# Endotoxin Increases Sleep and Brain Allopregnanolone Concentrations in Newborn Lambs

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# ABSTRACT

Infection has been identified as a risk factor for sudden infant death syndrome (SIDS). Synthesis of allopregnanolone, a neuroactive steroid with potent sedative properties, is increased in response to stress. In this study, we investigated the effect of endotoxin (lipopolysaccharide, LPS) on brain and plasma allopregnanolone concentrations and behavior in newborn lambs. LPS was given intravenously (0.7  $\mu$ g/kg) at 12 and 15 d of age (n = 7), and resulted in a biphasic febrile response (p < 0.001), hypoglycemia, lactic acidemia (p < 0.05), a reduction in the incidence of wakefulness, and increased nonrapid eye movement sleep and drowsiness (p < 0.05) compared with saline-treated lambs (n = 5). Plasma allopregnanolone and cortisol were significantly (p < 0.05) increased after LPS treatment. These responses to LPS lasted 6-8 h, and were similar at 12 and 15 d of age. Each lamb was then given LPS at 20 d of age and killed 3 h posttreatment to obtain samples of the brain. Allopregnanolone concentrations were increased (p < 0.05) in all brain areas except the cerebellum and diencephalon. We suggest that LPS-induced increase of allopregnanolone in the brain may contribute to somnolence in the newborn, and may be responsible for the reduced arousal thought to contribute to the risk of SIDS in human infants. (*Pediatr Res* 52: 892–899, 2002)

#### Abbreviations

AS, active sleep AW, awake ECoG, electrocorticogram EMG, electromyogram EOG, electrooculogram GABA,  $\gamma$ -aminobutyric acid GABA<sub>A</sub>, GABA-A type receptor IS, indeterminate sleep LPS, lipopolysaccharide QS, quiet sleep SIDS, sudden infant death syndrome

Infection is a stress often faced by neonates and has been proposed as a risk factor in SIDS. The potential mechanism(s) by which infection could increase susceptibility to SIDS remain unclear. It is likely, however, that a SIDS death results from the coincidence of a number of events that together create a period of increased risk (1). We propose that one of the mechanisms involved is the increased production of sedative neuroactive steroids in response to infection, and that these steroids contribute to the lethargy and increased sleep associated with infection in neonates.

The pathology of infection and its effects on sleep-wake states has been extensively studied (2-4). Together with fever and other "acute phase" changes (such as increased cytokine

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production and cortisol levels), increased somnolence is one of the cardinal responses to infection in adults. Several components of the body's response to infection, including increased production of cytokines and prostaglandins, have been shown to induce sleep. Muramyl peptides and LPS associated with the cell wall of bacteria have also been shown to be somnifacient (5, 6). Although sleepiness may have an adaptive role that promotes rest and conserves energy, the combination of infection and somnolence in the newborn, with its high metabolic rate and low pulmonary oxygen stores, may increase the susceptibility of the infant to episodes of periodic breathing, sleep-associated apnea, and SIDS by ablating essential cardiorespiratory responses to hypoxia and asphyxia.

Neuroactive steroids are a group of steroids that influence CNS function and, in the adult, can be produced in the brain from cholesterol, or in peripheral organs such as the adrenal cortex and gonads. Progesterone, a key precursor for the synthesis of neuroactive steroids, has been shown to have a number of suppressive effects on brain function. These effects are mediated primarily by  $5\alpha$ -reduced metabolites, particularly allopregnanolone ( $3\alpha$ -hydroxy- $5\alpha$ -pregnan-20-one) (7). Allo-

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pregnanolone is a positive modulator of the ligand-gated ionotropic GABA<sub>A</sub> receptor, enhancing GABA<sub>A</sub> receptor function by prolonging the channel "open" time and increasing the inward Cl<sup>-</sup> current, thereby producing sedative, anesthetic, anticonvulsant, and anxiolytic effects (8, 9). Studies in the adult rat have shown that the administration of allopregnanolone induces anesthesia (10, 11) and alters sleep patterns (7). In addition, it has been suggested that these responses to allopregnanolone may be neuroprotective, particularly in the newborn (12).

We have previously shown that neuroactive steroids have potent suppressive effects on the CNS of fetal sheep (13). We have also found that inhibition of neuroactive steroid production increased arousal-like behavior in the late gestation fetus and reduced the incidence of spontaneous breathing movements (14). These findings suggest that neuroactive steroids increase GABA<sub>A</sub> activity to tonically inhibit the fetal CNS and suppress fetal breathing episodes. The source of steroid precursors in pregnancy is likely to be the placenta. However, it is not clear whether steroidogenesis in the newborn is responsive to stressful stimuli, and whether  $5\alpha$ -reduced steroids are produced in sufficient amounts to have physiologic effects on behavior, in particular, on sleep/wake activity.

In this study, we used LPS to mimic the effects of bacterial infection in the newborn lamb. Pregnenolone, progesterone, and allopregnanolone were measured in plasma and the brain. Experiments were repeated three times between 12 and 20 d of age to allow us to determine whether the responses were attenuated by repeated exposure to LPS, as has been observed in adult animals (15–17). Our results show that large changes in peripheral and central concentrations of allopregnanolone and increased sleep occur on exposure to LPS in the newborn lamb, with no evidence of tolerance.

### MATERIALS AND METHODS

**Animals.** Twelve lambs of Merino-Border Leicester cross ewes were allowed to deliver spontaneously in lambing pens in the physiology department animal house. The Monash University Standing Committee on Ethics and Animal Experimentation granted prior approval for use of the animals and all procedures performed. All lambs remained with their mother except for the experimental periods described below.

Surgical preparation. Aseptic surgery was performed on all lambs between 5 and 10 d of age to insert indwelling vascular catheters to obtain blood samples, and to implant electrodes for recording sleep states. Each lamb was deeply anesthetized by inhalation of halothane (Fluothane, ICI Australia Operations, Melbourne, Australia; 1-2% in O<sub>2</sub>), placed on a heating pad, and given antibiotics (Procaine penicillin, 200 mg; dihydrostreptomycin, 250 mg) by intramuscular injection. A pair of electrodes made from insulated multistranded stainless steel wire (Cooner Wire, Chatsworth, CA, U.S.A.) were implanted through 1-mm holes drilled in the skull over the parietal cortex to record the ECoG. Electrode pairs were also implanted at the inner and outer canthus of the left eye to record EOG, and bilaterally in the dorsal muscles of the neck to record EMG activity. Catheters were placed in a carotid artery and jugular

vein for blood sampling and administration of endotoxin, respectively. A saline-filled balloon catheter was inserted into the pleural cavity through a small incision at the fifth intercostal space to measure changes in the rate and depth of breathing from the changes of intrathoracic pressure. All electrodes and catheters were tunnelled under the skin and exteriorized through an incision on the upper back, and then secured beneath a vest of elastic netting. After surgery, lambs recovered in a sling under a heating lamp before being returned to their mother. Experiments did not commence until at least 4 d after surgery.

Experimental design. All experiments were conducted in a dark, sound-proofed sleep chamber with the lambs resting in a canvas sling. Temperature (22-23°C) and humidity (~40%) were kept constant throughout each study. The sleep chamber allowed for close monitoring of lambs via a video recorder, and this also assisted in the identification of sleep-wake states and other behavioral effects of endotoxin. ECoG, EOG, and nuchal muscle EMG activities together with arterial pressure and intrathoracic pressure were recorded on a polygraph. ECoG, EOG, and EMG activities were recorded using differential AC amplifiers with high-input impedance. Arterial blood pressure was recorded from a pressure transducer located at the level of the heart. Rectal temperature was monitored using a temperature probe inserted at the beginning of each experiment. All signals were displayed on a polygraph (Grass Instruments, Quincy, MA, U.S.A.) using a chart speed of 5 mm/min. Rate meters triggered by the arterial and intrathoracic pressure waveforms determined heart rate and breathing rate, respectively.

To avoid possible effects of diurnal rhythms, all experiments began at 0800 h. Recordings of sleep-wake states, rectal temperature, and other physiologic variables commenced 1 h before injection of endotoxin or vehicle. *Escherichia coli* LPS (0127:B8, Sigma Chemical, St. Louis, MO, U.S.A.) was administered intravenously as a bolus at a dose of 0.7  $\mu$ g/kg body weight dissolved in 5 mL of saline (n = 7 lambs). Vehicle-treated lambs received an i.v. bolus of 5 mL saline (n = 5 lambs). Sleep states and physiologic parameters were recorded continuously for 6 h after LPS or saline treatment.

Arterial blood samples (4 mL) were collected at -1, -0.5, 0, 0.5, 1, 2, 3, 4, 5 and 6 h, with respect to the saline or LPS injection at time 0 to determine changes of blood gases and pH, and the plasma concentrations of glucose, lactate, cortisol, pregnenolone, progesterone, and allopregnanolone. This 7-h experimental protocol was carried out on two occasions in each lamb, LPS or vehicle treated, at approximately 12 (range, 7-15) and 15 (13-18) d of postnatal age. At least 3 d elapsed between these two experiments. Then, at a third and final experiment at approximately 20 (17-21) d of age, each lamb received LPS or vehicle and was killed after 3 h using i.v. pentobarbitone. The brain was removed immediately (n = 4)per group), divided into blocks incorporating the cerebellum, midbrain, pons, medulla, diencephalon (thalamus and hypothalamus), hippocampus, frontal, primary, parietal, temporal and occipital cortex. Each block was frozen immediately in liquid nitrogen and stored at  $-70^{\circ}$ C until required for analysis of steroid.

Data analysis. Identification of sleep-wake states based on the chart records was as previously described (13, 18); the videotape recordings further confirmed these results. Briefly, AS was characterized by the presence of rapid eye movements (REM), and absent or reduced nuchal muscle EMG activity during low-amplitude, fast-wave ECoG activity. Lambs in AS also showed increased variability in respiration and blood pressure and occasional twitches of the face and limbs. QS was identified as episodes with reduced or no EOG activity and tonic EMG discharge of the nuchal muscles during highamplitude, slow-wave ECoG. During QS, there was also reduced variation in respiration, blood pressure, and body movements. Stages of sleep that could not be clearly identified as AS or QS, but were nonetheless a "drowsy-like" state, were defined as IS. Wakefulness was characterized by low-amplitude, fast-wave ECoG, with fast and slow eye movements, and tonic and highly variable EMG activity in the neck muscles. A common response to LPS treatment, shivering, was identified by visual observation and the presence of phasic bursts of activity in the nuchal EMG record. A polygraph trace showing the recordings obtained during the pretreatment period and at 3 h after LPS treatment in one lamb at 21 d of age is shown in Figure 1. For each experiment, the sleep/wake states of the entire recording were scored at 1-min intervals, and this data combined to obtain an average value for each 30-min epoch. The proportion (percentage) of the total time spent in a particular state (AS, QS, IS, AW) after saline or LPS treatment was then calculated.

*Analytical methods.* Arterial blood gases [partial pressure of arterial oxygen (Pao<sub>2</sub>), partial pressure of CO<sub>2</sub> (Paco<sub>2</sub>), pH, Hb, and oxygen saturation (SaO<sub>2</sub>)] were determined using a hemoximeter and an ABL-30 Acid-Base analyzer (Radiometer, Copenhagen, Denmark) with values adjusted for the prevailing rectal temperature of the lamb. Plasma glucose and lactate concentrations were measured using a 2300 STAT analyzer (YSI Inc., Yellow Springs, OH, U.S.A.).

Cortisol concentrations were measured by RIA validated for sheep plasma (19). The minimal detectable level of cortisol was 2.15  $\pm$  0.22 nmol/L (n = 10). The intra- and interassay coefficients of variance were 4% (n = 6) and 15% (n = 10), respectively.

Neurosteroid steroids were extracted from brain tissue and plasma by a modification of the method of Barbaccia et al. (20), as follows. Frozen brain samples were crushed with mortar and pestle on dry ice, and approximately 100-mg samples were homogenized in 1 mL (1:10 dilution) ice-cold 50% methanol containing 1% acetic acid. The homogenate was then centrifuged at 1800  $\times$  g for 15 min at 4°C and the supernatant collected. The pellet was resuspended twice in the above solution and the supernatants were collected, combined, and stored at  $-70^{\circ}$ C until required. Steroids were extracted from plasma and the supernatants from brain homogenates by passing them through a C18 Sep-Pak cartridge (Waters Associates, Milford, MA, U.S.A.), previously equilibrated by washing first with 2.5 mL 100% methanol, then with 2.5 mL 50% methanol, and then with 2.5 mL 50% methanol containing 1% acetic acid. After loading the samples, the cartridge was washed with 50% methanol containing 1% acetic acid followed



**Figure 1.** Polygraph recording showing ECoG, EOG, EMG, blood pressure (*BP*), and heart rate (*HR*) from a 21-d-old lamb. The record shows (*a*) 1 h of basal activity and (*b*) 1 h of recording 2 h after LPS treatment (0.7  $\mu$ g/kg i.v.). After LPS treatment there is increased incidence of high-amplitude ECoG and EOG activity. Tonic EMG discharge was also observed after LPS treatment, indicating shivering. There was a dramatic increase in heart rate after LPS treatment, however, there was little change in blood pressure between pre- and post-LPS treatment.

by 50% methanol, and the steroids eluted with 2 mL 100% methanol. The eluent was then brought to dryness under nitrogen and reconstituted in 1 mL assay buffer (0.1 M PBS, pH 7.0). Pregnenolone and progesterone were measured by specific RIA using antibodies provided by ICN Biomedicals (Seven Hills, NSW, Australia) and Dr. J. Malecki (Bairnsdale, Victoria, Australia), respectively, as previously described (21). Allopregnanolone was quantified by a specific RIA using a polyclonal antibody obtained from Dr. R.H. Purdy (San Diego, CA, U.S.A.), which has previously been characterized (22). Additional samples of brain containing tritium-labeled steroid were homogenized to estimate recovery. The recovery of each steroid through the extraction procedure (70-90%) was determined for each assay run and the data were corrected for this procedural loss. The limit of detection for pregnenolone was  $0.09 \pm 0.02$  pmol/tube (n = 6), for progesterone was  $0.12 \pm$ 0.02 pmol/tube (n = 10), and for allopregnanolone was 0.19 ±

0.03 pmol/tube (n = 11). The intra- and interassay coefficients of variance were as follows: pregnenolone, 5% (n = 4) and 16% (n = 14); progesterone, 8% (n = 4) and 19% (n = 29); allopregnanolone, 5% (n = 4) and 9% (n = 17).

Statistical analysis. Data were analyzed using SPSS software (v 10.0, SPSS, Chicago, IL, U.S.A.). Repeated-measures ANOVA were used to compare treatment, age, and the interaction between treatment and age. Homogeneity of variance was assessed using Levene's test, and the data were transformed into square root or log data before analysis. Where a significant interaction was found between study, treatment, and/or age the least significant difference test was used. Data are presented as mean  $\pm$  SEM; p < 0.05 was considered statistically significant.

### RESULTS

LPS treatments at 12 and 15 d of age. Injection of saline had no effect on rectal temperature, whereas LPS resulted in an increase of rectal temperature (p < 0.001) that was biphasic (Fig. 2). The peak of the first phase ( $40.0 \pm 0.2^{\circ}$ C) occurred at approximately 1 h post-LPS treatment and the peak of the second phase ( $40.5 \pm 0.3^{\circ}$ C) occurred at approximately 3 h post-LPS treatment. Thereafter, the rectal temperature decreased until at 6 h post-LPS treatment it was slightly but significantly greater than in the control period (Fig. 2). The febrile response differed slightly at the two ages: at 12 d of age the increase of temperature was slightly, but significantly greater at 3–4.5 h post-LPS compared with the response at 15 d of age. At 15 d of age, the increase of temperature at 1 h post-LPS was greater than at 12 d of age. However, in all other respects the biphasic change of temperature was remarkably



**Figure 2.** Effect of saline or LPS treatment on rectal temperature. Circles indicate saline treatment at 12 d (*filled circles*) and 15 d (*open circles*), and squares indicate LPS treatment at 12 d (*filled squares*) and 15 d (*open squares*) of age. Rectal temperature was measured from 30 min before treatment at time (0) and continued for 6 h posttreatment. Pretreatment values did not differ from time (0), and results are presented from this time point. LPS treatment resulted in a biphasic increase of rectal temperature from 30 min posttreatment at both ages. \*Significant differences (p < 0.05) between LPS and saline treatments at each time point; †rectal temperatures were different after LPS treatment at 12 and 15 d of age. Saline treatment had no effect on temperature. The break in the saline data represents the time where lambs had to be returned to the ewe for feeding. Data are shown as mean ± SEM for 30-min epochs for saline (n = 5) and LPS (n = 7).

similar at the two postnatal ages. LPS treatment also resulted in a marked increase in heart rate and the rate and depth of breathing, which was not different at each of the ages examined (data not shown).

LPS treatment (n = 7) resulted in a significant decrease of SaO<sub>2</sub> (96.8 ± 1.1 to 92.9 ± 2.1%, p < 0.05) and Pao<sub>2</sub> (117.3 ± 3.48 to 95.4 ± 6.9 mm Hg, p < 0.05) at 0.5 h post-LPS, and of Paco<sub>2</sub> (36.4 ± 0.4 to 31.6 ± 1.1 mm Hg, p < 0.05) at 1 h post-LPS. Saline treatment had no effect on blood gases and pH (n = 5). In the 12-d-old lambs, plasma glucose concentration was increased significantly at 1 and 2 h after LPS treatment but then decreased markedly (p < 0.05) to below control levels by 3 h posttreatment (Fig. 3*a*). In the 15-d-old lambs, plasma glucose did not increase at 1–2 h after LPS, but a significant (p < 0.05) reduction was observed by 3 h after treatment. This reduction in glucose concentration was similar to that observed at 12 d of age. Plasma lactate concentrations had increased



**Figure 3.** Time course of the effect of saline or LPS treatment at time (0) on plasma glucose (*a*) and lactate concentrations (*b*). Circles indicate saline treatment at 12 d (*filled circles*) and 15 d (*open circles*) and squares indicate LPS treatment at 12 d (*filled squares*) and 15 d (*open squares*) of age. LPS resulted in a prolonged hypoglycemia and lactic acidemia. Saline had no effect on either parameter. Data shown as mean  $\pm$  SEM for saline (n = 5) and LPS (n = 7). \*p < 0.05 between saline- and LPS-treated lambs at 12 d; †p < 0.05 between saline- and LPS-treated lambs at 15 d.

significantly by 1 h in both experiments at 12 and 15 d of age (p < 0.001) and remained significantly elevated for the duration of the experiment (Fig. 3*b*). Plasma glucose and lactate concentrations were not affected by saline treatment.

The duration of the experiments at 12 and 15 d of age, including the pretreatment period, was 7 h. With saline treatment, the lambs became restless after approximately 4 h in the sleep chamber (*i.e.* at 3 h posttreatment) and had to be returned to the ewe for feeding. This interruption was usually for 15-20 min, hence the recording of temperature and sleep was interrupted at this time. In contrast, LPS-treated lambs remained asleep or were drowsy for the entire 6-h period after endotoxin administration; hence, the record of rectal temperature (Fig. 2) and sleep states for these lambs was continuous. Figure 4ashows the effect of treatment on sleep/wake states for the hour beginning 2 h after treatment; *i.e.* immediately before the saline-treated lambs were returned to their mothers. LPS treatment resulted in a significant (p < 0.05) decrease in the incidence of wakefulness and increase in the incidence of indeterminate sleep when compared with control animals. When sleep/wake states were calculated as a percentage over the entire 6-h posttreatment period, LPS was also seen to have significantly reduced the incidence of wakefulness and increased the incidence of IS compared with saline-treated lambs (Fig. 4b; p < 0.05). QS was found to show a tendency toward higher levels after LPS treatment for both analyses, but this did not reach significance (Fig. 4a: p = 0.071; Fig. 4b: p = 0.095). LPS treatment had no effect on the incidence of AS. There were no differences in the effects of LPS on the incidences of sleep and wakefulness between the experiments at 12 and 15 d of age for both the hour preceding the return of the salinetreated lambs, or for the entire duration of the experiment.

Plasma allopregnanolone and cortisol concentrations are shown in Figure 5. For experiments at both postnatal ages, plasma allopregnanolone concentrations increased significantly (p < 0.05) after LPS treatment, from 1 h after LPS treatment until the end of the experiment (Fig. 5*a*). There was no significant change of plasma allopregnanolone after saline treatment. Basal levels of plasma allopregnanolone were also found to decrease with age (see below).

Plasma cortisol concentrations increased approximately 9-fold after LPS treatment (p < 0.05). The increase was significant by 1 h posttreatment (p < 0.05) and peak concentrations were observed at approximately 3 h, after which they declined but were still significantly greater than for the saline treatment at 6 h (p < 0.05). In the saline-treated lambs, there was a small but significant change in plasma cortisol levels (p < 0.05), but the concentrations observed at the end of the 6-h period were not different from the pretreatment values (Fig. 5*b*).

Plasma pregnenolone concentrations were below the level of detection of the assay for the duration of all experiments. Plasma progesterone was undetectable throughout the experiments in the saline-treated lambs, but low plasma concentrations (~0.03 nmol/L) were detected after LPS treatment (data not shown).

*LPS treatment at 20 d of age.* In these experiments, the lambs were killed 3 h after LPS or saline treatment. The effects



**Figure 4.** The effect of saline on sleep/wake states in newborn lambs at (*a*) 2–3 h posttreatment and (*b*) calculated over the duration of the 6-h experiment. *Black* and *hatched bars* indicate saline treatment at 12 and 15 d, respectively, and *open* and *checked bars* indicate LPS treatment at 12 and 15 d, respectively. Sleep/wake states were quantified from polygraph recordings and visual observation for either 1 h (*a*) or 6 h (*b*) after saline or LPS treatment. Total time spent in each sleep and wake state was calculated and the values represent the proportion of time (%) spent in that state. LPS treatment resulted in a decrease in wakefulness and an increase in the incidence indeterminate sleep for both figures. Values represent mean  $\pm$  SEM for saline (n = 5) and LPS (n = 7). \*p < 0.05 between saline- and LPS-treated lambs at 12 d; †p < 0.05 between saline- and LPS-treated lambs at 15 d.

of LPS on blood gases, acid-base status, plasma glucose, lactate, cortisol, and sleep/wake states for the time period of 0–3 h were not different to those observed at 12 and 15 d of age. Resting plasma allopregnanolone concentrations decreased significantly between 12 (57.0  $\pm$  0.7 nmol/L) and 15 d (49.0  $\pm$  0.6 nmol/L; p < 0.01), and between 15 and 20 d (40.1  $\pm$  1.3 nmol/L; p < 0.05). The relative increase of plasma allopregnanolone after LPS treatment was greater (92.8  $\pm$  12.1%; p < 0.05) at 20 d of age than at either 12 (51.9  $\pm$  8.7%) or 15 d of age (55.6  $\pm$  7.1%).

Allopregnanolone concentrations in the different regions of the brain obtained 3 h after saline or LPS treatment are shown in Figure 6. LPS treatment significantly increased allopreg-



**Figure 5.** Time course of the effect of saline and LPS on (*a*) plasma allopregnanolone and (*b*) cortisol concentrations. Circles indicate saline treatment at 12 d (*filled circles*) and 15 d (*open circles*), and squares indicate LPS treatment at 12 d (*filled squares*) and 15 d (*open squares*) of age. Allopregnanolone and cortisol concentrations were significantly elevated after LPS treatment at time (0). Saline treatment had no effect on plasma allopregnanolone concentrations, whereas cortisol levels were slightly increased. Data shown as mean  $\pm$  SEM for saline (n = 5) and LPS (n = 7). \*p < 0.05 between saline- and LPS-treated lambs at 12 d; †p < 0.05 between saline- and LPS-treated lambs at 15 d.

nanolone concentrations in the medulla, pons, midbrain, and hippocampus, compared with the saline-treated controls (Fig. 6*a*; p < 0.05). Allopregnanolone concentrations were also markedly increased in all the regions of the cortex in response to LPS treatment (Fig. 6*b*; p < 0.05). There was no effect of LPS treatment on allopregnanolone concentrations in the cerebellum and diencephalon. Pregnenolone concentrations were <0.08 pmol/g in all brain regions, and there was no significant difference between the LPS- and saline-treated groups. Progesterone concentrations were below the level of detection of the assay for both treatment groups, in all brain regions.

## DISCUSSION

The principal finding of this study was that allopregnanolone concentrations were significantly increased in the brain and



**Figure 6.** Allopregnanolone concentrations in the major regions of the brain (*a*) and in specific regions of the cerebral cortex (*b*) of saline- (*filled bars*) or LPS-treated (*open bars*) lambs. LPS treatment resulted in significant increases in allopregnanolone content in most brain regions examined. Values represent mean  $\pm$  SEM, n = 4 for both groups. \*p < 0.05 between saline and LPS treatment at 20 d of age.

plasma of newborn lambs 3 h after LPS administration. This coincided with a marked decline in wakefulness and increase in sleep and drowsiness, suggesting a link between stimulated neuroactive steroid production and somnolence in these lambs.

In this study, we used a model of infection that has been used extensively to examine the acute phase response to infection in a number of species. After injection of LPS, we observed the well-established biphasic increase in body temperature (23). This increase has been proposed to result from an initial release of prostaglandins and inflammatory mediators such as tumor necrosis factor- $\alpha$  followed by a more sustained release of cytokines such as IL-1 $\beta$  and IL-6 (24, 25). We also observed a moderate biphasic increase in heart rate and respiratory rate, with no consistent change in systemic blood pressure. LPS treatment resulted in an initial oxygen desaturation accompanied by a mild and relatively brief hypocapnia and hypoxemia. Plasma glucose levels were significantly lower from 3 h after LPS treatment compared with the basal period, and lactate concentrations increased in response to LPS administration. No animals died during or after LPS treatment, indicating that the dose of LPS used was adequate to induce a response similar to a relatively mild acute infection.

Acute stressors such as CO<sub>2</sub> inhalation and swim stress in adult rats have been shown to lead to increases in neuroactive steroid concentrations in plasma and brain (26, 27). The finding that allopregnanolone was elevated in plasma and brain after LPS treatment is consistent with these studies and confirms that neuroactive steroid production is stimulated during stressful events, including infection. The marked rise in plasma cortisol concentrations after LPS treatment is consistent with the activation of the hypothalamo-pituitary-adrenal (HPA) axis (28, 29). Allopregnanolone concentrations have been shown to rise after adrenal stimulation in adult subjects where gonadal production of steroids is prevented, therefore suggesting that the adrenal is the source of plasma allopregnanolone (30, 31). We presume that the increase in plasma allopregnanolone concentration observed after LPS treatment results from the stimulation of adrenal secretion, inasmuch as gonadal steroidogenesis would be minimal in these sexually immature lambs.

The increase of allopregnanolone concentrations in the brain was different between regions; from approximately a 4-fold increase in the midbrain and parietal cortex, a 2- to 3-fold increase in other regions of the cerebral cortex, and insignificant changes in the cerebellum and diencephalon. This suggests that there were regionally distinct changes in the in situ production of this steroid in the brain. Some of the increases of brain allopregnanolone were greater, relatively, than the increase of plasma allopregnanolone, also suggesting that the steroid changes observed in the brain are distinct from the peripheral changes of allopregnanolone. The very low concentrations of pregnenolone and progesterone in plasma suggest that delivery of these precursors from the periphery would be unlikely to support the increased cerebral production of allopregnanolone. We have shown that two of the key enzymes involved in the synthesis of allopregnanolone, P450<sub>scc</sub> and  $5\alpha$ -reductase, are strongly expressed in the neonatal brain (32). Although these findings provide support for the role of local production and regulation of allopregnanolone in the newborn brain, it has not yet been established that LPS treatment actually up-regulates either gene expression or enzyme activity.

In the present study, we found that after LPS treatment the lambs were able to remain separated from the ewe for up to 7 h and that they displayed significantly greater sleep and drowsiness compared with the saline-treated lambs. The salinetreated lambs became restless after 3 h and were evidently hungry and had to be returned to their mothers. This suggests that one of the effects of LPS is to suppress appetite, as these lambs displayed no sucking reflex or desire to feed from a bottle. Furthermore, the observation that the LPS-treated lambs became hypoglycemic and yet did not display behaviors consistent with hunger is consistent with a possible suppressive effect of LPS on appetite and the desire to feed. At the time when saline-treated lambs were returned to the ewe, they were not hypoglycemic, suggesting that the behavioral response observed was a nonhypoglycemic hunger response or a result of stress at being separated from the ewe. These results support previous findings that LPS administration reduced feed intake in heifers (33) and is consistent with actions of some cytokines (*e.g.* IL-1 $\beta$ ) in the hypothalamus to induce anorexia (34).

The LPS-treated lambs showed decreased wakefulness and increased drowsiness, whether this was calculated from 1 h of data immediately before the saline-treated lambs were returned to their mother, or from all of the data obtained posttreatment. Several mechanisms may contribute to the increased sleep that occurs after LPS treatment, such as the induction of sleepinducing prostaglandin  $D_2$  (35), as well as a contribution by the increased levels of sedative neuroactive steroids in the brain. Allopregnanolone is a potent sedative steroid with positive modulatory actions with GABA at the GABA<sub>A</sub> receptor, thereby increasing GABAergic inhibition (8). In newborn piglets, dialysis of the GABA<sub>A</sub> agonist, muscimol, into the brainstem disturbs normal sleep patterns and produces a state of drowsiness (36). It is possible that the 2- to 3-fold increase of allopregnanolone in the brain observed in this study was sufficient to increase sleep, however, we know of no study where the direct infusion of the newborn brain with similar concentrations of allopregnanolone has been associated with increased sleepiness.

To investigate the question of whether the newborn becomes tolerant to infection-induced stimuli, we examined the effect of injecting LPS on three occasions between 10 and 21 d of age. Previous studies have shown that tolerance develops with repeated doses of endotoxin over a period of days (15–17). However, we found no marked differences between the three LPS treatments in both the temperature and cortisol responses. Differences were observed for the total time spent in sleep/ wake states and the response of plasma allopregnanolone to LPS treatment by the third experiment. However, because the responses to LPS were not diminished at this time, these data suggest that little tolerance to LPS develops with the dose used and with the relatively short-term response generated in these animals.

Basal plasma allopregnanolone concentrations decreased with age in newborn lambs. The cause of this, and its relevance, remains to be elucidated. We have recently found that allopregnanolone concentrations in the brain decrease sharply at birth (37), whereas plasma allopregnanolone concentrations decrease slowly with postnatal age, as shown in the present study. This again suggests a separation of peripheral and CNS production of  $5\alpha$ -reduced steroids. It has been proposed that neuroactive steroids such as allopregnanolone may have a neuroprotective function (12), but increases in the newborn brain could be detrimental by creating a higher arousal threshold, and suppression or ablation of responses to hypoxia and apnea, which are problems often faced by the newborn infant.

The contribution of bacterial or viral infection in the etiology of SIDS remains controversial, but is supported by both autopsy and epidemiologic findings (1). The potential link between SIDS and infection is supported by the findings that the prevalence of SIDS is seasonally distributed, with higher death rates occurring in winter months, and several respiratory and bacterial infections have been isolated in SIDS victims (38). The pathway by which these infectious challenges contribute to SIDS or apparent life-threatening events may involve several mechanisms. However, it is established that even minor infections lead to marked stimulation of the HPA axis (39) such that a relatively mild infection may cause a marked increase in glucocorticoid concentrations as observed in the present study. Increased glucocorticoid secretion may stimulate neurosteroid

synthesis, inasmuch as glucocorticoids are potential precursors for neurosteroid production and may also stimulate the expression of enzymes in the neurosteroidogenic pathway (30, 31). In summary, using small doses of LPS to mimic the effects of infection, we found that concentrations of the sedative steroid allopregnanolone were significantly increased in several brain regions. This coincided with markedly increased plasma cortisol concentrations, an increase in drowsiness, and a decrease in wakefulness. These observations suggest that this steroid may contribute to a reduction in arousal responses that

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may increase the risk of SIDS after an infectious challenge.

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