellular viral replication. APOBEC3G (Apolipoprotein B mRNA editing catalytic polypeptide 3G) formerly known as CEM15, is an endogenous inhibitor of HIV-1 replication (72). During permissive infection, APOBEC3G is incorporated into nascent virus particles and mediates deoxycytidine-to-deoxyuridine deamination of minus (first)-strand reverse transcripts in target cells. This results in guanine-to-adenine hypermutation of the viral plus (sense) coding strand and is associated with premature cDNA degradation. Thus, APOBEC3G limits the spread of HIV-1 infection by packaging into the virus during assembly. The potent antiviral activity of APOBEC3G is successfully neutralized by wild-type HIV-1 through *vif* by mediating its polyubiquitination and rapid degradation through the proteasome (73).

The importance of APOBEC3G-mediated antiviral response against HIV-1 is underscored by the existence of *APOBEC3G* genetic variants influencing disease progression. An *APOBEC3G* variant containing a nonsynonymous substitution of Arg for His at amino acid position 186 is present in African Americans and is strongly associated with more rapid decline of  $CD4^+$  T cells and accelerated progression to AIDS (73). Our preliminary studies (Singh and Spector, unpublished) in a cohort of more than 1000 children show that while H186R variants are associated with more rapid HIV disease progression, an F119F variant is associated with moderate protection against the HIV disease progression (74).

#### Other Host Genetic Factors Potentially Affect Pediatric HIV/AIDS

Interleukin-4 (*IL-4*) differentially regulates the two major HIV-1 coreceptors—increasing *CXCR4* and decreasing *CCR5* expression in primary  $CD4^+$  T lymphocytes. Homozygosity of a “C” to “T” polymorphism at the 589 nucleotide position in the *IL4* promoter region (*IL4-589-C/T*), has been correlated with increased rates of SI variant acquisition in HIV-1-infected individuals in Japan (75). We examined the impact of *IL4-589-C/T* polymorphisms on HIV-1 disease in children. To our surprise, we found no association between the *IL4-589-T* allele and disease progression in any analyses performed (76). It is possible that the *IL4-589-T* allele may be in linkage disequilibrium with another allele not discovered so far, which affects disease progression in HIV-1-infected individuals. To date, however, no data exist to support a role for *IL4* in HIV-1 disease of children.

Recently, the monocyte chemotactic protein-1 (*MCP-1*)-2518-G allele (associated with higher expression of *MCP-1* than wild-type 2518-A allele) has been shown to be associated with HIV-1-related disease (77). We hypothesized that if *CCR2* (*MCP-1* receptor) or *MCP-1* variants were important in

HIV-1 pathogenesis in children then combined *CCR2-MCP-1* genotypes would be more likely to demonstrate such an effect than *CCR2* alone. We found that the association of *MCP-1-2518-G/G* genotype with neurocognitive impairment at study entry was marginally significant (78). However, the presence of the *MCP-1-2518-G* allele independently or in combination with *CCR2-64I* allele did not impact overall progression of HIV-1 disease in children.

Several other host genetic factors affecting innate and adaptive immune responses can affect HIV-1 disease in children. These include *apolipoprotein E*, *toll-like receptors*, *DC-SIGN*, *IL-10*, *IL-6*, *IFN- $\gamma$* , *TNF- $\alpha$* , and *CCR5* ligands *MIP1 $\alpha$* , or *RANTES* among others. Research is in progress examining the impact of these and other genetic variants on MTCT and HIV-1 disease progression in children.

### Summary

Much research has established that host genetic factors are important determinants of HIV-1 MTCT and the rate of disease progression in children and adults. However, it is clear that no single genetic variant is a dominant factor in HIV-1 pathogenesis, and the risk for transmission and progressive immunosuppression depends on multiple interactions between virus and host. The judicious use of host genetics, however, has the potential to improve the care and treatment of HIV-infected pregnant women and children. In this regard, we have demonstrated that specific genetic variants are predictive of disease progression independent of a patient's CD4<sup>+</sup> lymphocyte count and viral load. Thus, the potential exists to develop a model of genetic variants that can be used as an adjunct to standard monitoring of T-cell subsets and plasma HIV-1 RNA to help guide when to start treatment. Although beyond the scope of this review, genetic variants in *CYP* genes and *ABCB1* have been shown to alter the pharmacokinetics and response to specific antiretrovirals and can be used to optimize treatment of children (79,80). Moreover, the *HLA-B5701* allele is associated with the hypersensitivity reaction associated with abacavir. Screening for this allele has become standard of care for persons before initiating antiretroviral therapy containing abacavir (81). Perhaps, most importantly studies of host genetics provide new insights into innate and adaptive immunologic mechanisms used to control HIV-1 infection (82,83). These findings can lead to improved understanding of how each arm of the immune system orchestrates an integrated, albeit insufficient, response to viral infections. It is likely that these approaches will lead to novel new strategies for vaccine development. In this regard, we believe that a successful HIV-1 vaccine will come when we are able to "educate" innate immunity such that the virus is controlled before establishing infection. Understanding host-virus interactions will be critical to these potential breakthroughs.

**Acknowledgments.** The authors acknowledge the outstanding statistical support of Terrence Fenton, Michael Hughes, Ping Ruan and Jie Chen, the technical assistance of Rodney Trout, the many clinical investigators who have allowed us to use specimens and outcome data from their clinical studies, the study

participants and their families, the Pediatric AIDS Clinical Trials Group (PACTG) and the International Maternal Perinatal Adolescent Antiretroviral Clinical Trials (IMPAACT) Network.

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