Dexamethasone Therapy in Preterm Infants Developing Bronchopulmonary Dysplasia: Effect on Pulmonary Surfactant Disaturated-Phosphatidylcholine Kinetics

PAOLA E. COGO, MANUELA SIMONATO, GIANNA MARIATOFFOLO, GIORGIO STEFANUTTI, MARCO CHIERICI, CLAUDIO COBELLI, CARLO ORI, AND VIRGILIO P. CARNIELLI

Departments of Pediatrics [P.E.C., M.S.], Pediatric Surgery [G.S.], Information Engineering [G.M.T., M.C., C.C.] and Pharmacology and Anaesthesia [C.O.], University of Padova, 35128 Padova, Italy; Neonatal Division [V.P.C.], Salesi Children Hospital, University of Ancona, 62100 Ancona, Italy; Institute of Child Health-Great Ormond Street Hospital and Neonatal Unit-University College Hospitals [V.P.C.], WINIDD London, United Kingdom

ABSTRACT: The role of corticosteroid in severe bronchopulmonary dyplasia (BPD) is still debated. Scanty data are available on the corticosteroids effect on surfactant metabolism. Our objective was to compare surfactant kinetics in preterm infants with developing BPD, before and after dexamethasone (DEXA) treatment. Twenty-eight studies were performed in 14 preterm infants (birth weight 786 \pm 192 g, gestational age 26 \pm 1 wk) on high ventilatory setting, before (age 22 \pm 11 d) and after (age 33 \pm 11 d) DEXA. ¹³C-labeled dipalmitoyl-phosphatidylcholine (DPPC) was administered endotrachelly to trace pulmonary surfactant. Surfactant disaturatedphosphatidylcholine (DSPC) kinetics and pools were calculated from DSPC ¹³C-enrichment curves of serial tracheal aspirates and bicompartmental analysis. Total protein and myeloperoxidase (MPO) activity in tracheal aspirates were also measured and expressed per ml of Epithelial Lining Fluid (ELF). After DEXA, DSPC alveolar pool increased significantly from 8.2 \pm 7.6 to 10.6 \pm 11.3 mg/kg (p = 0.039), total proteins and MPO were reduced from 8.8 \pm 8.6 to 3.1 ± 2.1 mg/ml ELF (p = 0.046) and from 1822 ± 1224 to $1261 \pm$ 987 mU/mlELF (p = 0.028) respectively. In conclusion, DEXA treatment in mechanically ventilated preterm infants with severe respiratory failure and at high risk of developing BPD, significantly reduced inflammatory markers and increased alveolar surfactant DSPC pool. (Pediatr Res 63: 433-437, 2008)

 ${f B}$ ronchopulmonary dysplasia (BPD) remains the most common respiratory complication of very preterm infants. Its pathogenesis recognizes multiple factors, such as prenatal infection, lung immaturity, volutrauma and barotrauma, oxygen toxicity, lung edema and airway inflammation, which probably act additively or synergistically to promote lung injury. Primary or secondary inflammatory reaction leads to an amplification of lung insult, interferes with alveolarization and alters lung development (1,2). Reduced surfactant synthesis and/or surfactant inhibition/inactivation due to lung immaturity and to protein leak across the alveolar capillary barrier have been described in association with marked alterations of surfactant phospholipids (3–5). More recently, Ballard *et al.* demonstrated a reduction in surfactant specific proteins in tracheal aspirates of preterm infants with developing BPD (6).

The association between lung inflammation and BPD constitutes the rationale for the use of corticosteroids as antiinflammatory drugs. Corticosteroids are potent antiinflammatory agents; they reduce polymorphonuclear cells recruitment in the lungs, inhibit the production of elastase, prostaglandins, leucotrienes, tumor necrosis factor, and interleukins, decrease vascular permeability and pulmonary edema, and increase synthesis of anti-oxidant enzymes (7). Despite the recent findings of a strong negative effect on neurodevelopment, corticosteroids are still being used for life-threatening ventilator dependent respiratory failure (8-10). In premature rabbits, dexamethasone (DEXA) decreases protein leak across the endothelium but not across the epithelium (11). In preterm infants with developing BPD, DEXA decreases the albumin concentration in tracheal aspirates (12), and reduces the influx of inflammatory cells, the release of cytokines (13,14), plasma sE-sL selectins, and soluble adhesion molecules (15,16).

The effect of corticosteroids on surfactant system has been assessed in animals, where they induce both transcriptional and posttranscriptional up-regulation of a number of surfactant associated genes, including those controlling the expression of the surfactant proteins and fatty acid synthase (17). In preterm newborns with BPD, Kari *et al.* showed that DEXA significantly decreased the nonsedimentable protein in epithelial lining fluid, whereas it did not affect the concentration of surfactant phosphatidycholine, 1L-1 B and lactoferrin (18). No data are available on the effect of corticosteroids on surfactant kinetics in human BPD.

We have recently described a method for the measurement of surfactant kinetics in humans. The method consists in the endotracheal administration of a tracer dose of ¹³C labeled dipalmitoyl-phosphatidylcholine (DPPC) and subsequent measurements the ¹³C-enrichment of surfactant disaturated-

Abbreviations: BPD, bronchopulmonary dysplasia; DPPC, dipalmitoylphosphatidylcholine; DSPC, disaturated-phosphatidylcholine; DEXA, dexamethasone; ELF, epithelial lining fluid; FiO₂, fraction of inspired oxygen; MAP, mean airway pressure; MPO, Myeloperoxidase

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Correspondence: Paola E. Cogo, M.D., Ph.D., Department of Pediatrics, University of Padova, Via Giustiniani 3 - 35128 Padova, Italy; e-mail: cogo@pediatria.unipd.it

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phosphatidylcholine (DSPC) from serial tracheal aspirates (19). Based on the assumptions that the tracer is distributed between the alveolar and lung tissue pools, a bicompartmental analysis of the tracer to tracee ratio allows the estimation of the alveolar and lung tissue DSPC pools and of DSPC synthesis and fluxes between the two pools (20).

In this study, we compared the effect of a 10-day tapering dose of DEXA on surfactant DSPC kinetics in preterm infants with developing BPD.

MATERIALS AND METHODS

Patients. Patients were admitted to the Neonatal Intensive Care, Department of Pediatrics, University of Padua, Italy, from 1999 to 2002. The study was approved by the local Research and Ethic Committee. We enrolled 17 preterm infants at very high risk of developing severe BPD and thus of being treated with DEXA. Newborns were considered eligible for the study if they 1) were on ventilator support with a fraction of inspired oxygen (FiO₂) >0.55 and worsening respiratory failure, 2) had a chest x-ray suggestive of BPD, and 3) had no biochemical and microbiological signs of infection. Six ventilator dependant preterm infants, with a postnatal age comparable with that of the preterm infants after "DEXA treatment," were used as controls.

Study design. The study compared surfactant DSPC kinetics before and after DEXA. Total proteins content and myeloperoxidase (MPO) activity were also measured from the tracheal aspirates.

After parental consent, infants with severe ventilator dependent respiratory failure deemed likely to be treated with DEXA at about 3 wk of age received 2.5 mg/kg of [U-13C-PA]-DPPC (1 mg/mL of normal saline) to trace endogenous surfactant DSPC. A second endotracheal dose of 2.5 mg/kg of [U-13C-PA]-DPPC was administered 2 d after starting DEXA. Clinical data are reported in Table 1. Tracheal aspirates were collected during each study before the administration of the tracer (t = 0), every 6 h for the first 72 h, and then every 12 h for another 4 d or until extubation. Tracheal aspirates were performed as previously described (19,21). Briefly, after instillation of 0.5 mL of 0.9% saline in the endotracheal tube, the neonate was gently hand-bagged and then tracheal secretions were collected through a Lukens trap. They were kept at +4°C for no longer than 3 h and brought to a final volume of 2 mL with 0.9% saline. After gentle vortex for 1-min, 300 µL of tracheal aspirate fluid were freeze-thawed 3 times in liquid nitrogen and centrifuged at 13,000 rpm (2325g) for 45-min at 4°C. The supernatant was collected and stored at -20° C for MPO determination. The remaining tracheal aspirate volume was centrifuged at 400g for 10-min. The supernatant was recovered and kept at -20°C until analysis.

Ventilatory parameters were recorded before the start of the study and subsequently every 12 h.

The decisions of starting DEXA and the timing of extubation were taken by the attending physician, who was not involved in the study. DEXA was started at an initial dose of 0.5 mg/kg/d for 3 d followed by a tapering scheme to complete the course in about 10 d.

Protein content. Protein content in tracheal aspirates was assayed according to Lowrie (22), by using autoanalyser Cobas Mira (Roche) with "Pyrogallol cod.CC02120" (Analitica triveneta s.r.l) and BSA as standard at 100 mg/mL. The coefficient of variation of the assay was 3.8%. Results were expressed in mg/mL epithelial lining fluid (ELF) after correction with the urea method (23).

MPO activity. Pulmonary neutrophils sequestration was quantified by measurement of MPO activity (24). One hundred microliters of supernatant was added to 2.9 mL of a 50 mM phosphate buffer (pH 6.0) containing 0.53

 Table 1. Clinical characteristics of the 13 study infants before and after DEXA treatment

	Before DEXA $(n = 13)$	After DEXA $(n = 13)$	p before/after DEXA
Body weight (g)	817 ± 174	889 ± 198	0.03
Postnatal age (d)	22.1 ± 11.3	33.1 ± 11.4	< 0.01
Mean FiO ₂ (fraction)	0.70 ± 0.13	0.58 ± 0.23	0.15
Mean airway pressure	10.3 ± 3.4	7.2 ± 3.8	< 0.01
(cm H ₂ O)			

Data are expressed as mean \pm SD. DEXA, dexamethasone.

mM O-dianisidine hydrochloride (Sigma Chemical Co. Chemical) and 0.0005% hydrogen peroxide (Carlo Erba, Milan, Italy). MPO activity was followed spectrophotometrically at 25°C at a wavelength of 460 nm for 3 min. MPO activity was corrected by the urea dilution method and expressed as units (U) per milliliter of ELF (24).

DSPC analytical methods. Surfactant DSPC methods have been previously described (19,21). Briefly, DSPC from tracheal aspirates was separated by thin layer chromatography after treatment with osmium tetroxide (25). DSPC fatty acids were derivatized as pentafluorobenzyl derivatives (26), extracted with hexane and stored at -20° C until analysis. The enrichment of [U-¹³C-PA]-DSPC from tracheal aspirates was measured by gas chromatography-mass spectrometry (GC-MS, Voyager, Thermoquest, Rodano, Milan, Italy) operating in negative ionization mode. Selective ion monitoring was carried out at m/z 255, 256, 257, and 271 and results expressed as tracer-totracee ratio (ttr) (19).

Surfactant kinetics parameters. Data were analyzed using the two compartment model shown in Fig. 1, assuming that *a*) the surfactant is distributed between two compartments (alveoli and lung parenchyma); *b*) DSPC is synthesized by lung parenchyma, secreted in the alveoli and recycled before being degraded by alveolar macrophages or in lung tissue; *c*) the system is on steady state and is not perturbed by the administration of tracer. In a previous publication, we reported the equations of the model and main assumptions and we demonstrated that the two-compartment model provided the best representation of DSPC kinetics in a similar group of patients with developing BPD (20). Briefly, parameters included DSPC-palmitate alveolar and tissue pool sizes (M₁ and M₂, respectively), *de novo* synthesis (P), intercompartmental fluxes (F₂₁, F₁₂, F₀₂, F₀₁) and recycling (R) were derived from the following equations:

$$\dot{m}_1(t) = -(k_{01} + k_{21})m_1(t) + k_{12}m_2(t) + u(t) \dot{m}_2(t) = -k_{12}m_2(t) + k_{21}m_1(t) y(t) = ttr_1(t) = \frac{m_1(t)}{M_1}$$

where m_1 and m_2 (mg) were the amount of DSPC-palmitate tracer in compartment 1 and 2 respectively, k_{21} , k_{12} , k_{01} (h⁻¹) were transfer rate parameters, u was the tracer impulsive input into the accessible compartment, M_1 (mg) was the steady state tracee mass in the accessible compartment.

Tracee model equations were

$$M'_{1}(t) = 0 = -(k_{01} + k_{21})M_{1} + k_{12}M_{2}$$

$$M'_{2}(t) = 0 = -k_{12}M_{2} + k_{21}M_{1} + P$$

where M_2 is the steady state tracee mass in the compartment 2 and *P* was the rate of DSPC-palmitate *de novo* synthesis. Masses of palmitate residues were multiplied by 1.3025 to obtain DSPC masses. Data were presented as mean \pm SD and were compared by paired signed rank test (Wilcoxon test). The level of significance was p < 0.05 Correlations were assessed by parametric (Pearson) and nonparametric (Spearman) methods as appropriate (SPSS12.0).



Figure 1. The bi-compartmental model of DSPC kinetics. Compartment M_1 denotes the accessible alveolar pool, compartment M_2 the intracellular storage pool, F_{21} , F_{12} , F_{01} are intercompartmental fluxes, P is the rate of DSPC *de novo* synthesis, u is the tracer input. The dashed line with bullet denotes the measurements.

RESULTS

Seventeen ventilator dependent preterm infants were recruited and completed the first part of the study (Before DEXA).

All patients were on synchronized intermitted mandatory ventilation during the study. Three of the 17 infants were rapidly extubated after the start of DEXA; thus only 14 infants were on ventilator long enough to complete the second phase of the study (After DEXA). Mean birth weight of the 14 infants was 786 \pm 192 g and gestational age 26 \pm 1 wk. DEXA was started at a postnatal age of 31 \pm 3 d with a mean FiO₂ of 0.7 \pm 0.1%. The first dosing of the DPPC tracer was administered at postnatal age 22 \pm 11 d, and the second at 33 \pm 11 d, and after 46 \pm 5 h from the start of DEXA.

One infant developed lung infection after 4 d of DEXA (*i.e.*, on day 2 of the second part of the study). Lung infection was diagnosed as worsening the respiratory failure, with temperature instability, increased leukocyte count and serum C-reactive protein level, and positive culture from tracheal secretions. MPO activity from tracheal aspirates increased from 847 mU/mL ELF before to 6284 mU/mL ELF during the infection. Data from this patient were excluded from the data analysis. Clinical characteristics of the 13 remaining infants before and after 2 d of DEXA are reported in Table 1. Eight infants improved their lung condition and survived to discharge, four died before hospital discharge several weeks after the study period, and one was transferred to another hospital after the study was completed. Six controls infants were selected from our surfactant kinetics database for having the same postnatal age as the study infants at the start of the "After DEXA" phase. These infants had the following clinical characteristics: birth weight 852 ± 129 g, postnatal age 30.1 ± 10.2 d, FiO₂ 0.41 \pm 0.12 and mean airway pressure (MAP) 5.9 \pm 1.3 cm H₂O. Birth weight, postnatal age, and MAP of control infants were not significantly different from those of the "After-DEXA" group. FiO2 was however significantly lower than that of the "After DEXA" group (p = 0.05).

Total protein content in tracheal aspirates, before and after DEXA is depicted in Fig. 2, panel A. The mean \pm SD was 8.8 \pm 8.6 mg/mL ELF before, and 3.1 \pm 2.1 mg/mL ELF after treatment (p = 0.046). MPO was 1822 \pm 1224 mU/mL ELF before, and 1261 \pm 987 mU/mL ELF after DEXA (p = 0.028) (Fig. 2 panel *B*). We also found a significant correlation between total protein content and MPO activity after DEXA treatment ($R^2 = 0.493$, p = 0.05, B coefficient 0.01, constant 1273).

DSPC alveolar pool (M_1) was significantly increased 46 ± 5 h after DEXA, from 8.2 ± 7.6 to 10.6 ± 11.3 mg/kg, (p = 0.039) (Fig. 3). In the age-matched control group not treated with DEXA, DSPC alveolar pool was 8.6 ± 5.3 mg/kg, and this was not significantly different from the alveolar pool size of the BPD infants before DEXA (p = 0.49).

DSPC kinetic parameters before and after DEXA are depicted in Table 2. DSPC synthesis, intercompartmental fluxes, and total DSPC mass tended to be higher already after 46 ± 5 h from the start of treatment. Changes in DSPC kinetics before and after DEXA were tested for correlation with



Figure 2. Panel *A* represents the mean \pm SD total protein content in tracheal aspirates before and after DEXA treatment. Data are expressed as mg/mL ELF. Gray column represents the mean \pm SD reduction in protein content after DEXA. Difference was statistically significant (p = 0.046). Panel *B* represents mean \pm SD myeloperoxidase (MPO) activity in tracheal aspirates before and after DEXA. Data are expressed as mU/mL ELF. Gray column represents the mean \pm SD reduction in MPO after DEXA. Difference resulted statistically significant (p = 0.028).



Figure 3. Mean \pm SD alveolar DSPC pools in the 13 study infants before and after DEXA (white and gray columns) and in the six controls (gray column). Data are expressed as mg/kg body weight. Significant difference was found between DSPC alveolar pool before and after DEXA (p = 0.039).

changes in ventilatory parameters, total protein and MPO activity. Significant correlations were found between DSPC tissue (M_2) changes and MAP variations ($\rho = 0.593$, p = 0.05). No correlation was found between DSPC kinetic parameters and total proteins and MPO content in tracheal aspirates.

DISCUSSION

In the present study, we used a method developed by our group based on the use of the safe (nonradioactive) stable

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Та	ble	e 2.	Bicompartmental	model	parameters	of	^E DSPC kinetics
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DSPC parameters	Before DEXA $(n = 13)$	After DEXA (n = 13)	p* before/after DEXA
M ₁ (mg/kg)	8.2 ± 7.6	10.6 ± 11.3	0.039
M_2 (mg/kg)	32.1 ± 14.7	36.9 ± 27.4	0.507
M _{tot} (mg/kg)	40.3 ± 17.9	47.5 ± 36.1	0.311
P (mg/day/kg)	11.0 ± 5.2	15.0 ± 12.3	0.311
F21 (mg/day/kg)	4.6 ± 3.8	7.3 ± 9.2	0.345
F12 (mg/day/kg)	15.2 ± 7.9	22.1 ± 21.1	0.221
R (%)	24.5 ± 13.1	29.6 ± 14.3	0.279

Data are expressed as mean \pm SD.

* p between before and after DEXA (Wilkoxon Sign Rank test).

DEXA, dexamethasone; M_1 (mg), DSPC mass in the alveolar compartment; M_2 (mg), DSPC mass in the tissue compartment; M_{tot} (mg/kg) DSPC total mass in the system; P (mg/d/kg), rate of DSPC de novo synthesis; F_{21} , F_{12} , F_{01} (mg/d), intercompartmental DSPC fluxes; R (%), recycling of DSPC, equal to the ratio between F_{21} and $F_{21} + F_{01}$ expressed as %.

isotopes to trace the changes of pulmonary surfactant DSPC induced by DEXA treatment. Patients were extremely low gestational age newborns with life-threatening respiratory failure and at a very high risk of developing BPD. We found, already after 46 \pm 5 h from the start of DEXA, a significant increase in alveolar DSPC and a trend toward higher DSPC synthesis.

This represents novel information. We also showed a reduced total protein content and a reduced MPO activity in tracheal aspirates, confirming previous findings in preterm infants with chronic lung disease (12–14,16,18).

Clinical considerations. Albeit, DEXA treatment is nowadays limited to a small number of preterm infants with life threatening respiratory failure, and its often dramatic effect in improving respiratory status and facilitating extubation is widely accepted (8,27). Thus, preterm infants with very severe respiratory failure are still being treated with corticosteroids, despite the awareness of the negative effects on neurodevelopment (8,27,28). The mechanisms for the improvement in lung function are not fully elucidated, and possibly include a down-regulation of lung inflammation (29) and a reduction of lung water (30,31). Scanty information exists on the role of DEXA on surfactant metabolism in general and more so in human infants.

We studied infants with very severe respiratory failure and high likelihood of developing BPD, who received DEXA after 3 wk of age. Dosing and time of administration were based on local guidelines at the time of the study. DEXA treatment led to extubation in 71% of the patients.

Effect of steroids on lung inflammation. We found a significant reduction of MPO activity after DEXA as a sign of decreased neutrophil sequestration in the lungs (32). Glucocorticoids are widely used for their capacity to suppress inflammation in chronic inflammatory diseases (7). The use of DEXA in BPD has been shown to suppress the expression of nuclear factor-kappa B in leukocytes and mononuclear cells obtained from tracheobronchial lavage fluid of preterm infants with chronic lung disease (13,14). Neutrophil infiltration has been shown to be important in the development of inflammation, edema and microvascular leakage in a variety of animal models and in humans (33–36). Thus, the decreased MPO

activity after DEXA suggests a direct anti-inflammatory action occurring rather early after treatment start. We also found that total proteins were significantly reduced after DEXA. Interestingly, total protein content and MPO activity after DEXA were significantly correlated, suggesting that neutrophil infiltration in the lungs and alveolar capillary protein leak are likely to be two interconnected phenomena. MPO values decreased progressively after DEXA, and the changes became significant after 2 d, suggesting that the anti-inflammatory effect of DEXA in preterm lungs is quite fast. Other mechanisms of improved lung function include a maturation of the alveolar-capillary barrier and increased lung water reabsorption (7,37). These mechanisms will be hard to demonstrate in humans with the available technology.

Effect of DEXA on surfactant. We found a marked effect of DEXA in increasing alveolar surfactant. Extensive literature exists on the role of corticosteroids on lung and surfactant maturation in preterm animals and in infants. Information on lung injury and lung inflammation is limited. In animal models of chronic lung disease and in preterm infants with BPD, profound alterations of surfactant phospholipids and proteins have been described. Seidner et al. reported that baboons with chronic lung disease had a higher DSPC synthesis and lower DSPC secretion compared with controls, leading to a low alveolar DSPC pool size (12% of the total lung pool) (38). At the time of killing, lung type II cells appeared hyperplastic and rich of lamellar bodies, similar to the type II cells observed in human BPD (38). Ballard et al. showed that 125-d-old baboons with chronic lung diseases had dysfunctional surfactant at 14 d and decreased amounts of SP-B (39).

We previously reported that alveolar DSPC was 8.9 ± 5.9 mg/kg body weight in preterm infants with developing BPD and not treated with steroids, and 14.4 ± 8.2 mg/kg body weight in gestational age- and birth weight-matched controls (20). In this study, the six control infants had 8.6 ± 5.3 mg/kg, and in the treated patients, it increased from 8.2 \pm 7.6 to 10.6 ± 11.3 mg/kg already at 46 ± 5 h from DEXA (p = 0.039). Performing the "After DEXA study" 2 d after treatment start represents a compromise between waiting long enough to detect significant changes in DSPC kinetics, and the risk of not being able to complete the study because of "early" extubations. Had we chosen to study the patients earlier, we would likely have found much smaller changes in surfactant kinetics. This is not only because of the time required for DEXA to show clinical effects but, perhaps more importantly, because of the slow turnover of pulmonary surfactant. We interpret a mean increase of 30% of the alveolar pool and of 20% of the tissue pool 2 d only after DEXA as a clinically significant change. A more sizable effect could have likely been found after 3 or 4 d, but at risk of losing patients because of "early extubation." Changes in DSPC tissue pool did not reach statistical significance probably because of the limited number of infants. However, the increment of total and tissue DSPC pools was significantly associated with a reduction in MAP. DSPC synthesis and rate of secretion from tissue to alveolar pool (F_{12}) were highly variable among study infants, but they all tended to be higher after DEXA. Increased DSPC synthesis was shown by Bunt et al. in preterm infants with RDS after prenatal steroids (40,41). In this study, we found that DSPC synthesis (P) was increased by one-third and DSPC secretion (F_{21}) almost doubled 46 ± 5 h after DEXA. Nevertheless, after 46 ± 5 h, six infants had alveolar DSPC values of less than 3.5 mg/kg, which is about 25% of what we reported in preterm infants with minimal lung disease (20). This finding warrants further investigations. Of note, four of these six infants subsequently died of irreversible respiratory failure.

BPD is multifactorial and the degree of inflammation and injury, the response to pharmacological treatment and the surfactant alterations vary greatly among patients. Genetic polymorphism may partially explain this variability (42,43).

In conclusion, in infants with life-threatening respiratory failure and developing BPD, DEXA treatment decreased oxygen dependency, facilitated extubation, decreased inflammatory markers and improved the surfactant status.

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