

Natural Killer Cell Activity in Very Low Birth Weight Infants

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ABSTRACT. The exact role of natural killer (NK) cells in host defense is unclear, but they may be important as an early response to certain infections. We evaluated NK cell phenotype and activity in premature very low birth weight infants (VLBWI) ($n = 52$) with an average gestational age of 29.3 wk (24–35 wk) and an average birth weight of 1124 g (537–1480 g). All patients initially were evaluated within 7 d of birth. Samples also were obtained at 2, 4, and 6 wk in some infants. The proportion of mononuclear cells expressing the phenotypic marker of NK cells (NKH-1; CD56) was significantly lower in VLBWI than in adults (2.5 ± 1.4 versus $12.5 \pm 7.8\%$, $p < 0.0001$) or term infants (2.5 ± 1.4 versus $9.5 \pm 7.1\%$, $p < 0.0001$). VLBWI also had significantly diminished NK activity expressed as the percentage of specific lysis compared with adults (4.7 ± 4.4 versus $32.3 \pm 14.5\%$, $p < 0.0001$) or term infants (4.7 ± 4.4 versus $15.5 \pm 10.8\%$, $p < 0.0001$). Both the number of cells expressing the NK phenotype and the NK lytic activity in VLBWI increased in the 6 wk after birth. NK activity in VLBWI was enhanced by IL-2 and in most cases by interferon- γ . (*Pediatr Res* 31: 376–380, 1992)

than do term infants (23, 24) as well as fewer cells bearing an NK phenotype (18).

Exogenous IL-2 and γ -IFN can enhance NK activity and promote NK cell proliferation in term infants and adults (25–30). Decreased lymphokine production and responsiveness to lymphokines have been suggested as contributing to this reduced activity (9, 19, 23–38). Ueno *et al.* (24) and Uksila *et al.* (25) have also demonstrated lymphokine enhancement of NK activity in premature infants. In term infants, NK activity is diminished in cord blood and for the first several days postnatally but rapidly increases, rising to almost adult levels by 1–5 mo of age (8, 16, 19). Studies on postnatal NK cell development in premature infants are limited.

In this study, we evaluated NK activity in premature VLBWI, in cord blood of normal term infants, and in normal adults. We also assayed for NK phenotype and ability to produce and respond to the lymphokines IL-2 and γ -IFN. Our findings indicate that NK activity in VLBWI is decreased compared with both term infants and adults. This deficiency is probably due to decreased lymphokine production (as previously described for term infants), as well as a decrease in the number of functionally mature NK cells.

Abbreviations

γ -IFN, γ -interferon
NK, natural killer
VLBWI, very low birth weight infant
E/T, effector/target

MATERIALS AND METHODS

Study groups. Heparinized blood specimens from a total of 52 preterm infants (all VLBWI) with an average gestational age of 29.3 wk (range 24–35 wk) and average birth weight of 1124 g (range 537–1480 g) were collected within 7 d of birth and when possible at 1, 2, 4, and 6 wk after the initial sample. Cord blood specimens from 26 term infants with an average gestational age of 38.9 wk (range 35–41 wk) and birth weight of 3187 g (range 2495–3941 g) were evaluated. Blood from 44 adult volunteers was also studied.

Preparation of cells. Mononuclear cells were isolated from all blood samples using a modified Ficoll-Hypaque gradient. The mononuclear fractions were then washed three times in PBS with 2% FCS. Viability was determined using trypan blue vital stain, and cells were counted and suspended in media (RPMI 1640, 10% FCS, 2.5% *N*-2-hydroxyethylpiperazine-*N'*-2-ethane, 1% glutamine) for NK assays or PBS with 2% FCS for phenotype analysis.

Phenotype analysis. Direct conjugate fluorescein-isothiocyanate-labeled anti-Leu-11a (CD16; Becton Dickinson, Parsippany, NJ) and phycoerythrin-labeled anti-NKH-1 (CD56; Coulter Immunology, Hialeah, FL) MAb were incubated at 4°C for 30 min, washed, and counted on a Coulter EPICS flow cytometer. Direct dye conjugates of matching isotype Ig were used as controls. The cell population was selected for analysis using forward angle and side light scatter bitmap gating.

Cytotoxic assay. A ^{51}Cr cytolytic assay was used to determine NK activity. K562 target cells were labeled with 100 μCi ^{51}Cr -sodium chromate (Amersham, Arlington Heights, IL). After

NK cells are capable of lysing tumor cells, virally infected cells, bacteria, and some normal cells in the absence of previous sensitization (1–6). These cells have been postulated to be important as an early defense mechanism in protecting the host against infection (7–9). If this concept is valid, then NK cells may be particularly important for protection of infants before maturation of the immune system.

Many factors adversely affect NK activity in adults, including glucocorticoids (10), prostaglandin E_2 (11), iron deficiency (12), fever (13), elevated blood sugar (14), and decreased proportions of NK-associated cells (15). Previous studies have established that term infants have decreased NK activity compared with adults, yet they have similar proportions of cells expressing the NK-associated antigens NKH-1 and Leu-11a (15–22). Diminished NK activity in the full-term infant has been related to variations of the above factors together with developmentally immature NK cells (15–19). A limited number of studies involving premature infants indicate that they have less NK activity

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washing, 10^4 cells in 100 μ L of test medium (RPMI 1640, glutamine, 10% FBS, and 10 mM *N*-2-hydroxyethylpiperazine-*N'*-2-ethanesulfonic acid) were added to each well of a U-bottomed microtiter plate. Two-fold dilutions of effector cells were then added to 100 μ L of test medium and incubated for 4 h at 37°C. Final E/T ratios varied from 50:1 to 6:1. The supernatants from the ^{51}Cr -labeled target cells were harvested and tested for radioactivity in a gamma scintillation counter. The means from triplicate or quadruplicate tests were used to determine the percentage of specific cytotoxicity (PSC), expressed as the percentage of the total release corrected for the spontaneous release in the absence of effector cells:

$$\text{PSC} = (\text{test cpm} - \text{spont cpm} / \text{total cpm} - \text{spont cpm}) \times 100$$

Spontaneous release did not exceed 10%.

Anti-IL-2 receptor assay. A total of 2.5×10^5 cells in 200 μ L were preincubated for 20 min in media containing anti-Tac (anti-CD25; Becton Dickinson) at 37°C. After incubation, the samples in media containing anti-CD25 were assayed for NK lytic activity both with and without the addition of IL-2.

Twenty-four h IL-2 transformation. VLBWI, full-term, and adult cell samples were assayed for NK phenotype and lytic ability before and after 24 h incubation with and without IL-2. Each initial sample was divided into three groups. The first group was immediately assayed, the second group was assayed after 24 h incubation in RPMI, and the third group was incubated 24 h in RPMI media with 200 μ g/mL IL-2 and then assayed.

Cytokine assays. Cell samples of 1×10^6 cells/mL were stimulated with 5 μ L phytohemagglutinin and 5 ng phorbol myristate acetate in RPMI media for 24 h at 37°C. Supernatant from these cultures was evaluated for the concentration of IL-2 using Inter-test 2 Human Interleukin-2 ELISA test kit (Genzyme Corp., Boston, MA) and for γ -IFN using Centocor Gamma Interferon Radioimmunoassay (Centocor, Inc.). To study the effect of cytokines on NK activity, IL-2 (Cetus Corp., Emeryville, CA) or γ -IFN (Collaborative Research, Inc.) was added to cultures at the indicated concentration.

Statistical analysis. All data was log corrected before analysis. The *t* test was adopted for the analysis of unpaired samples with $p < 0.05$ considered significant.

RESULTS

NK cell phenotype. Flow cytometric analysis showed a decreased proportion of CD56 (NKH-1)-positive cell present in VLBWI (sampled within 1 wk of birth) compared with both term infants and adults (Table 1). The proportion of NKH-1 cells seen in term infant samples was not significantly different from adults. Similarly, cells expressing the CD16 (Leu-11a) antigen were also significantly lower in VLBWI compared with term infants or adults. There was no difference in the proportion of CD16 cells between term infants and adults.

Within the VLBWI study group, there were no differences in either CD56 or CD16 on the basis of gestational age (28–30 wk versus 31–34 wk), birth weight (<900 g versus >900 g), APGAR scores at 1 min and 5 min (0–5 versus 6–10 at either time point), or sex of the infant.

Table 1. Comparison of NK phenotypes and NK activity in VLBWI, full-term infants, and adults*

	<i>n</i>	% CD56 (NKH-1)	% CD16 (Leu-11a)	% NK target lysis (25:1)
VLBWI	56	2.5 \pm 1.4†	5.1 \pm 3.2†	4.7 \pm 4.4†
Full-term infants	26	9.5 \pm 7.1	12.8 \pm 9.9	15.5 \pm 10.8‡
Adults	44	12.5 \pm 7.8	11.2 \pm 6.1	32.3 \pm 14.5

* Values represent mean \pm 1 SD.

† $p < 0.0001$ relative to either term infants or adults.

‡ $p < 0.0001$ relative to adults.

NK cell activity. NK lysis was determined for VLBWI, term infants, and adult donors using a 4-h ^{51}Cr release assay (Table 1). Table 1 shows the data for lysis at a 25:1 E/T ratio, but a similar relationship among the groups existed at E/T ratios of 50:1 and 12.5:1. NK lysis in the VLBWI group was markedly decreased compared with either term infants or adults. Activity of full-term infants was also significantly decreased when compared with adult activity. There was no difference in NK activity within the VLBWI group when grouped according to the parameters used above.

There was poor correlation between the proportion of NKH-1-positive cells and NK activity in VLBWI. The correlation coefficient between the percentage of NK cells and the percentage of lysis was 0.511, with an R^2 of 0.261.

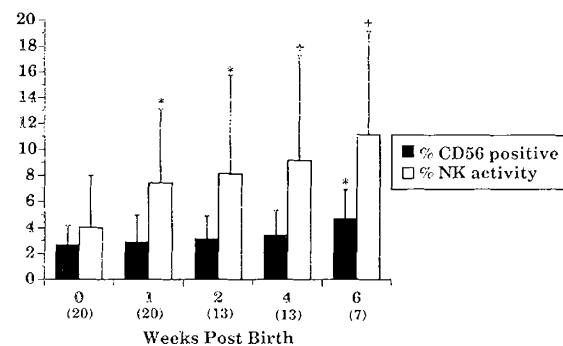
NK cell number and activity after birth. Our study of samples from the VLBWI obtained over a period of 6 wk demonstrated an increase in both the proportion of NK cells and lytic activity (Fig. 1). Samples obtained 6 wk after entry into the study had a higher proportion of CD56 cells ($p = 0.0149$) and a higher percentage of lysis ($p = 0.0015$) than were found in the studies done during the 1st wk of life.

Effect of IL-2 and γ -IFN on NK activity. Exogenous IL-2 or γ -IFN added to the media in the ^{51}Cr release assay increased NK cytolytic activity in VLBWI ($n = 43$), term infants ($n = 6$), and adults ($n = 21$). IL-2 always increased NK lytic activity, whereas γ -IFN was inconsistent, with several of the VLBWI having no response to γ -IFN. Although IL-2 enhanced NK activity in each study group, the VLBWI demonstrated a significantly greater relative response than adults based on the overall percentage of increase in activity (VLBWI 153% versus adults 70%, $p = 0.0279$). Term infants also showed more enhancement with IL-2 than did adults (term infants 106% versus adults 70%, $p = 0.179$). Within the VLBWI population, there was no difference in the degree of IL-2 enhancement when infants were grouped by weight, gestational age, or sex.

Cell samples were also cultured with and without IL-2 for 24 h to determine the effect on NK phenotype expression and activity compared with preculture levels. Samples assayed after 24 h in IL-2-supplemented media had no significant increase in NK phenotype when compared with the d-1 samples or the 24-h controls (Table 2). This was true for all three groups. Incubation in IL-2 consistently increased NK lytic activity in all groups tested. There also was a small increase in NK activity seen in the control cultures incubated in unsupplemented media for 24 h.

Preliminary studies of VLBWI ($n = 2$) and adults ($n = 2$) indicate that preincubation with anti-CD25 decreases natural killing capacity in both groups (Table 3). Anti-CD25 inhibition could be partially reversed in all groups by the addition of IL-2 to the preincubated cells at the time of the assay.

IL-2 and γ -IFN production. Lymphokine production was determined by quantitating IL-2 and γ -IFN concentrations in the



* differs from week 0 $p \leq 0.05$

+ differs from week 0 $p \leq 0.01$

Numbers in parenthesis represents number studied at each time point

Fig. 1. Changes in the proportion of CD56-positive cells and NK activity occurring over a 6-wk interval in VLBWI.

Table 2. Comparison of NK cell phenotype and activity level after 24 h incubation with IL-2 in VLBWI, full-term infants, and adults*

	Day 0 (% lysis)		Day 1 (% lysis)	
	Media alone	Media + IL-2	Media alone	Media + IL-2
VLBWI				
NKH-1	3.7	ND	4.8	4.3
NK lysis	2.8	12.8	12.2	62.1
Term				
NKH-1	3.9	ND	5.1	4.9
NK lysis	9.1	17.5	20.2	80.0
Adults				
NKH-1	13.2	ND	10.7	10.7
NK lysis	38.1	51.5	44.9	80.9

* Studies represent mean from five subjects in each group. ND, not determined.

Table 3. NK activity in VLBWI and adults after preincubation with anti-Tac antibody

Experiment	% Lysis 25:1 ratio			
	Media	Anti-Tac*	Anti-Tac + IL-2†	IL-2 alone
VLBWI				
A	16.1	3.4	14.7	34.7
B	10.1	3.4	6.9	18.3
Adults				
A	25.3	17.3	23.6	ND
B	45.1	14.4	20.8	55.4

* Samples were preincubated 20 min with 20 μ L anti-Tac before the chromium release assay.

† IL-2 was added to each well (200 μ g/mL) at the beginning of the assay.

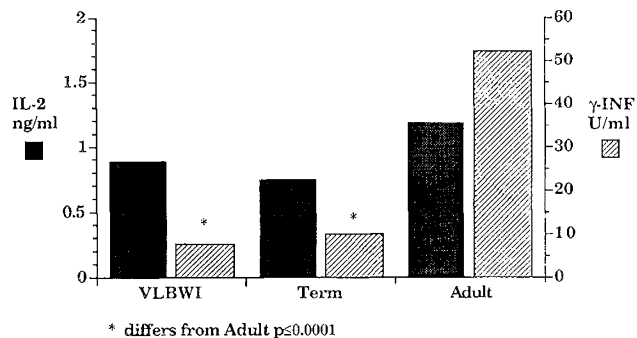


Fig. 2. *In vitro* production of IL-2 and γ -IFN by cells from patients in each study group.

culture supernatant after stimulation with phorbol myristate acetate and phytohemagglutinin. Although there was wide variation in cytokine production within each group, when compared with adult samples, both VLBWI and term infants produced significantly less γ -IFN (Fig. 2). IL-2 production was similar in all groups.

DISCUSSION

The role of NK cells in protecting the neonate from infectious agents in the environment is poorly understood (1–5). Previous studies have shown that cord blood from term infants has decreased NK activity compared with adult blood (8, 9, 15–18, 23, 28), but the actual basis for this has not been determined. Limited studies have been designed to evaluate NK function in the VLBWI either near birth or postnatally. In addition, few studies have examined the basis for the decreased NK activity in the VLBWI.

In this study, we compared NK cell function in VLBWI,

normal term infants, and adults. We examined the postnatal development of NK cell activity in the VLBWI and looked at potential mechanisms for explaining the decreased NK cell function seen in VLBWI and cord blood of term infants. Our study confirmed previous works that describe term infants as having similar numbers of cells bearing markers of the NK phenotype as adults (19–24, 29, 31, 33). We also demonstrated that, despite approximately equal proportions of NK cells, the overall cytotoxic function is decreased in term infants. The VLBWI that we studied had a lower proportion of NK cells and less lytic ability than did the term infants.

Lighthart *et al.* (39) have reported in aged populations that the proportion of NK cells correlates well with the level of NK activity. In infants this does not appear to be the case, but the actual mechanism for the decreased lysis seen in both the term and the premature infants is not known. Previous studies have suggested that this may be related to a defect in the recycling process of the NK cell (19, 34), decreased target binding (8, 9, 31), immature NK cells (8, 15, 19, 23), and, in the case of premature infants, a decrease in the proportion of NK cells (as demonstrated in this study). Yabuhara *et al.* (19) and Sancho *et al.* (23) both reported that the proportion of term infant NK cells correlated poorly with NK activity. We noted that this was also true for VLBWI. This lack of correlation is consistent with mixed functional capabilities within the NK cell population. Without studying individual cellular killing capacities by using single cell assays (24), we were unable to determine the nature of this defect. It is of interest that Sancho *et al.* (23) identified “mature” and “immature” NK cells in infants that expressed varied NK activity.

Our studies in VLBWI demonstrate an increase in both the proportion of NK cells and lytic ability postnatally. This is a relatively rapid process, inasmuch as by 6 wk of age there were statistically significant increases in both categories. A rapid increase in NK cell lysis has been demonstrated after birth in term infants (8, 16), with near-adult levels reached at 1–5 mo (19). The reason for these increases is not known, but they may be related to the recruitment of pre-NK cells, the maturation of functionally immature NK cells, or proliferation of existing NK cells.

NK activity is enhanced by various lymphokines, including IL-2 and γ -IFN (9, 23, 24, 26–28, 30, 40, 41). We examined the response to both IL-2 and γ -IFN on the assumption that NK activity in the VLBWI might be diminished because of poor responsiveness to lymphokines. Our results demonstrate that VLBWI seem to respond to exogenous IL-2 or γ -IFN stimulation with a greater relative increase in activity than do adult cells. This is due in part to the fact that adult NK activity is already so high that it is unlikely to have the proportional enhancement seen in populations such as VLBWI starting at a lower baseline. Others (9, 17, 19, 29) have reported that term infants respond to IL-2 to a greater degree than do adults, although in our study there was no significant difference seen in the percentage of increase in lysis between these groups. As others have previously demonstrated (23–25, 32, 40), we found that γ -IFN enhancement of NK activity was inconsistent. This variable response in VLBWI to γ -IFN may be secondary to the ability of γ -IFN to influence only those cells bearing a more mature NK phenotype.

It has been hypothesized that one way that IL-2 enhances NK activity is by the recruitment of pre-NK cells (26, 28). Others have found that an 18-h incubation with IL-2 produced a large increase in NK activity, but no increase in cells expressing Leu-11a. Our study showed a similar increase in NK activity when cells were incubated with IL-2 without an increase in the number of cells expressing CD56. This observation was true for all three study groups. The failure to induce additional cells to express NKH-1 indicates that the IL-2-mediated increase in NK activity is probably not the result of rapid differentiation of pre-NK cells into more phenotypically mature appearing NK cells. Of interest is the fact that there is an increase in NK activity in cells

incubated from 18 to 24 h in media alone. The mechanism for this is unknown (23).

Several studies have shown that IL-2-induced γ -IFN can be inhibited by the anti-IL-2 receptor antibody anti-Tac (anti-CD25), yet anti-Tac does not seem to affect cytotoxicity (26, 35–37). By contrast, van de Griend *et al.* (38) found that IL-2 enhancement was reduced by the addition of anti-Tac. Consistent with van de Griend's findings, our initial experiments indicate that preincubation with anti-CD25 decreases NK activity not only in adults but also in VLBWI. Our preliminary work used few subjects, but each showed a marked decrease in activity when their cells were preincubated with anti-CD25. This inhibition could be partially reversed if exogenous IL-2 was added to the media at the time of assay. Although the actual mechanism of inhibition is not known, the reversal may be due to the IL-2 molecule having a greater affinity for the receptor than anti-CD25 (26) or the presence of two different receptors (26, 34–37). Our findings that preincubation of NK cells with anti-CD25 inhibits spontaneous NK activity of adult and VLBWI and that this inhibition can partially be reversed by adding IL-2 suggest that IL-2 is an important component of the spontaneous NK cytolytic process.

Another possible basis for reduced NK activity in VLBWI is diminished cytokine production. Like others, we found that term infants (9, 26–31) and VLBWI (24) produced significantly lower levels of γ -IFN than did adults under similar conditions. Although there has been conflicting data on IL-2 production by neonates (41), we found similar IL-2 production by each of the three study groups as previously noted by others (31, 42–44).

Based on our study, as well as others, it appears the decreased NK cell function in VLBWI is secondary to a reduced proportion of relatively mature NK cells. Decreased production of factors such as γ -IFN may also contribute. An improved understanding of the role of NK activity in neonates could lead to therapeutic interventions designed to enhance this form of host protection.

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