# Alveolarization in Retinoic Acid Receptor- $\beta$ -Deficient Mice

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## ABSTRACT

Retinoids bind to nuclear receptors [retinoic acid receptors (RARs) and retinoid X receptors]. RAR $\beta$ , one of three isoforms of RARs ( $\alpha$ ,  $\beta$ , and  $\gamma$ ), is expressed in the fetal and adult lung. We hypothesized that RAR $\beta$  plays a role in alveolarization. Using morphometric analysis, we determined that there was a significant increase in the volume density of airspace in the alveolar region of the lung at 28, 42, and 56 d postnatal age in RAR $\beta$  null mice when compared with wild-type controls. The mean cord length of the respiratory airspaces was increased in RAR $\beta$  null animals at 42 d postnatal age. Respiratory gasexchange surface area per unit lung volume was significantly decreased in RAR $\beta$  null animals at 28, 42, and 56 d postnatal age. In addition, alveolar ducts tended to comprise a greater proportion of the lung airspaces in the RAR $\beta$  null mice. The RAR $\beta$  null mice also had impaired respiratory function when compared with wild-type control mice. There was no effect of RAR $\beta$  gene deletion on lung platelet-derived growth factor (PDGF) receptor  $\alpha$  mRNA levels in postnatal lung tissue at several postnatal ages. However PDGF-A protein levels were significantly lower in the RAR $\beta$  null mice than in wild-type controls. Thus, deletion of the RAR $\beta$  gene impairs the formation of the distal airspaces during the postnatal phase of lung maturation in mice *via* a pathway that may involve PDGF-A. (*Pediatr Res* 57: 384–391, 2005)

#### Abbreviations

BrdU, bromodeoxyuridine
Lm, mean cord length
MV, minute volume
PDGF, platelet-derived growth factor
PDGF-Rα, platelet-derived growth factor receptor α
R, respiratory rate
RAR, retinoic acid receptor
RXR, retinoid X receptor
TV, tidal volume

Retinoids regulate the development of the conducting airways and the gas-exchange portion of the lung (1). The major biologically active retinoid, all-trans retinoic acid, is a metabolite of retinol (vitamin A) (2). Retinol can be stored within lung tissue esterified to fatty acids, where it can be released by retinyl ester hydrolases and then be converted to all-trans retinoic acid (1). All-trans retinoic acid binds with high affinity to retinoic acid receptors (RARs), which exist in three isoforms,  $\alpha$ ,  $\beta$ , and  $\gamma$  (3). Retinoid X receptors (RXRs) primarily bind 9-cis retinoic acid (3). RARs form a heterodimer with RXRs and bind to retinoid responsive elements in target genes (3). RARs and RXRs are members of the steroid hormone receptor superfamily of transcription factors (3).

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Massaro and co-workers (4,5) reported that all-trans retinoic acid increases the number of alveoli in rat lung. In the first study, postnatal rats that were treated with all-trans retinoic acid had increased numbers of alveoli and increased gas exchange surface area (4). A synthetic glucocorticoid, dexamethasone, decreases the number of alveoli in this animal model. All-trans retinoic acid overcame the inhibitory effects of dexamethasone on alveolarization in the postnatal rats (4). In the second study, elastase was insufflated into the lungs of adult rats to create a model of emphysema, *i.e.* the damaged lungs in the treated rats were characterized by fewer and larger alveoli and decreased gas exchange area (5). When the elastase-treated rats received an injection of all-trans retinoic acid, the emphysema-like effects of the elastase treatment were almost completely reversed, *i.e.* the number of alveoli was increased, the size of the alveoli was reduced, and the alveolar gas-exchange surface area was similar to that in control animals, which did not receive elastase (5). Together, the results of these studies are suggestive that all-trans retinoic acid regulates the forma-

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tion of alveoli in postnatal and adult rat lung. More recently, two groups of investigators reported that retinoic acid fails to reverse elastase-induced emphysema in adult mice (6,7).

In a previous study, we showed that alveolarization in postnatal RAR $\gamma$  gene-deleted mice is impaired (8). Alveolar formation is also impaired in RAR $\alpha$  gene-deleted mice (9). In the present study, we hypothesized that all-trans retinoic acid also binds to RAR $\beta$  in the lung and that activated, ligandbound RAR $\beta$  in turn directly or indirectly regulates genes that promote alveolarization. Massaro *et al.* (10) reported that RAR $\beta$  gene-deleted mice have smaller and more numerous alveoli in their lungs; however, alveolar surface area was not different between the control and RAR $\beta$  null mice. In the present study, we evaluated the effects of RAR $\beta$  gene deletion on morphologic, biochemical, and physiologic aspects of alveolarization in the neonatal mouse lung. Our results suggest that RAR $\beta$ , along with RAR $\gamma$  and RAR $\alpha$ , plays a role in postnatal lung alveolarization.

### **METHODS**

RAR $\beta$  gene-deleted mice were provided by Dr. Vincent Giguere (McGill University, Montreal, Quebec) and were bred at the Animal Research Facility at the Iowa City Veterans Affairs Medical Center, following a protocol approved by the Animal Research Review Committee at the University of Iowa. The targeted disruption of the RAR $\beta$  gene in these mice has been described previously (11). The resultant line is in a C57BL6, 129/SV+/C+ background. The life span of the RAR $\beta$  null mice does not differ from that of control, wild-type mice and is ~1.5-2.0 y (11). With the use of Northern blot analysis and an exon 6 cDNA probe, no RAR $\beta$  mRNA transcripts were detected in the RAR $\beta$  null mice (11). The mice were fed Harlan Teklab 7001 mouse chow and water ad libitum. Initially, male and female mice that were heterozygous for the RAR $\beta$  mutation were bred to obtain wild-type and homozygous RAR $\beta$  gene-deleted animals. Genotypes were confirmed using Southern blot analysis. The cDNA probe used to detect the mouse RAR $\beta$  gene was derived from intron 5 immediately 5' to the neomycin resistance gene insertion site and hybridized to bands that were 5.2 and 6.0 kb for the wild-type and RAR $\beta$  null mice, respectively (11). The RAR $\beta$  null mice used in these studies were derived from mating homozygous null male and female mice. Control, wild-type animals were derived from the same strain of mice and were progeny of matings of the heterozygous RAR $\beta$  mice that were used to produce the parental RAR $\beta$  null mice. Litter size did not vary between the wild-type and RAR $\beta$  matings. Animals were killed on various postnatal days, and their body weights and lung volumes were recorded. Approximately 12 litters of wild-type and 12 litters of RAR $\beta$  matings were used in this study. For some protocols, a portion of the lung was immediately frozen in liquid nitrogen and stored at  $-70^{\circ}$ C. Tissues that were collected from the wild-type and RAR $\beta$ animals were used for one type of measurement, except for the mice that were used for the CO uptake study, which were also used in the pressure-volume studies

Morphologic studies. For morphologic studies, the anterior chest wall was removed and the lungs were perfused with 2% paraformaldehyde in 0.1 M of sodium phosphate buffer (pH 7.0) via the right ventricle of the heart. The trachea was cannulated, and the cannula was tied firmly in place. The lungs then were filled through the trachea with 2% paraformaldehyde at 20 cm  $H_2O$ pressure and were maintained at this pressure for 18 h at 4°C. This inflation pressure has been used in previous studies describing the influence of retinoids on alveolarization (4,5,10). The lungs were monitored for leakage during this procedure, and only lungs that did not leak were used for further study. After fixation, the lungs were removed from the chest cavity, and the heart and mediastinal tissues were removed. The lungs were dehydrated and embedded in paraffin. Sections (3.5-µm thickness) were mounted on glass slides, deparaffinized, and stained with hematoxylin and eosin. Some sections were deparaffinized, then stained immunohistochemically for RARB. Briefly, for immunostaining, sections were hydrated in PBS, the endogenous peroxidase activity was quenched with 0.3% H2O2, and the sections were blocked with 1% horse serum. Sections then were incubated with the primary antibody (rabbit antihuman RAR $\beta$ ; Upstate Biochemical, Albany, NY; 1:1000) for 1 h at room temperature. The sections then were stained with a Vectastain Elite kit using the manufacturer's directions (Vector Laboratories, Burlingame, CA). Positive staining was visualized by incubation in diaminobenzidine substrate (3%) followed by counterstaining with hematoxylin.

Morphometry. Randomly chosen paraffin blocks of right lower lobe lung tissue that was obtained from wild-type and RAR $\beta$  null mice were sectioned and then stained with hematoxylin and eosin. Wild-type and RAR $\beta$  null mice were studied at 0, 12, 28, 42, and 56 d postnatal age. Sections (two per mouse) were chosen at random, and six randomly selected microscopic fields of respiratory airspaces (alveoli, alveolar sacs, and ducts) from the lung sections were photographed at ×200. The photographs were uniformly enlarged, overlaid with transparent grids, and analyzed using morphometric methods (12). The volume densities of airspace and tissue were determined by point counting using a 10  $\times$  10 grid with 100 evenly spaced points, ~42  $\mu$ m apart, as described previously (8). A further analysis of the 28-, 42-, and 56-d-old samples was conducted to measure the volume density of the airspace occupied by alveoli versus alveolar ducts and sacs as described by Kawakami et al. (13). Alveoli were considered to be the smallest airspaces encompassed by alveolar wall tissue or by an imaginary straight line drawn across their opening into an alveolar duct or sac. Alveolar ducts and sacs refer to the cylindrical core of airspace that was internal to the openings of alveoli. Mean cord lengths (Lm) were determined by counting intersections of airspace walls (including alveoli, alveolar sacs, and alveolar ducts) with an array of 70 lines, each  $\sim$ 33  $\mu$ m long (8). The Lm is an estimate of the distance from one airspace wall to another airspace wall. The star volume method was used to calculate the volume of individual alveoli (14,15). A system of 48 evenly spaced test points (crosses) was superimposed on each photograph in a random orientation. Test points that fell within the airspace of an alveolus or within airspace in an alveolar duct or alveolar sac were used to mark airspace structures that were analyzed further. The distance from one wall of the marked airspace to the opposite wall was measured using a ruler in the same orientation as the horizontal portion of the cross on the transparent grid. This dimension is the point-sampled intercept (1) and was used to calculate the volume of the individual airspaces using the formula  $l^{3\pi}/3$ . An average of 34 alveoli and 19 alveolar ducts or sacs were measured per animal. The grids used for morphometry were enlarged from those described previously (12). All morphometric measurements were made by two or three independent observers who were unaware of the genotypes of the animals being analyzed. Several animals were analyzed per condition, and at least six photographs were analyzed per animal. The volume densities of the airspace and tissue, the Lm, and the alveolar surface area were calculated as described previously (8). Surface areas are expressed per  $cm^3$  of distal lung tissue. Means and SEMs were calculated for each condition, and statistical comparisons were performed using ANOVA and unpaired t test (16). To estimate the sampling error in our morphometric measurements, we calculated the SEM expressed as a percentage of the mean. For the volume density of airspace data, this parameter varied from ~1 to 4.7% (mean 2.7%). For the volume density of tissue data, this parameter varied from 2.1 to 11.3% (mean 6%)

Bromodeoxyuridine labeling. At postnatal days 4, 7, 12, and 16, wild-type and RAR $\beta$  null mice received 10 mg of bromodeoxyuridine (BrdU) per 100 g of body weight via an intraperitoneal injection 4 h before being killed. After fixation, paraffin embedding, and sectioning of the lung tissue as described above, randomly chosen sections were deparaffinized, hydrated, and then incubated for 30 min in 0.5% H<sub>2</sub>O<sub>2</sub> in 0.05 M of NaH<sub>2</sub>PO<sub>4</sub> and 0.05 M of (Na)<sub>3</sub> citrate (pH 5.5). After washing three times with PBS, the sections were incubated for 1 h in 2 N of HCl, and the HCl then was neutralized by washing twice with 0.1 M of Na borate (pH 8.5) and then with 50 mM of Tris-HCl (pH 7.5) and 2 mM of EDTA. The sections then were incubated for 10 min at 25°C in 0.125 mg/mL of Pronase E in 50 mM of Tris-HCl (pH 7.5) and 2 mM of EDTA and rinsed once with PBS that containing 2 mg/mL of glycine. The sections next were incubated at 4°C overnight in 7.5 U/mL of mouse-anti BrdU antibody (Roche Biochemicals, Indianapolis, IN) diluted in PBS that contained 0.2% Tween-20, 0.5% BSA, and 2% normal goat serum. The sections then were rinsed three times for 10 min each with PBS plus 0.5% BSA and once with PBS. The peroxidase-conjugated antibody was localized using a diaminobenzidine substrate as described above. The peroxidase-containing cells in alveoli were distinguished from cells that were located in airways and blood vessels and enumerated using a microscope at ×200 magnification. At least 300 cells per section were counted; conducting airway and blood vessel cells were excluded from the 300 cells. Sections from three wild-type and 3 RAR $\beta$ null mice were used for the 4-, 10-, and 12-d time points. At 7 d, four animals per condition were used. At 16 d, two animals per condition were used.

**Respiratory mechanics.** At postnatal age 56 d, mice were weighed and placed in the 100-mL restrainer of a Tidal Volume Meter (Columbus Instruments, Columbus, OH). The head was placed and sealed in the nose cone with a pneumatic collar, and the pressure inside the restrainer was monitored with a pressure transducer that was connected to a digital-to-analog converter. Respiratory rate (R), tidal volume (TV), and minute volume (MV) were monitored until stable, and then eight readings were made. The mean R, TV,

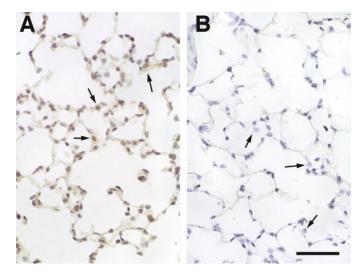
and MV were calculated from the eight repeated readings. CO uptake was monitored using a CO Uptake Monitor (Columbus Instruments) and 0.2% CO. The instrument was calibrated on the day of the study using a certified mixture of 2000 ppm of CO with the balance as air. The animals were confined to a 250-mL compartment during the measurement but were otherwise unrestrained. The respiratory rate was monitored during the measurement of CO uptake. The mice were exposed to the CO for 2 min, and the chamber was quickly purged with air and the animal was removed. The CO uptake measurements were normalized to the MV that was determined for the same animal immediately before the CO uptake measurement. The mice then were anesthetized with 100 mg/kg of ketamine and 15 mg/kg of xylazine. A tracheostomy was performed, and a 20-G cannula was inserted into the trachea and tied in place. This was attached to a constant volume ventilator (Harvard Apparatus, Cambridge, MA), and the lung was ventilated for 5 min with 100% oxygen to purge the  $N_2$ . The cannula was plugged, and the  $O_2$  was allowed to absorb from the lung while the blood continued to circulate, thereby inducing complete atelectasis. The thoracic cavity was opened, and the anterior chest wall was carefully resected. The lateral chest wall was cut, and the diaphragm was retracted caudally to allow full expansion of the lungs without impediment from the chest wall. The lung was gradually inflated to 30 cm H<sub>2</sub>O pressure and deflated in 100-µL increments using a Harvard PHD 2000 programmable syringe pump. Pressure was measured using a Validyne Model DP45-28 pressure transducer. The signal was conditioned by a Validyne carrierdemodulator and sent to a Kipp and Zonen strip-chart recorder. The transducer was calibrated using a water manometer. The compliance measurements were made within 15 min after the heart stopped, and lungs with leaks were excluded from the analysis. The volume-pressure data were expressed as described previously (17).

Analysis of platelet-derived growth factor receptor  $\alpha$  mRNA. Total RNA was isolated from whole lung tissue obtained at 0, 6, 10, 12, and 16 d postnatal age using RNAzol as described previously (18). Ribonuclease protection analysis was used to quantify the steady-state levels of the platelet-derived growth factor receptor  $\alpha$  (PDGF-R $\alpha$ ) mRNA in lung tissue from wild-type and RAR $\beta$  null mice at various postnatal ages. The mouse PDGF-R $\alpha$  cDNA was prepared from pMaRKS by removing the portion of the cDNA 3' to the PshA1 site and the attached 1 phage DNA (19). This left the 3' terminus of the cDNA proximal to the T7 promoter that was used to transcribe the plasmid after it had been linearized by restriction with StuI. Antisense cRNAs for PDGFR- $\alpha$  and for cyclophilin were synthesized using T7 viral RNA polymerase and an in vitro transcription kit from Boehringer-Mannheim (Indianapolis, IN). The transcripts were subjected to denaturing PAGE, and the full-length transcripts were excised from the gel and eluted (19). The ribonuclease protection analysis was performed using the method described previously and the protected [<sup>32</sup>P]-cRNA was resolved on 4%, 0.4-mm-thickness denaturing polyacrylamide gels (20). Yeast tRNA was used as a control for hybridization to RNA in a sequence-independent manner. Under the hybridization and RNase treatment conditions that were used, the yeast tRNA did not protect the probes from digestion. The gels were dried, and autoradiograms were prepared and subjected to densitometry (20). The size of the protected PDGF-R $\alpha$  mRNA was 440 bp. The quantity of the protected cRNA was normalized to the quantity of cyclophilin mRNA for the corresponding RNA sample to account for differences in the quantities of RNA that were assayed (19).

Immunoblotting for PDGF-A protein. Lung tissue collected at 28 d postnatal age from wild-type and RAR $\beta$  null mice was homogenized in sterile water that contained phenylmethylsulfonyl fluoride (1 mM), and the homogenates were centrifuged at 600 × g for 10 min at 4°C. The supernatants were collected, and the protein concentrations were measured using the method of Bradford (21). A total of 100  $\mu$ g of total protein from each homogenate was separated on a 10% polyacrylamide minigel (BioRad), then transferred electrophoretically to Immobilon membrane (Millipore, Bedford, MA). Membranes were incubated in rabbit anti–PDGF-A antibodies (Santa Cruz Biotechnologies, Santa Cruz, CA; 1:500), rinsed, then incubated in a secondary antibody as previously described (22). The immunoreactive protein was visualized by enhanced chemiluminescence (Amersham Life Sciences-USB, Arlington Heights, IL). The relative amount of PDGF-A protein was semiquantified by densitometry and expressed relative to levels in wild-type mice.

#### RESULTS

RAR $\beta$  was present in the nucleus of alveolar cells in wildtype control mouse lung tissue (Fig. 1). Many of the positively stained cells seemed to be alveolar type II cells on the basis of their cuboidal shape, round nucleus, and location in the corner of the alveoli. In contrast, no RAR $\beta$  immunostaining was detected in lung tissue obtained from RAR $\beta$  gene-deleted



**Figure 1.** RAR $\beta$  immunostaining. In wild-type mice, RAR- $\beta$  staining was primarily detected in the nuclei of alveolar cells. Many of the positively stained cells appeared to be alveolar type II cells (arrows) (A). No specific RAR- $\beta$  immunostaining was present in lung tissue obtained from the RAR $\beta$  null mice (B). Bar indicates 50  $\mu$ m.

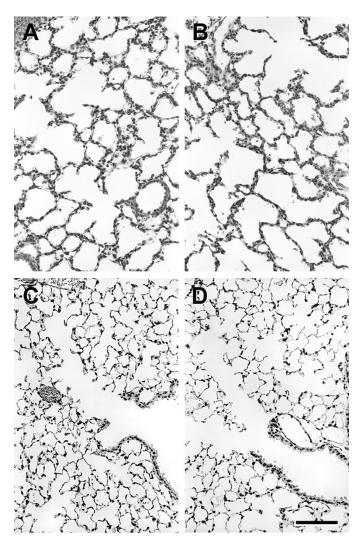
mice. Similar results were obtained with immunoblot analysis, *i.e.* an immunoreactive band of ~50 kD was detected in homogenates of wild-type lung tissue, but no corresponding band was detected in homogenates of lung tissue from the RAR $\beta$  null mice (data not shown).

Body weights (in grams) at 0 and 12 d postnatal age did not differ between the wild-type controls and RAR $\beta$  null animals (day 0: wild-type =  $1.6 \pm 0.1$  and RAR $\beta$  null =  $1.8 \pm 0.1$ ; day 12: wild-type =  $6.3 \pm 0.4$  and RAR $\beta$  null =  $7.2 \pm 0.3$ ). At 28 d postnatal age, the body weights of the RAR $\beta$  null animals were slightly but significantly lower than those of wild-type control mice (18.1  $\pm$  0.3 wild type and 15.5  $\pm$  0.6 RAR $\beta$  null; p < 0.05). However, at 42 and 56 d postnatal age, there was no difference in the body weights of the two groups of animals (42 and 56 d: wild-type =  $20.1 \pm 1.3$  and  $25.2 \pm 0.3$ , respectively; RAR $\beta$  null = 21.1 ± 0.2 and 25.1 ± 0.8, respectively). There was no difference in either the lung volumes or the ratio of the lung volume to body weight between the RAR $\beta$  null mice and the control, wild-type mice at 28, 42, and 56 d postnatal age (data not shown). It was not possible to measure accurately lung volumes by displacement at 0 and 12 d postnatal age. There was no significant effect of the sex of the animal on the body weight or lung volume variables.

The morphology of distal lung tissue at postnatal day 0 (the day of birth) did not seem to differ between the wild-type and RAR $\beta$  gene-deleted mice (Fig. 2A and B). In the mouse, alveolarization is primarily a postnatal event, largely occurring within the first 2 postnatal wk but continuing until ~6 wk of age (23). When lung tissue collected at 56 d postnatal age was examined, there seemed to be a greater proportion of alveolar ducts in lung of the RAR $\beta$  null mice when compared with wild-type lung tissue (Fig. 2C and D).

There were no significant differences in the volume densities of the airspace or tissue at the 0- or 12-d postnatal age time points when the control and RAR $\beta$  null lung tissues were compared (data not shown). In contrast, the volume density of





**Figure 2.** Light micrographs of lung tissue obtained from wild-type and RAR $\beta$  null mice at 0 and 56 d postnatal age. (*A*) Wild-type lung, 0 d postnatal age. (*B*) RAR $\beta$  null lung, 0 d postnatal age. (*C*) Wild-type lung, 56 d postnatal age. (*D*) RAR $\beta$  null lung, 56 d postnatal age. There was no obvious difference in lung structure between the wild-type and RAR $\beta$  gene-deleted mice at the 0-d postnatal age time point. However, at 56 d of age, the lung tissue in the RAR $\beta$  null mice seemed to have less gas-exchange surface area and a greater proportion of alveolar ducts and sacs. Bar = 100  $\mu$ m.

airspace in the distal lung was significantly greater in lung from RAR $\beta$  null mice than in lung from wild-type mice at the 28-, 42-, and 56-d postnatal time points (Table 1). Conversely, the volume density of tissue was significantly lower in the lung

from RAR $\beta$  null mice than in lung from control animals at the 28- and 42-d postnatal time points (Table 1). The Lm of air spaces declined as a function of postnatal age in both wild-type and RAR $\beta$  null mice (Table 1). There was no significant difference between the two conditions in the Lm at any time point studied except at 42 d postnatal age, when it was significantly greater in the RAR $\beta$  null animals when compared with wild-type controls. However, there was a trend for the Lm to be slightly larger in the RAR $\beta$  null mice at the 28-, 42-, and 56d postnatal age time points (Table 1). At 28, 42, and 56 d postnatal age, the gas-exchange surface area per cm<sup>3</sup> of lung tissue was decreased by ~30% in the RAR $\beta$  null mice when compared with the wild-type control mice (Table 1). The mean volume of alveoli and number of alveoli were determined at 28, 42, and 56 d postnatal age (Table 1). There was no significant difference in the mean volume of individual alveoli at any age in the wild-type mice versus the RAR $\beta$  null mice (Table 1). The number of alveoli per  $cm^3$  of lung tissue also did not differ between the wild-type and RAR $\beta$  null mice (Table 1). The distribution of alveolar volumes likewise did not differ significantly between the wild-type and RAR $\beta$  null mice (Fig. 3). However, we did find that alveolar ducts and alveolar sacs comprise a significantly greater proportion of the tissue in the RAR $\beta$  null mice at 28, 42, and 56 d postnatal age (Fig. 4).

We evaluated CO uptake and pressure/volume characteristics in wild-type and RAR $\beta$  null mice at 56 d postnatal age to ensure that the animals were large enough to obtain accurate measurements (Fig. 5). CO uptake was significantly reduced in the RAR $\beta$  null mice when compared with wild-type mice at 56 d postnatal age (Fig. 5A). The pressure/volume characteristics of the lung were not significantly altered at the 56-d postnatal age time point (data not shown).

Evaluation of BrdU labeling, used to identify dividing cells, revealed that there was no difference in the proportion of labeled cells in the distal, alveolar region of the lungs, excluding blood vessels and conducting airways, in wild-type *versus* RAR $\beta$  null mice during the period of peak alveolarization [days 4 to 16 (Fig. 6)]. The proportion of labeled cells was highest at the earliest time point (4 d postnatal age) and declined thereafter to essentially zero at 16 d postnatal age (Fig. 6). There was no significant difference in the thickness of the alveolar walls in wild-type *versus* RAR $\beta$  null mice at any of the time points examined in this study (Fig. 7).

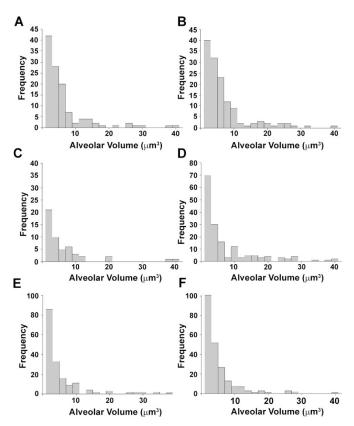
Animals in which the PDGF-A gene is deleted have impaired lung septation and alveolarization in the postnatal period

Table 1. Morphometry of lung tissue from wild-type and RAR $\beta$  null mice

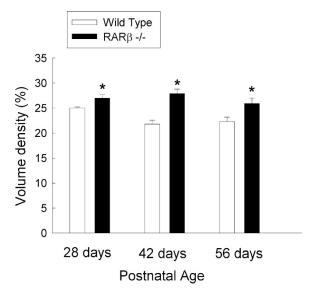
Parameter	4 Weeks postnatal		6 Weeks postnatal		8 Weeks postnatal	
	Wild type	$RAR\beta$ null	Wild type	RAR $\beta$ null	Wild type	$RAR\beta$ null
n	4	5	3	4	5	5
Airspace volume density (%)	$0.67\pm0.01$	$0.72 \pm 0.01*$	$0.68\pm0.02$	$0.74 \pm 0.01*$	$0.64\pm0.02$	$0.71 \pm 0.01*$
Tissue volume density (%)	$0.33\pm0.01$	$0.28 \pm 0.01*$	$0.32\pm0.02$	$0.26 \pm 0.02*$	$0.33\pm0.03$	$0.29\pm0.01$
LM (µm)	$36.4 \pm 2.3$	$41.4 \pm 1.7$	$30.2 \pm 0.6$	$36.4 \pm 1.5^{*}$	$29.0 \pm 1.1$	$32.3 \pm 1.3$
Surface area $(cm^2 per cm^3)$	$344.6 \pm 19.3$	$248.8 \pm 20.1*$	$443.3 \pm 30.1$	271.3 ± 17.2*	$489.5 \pm 46.8$	359.1 ± 14.2*
Alveolar volume ( $\mu m^3 \times 10^4$ )	$5.09\pm0.50$	$5.70\pm0.33$	$4.19\pm0.92$	$5.47\pm0.88$	$4.19\pm0.95$	$3.69\pm0.26$
No. of alveoli per cm <sup>3</sup> Lung ( $\times 10^6$ )	$8.60\pm0.85$	$7.92\pm0.44$	$11.82 \pm 1.98$	$9.39 \pm 1.24$	$12.38\pm3.10$	$12.61 \pm 0.99$

Data are mean  $\pm$  SEM.

\* p < 0.05 vs wild-type condition at the same age, unpaired t test.

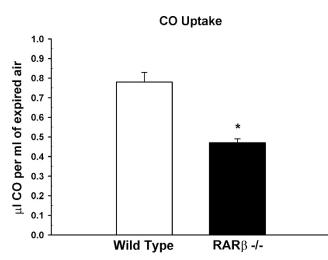


**Figure 3.** Frequency distribution of alveolar volumes in wild-type and RAR $\beta$  null mice. The volume of individual alveoli was measured in wild-type mice at 4 wk (*A*), 6 wk (*C*) and 8 wk (*E*). and in RAR $\beta$  null mice at 4 wk (*B*), 6 wk (*D*), and 8 wk (*F*). There was no difference in the distribution of alveolar volumes as determined by  $\chi^2$  analysis.



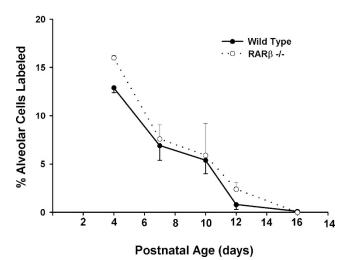
**Figure 4.** Morphometric analysis of the volume density occupied by alveolar duct air and alveolar sac air in lung tissue obtained from wild-type and RAR $\beta$  null mice at 28, 42, and 56 d postnatal age (n = 3-5 for each condition). The data are expressed as percentage of volume density. Alveolar ducts and sacs occupied a significantly greater proportion of the lung tissue in the RAR $\beta$  null mice (\*p < 0.05, unpaired *t* test).

(24,25). PDGF-R $\alpha$  binds PDGF-A dimers (24,25). Therefore, PDGF-A protein and PDGF-R $\alpha$  mRNA levels were evaluated in wild-type *versus* RAR $\beta$  null mice. Mouse lung PDGF-A



**Figure 5.** Physiologic function in wild-type *vs* RAR $\beta$  null mice. (*A*) CO uptake. At 56 d postnatal age, the RAR $\beta$  null mice (n = 6) had significantly decreased CO uptake when compared with wild-type mice (n = 6). The data are expressed as  $\mu$ l of CO taken up per ml of expired air (\*p < 0.05, *t* test).

BrdU Labeling

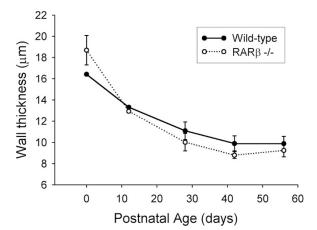


**Figure 6.** BrdU incorporation by nuclei of alveolar cells. Postnatal wild-type and RAR $\beta$  null mice received an injection of BrdU to evaluate cell division in the distal lung gas-exchange tissue. The data are expressed as the percentage of alveolar cells that were BrdU labeled. There was no difference between the wild-type and RAR $\beta$  null mice in the percentage of BrdU-labeled cells in the alveolar region at any of the postnatal time points examined.

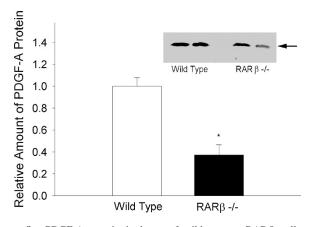
migrated at ~34 kD (Fig. 8 insert). There was a significant decrease in the relative amount of PDGF-A in the lung tissue from RAR $\beta$  gene-deleted mice when compared with levels in wild-type mice (Fig. 8). Measurements of PDGF-R $\alpha$  mRNA levels made at 0-, 6-, 10-, 12-, and 16-d postnatal time points revealed no significant difference in the relative amount of PDGF-R $\alpha$  mRNA in lung tissue obtained from wild-type control *versus* RAR $\beta$  null mice at any time point examined. In addition, the levels of PDGF-R $\alpha$  mRNA remained relatively level during this period (data not shown).

## DISCUSSION

There are three isoforms of RARs in the mouse:  $\alpha$ ,  $\beta$ , and  $\gamma$  (3,26). Until recently, no abnormal lung phenotype had been



**Figure 7.** Wall thickness in wild-type and RAR $\beta$  null mice at several postnatal ages. The thickness of the walls of alveoli, alveolar sacs, and alveolar ducts declined over time in both wild-type and RAR $\beta$  null mice (n = 3-5 per condition). There was no significant difference in wall thickness in the wild type *vs* the RAR $\beta$  null mice at any time point.



**Figure 8.** PDGF-A protein in lungs of wild-type vs RAR $\beta$  null mice. Densitometric data for PDGF-A protein present in lung tissue obtained from wild-type animals (n = 6) and RAR $\beta$  null animals (n = 6), all at 28 d postnatal age. There was a significant decrease in the PDGF-A content of the RAR $\beta$  null lung tissue when compared with wild-type controls (\*p < 0.05, unpaired t test). (*Insert*) Representative immunoblot for PDGF-A in lung tissue from two wild-type and two RAR $\beta$  null mice at 28 d postnatal age. The immunoreactive PDGF-A migrated at ~34 kD (*arrow*).

associated with deletion of any of these genes (8,10,27). However, others have shown that simultaneous deletion of RAR $\alpha$ and RAR $\beta$  produces pulmonary hypogenesis or agenesis, which is usually unilateral (28). Some investigators have speculated that the three RAR isoforms are redundant and that deletion of only one RAR isoform may have minor effects because the RAR isoforms that are still present may replace the deleted RAR isoform (3). However, data from RAR $\gamma$  genedeleted mice suggest that there may be specific lung abnormalities that are associated with deletion of an individual RAR gene (8). The RAR $\gamma$  null mice were characterized by decreased elastin content, decreased alveolar number, and an increase in the Lm of alveoli at 4 wk of age (6). In addition, it was reported recently that RAR $\alpha$  gene-deleted mice have decreased alveolar surface area and decreased numbers of alveoli when compared with wild-type controls (9). It is interesting that the effect of the RAR $\alpha$  gene deletion is not apparent until 50 d postnatal age (9).

RAR $\beta$  is expressed in the fetal and adult lung (29). RAR $\beta$ may play a role in branching morphogenesis in early stages of fetal lung development (30). More recently, it was reported that RAR $\beta$ 2 and RAR $\beta$ 4 mRNAs are present in postnatal mouse lung tissue, with the highest levels occurring at postnatal days 1–15, when levels are several times greater than in adult lung tissue (31). In situ hybridization showed that the RAR mRNA was expressed in alveolar regions of the lung (31). Yang et al. (32) showed that overexpression of a dominant negative RAR in the postnatal lung on days 1-21 after birth resulted in larger alveoli, fewer alveoli, fewer alveolar epithelial cells, and decreased alveolar surface area in the treated mice. These results suggest that RARs are required for postnatal alveolarization in the mouse lung. In the present study, RAR $\beta$  was detected in alveolar epithelial cells. We observed significantly decreased tissue volume density and decreased gas-exchange surface area in RAR $\beta$  gene-deleted animals. Because no morphologic effects of the RAR $\beta$  gene deletion were observed in lung harvested at the day of birth or at postnatal day 12, the results of the altered developmental processes in the RAR $\beta$  null mice apparently become manifest during later postnatal lung development. The delayed onset of the defect could indicate that the pulmonary defects are secondary to another abnormality, such as an endocrine or metabolic defect. If the effects of the RAR $\beta$ null mutation were due to a difference in retinoid levels, then one would predict that the body weights of the RAR $\beta$  null mice would be lower than in the wild-type mice; however, they were not different, except at the 4-wk time point.

Mice in which the RAR $\beta$  gene had been deleted also had impaired lung function. The decrease in gas exchange surface area uptake in the RAR $\beta$  gene-deleted mice correlated well with the decrease in lung surface area detected morphometrically, as one would expect for a functional correlate of alveolar gas-exchange surface. There was no difference in the thickness of the alveolar wall in the RAR $\beta$  gene-deleted mice versus the wild-type mice. Therefore, we attribute most of the decrease in gas transfer in the RAR $\beta$  null mice to the decreased gasexchange surface area. The uptake of CO is dependent on alveolar ventilation, capillary blood flow, and the diffusion of the gas across the alveolar epithelial-capillary membrane. At resting ventilation, diffusion of CO is not a limiting factor in the transfer of the gas into the blood. At rest, the major factors involved are alveolar ventilation and blood flow. We made an effort to correct partially for differences in alveolar ventilation by normalizing to the exhaled MV, but this did not correct precisely for differences in alveolar ventilation because deadspace ventilation was not excluded. The distribution of deadspace versus alveolar ventilation is determined by such factors as airway closure and alveolar recruitment. Although these processes are influenced by the elastic properties of the lung, they are also highly dependent on the physiologic characteristics of the airways. We did not examine changes in the conducting airways in our study, but it is possible that the RAR $\beta$  null mutation results in changes in the physiologic characteristics of the conducting airways. Alveolar surface area is correlated with the uptake of CO; however, the correlation may not be ideal. During the measurement of CO uptake in our study, the mice were at rest; therefore, their entire alveolar

surface was probably not involved in the exchange of CO. In contrast, the morphometric determination of gas exchange surface area estimated the total surface area and was not influenced by the demand for alveolar ventilation, conducting airway physiology, or alveolar recruitment. Similarly, the surface area measurement was independent of capillary blood flow. It is possible that the surface area abnormality in RAR $\beta$  null mice may be accompanied by a defect in capillary formation. Abman and co-workers (33,34) reported that treatment of newborn rats with a vascular endothelial growth factor inhibitor decreases blood vessel formation and alveolarization. In summary, there are several unexplored possibilities to explain how the RAR $\beta$  mutation results in decreased gas-exchange surface area, and we have not yet investigated all of the possible factors.

Mice in which the PDGF-A gene is deleted have impaired postnatal lung alveolarization (24,25). PDGF-A dimers bind to a receptor, PDGF-R $\alpha$  (24,25). In PDGF-A gene-deleted mice, PDGF-R $\alpha$  is not expressed in the walls of the prealveolar saccules, and alveolar septation in the postnatal period fails to occur normally (24,25). Thus, there is strong evidence from genetic models that PDGF-A and its receptor may play an important role in postnatal alveolarization. It has been reported that both PDGF-A and PDGF-R $\alpha$  are regulated by retinoic acid (35-37). Furthermore, it has been shown that retinoic acid stimulates immature lung fibroblast growth via PDGFmediated mechanisms (38). We found that the levels of PDGF-A protein were significantly lower in the lungs of RAR $\beta$  null mice *versus* those in wild-type control mice. In contrast, the levels of PDGF-R $\alpha$  mRNA were not different in the two conditions. We examined PDGF-R $\alpha$  mRNA at several postnatal time points and did not identify a difference between the wild-type and RAR $\beta$  null animals. Thus, RAR $\beta$  may regulate alveolarization via the PDGF-A/PDGF-R $\alpha$  axis in the neonatal mouse because a deficiency in PDGF-A has been linked to a profound defect in alveolarization.

Massaro et al. (10) reported that the rate of alveolarization is increased in RAR $\beta$  gene-deleted mice. The RAR $\beta$  null animals used in the study by Massaro and co-workers (11,27) were generated by deleting exon 10, whereas the RAR $\beta$  null animals used in the present study were generated by deleting exon 6 of the RAR $\beta$  gene. In both animal models, however, the expression of RAR $\beta$  mRNA was ablated. Although the size of the alveoli was decreased and the number of alveoli increased in the studies of Massaro and co-workers, alveolar surface area was not affected by the RAR $\beta$  null mutation in their study. In contrast, we observed a significant decrease in gas-exchange surface area in the lungs of RAR $\beta$  null mice at 28, 42, and 56 d postnatal age. A major difference between the morphometric analysis performed by Massaro and co-workers and the present study is that we included alveolar ducts and alveolar sacs in our determination of airspace and tissue volume densities, whereas Massaro and co-workers did not include these structures in their analysis.

We hypothesized that the RAR $\beta$  gene may affect alveoli and alveolar ducts differently. Alveolar ducts occupy ~33% of the alveolar acinar volume in rats; thus, they make a sizable contribution to the surface area in the distal lung (39). In our

study, we found that alveolar ducts and sacs occupy ~37% of the respiratory airspace volume in the mice. It has been proposed that pulmonary alveoli form via septation of saccules in the immature lung (40). This process occurs primarily postnatally in mice, rats, and humans (40). We may have detected a decrease in tissue volume density and gas-exchange surface area in the RAR $\beta$  null mice in the present study because these parameters are derived from alveoli, alveolar sacs, and alveolar ducts. Because alveolar ducts, alveolar sacs, and alveoli all participate in the gas-exchange process, our methods may better predict the physiologic properties of the respiratory unit in the airspace of the distal lung. This is supported by our observation that the ~30% decrease in surface area that we detected morphometrically was accompanied by a similar decrease in CO uptake. It is interesting that Nagai et al. (41) showed previously that treatment of postnatal rats with indomethacin, a prostaglandin synthesis inhibitor, results in decreased gas-exchange surface area and an increased volume density of alveolar ducts. Treatment with  $\beta$ -aminopropionitrile, a lysyl oxidase inhibitor, to newborn rats also results in decreased alveoli and an increased volume density of alveolar ducts and sacs (42). Alveolarization, which initially occurs by septation of structures that become the alveolar ducts, is sensitive to the disruption of collagen and elastin synthesis, blood vessel formation, oxygen, and other mediators (43). Thus, the formation and maintenance of alveolar ducts in the neonatal lung is regulated differently than the formation of alveoli. Additional studies directed at understanding the mechanisms of development of the distal lung in RAR $\beta$  null mice may help further define the role of this gene in the genesis of a normal gas-exchange unit in the lung.

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