

Transcutaneous Oxygen and Carbon Dioxide during the First Half Year of Life in Premature and Normal Term Infants

TOKE HOPPENBROUWERS, JOAN E. HODGMAN, KAZUKA ARAKAWA, MANUEL DURAND,
AND LUIS A. CABAL

*Department of Pediatrics, University of Southern California School of Medicine and Los Angeles County/
University of Southern California Medical Center, Los Angeles, California 90033*

ABSTRACT. Repetitive polysomnograms were recorded from a total of 33 infants, 19 healthy preterm infants, and 14 term controls between 40 wk postconceptional age and 6 mo of age. These nighttime recordings lasted 2–4 h, except at 52 wk in preterm infants and at 3 mo of age in term infants when an overnight 12-h recording was performed. Minute by minute values of transcutaneous PO₂ (PtcO₂) and transcutaneous PCO₂ (PtcCO₂) levels and variability during the awake state, active sleep, and quiet sleep were obtained through computer analyses of the polygraphic data. The results from preterm infants at corrected postconceptional age could not be differentiated from those of control infants. PtcO₂ levels rose between 40 wk and 3 mo, and PtcCO₂ levels declined. Sleep states modulated only the variability of PtcO₂, not the level; in contrast, state modulation was seen in both variability and level of PtcCO₂ throughout the age span studied. During sleep the number of transient declines in PtcO₂ > 2.03 kPa (15 mm Hg) decreased with advancing age. Hypercapnic PtcCO₂ values decreased with age as well, but their prevalence in healthy, young infants suggests the need for reevaluation of criteria for hypercapnia based on transcutaneous measurements. The data demonstrate that ventilatory regulation continues to undergo changes between 1 and 3 mo, the age of highest risk for sudden infant death syndrome. (*Pediatr Res* 31: 73–79, 1992)

Abbreviations

PtcO₂, transcutaneous PO₂
PtcCO₂, transcutaneous PCO₂
PCA, postconceptional age
AS, active sleep
QS, quiet sleep
AW, awake

With the onset of pulmonary ventilation after birth, arterial PO₂ levels rapidly rise from the 2.7–4.1 kPa (20–30 torr) characteristic of the fetus to approximately 8.1–9.5 kPa (60–70 torr), but PCO₂ levels do not change dramatically (1). During the first weeks of life, PCO₂ sensitivity increases slowly and PCO₂ threshold decreases with age as evidenced by a displacement of the PCO₂

Received March 13, 1991; accepted July 24, 1991.

Correspondence: Toke Hoppenbrouwers, Ph.D., Director, Sudden Infant Death Syndrome Research Project, Rm 9L19 Women's Hospital, LAC/USC Medical Center, 1240 Mission Rd., Los Angeles, CA 90033.

Supported by NICHD Grant 13689, the Orange County Chapter of the Guild for Infant Survival, The Los Angeles Chapter of the National SIDS Foundation, and the Arthur Zimbaum Foundation of New York.

response curve and a change in its slope (2). The best documented example of change with age is probably the immature biphasic response to hypoxia, in which an initial increase in ventilation is followed almost immediately by a decrease to lower than baseline levels. This contrasts with the more mature pattern attained before 1 mo of age, when the infant manages to sustain an increase in ventilation throughout the hypoxic challenge (3, 4).

With the advent of transcutaneous monitoring, a noninvasive tool to follow normal changes in PO₂ and PCO₂ during the first months of life became available (5). This technique has been successfully used to measure PO₂ in the fetus and newborn (6–9) and in older infants (10–17). Fewer studies have thus far dealt with PtcCO₂ in term and preterm infants (10, 11, 18). None of the studies of either PtcO₂ or PtcCO₂ used computer analysis of longitudinal polygraphic data. The present report describes postnatal changes in PtcO₂ and PtcCO₂ thus obtained in preterm infants and healthy term control infants during the first 6 mo of life. The modulating effect of sleep and waking states was an integral part of the analysis.

MATERIALS AND METHODS

Material and monitoring procedure. Fourteen normal subjects were selected during the last trimester of pregnancy, for study after birth, based on the absence of disease in their mothers. This group consisted of nine females and five males.

In addition, 19 appropriate-for-gestational-age preterm infants were selected in the nursery when they were between 32 and 36 wk PCA. Infants were excluded if they had received assisted ventilation for more than 24 h or supplemental oxygen for more than 48 h except for treatment of apnea. All medical conditions such as intracranial hemorrhage of grades III and IV and culture proven sepsis were also excluded. This preterm group consisted of five females and 14 males. Of these, nine exhibited two or more episodes of apnea > 20 s during an 8-h period beyond the first week of life. Because PtcO₂ and PtcCO₂ were not significantly different upon follow-up, irrespective of the presence of previous apneic episodes, the data from these preterm infants reported in detail elsewhere (19) were combined and included here for comparison with those of term infants.

Our protocol was approved by the Los Angeles County/University of Southern California Medical Center Human Research Committee, and informed consent was obtained before each session. The study design called for repetitive laboratory monitoring after discharge from the hospital at 40, 44, and 52 wk PCA for the preterm group, and at 1, 3, and 6 mo of age for the term groups. Eighteen preterm infants returned to the sleep laboratory at 40 wk PCA, and 17 returned at 44 wk PCA. Only 10 parents were willing to return the infants for laboratory monitoring at 52 wk of age. For the controls the polygraphic recording schedule was adhered to.

Each infant was admitted to the sleep laboratory between 1700 and 1800 h for 2- to 4-h recordings, except at 3 mo or 52 wk, when 22 infants were monitored for 10–12 h overnight. The session began with a short physical and neurologic evaluation. The infants were fed during preparation for monitoring and application of electrodes, whereafter a demand feeding schedule was followed. Occasionally during an overnight recording the infant was breast-fed. Arm restraints were applied before the initiation of recording. Monitoring was carried out in a darkened room adjacent to the room containing the recording equipment. Although room temperatures ranged between 19.3 and 26.4°C, infants in each group were exposed to comparable temperatures, with the group means ranging between 21 and 22°C. The infants were placed in a supine or side-lying position and observed continuously with the help of a low-illumination television camera and monitor. Activities such as closing and opening of the eyes, startles, crying, and nursing interventions were charted on the polygraph paper (20).

Physiologic recording methods. Sleep variables included two EEG derivations (approximately C1–C5 and C2–C6, according to the International 10–20 system), a chin electromyogram, and eye movements. Electrodes on the mattress surface under the crib sheet registered the infant's body movements. Thoracic and abdominal excursions were monitored by strain gages, and a PCO₂ monitor (Beckman Instruments, Inc., Palo Alto, CA) sampled expired gas through a miniature cannula taped under the infant's nostrils. The ECG was recorded with two disposable electrodes placed beneath the clavicles. Additionally, a skin temperature probe was applied to the abdomen below the right costal margin (20).

PtCO₂ and PtCCO₂ were measured with a Novamatrix (Wallingford, CT) monitor. The O₂ and CO₂ electrodes were taped to the right and left upper chest, respectively. An O₂ electrode temperature of 43.5°C was selected, and during a 12-h recording the electrode placement was altered by several millimeters at 4- to 6-h intervals. The CO₂ electrode was unheated. Both electrodes were calibrated at the onset and termination of each monitoring session or at 4- to 6-h intervals with gases of known O₂ and CO₂ concentration [0 and 12.4 kPa (92 mm Hg) for O₂; 5.1 and 10.3 kPa (38 and 76 mm Hg) for CO₂]. Humidity of the room air was sampled on an hourly basis during the monitoring and was comparable in each study group.

The data were recorded on a 16-channel model 76 polygraph (Grass Instrument Co., Quincy, MA) at 6 mm/s paper speed and on an eight-channel Brush recorder (Gould, Inc., Cleveland, OH) at 1 cm/min paper speed and stored simultaneously with a time code on a 14-channel analog tape recorder (Honeywell Inc., Van Nuys, CA).

Data analysis. The polygraphic tracings were coded by trained observers into minutes of AS, QS, AW, and indeterminate sleep, according to generally accepted criteria described elsewhere (21). Every 50th min of the recording was recoded by an independent observer to ensure an agreement between coders of at least 80%. Minutes of indeterminate sleep were not further used for analysis because of low interrater reliability.

Data on the analog tapes were digitized by a preprocessing microcomputer with eight channels of analog to digital interface (22). The sampling rate was 1/s for PtCO₂ and PtCCO₂. Several steps were taken to reduce contamination of the data by artifact: First, each minute of the computer output was scanned for abnormal values with the polygraphic recording used as an ultimate reference. Second, the magnitude of the electrode drift was measured by comparing initial and end calibrations. If the drift between the onset and end calibrations equalled or exceeded 0.68 kPa (5 mm Hg), the minute by minute values were adjusted by the formula $m(\text{adj}) = m - (a + bm)t$ (see Appendix). This formula assumes that the drift is linear, an assumption that did not bias the data in favor of any of the sleep state, study, or age groups. The PtCCO₂ values were further adjusted by the formula

$\text{PtCCO}_2 = 1.14 \text{ arterial PCO}_2 + 4.88$, to better reflect arterial values (23).

Sleep state classifications were then integrated with the computer data and together plotted on a minute by minute basis (Fig. 1). In addition, a 10-min moving average was generated to better allow visualization of the interrelationship between variables (Fig. 2). For every minute, the number of times the PtCO₂ was two SD lower than the mean for that minute was also determined. For PtCCO₂ the same value was calculated as well as the times the PtCCO₂ reached two SD above the mean. The tracings were also scanned visually for the presence of transient decreases in PtCO₂ in excess of 2.03 kPa (15 mm Hg). Because the PtCO₂ signal varies widely during wakefulness, this analysis was restricted to periods when the infant was asleep. Computer-generated minute by minute mean values of PtCCO₂ levels in excess of 6.75 kPa (50 torr) were identified for each infant and each sleep state as well.

The means of all the minute to minute data of PtCO₂ and PtCCO₂ levels and variability were obtained for each infant, and the data were compared as a function of study group, age, and sleep state, with the aid of an analysis of variance (BMD P4V) or a *t* test (24).

RESULTS

Clinical findings. Clinical characteristics are provided in Table 1. The controls were of low obstetric risk with only one complication: a prolonged second stage labor. There was a higher incidence of complications in the mothers of preterm infants, as would be expected, with seven of 19 exhibiting such problems as preeclampsia and amnionitis. All infants were appropriate for gestational age, in good condition at birth, and few had nursery complications. The preterm group was specifically selected for absence of neonatal problems.

On follow-up of control infants, abnormal physical findings were limited to transient neurologic abnormalities and sporadic evidence of upper respiratory infections. Approximately half of the prematures were readmitted to the hospital after nursery discharge, usually for treatment of infection. When last seen, growth was normal in infants of both study groups. With one exception in the preterm apneic group, all infants exhibited normal developmental progress as well.

Sleep and waking. Monitoring of PtCO₂ requires repositioning of the heated electrode and precludes undisturbed sleep during the night. Therefore, the overnight tracings were not suited to ascertain the presence of detailed sleep and wakefulness differences between infants. For the same reason, they were unsuited to reveal a circadian modulation of PtCO₂ and PtCCO₂ levels in the 3-mo-old and 52-wk-old infants' overnight recordings.

As reported elsewhere (19), the amounts of sleep and waking in preterm infants with and without apnea in the nursery could with one exception not be differentiated. The exception was found at 44 wk in the preterm group, where the infants who had experienced prolonged apnea in the neonatal period had more AS and fewer awakenings than the preterm infants who had not experienced apnea. There were no other significant differences in the percentages of QS, AS, and AW between control and preterm infants at their corrected ages (Table 2).

Transcutaneous gases. A representative sample of the transcutaneous tracings shows variations in PtCO₂ and PtCCO₂ on a minute by minute basis (Fig. 3).

Comparison of preterm and term control infants. When the values of the total preterm group at 44 and 52 wk PCA were compared with those of the term control group at the comparable ages of 1 and 3 mo, no differences were observed in the level and variability of either PtCO₂ or PtCCO₂ (Table 3).

PtCCO₂ levels in excess of 6.75 kPa (50 torr) were seen in 35, 29, and 9% of the preterm infants at 40, 44, and 52 wk, respectively. Comparable values for the term control group were 33, 10, and 7.6% at 1, 3, and 6 mo.

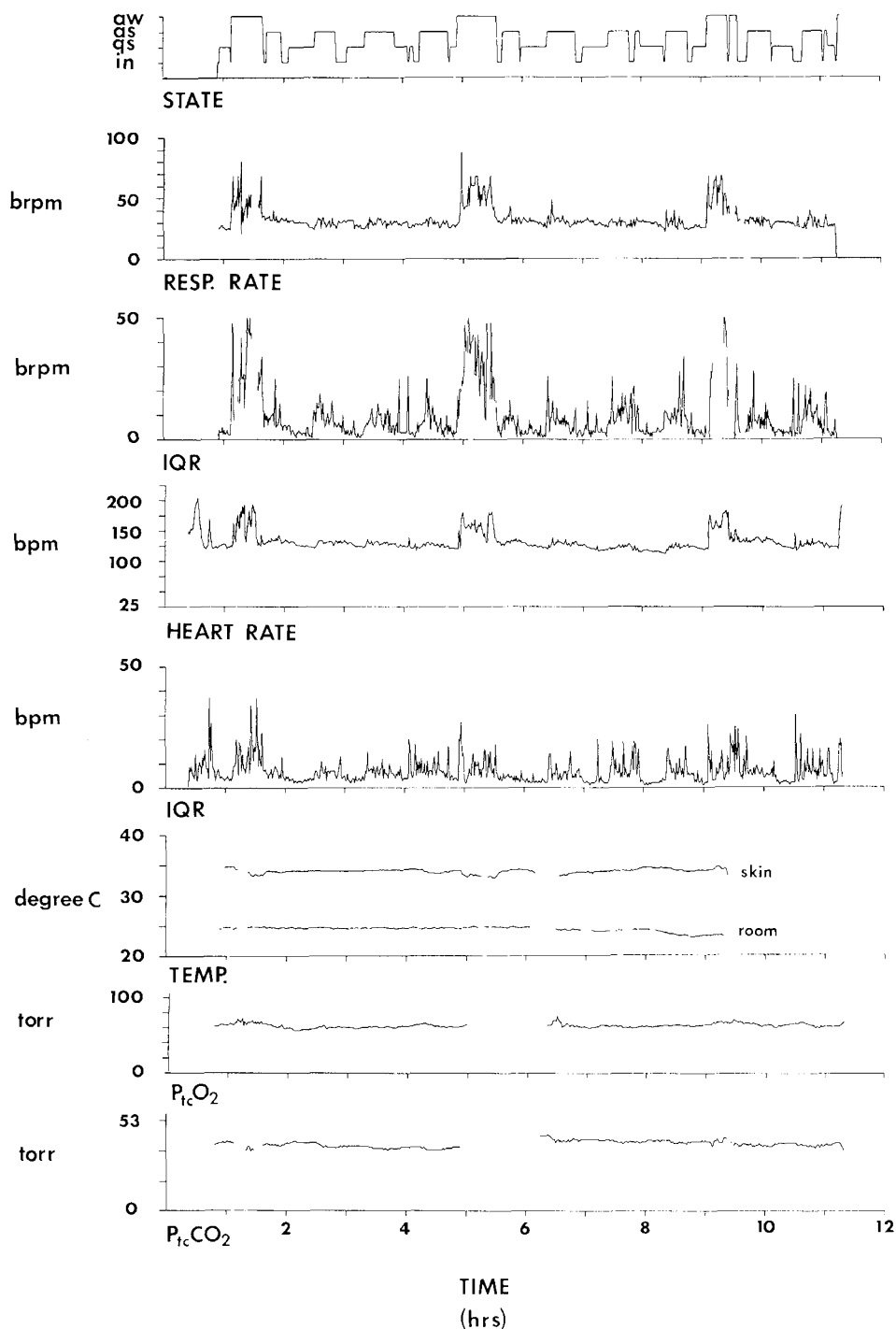


Fig. 1. Plot of minute by minute values of breathing, cardiac activity, temperature, and transcutaneous gases during sleep and waking in an overnight recording of a 3-mo-old control infant. Missing PtcO₂ and PtcCO₂ data are due to change of electrodes, recalibration, and stabilization. Note the obvious sleep state modulation in cardiac and respiratory variability, but its absence in PtcO₂ level. IQR, interquartile range; brpm, breath per minute; bpm, beats per minute; aw, awake; as, active sleep; qs, quiet sleep; in, indeterminate; 100 torr = 13.5 kPa; 53 torr = 7.16 kPa.

Transcutaneous gases as function of age. In preterm infants a significant age effect in PtcO₂ level was observed in all states (QS: $F = 8.78$, $df\ 2,5$, $p < 0.03$; AS: $F = 88.76$, $df\ 2,5$, $p < 0.0001$; AW: $F = 9.16$, $df\ 2,5$, $p < 0.03$). This represents a rise in PtcO₂ level between 40 and 52 wk in these infants (Fig. 4). A significant age effect was also observed when the two groups were combined ($F = 6.64$, $df\ 1,26$, $p < 0.02$). The rise in PtcO₂ levels with age was seen in every state, including AW (Table 3). Over the entire age range, the lowest mean values were observed at 40 wk in preterm infants [8.78 ± 1.0 (65 torr + 7.7)] and the highest mean values were seen in the same infants at 52 wk [10.8 ± 1.3 (80 torr + 9.3)]. The PtcO₂ variability declined with age in all states.

Transient drops in PtcO₂ as measured by deviations in excess of two SD from the mean for that minute occurred on the average between 1.0 and 1.3 times per min at every age in both preterm and term control infants. The range of such events per min varied between 0 and 8 in preterm infants but could be as high as 12 in term control infants. There was no correlation between sleep states and the maximum number of these relative drops.

The number of infants showing visually observed transient declines in PtcO₂ > 2.03 kPa (15 mm Hg) decreased between 3 and 6 mo. These episodes were seen in 22% of the preterm

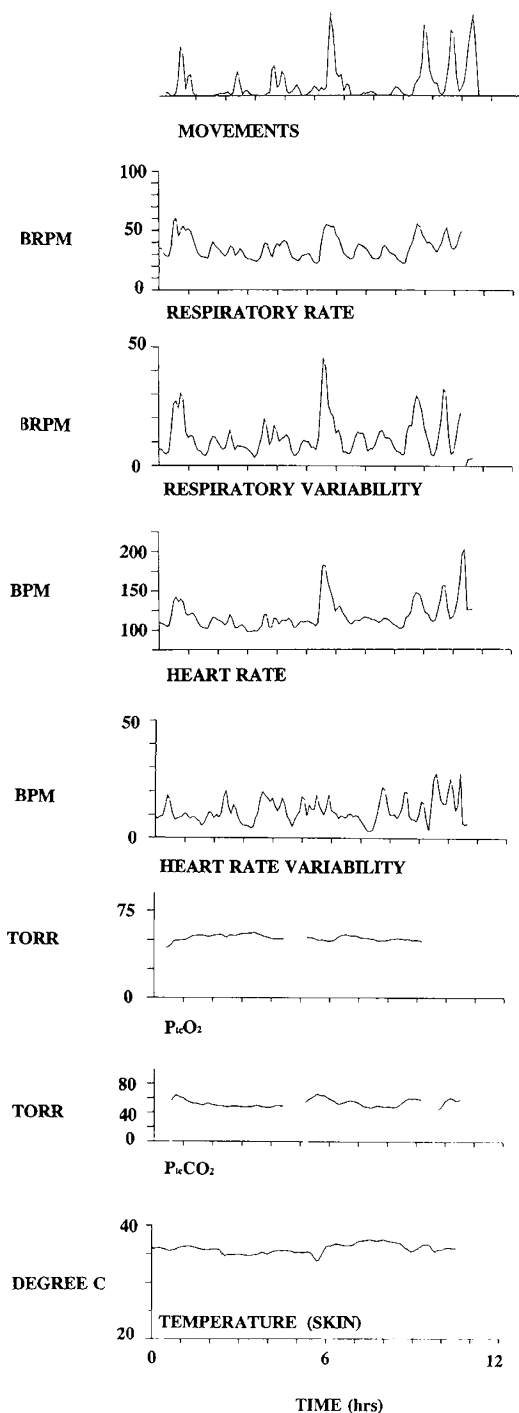


Fig. 2. Ten-min moving average of the same variables as in Figure 1, this time from a 52-wk-old preterm infant. Movements have been substituted for sleep and waking states. Blood gas values at adjusted ages cannot be distinguished from those of term infants. Abbreviations are the same as in Figure 1 (40 torr = 5.4 kPa; 75 torr = 10.13 kPa).

infants at 40 wk (range 1–16), 38% at 44 wk (range 1–4), and 9% of the infants at 52 wk (only one infant with one decrease). In term control infants, such decreases were observed in 29% of infants at 1 mo (range 1–2), 7% at 3 mo (only one infant with one decrease), and none at 6 mo. Thus, at comparable ages, there were no differences between term control and preterm infants.

The rise in P_{tCO_2} was accompanied by a significant decline in mean P_{tCO_2} levels during the first 3 mo of life, again in all states (AS: $p < 0.05$; QS: $p < 0.05$; AW: $p < 0.02$). This decline, however, was only significant in term babies.

P_{tCO_2} variability did not change as a function of age, nor did

Table 1. Clinical characteristics

	Preterm (n = 19)	Term Control (n = 14)
Birth weight (g)		
Mean	1728.2	3520.9
SD	(321.2)	(301.2)
Range	1210–2250	3062–4026
Gestational age (wk)		
Mean (SD)	32.2 (1.8)	39.9 (1.0)
Range	28–35	39–42
Race		
Hispanic	16	2
Black	2	1
Caucasian	1	10
Asian		1
Sex (M/F)	14/5	5/9
Apgar (median)		
1 min	8	9
5 min	8	9
Maternal gravida	1–9	2–8
Cesarean section	7	2

Table 2. Percentage of sleep and waking as a function of age and study group

State	QS	AS	AW
40 wk			
Preterm			
Apneic*	23.2	46.5	18.8
Nonapneic	24.0	43.0	22.5
44 wk/1 mo			
Preterm			
Apneic	27.7	39.9]†	22.0]†
Nonapneic	25.5	30.5]	32.8]
Term control	25.0	47.3	21.4
52 wk/3 mo			
Preterm			
Apneic	32.2	43.0	16.9
Nonapneic	35.7	39.7	15.3
Term control	31.5	40.0	19.6
6 mo			
Term control	41.2	27.8	21.5

* Apneic in the nursery before discharge, at the time of monitoring asymptomatic.

† Significantly different at $p < 0.05$.

the transient rises and drops of > 2 SD in P_{tCO_2} levels. These occurred on average between 1 and 1.5 times per min and varied in the preterm group between 0 and 8 per min, compared to 0–11 times per min in the control group.

Transcutaneous gases as function of state. There was a significant state effect in the levels of P_{tCO_2} in the preterm group ($F = 18.10$, df 3,9, $p < 0.001$) and the control group ($F = 8.32$, df 3,12, $p < 0.03$). This main effect was entirely attributable to the elevated levels during AW compared to both sleep states. The P_{tCO_2} level was not significantly different in AS compared to QS at any age (Table 3). The variability of P_{tCO_2} showed a significant state effect as well ($F = 29.29$, df 3,5, $p < 0.001$). The highest variability was seen during AW, followed by that of AS and then QS (Table 3). The maximum number of relative drops, however, and the absolute decreases in P_{tCO_2} exhibited no preference for any sleep state.

P_{tCO_2} levels were elevated in QS compared to AS at all ages and in both study groups. As with P_{tCO_2} variability, the variability of P_{tCO_2} was significantly higher during AS compared to QS. There was no one state in the term infant in which hypercapnic values occurred more frequently. In the preterm group at 40 wk, however, more than half (56%) of the infants' mean P_{tCO_2} levels during QS reached what are considered hypercapnic values.

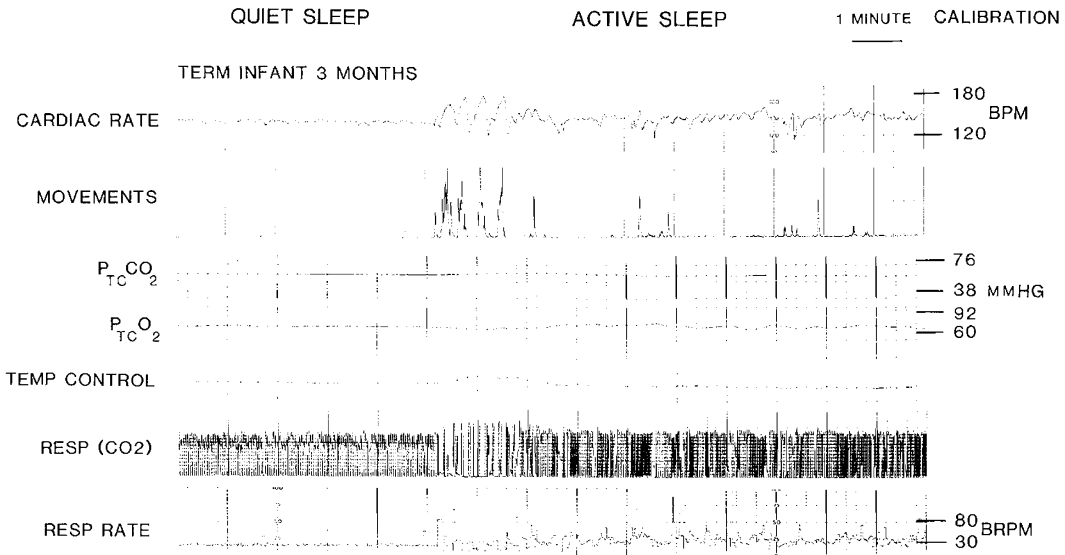


Fig. 3. Representative sample of the slow polygraphic recording in a 3-mo-old term infant. On a minute to minute basis, P_{tc}CO₂ rose while P_{tc}O₂ declined, as shown here at the transition from QS to AS. Mean values of P_{tc}CO₂ were consistently elevated during QS compared to AS (38 mm Hg = 5.13 kPa; 76 mm Hg = 10.26 kPa; 60 mm Hg = 8.1 kPa; 92 mm Hg = 12.42 kPa).

Table 3. Transcutaneous O₂ and CO₂ levels and variability in each study group

	AS			QS			AW		
Preterm infants									
Age (wk)	40	44	52	40	44	52	40	44	52
P _{tc} O ₂ level									
kPa	8.8	9.9	10.8	8.8	9.6	10.7	9.8	10.3	11.1
SD	1.0	1.7	1.3	1.2	2.0	1.2	1.1	1.6	1.4
torr	65.2	73.1	80.1	65.4	71.4	79.6	72.5	76.6	82.0
SD	7.1	12.6	9.3	9.2	14.7	8.8	7.9	12.2	10.3
P _{tc} O ₂ variability									
kPa	0.21	0.22	0.19	0.12	0.12	0.11	0.34	0.34	0.23
torr	1.58	1.66	1.40	0.92	0.88	0.81	2.52	2.53	1.69
P _{tc} CO ₂ level									
kPa	6.3	6.0	5.9	6.4	6.2	6.0	6.1	5.8	6.2
SD	0.7	1.0	0.5	0.8	1.0	0.6	0.6	1.1	0.6
torr	46.7	44.5	43.3	47.2	46.2	44.2	45.0	43.2	41.8
SD	4.9	7.4	3.6	6.1	7.5	4.2	4.3	7.8	4.5
P _{tc} CO ₂ variability									
kPa	0.07	0.07	0.08	0.05	0.06	0.07	0.10	0.10	0.10
torr	0.52	0.52	0.60	0.39	0.43	0.53	0.73	0.72	0.74
Term control									
Age (mo)	1	3	6	1	3	6	1	3	6
P _{tc} O ₂ level									
kPa	9.3	10.2	10.7	9.7	10.2	10.5	9.8	10.7	10.7
SD	1.6	1.1	1.1	1.7	1.2	1.1	1.6	1.3	1.4
torr	68.9	75.6	79.1	71.6	75.7	78.0	72.6	79.4	79.6
SD	11.6	7.9	8.3	12.9	8.7	7.8	11.5	9.4	10.3
P _{tc} O ₂ variability									
kPa	0.23	0.22	0.19	0.12	0.12	0.10	0.37	0.28	0.25
torr	1.68	1.63	1.39	0.91	0.87	0.73	2.71	2.04	1.82
P _{tc} CO ₂ level									
kPa	6.4	5.7	5.8	6.4	5.9	5.9	6.2	5.5	5.4
SD	0.9	0.6	0.6	0.9	0.5	0.6	0.9	0.4	0.6
torr	47.2	42.1	42.9	47.5	43.5	43.9	45.8	40.8	39.8
SD	7.0	4.2	4.4	6.9	3.7	4.7	6.7	3.1	4.7
P _{tc} CO ₂ variability									
kPa	0.07	0.10	0.08	0.06	0.10	0.08	0.11	0.08	0.10
torr	0.55	0.73	0.61	0.46	0.70	0.56	0.82	0.60	0.76

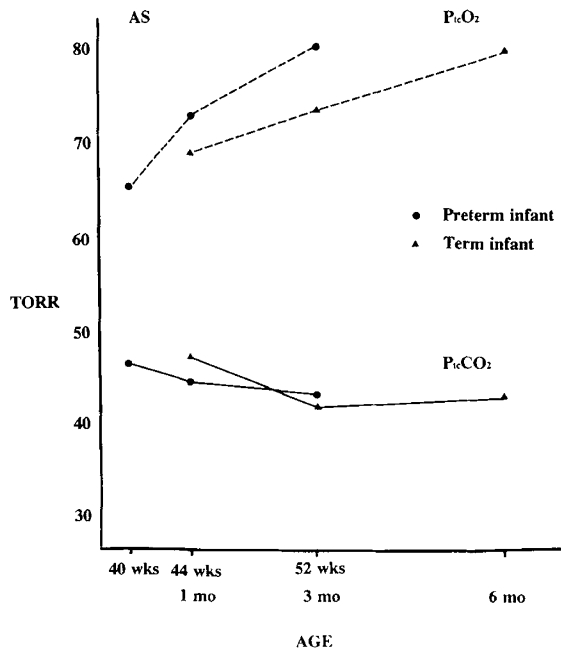


Fig. 4. The rise in $PtCO_2$ and the concomitant decline of $PtCCO_2$ between 40 wk and 3 mo is shown during AS in preterm and term control infants (10 torr = 1.35 kPa; 30 torr = 4.05 kPa).

DISCUSSION

Computer analysis of systematically obtained polygraphic data revealed an increase in $PtCO_2$ levels between 40 wk and 3 mo of age, coupled with a simultaneous decline in $PtCCO_2$ levels. At the same conceptional age, preterm infants exhibited similar levels of both $PtCO_2$ and $PtCCO_2$ as term control. Although wakefulness induced $PtCO_2$ levels higher than those in sleep, no differences were seen in levels of $PtCO_2$ during AS and QS. Variability of $PtCO_2$, however, was invariably higher during AS than QS. In contrast, a sleep state modulation of both the level and variability of $PtCCO_2$ was observed at every age. $PtCCO_2$ levels were higher during QS and the variability lower.

The values for the levels of $PtCO_2$ are quite comparable to those obtained by others (6, 10–13, 16) despite our temperature setting of 43.5°C, 0.5°C lower than customary levels. For instance, Mok *et al.* (16) reported mean $PtCO_2$ values for preterm infants in QS and AS between 39–46 wk PCA as 9.0 and 9.1 kPa (67 and 68 mm Hg); between 47 and 57 wk the values were 10.1 and 10.4 kPa (75 and 77 mm Hg). Our data, based on minute by minute computer calculations, were 9.6 and 10.0 (71 and 74 mm Hg) at 44 wk and 10.5 and 10.5 kPa (80 mm Hg) at 52 wk.

During sleep, decreases in $PtCO_2$ in excess of 2.0 kPa (15 mm Hg) declined with age. They were observed in about one third of the youngest control infants and in less than 10% of the 3-mo-old infants and were absent at 6 mo. The fact that during wakefulness such variations are so abundant implies that these drops are generally benign. The similarity in the incidence of these $PtCO_2$ drops between term control infants and preterm infants is striking at corrected ages. This finding parallels the similarity in levels of $PtCO_2$ at corrected ages in these two groups.

$PtCCO_2$ was measured with an unheated electrode, a state-of-the-art technique when we collected our data that is not currently being used clinically. The resultant data are unique because undisturbed skin PCO_2 is provided; local heating has not altered local metabolism nor increased PCO_2 production. In our normal healthy infants, comparison with arterial values was not warranted. Such a study was, however, performed previously and had yielded a correlation coefficient comparable to those obtained by others (18, 23, 25). Furthermore, the use of this unheated sensor does not preclude a valid comparison between study groups, ages, or sleep states. Transcutaneous tissue PCO_2

measured with an unheated sensor was found to be an average of 1.22 kPa (9 mm Hg) higher than arterial values based on the formula derived with the same sensor in another group of infants (23). Our results are similar to those of Bentele *et al.* (10) when corrected for transcutaneous-arterial differences. Using this correction for the unheated sensor, we found a substantial number of infants who exhibited mean adjusted $PtCCO_2$ values in excess of 6.75 kPa (50 mm Hg). In light of these findings, limits for hypercapnia based on transcutaneous values [>6.75 kPa (50 mm Hg)] need to be reevaluated.

In preterm and term infants during the first week of life, Hanson and Okken (6) reported a higher level of $PtCO_2$ in QS than in AS. In our study, where the infants were at least 4 wk old, differences of $PtCO_2$ during various states were restricted to variability and were not seen in levels. It is almost certain that these differences in findings are attributable to maturation, because Mok *et al.* (13) reported that the level of $PtCO_2$ in QS was higher than in AS in their youngest group, a difference that disappeared after the first week of life. Lastly, Martin *et al.* (18) reported higher $PtCCO_2$ levels in QS than in AS in term newborns, a difference that persisted until 6 mo according to our data. Thus, state differences in $PtCO_2$ continue in older infants, whereas those in $PtCCO_2$ seem to disappear early. Considerable evidence has been accumulating that breathing regulation in AS is not the same as that in QS (1, 2). A lowered $PtCCO_2$ in AS indicates an increase in alveolar ventilation. In contrast, QS is characterized by a relative hypoventilation and an increased PCO_2 threshold (18).

It is generally assumed that after neonatal adjustment, the infant's chemoreceptor reflexes are stable. However, transcutaneously measured PO_2 continues to rise between 1 and 3 mo in both term and preterm infants. This rise occurs despite the thickening of the skin (13). It may be a result of improved matching of ventilation and perfusion due to a more stable rib cage (11), or it may be due to lung development (26). The rise represents an even larger increase in arterial values because in older infants $PtCO_2$ increasingly underestimates arterial O_2 tension (27).

In our study the $PtCCO_2$ declined between 1 and 3 mo of age in term infants, a finding not reported before. Hazinski *et al.* (28) found a continued adjustment of chemoreceptor function during the first 2 mo of life in preterm infants before nursery discharge. Bryan (29) recently described a clear increase with age of the ventilatory response to PCO_2 in normal term controls and siblings of infants with sudden infant death syndrome. Therefore, our data supports the idea that adjustments in the chemoreceptor system continue to take place beyond the first weeks of life.

In conclusion, few differences were found between term and preterm infants when corrected for gestational age. $PtCCO_2$ but not $PtCO_2$ levels were modulated by sleep and wakefulness, with $PtCCO_2$ being higher in QS than in AS. The most important findings were the evidence for continued regulatory changes in ventilatory control during the first 3 mo of life. These changes include a rise in levels of $PtCO_2$, a reduction in levels of $PtCCO_2$, decreased variability of $PtCO_2$ in term infants, and a decline in the number of drops exceeding 2.03 kPa (15 mm Hg), all suggesting increased stability of the system. Finally, a surprising number of hypercapnic values were seen in the youngest group, especially during QS, and these disappeared with increasing age.

Acknowledgments. The authors thank Lori Judson, R.N., M.S., Maria Elena Ruiz, R.N., M.S., Jane Peckham, Kristin Moore, and Alan Keys for their contribution to the data collection and data analyses.

REFERENCES

1. Jansen AH 1983 Development of respiratory control. *Physiol Rev* 63:437–483
2. Walker D 1984 Peripheral and central chemoreceptors in the fetus and newborn. *Annu Rev Physiol* 46:687–703
3. Rigatto HJ, Brady JP, De la Torre Verduzco R 1975 Chemoreceptor reflexes

- in preterm infants I. The effect of gestational and postnatal age on the ventilatory response to inhalation of 100% and 15% oxygen. *Pediatrics* 50:219-228
4. Rigatto H, Kalapesi Z, Leahy FN, Durand M, MacCallum M, Cates D 1982 Ventilary response to 100% and 15% O₂ during wakefulness and sleep in preterm infants. *Early Hum Dev* 7:1-10
 5. Huch R, Huch A, Albani M, Gabriel M, Schulte FJ, Wolf H, Rupprath G, Emmrich P, Stechele U, Duc G, Bucher H 1976 Transcutaneous PO₂ monitoring in routine management of infants and children with cardiorespiratory problems. *Pediatrics* 57:681-690
 6. Hansen N, Okken A 1980 Transcutaneous oxygen tension of newborn infants in different behavioral states. *Pediatr Res* 14:911-915
 7. Martin RJ, Okken A 1979 Arterial oxygen tension during active and quiet sleep in the normal neonate. *J Pediatr* 94:271-274
 8. Wimberley PD, Frii-Hansen 1981 The use of TcpO₂ monitoring in neonatal intensive care. *Dan Med Bull* 28:37-40
 9. Abu-Osba YK, Brouillette RT, Wilson SL, Thach BT 1982 Breathing pattern and transcutaneous oxygen tension during motor activity in preterm infants. *Am Rev Respir Dis* 125:382-387
 10. Bentele KHP, Ancker U, Albani M 1987 Transcutaneous blood gases and sleep apnea profile in healthy preterm infants during early infancy. In: A Huch, R Huch, G Rooth (eds) *Continuous Transcutaneous Monitoring*. Plenum Press, New York, pp 89-94
 11. Carse EA, Wilkinson AR, Whyte PL, Henderson-Smart DJ, Johnson P 1981 Oxygen and carbon dioxide tensions, breathing and heartrate in normal infants during the first six months of life. *J Dev Physiol* 3:85-100
 12. Peirano P, Guidasci S, Monod N 1986 Effect of sleep position on transcutaneous oxygen tension in SIDS siblings. *Early Hum Dev* 13:303-312
 13. Mok JY, McLaughlin FJ, Pintar M, Hak H, Almaro-Galvez R, Levison H 1986 Transcutaneous monitoring of oxygenation: what is normal? *J Pediatr* 108:365-371
 14. Lee D, Caces R, Kwiatkowski K, Cates D, Rigatto H 1987 A developmental study on types and frequency distribution of short apneas (3-15 seconds) in term and preterm infants. *Pediatr Res* 22:344-349
 15. Southall DP, Bignall S, Stebbens VA, Alexander JR, Rivers RPA, Lissauer T 1987 Pulse oximetry and transcutaneous arterial oxygen measurements in neonatal and pediatric intensive care. *Arch Dis Child* 62:882-888
 16. Mok JYQ, Hak H, McLaughlin FJ, Pintar M, Canny GJ, Levison H 1988 Effect of age and state of wakefulness on transcutaneous oxygen values in preterm infants: a longitudinal study. *J Pediatr* 113:706-709
 17. Vyas H, Helms P, Cheriyan G 1988 Transcutaneous oxygen monitoring beyond the neonatal period. *Crit Care Med* 16:844-847
 18. Martin RJ, Herrell N, Pultusker M 1981 Transcutaneous measurement of carbon dioxide tension: effect of sleep state in term infants. *Pediatrics* 67:622-625
 19. Hoppenbrouwers T, Hodgman JE, Arakawa K, Durand M, Cabal L 1991 Polygraphy after discharge in preterm infants with and without apnea in the nursery. *Neuropediatrics* (in press)
 20. Hofmann E, Havens B, Geidel S, Hoppenbrouwers T 1977 Long-term continuous monitoring of multiple physiological parameters in newborn and young infants: procedural manual. *Acta Paediatr Scand* (suppl) 266:1-24
 21. Hoppenbrouwers T, Harper RM, Hodgman JE, Sterman MB, McGinty DJ 1978 Polygraphic studies of normal infants during the first six months of life. II. Respiratory rate and variability as a function of state. *Pediatr Res* 12:120-125
 22. Hoppenbrouwers T, Jilek J, Arakawa K, Stodick A, Cabal L, Hodgman JE 1983 A system for monitoring cardiorespiratory variables and transcutaneous blood gases during sleep in the newborn and young infant. In: Van Bommel JH, Ball MJ, Wigertz O (eds) *Medinfo-83 IFIP-IMIA*. North Holland, Amsterdam, NY, pp 644-647
 23. Cabal L, Cruz H, Plajstek C, Yeh S, Siassi B, Hodgman JE 1981 Factors affecting heated transcutaneous PO₂ and unheated PCO₂ in preterm infants. *Crit Care Med* 9:298-304
 24. Dixon WJ 1973 *BMD Biomedical Computer Programs*. University of California Press, Los Angeles
 25. Hansen TN, Tooley WH 1979 Skin surface carbon dioxide tension in sick infants. *Pediatrics* 64:942-945
 26. Martinez FD 1991 Sudden infant death syndrome and small airway occlusion: facts and a hypothesis. *Pediatrics* 87:190-198
 27. Hamilton PA, Whitehead MD, Reynolds EOR 1985 Underestimation of arterial oxygen tension by transcutaneous electrode with increasing age in infants. *Arch Dis Child* 60:1162-1165
 28. Hazinski TA, Severinghaus JW, Marin WS, Tooley WH 1984 Estimation of ventilatory response to carbon dioxide in newborn infants using skin surface blood gas electrodes. *J Pediatr* 105:389-393
 29. Bryan C 1989 Respiratory control. In: RM Harper, HJ Hoffman (eds) *Sudden Infant Death Syndrome, Risk Factors and Basic Mechanisms*. PMA Publishing Co, New York, pp 249-256

APPENDIX

Let

$m(t)$ = measurement at time t ,
 L_B = calibration low value at $t = 0$
 H_B = calibration high value at $t = 0$
 L_E = calibration low value at $t = T$
 H_E = calibration high value at $t = T$.

Then the drift is $L_E - L_B$ at $m(T) = L_E$ and $H_E - H_B$ at $m(T) = H_E$.

If it is assumed that the drift is proportional to t and linearly related to m so that the drift at time t is given by $(a + bm)t$, then the adjusted measurement m_{adj} at time t is $m_{adj} = m - (a + bm)t$. Because $(a + b \cdot H_E)T = H_E - H_B$ and $(a + b \cdot L_E)T = L_E - L_B$, we can determine a and b as

$$a = \frac{H_E(L_E - L_B) - L_E(H_E - H_B)}{(H_E - L_E)T} \text{ and}$$

$$b = \frac{(H_E - H_B) - (L_E - L_B)}{(H_E - L_E)T}.$$