

ORIGINAL ARTICLE

Synthesis and characterization of hyperbranched aromatic poly(ether ketone)s functionalized with carboxylic acid terminal groups

Ying Shi¹, Yuta Naba¹, Teruaki Hayakawa¹, Hirokazu Kobayashi², Mizuho Yabushita^{2,3}, Atsushi Fukuoka² and Masa-aki Kakimoto¹

A new type of hyperbranched aromatic poly(ether ketone) terminated with carboxylic acid groups (P2) was synthesized via a one-step polycondensation of a symmetric AB₂ monomer, 4,4'-(*m*-phenylenedioxy)-bis(benzenecarboxylic acid), using phosphorous pentoxide-methanesulfonic acid (PPMA) as a condensing agent and solvent. Subsequently, the terminal carboxylic acid functional group of P2 was converted to an ethyl ester functional group by treatment with thionyl chloride followed by ethanol in the presence of triethylamine. The weight-average molecular weight (M_w) of the ethyl ester-terminated hyperbranched poly(ether ketone) (P3) was measured by gel permeation chromatography. By changing the reaction time and the amount of PPMA, M_w can be controlled in the range of 4.2×10^4 to 1.6×10^5 . P2 was soluble in polar solvents, and P3 was soluble in less-polar solvents. The thermal stabilities of the poly(ether ketone)s were investigated using thermogravimetric analysis, which indicated that the decompositions of P2 and P3 start at around 150 and 200 °C, respectively. The inherent viscosities of P2 were in the range of 0.101–0.171, while those of P3 ranged from 0.067 to 0.112. The ion exchange capacities calculated by titration were in the range of 2.2–2.8 mol kg⁻¹ according to the difference in molecular weights. P2 was tested as a weak-acid catalyst and exhibited a certain catalytic activity for the hydrolysis of cellulose to glucose.

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INTRODUCTION

Since Kim and coworkers defined hyperbranched polymers (HBPs) in the 1980s,^{1–3} these materials have been a topic of considerable interest in the fields of polymer science and engineering. Compared to linear polymers, HBPs possess properties similar to those of dendrimers, such as weak molecular entanglement, low viscosities, high solubilities and large numbers of functional groups, because they have numerous branching points and terminal groups.^{4–9} Dendrimers with precise molecular weights and exact numbers of repeating units must be constructed via multistep processes that require isolation and purification in each step,^{10–13} which necessitates laborious syntheses and are difficult to scale up. In contrast, HBPs can be prepared via a one-step synthesis, which is suitable for large-scale production, although the resulting HBPs consist of a mixture of different molecular weights.¹⁴ The polycondensation of AB_x monomers is the most widely studied method for preparing hyperbranched polymers. Various hyperbranched polymers, such as polyphenylene,³ polyesters,^{15–18} polycarbonates,^{19,20} polyamides,^{21–23} polyimides,^{24–26} poly(ether sulfone)s^{27,28} and poly(ether ketone)s,^{29–33} have been synthesized to date.

In terms of the potential applications of HBPs, our research group has been particularly interested in hyperbranched aromatic polymers with acidic functional groups. Such polymers will exhibit acidic functionality in various environments because of their high thermal and chemical stabilities. For instance, we have successfully synthesized a hyperbranched aromatic poly(ether sulfone) with sulfonic acid terminal groups and proposed it as a promising proton-conductive membrane for fuel cells.^{27,28} In addition to such strongly acidic functional groups, weakly acidic groups, such as carboxylic acid, are also of interest as the terminal functional groups of HBPs. Several studies have reported that weakly acidic groups on carbons catalyze the hydrolysis of polysaccharides in water at 150–170 °C.^{34–36} If many carboxylic groups could be introduced on the terminals of a thermally stable HBP, for example, hyperbranched poly(ether ketone), the resulting material would be a quite active and stable catalyst for biomass conversion.

Shu and Leu³⁰ previously reported the synthesis of a hyperbranched poly(ether ketone) with carboxylic acid terminal groups through the polycondensation of an AB₂-type monomer, 5-phenoxyisophthalic acid. Because the two carboxyl groups were

¹Department of Organic and Polymeric Materials, Graduate School of Science and Engineering, Tokyo Institute of Technology, Tokyo, Japan; ²Catalysis Research Center, Hokkaido University, Hokkaido, Japan and ³Division of Chemical Sciences and Engineering, Graduate School of Chemical Sciences and Engineering, Hokkaido University, Hokkaido, Japan

Correspondence: Professor M-a Kakimoto, Department of Organic and Polymeric Materials, Graduate School of Science and Engineering, Tokyo Institute of Technology, 2-12-1 S8-26 Ookayama, Meguro-ku, Tokyo 152-8552, Japan.

E-mail: mkakimoto@o.cc.titech.ac.jp

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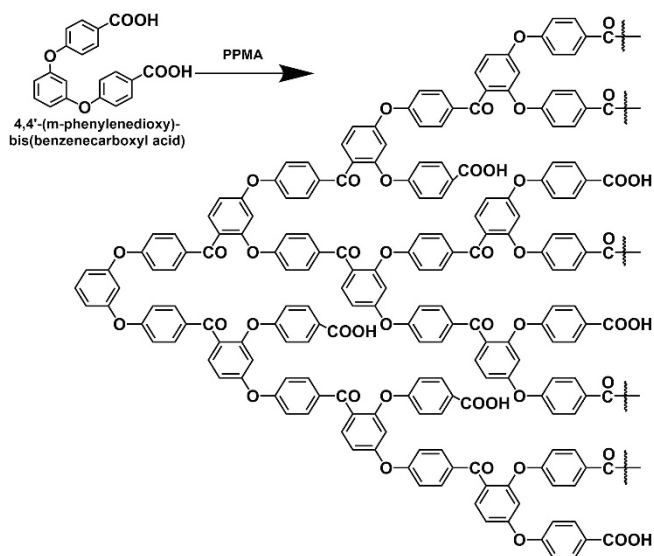


Figure 1 Structures of the hyperbranched poly(ether ketone)s.

present on the same benzene ring, the activity of the B terminal was limited by steric hindrance and resulted in a relatively low molecular weight (M_w) of < 15000 .

In this context, we propose 4,4'-(*m*-phenylenedioxy)-bis(benzenecarboxylic acid) as a symmetric AB₂ monomer for the synthesis of hyperbranched aromatic poly(ether ketone)s via a Friedel–Crafts reaction, as illustrated in Figure 1. Although this monomer is a known compound,^{37,38} it has not been utilized as an AB₂ monomer. The B terminals are expected to exhibit high reactivity due to the high flexibility derived from the ether bonds and less steric hindrance; therefore, higher molecular weights can be expected. Moreover, the B terminals can be utilized as a weak-acid terminal without any end-capping reaction. This study describes the details of the preparation of new hyperbranched poly(ether ketone)s with various molecular weights and their physicochemical properties. Moreover, to investigate the functionality of the terminal groups, a model catalytic reaction, the hydrolysis of cellulose to glucose, which is an important reaction in biomass conversion, was investigated using the prepared polymer.

EXPERIMENTAL PROCEDURE

Measurements

Nuclear magnetic resonance (NMR) (1H, 400 MHz) spectra were recorded for samples dissolved in chloroform-*d* or dimethyl sulfoxide-*d*₆ using a JEOL JNM-ECS 400 NMR spectrometer (JEOL Ltd., Tokyo, Japan). FTIR spectra were recorded with a Jasco 4100 spectrometer (JASCO Inc., Easton, MD, USA) using the KBr pellet method. Gel permeation chromatography (GPC) was performed using a Viscotek GPC-1000 system (Malvern Instruments Ltd., Malvern, UK) equipped with a TDA 302 triple detector and a TSK-GEL α -M column. Dimethylformamide (DMF) containing 0.05 M LiBr was used as the eluent. The weight-average molecular weight M_w was calculated from light-scattering data. Thermogravimetric analysis was performed under nitrogen using a SII TGA 7300 system (SII NanoTechnology Inc., Tokyo, Japan) at a heating rate of 10 °C min⁻¹. Differential scanning calorimetry was performed under nitrogen using a SII DSC 7020 system at a heating rate of 10 °C min⁻¹. The inherent viscosity was measured with 0.5 g dl⁻¹ of polymer solution in dimethylacetamide using an Ostwald viscometer in a thermostatic bath at 30 °C. The solubility of the polymer was qualitatively examined by adding 6 mg of polymer to 2 ml of solvents. The ion exchange capacity was determined as follows. Thirty milligrams of polymer sample was stirred in a 0.1 M NaOH solution (3 ml) overnight and then diluted to 10 ml with deionized water. The obtained solution was titrated with a 0.05 M HCl standard solution.

Materials

Resorcinol, 4-nitrobenzonitrile, methanesulfonic acid, thionyl chloride and triethylamine were purchased from TCI (Tokyo Chemical Industry Co., Ltd., Tokyo, Japan). Microcrystalline cellulose was purchased from Merck Millipore (Tokyo, Japan) (Avicel, 102331). Potassium carbonate, potassium hydroxide, phosphorus pentoxide and distilled water were purchased from Wako Pure Chemical Industries, Ltd., Osaka, Japan. Phosphorous pentoxide-methanesulfonic acid (PPMA, 7.7 wt% phosphorus pentoxide solution in methanesulfonic acid) was used immediately after preparation. All of the chemicals were used as received unless stated otherwise.

Synthesis of 4,4'-(*m*-phenylenedioxy)-bis benzenenitrile

In a 100-ml flask, resorcinol (1.11 g, 10.0 mmol) and 4-nitrobenzonitrile (3.02 g, 20.0 mmol) were dissolved in 60 ml of dimethylformamide. Then, potassium carbonate (6.98 g, 50 mmol) was added to the flask. The mixture was stirred at 120 °C for 48 h and then poured into 1000 ml of water. The product was collected by filtration, washed three times with water and then dried under vacuum. Yield: 90%. ¹H NMR (CDCl₃, δ , p.p.m.): 7.64 (d, 4H), 7.43 (t, 1H), 7.06 (d, 4H), 6.93–6.90 (dd, 2H), 6.79 (s, 1H).

Synthesis of 4,4'-(*m*-phenylenedioxy)-bis(benzenecarboxylic acid) (1)

In a 200-ml flask equipped with a reflux condenser, 4,4'-(*m*-phenylenedioxy)-bis benzenenitrile (1.56 g, 5 mmol) and potassium hydroxide (2.81 g, 50 mmol) were dissolved in 120 ml of a water/ethylene glycol (1:1 volume) mixed solvent. The reaction mixture was refluxed at 136 °C for 4 h and then poured into 300 ml of water. The pH value of the solution was adjusted to 1 using a 1 M HCl aqueous solution. The crude product was collected by filtration and washed with diluted hydrochloric acid and water. After recrystallization from aqueous acetic acid, a pink powdery product was obtained and dried under vacuum. Yield: 96%. ¹H NMR (dimethyl sulfoxide-*d*₆, δ , p.p.m.): 12.89 (s, 2H), 7.96 (d, 4H), 7.51 (t, 1H), 7.11 (d, 4H), 6.98–6.95 (dd, 2H), 6.89 (s, 1H, e). IR (KBr, cm⁻¹): 1670 (C=O, stretching), 2500–3000 (O–H, vibration, broadband).

Synthesis of hyperbranched poly(ether ketone) with carboxylic acid terminal group (P2)

In a 5-ml flask, 0.3 g of monomer 1 was mixed with 2 ml of PPMA.^{39,40} The reaction mixture was stirred at 110 °C for 2 h, poured into water, washed with a large amount of water and then methanol, and finally dried at 80 °C under vacuum. IR (KBr, cm⁻¹): 1668 (C=O, stretching), 2500–3000 (O–H, vibration, broadband).

Synthesis of hyperbranched poly(ether ketone) with ethyl ester terminal group (P3)

To a 5-ml flask equipped with a reflux condenser was added 0.3 g of polymer, and then 1.5 ml of thionyl chloride was added drop-wise while stirring. The mixture was gently heated at 100 °C for 1 h (1 ml of thionyl chloride was added after 20 min) and then distilled at 70 °C under atmospheric pressure to remove excess thionyl chloride. Then, the bath temperature was slowly increased to 130 °C under vacuum until the product, carbonyl chloride-terminated polymer, was fully dried. Ethanol (3 ml) and triethylamine (0.5 ml, 3.4 mmol) were added to the same flask. The mixture was refluxed at 80 °C for 4 h and distilled at 95 °C under reduced pressure. The obtained product was washed with water and methanol and then dried under vacuum. IR (KBr, cm⁻¹): 1716 (C=O, stretching), 2497, 2604, 2942, 2978 (CH₂, CH₃, stretching), 3421 (overtone of C=O stretching vibration).

Hydrolysis of cellulose

As a pretreatment, microcrystalline cellulose (9.72 g) and P2 (1.49 g, acid: 3.73 mmol) were milled together with alumina balls (1.5 cm, 2 kg) in a ceramic pot (3.6 l) at 60 r.p.m. for 48 h. The hydrolysis of cellulose was conducted in a Hastelloy C22 high-pressure reactor (MMJ-100, OM Labtech Enterprises, Mumbai, India, 100 ml). The milled sample (374 mg; containing 324 mg of cellulose and 50 mg of catalyst (acid: 0.125 mmol)) and 40 ml of distilled water

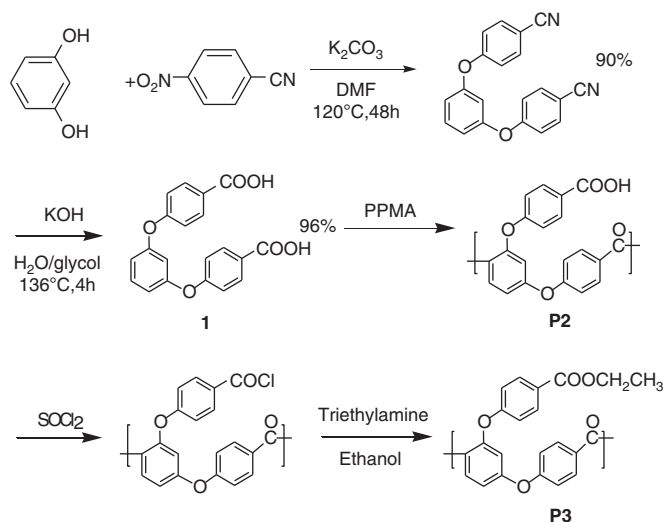
were added to the reactor. The temperature was increased to 230 °C in ~17 min and then rapidly cooled to 50 °C by flowing air. After the reaction, the solid and liquid phases were separated by centrifugation and decantation. The reaction products in the liquid phase were analyzed by high-performance liquid chromatography (Shimadzu LC10-ATVP (Shimadzu Corporation, Kyoto, Japan) with refractive and ultraviolet (210 nm) detectors) with a Shodex SUGAR SH-1011 column ($\phi 8 \times 300$ mm, mobile phase: water at 0.5 ml min⁻¹, 50 °C) and a Phenomenex Rezex RPM-Monosaccharide Pb + + column ($\phi 7.8 \times 300$ mm, mobile phase: water at 0.6 ml min⁻¹, 70 °C). The conversion of cellulose was determined from the weight difference in the solid fraction before and after the reaction.

RESULTS AND DISCUSSION

Synthesis of hyperbranched poly(ether ketone)

The hyperbranched aromatic poly(ether ketone) was synthesized as shown in Scheme 1. The AB₂ monomer, 4,4'-(*m*-phenylenedioxy)-bis(benzenecarboxylic acid), was prepared via a simple route starting from resorcinol and 4-nitrobenzonitrile with a yield of >86%. The structure of **1** was confirmed by ¹H NMR (Figure 2a). The polycondensation of the monomer was conducted in PPMA at 110 °C, which afforded the hyperbranched polymer (P2). Figure 2b shows the ¹H NMR spectrum of P2. After polymerization, the peak of carboxylic acid (f, 12.89 p.p.m.) decreased and a new peak (g, 7.28 p.p.m.) appeared, suggesting a successful polycondensation.

Because the GPC for P2 was difficult due to the presence of numerous carboxylic acid groups, the terminal functional group was converted into ethyl ester (P3) and analyzed by GPC (Table 1). Figure 3 shows the ¹H NMR spectra of P2 and P3 of entry 2.



Scheme 1 Synthesis and structures of hyperbranched poly(ether ketone)s with carboxylic acid and ethyl ester as the terminal groups.

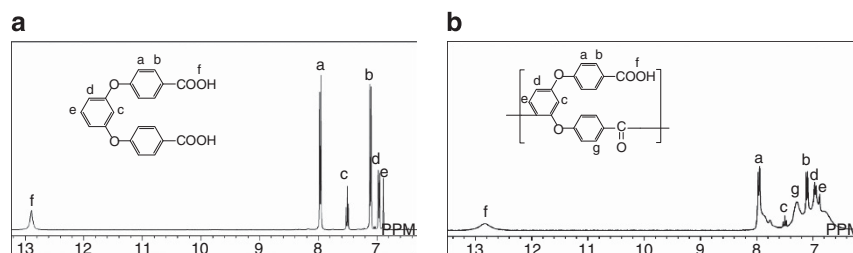


Figure 2 ¹H NMR (DMSO-*d*₆) spectra of monomer **1** (a) and polymer **P2** (b).

Compared to P2, the peak of carboxylic acid (12.89 p.p.m.) disappeared and the peaks of ethyl ester (4.33 and 1.35 p.p.m., with a ratio of 2:3) appeared, which confirmed a successful terminal conversion. The GPC result suggests that the molecular weight of the hyperbranched aromatic poly(ether ketone) can be controlled in the range of 42 000–159 000 by changing the polymerization conditions. Note that clearly higher molecular weights have been achieved here in comparison to previously reported work: $M_w < 15\,000$ from 5-phenoxyisophthalic acid.³⁰ This high molecular weight is most likely due to the higher reactivity of the B terminal of monomer **1**.

Physicochemical properties

The inherent viscosities of P2 and P3 were measured using an Ostwald viscometer, and the results are shown in Table 1. The P2 polymers show inherent viscosities in the range of 0.101–0.171 dl g⁻¹ in dimethylacetamide, and the corresponding ethyl ester-terminated P3 polymers show inherent viscosities in the range of 0.067–0.112 dl g⁻¹. These low viscosity values are most likely due to the quite low degree of entanglement of the hyperbranched polymers. The difference between the viscosities of P2 and P3 resulted from the different polarities of the terminal groups.

Table 2 shows the solubilities of the hyperbranched polymers from entry 1. P2 is soluble in basic aqueous solutions, tetrahydrofuran and some polar aprotic solvents, such as dimethyl sulfoxide (DMSO) and dimethylformamide, and it is partially soluble in acetone and insoluble in dichloromethane, chloroform and toluene, among others. However, P3 is soluble in dichloromethane, chloroform and acetone and partially soluble in toluene and tetrahydrofuran. Note that the aromatic poly(ether ketone) dissolved in several solvents due to the introduction of the hyperbranched structure, whereas typical linear aromatic poly(ether ketone)s are generally known to be insoluble polymers in most solvents.⁴¹

The thermal stabilities of P2 and P3 were examined by thermogravimetric analysis under a nitrogen atmosphere (Figures 4 and 5).

Table 1 Synthesis of hyperbranched polyetherketone under different conditions

Entry ^a	PPMA (ml)	T (°C)	Time (h)	M_w^b	Yield	η_{inh}		IEC (mol kg ⁻¹)
						P2 ^c	P3 ^c	
1	3	110	2	42 000	84	0.101	0.067	2.8
2	2	110	2	74 000	84	0.127	0.081	2.5
3	2	110	6	123 000	94	0.133	0.085	2.3
4	2	110	10	159 000	92	0.171	0.112	2.2

Abbreviations: IEC, ion exchange capacity; PPMA, phosphorous pentoxide-methanesulfonic acid.

^aThe amount of monomer **1**: 300 mg.

^bDetermined by GPC eluted with dimethylformamide containing lithium bromide (0.05 mol l⁻¹).

^cMeasured at a concentration of 0.5 g dl⁻¹ in DMAc at 30 °C.

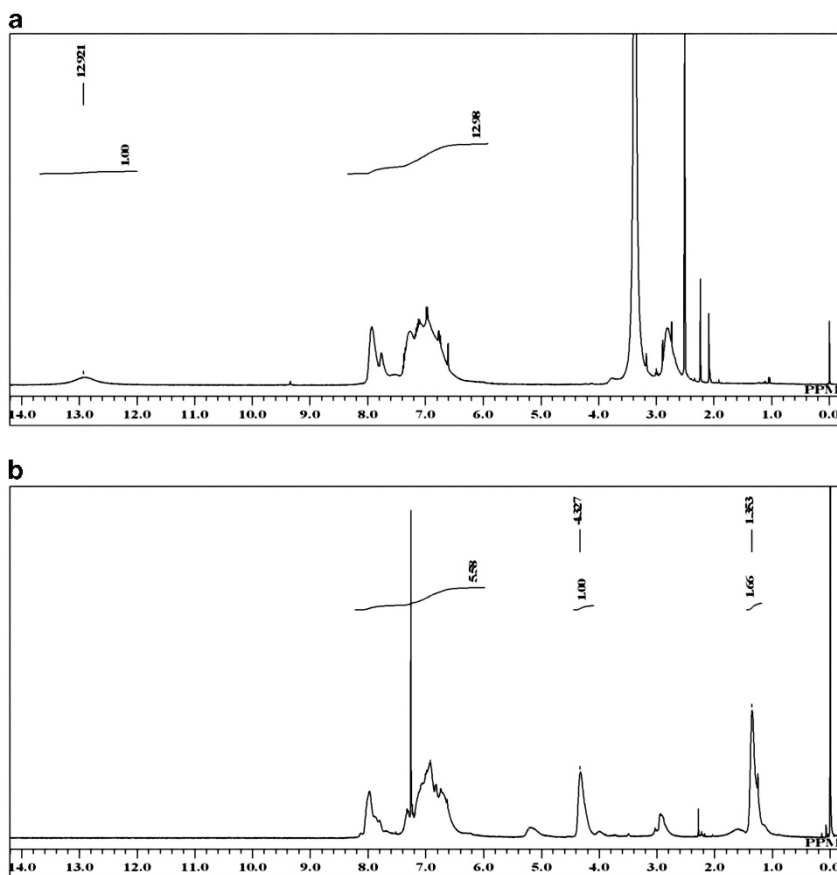


Figure 3 ^1H NMR ($\text{DMSO-}d_6$) spectra of entry 2-P2 (a) and entry 2-P3 (b).

Table 2 Solubility of hyperbranched polymer^a

	<i>NMP</i>	<i>DMAc</i>	<i>Dimethyl sulfoxide</i>	<i>Dimethylformamide</i>	<i>THF</i>	<i>Acetonitrile</i>	<i>Acetone</i>	<i>Dichloromethane</i>	<i>Chloroform</i>
P2	++	++	++	++	++	–	±	–	–
P3	++	++	++	++	±	–	++	++	++
	<i>Hexane</i>	<i>Ethyl acetate</i>	<i>Toluene</i>	<i>MeOH</i>	<i>EtOH</i>	<i>Deionized water</i>	<i>0.1 M NaOH solution</i>	<i>Tetramethylammonium hydroxide solution</i>	
P2	–	–	–	–	–	–	+	±	
P3	–	–	±	–	–	–	–	–	

Abbreviations: *DMAc*, dimethylacetamide; *THF*, tetrahydrofuran.

^a ++, soluble at room temperature; +, soluble on heating; ±, partially soluble; –, insoluble even on heating.

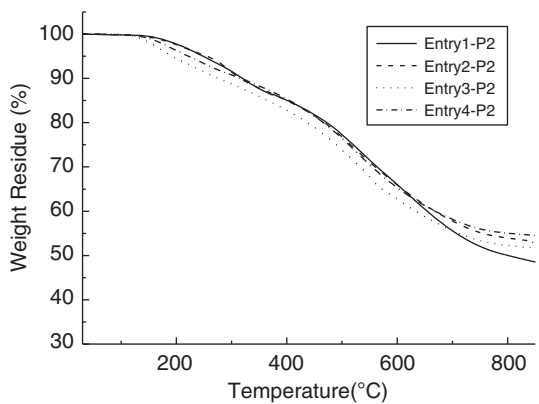


Figure 4 TG curve of entries 1–4 with carboxylic acid terminal groups.

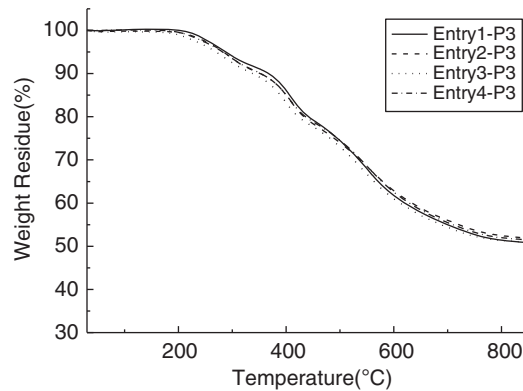
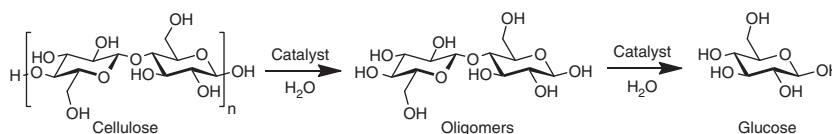


Figure 5 TG curve of entries 1–4 with ethyl ester terminal groups.



Scheme 2 Hydrolysis of cellulose to glucose.

Table 3 Hydrolysis of cellulose by P2 from entry 2^a

Entry	Catalyst	Conv. (%)	Yield (%)							TON ^b
			Glc	Olg ^c	Man	Frc	Lev	5-HMF	Others ^d	
1	None	27	4.6	15	0.6	0.5	0.2	1.8	4.8	—
2	P2	53 ^e	16	22	0.9	1.1	0.8	3.8	8.7	1.6
3	Benzoic acid	53	19	21	1.1	1.4	1.0	4.2	5.2	2.3

Abbreviation: 5-HMF, 5-hydroxymethylfurfural; Frc, fructose; Glc, glucose; Lev, levoglucosan; Man, mannose; Olg, oligomer; TON, turnover number.

^aCellulose 324 mg, P2 50 mg or benzoic acid 14 mg, distilled water 40 ml, 230 °C.

^bTurnover number for production of glucose.

^cDegree of polymerization = mainly 2–6.

^d(Conversion) – (total yield of shown products).

^eFor estimation of conversion, we hypothesized that none of P2 dissolved into water.

The weight losses of P2 and P3 begin at ~150 and 200 °C, respectively. These initial weight losses are most likely due to the decomposition of the terminal functional groups. The 10% weight-loss temperatures of all P2 and P3 polymers are > 300 °C, suggesting that the poly(ether ketone) backbone possesses high thermal stability. Differential scanning calorimetry measurements for P2 and P3 were performed over the temperature range of –30 to 200 °C. No glass transition temperature was observed, suggesting that the glass transition temperatures of these polymers may be > 200 °C.

Functionality of the carboxylic acid terminals

The ion exchange capacity of P2 was evaluated by acid-base titration, and the results are shown in Table 1. Entry 1 shows an ion exchange capacity of 2.8 mol kg⁻¹, whereas the theoretical value is 2.9 mol kg⁻¹. As the weight-average molecular weight increases, the amount of titrated carboxylic acid decreases. Presumably, some terminal groups are hidden in the polymer matrix or a cross-linking reaction decreased the number of terminals as the molecular weight increased.

The hydrolysis of cellulose was employed as a catalytic reaction (Scheme 2) to investigate the functionality of the terminal groups of P2 from entry 2. Table 3 summarizes the results of the catalytic reaction. P2 clearly shows a higher conversion of cellulose than the blank test. The conversion of cellulose and the yield of glucose reached 53 and 16%, respectively, after the reaction period. The turnover number was 1.6, which was calculated from the difference between the glucose yields of P2 and blank, whereas a control experiment with benzoic acid showed a turnover number of 2.3. These experimental results suggest that the carboxylic acid groups on the terminals of the hyperbranched poly(ether ketone)s functioned as a weak-acid catalyst. The present results suggest that P2 has potential applications as a heterogeneous catalyst, although the solubility and catalytic activity of the polymer requires optimization. Further studies will be performed to investigate the stability of the current material under severe reaction conditions and to optimize the polymer structure to develop highly active and stable catalyst materials.

CONCLUSIONS

A new type of hyperbranched aromatic poly(ether ketone) that possesses carboxylic acid terminal groups has been successfully synthesized via a one-step polycondensation of a symmetric AB₂ monomer **1**, using PPMA as a condensing agent and solvent. The weight-average molecular weight can be controlled in the range of 4.2 × 10⁴ to 1.6 × 10⁵ by changing the polymerization conditions. The hyperbranched polymer exhibited good solubility in organic solvents, and the solubility could be changed by converting the terminal groups. Thermogravimetric analysis suggested that these polymers possessed good thermal stability. The catalytic activity of the carboxylic acid terminal groups was demonstrated by the hydrolysis of cellulose. Considering the development possibilities for carboxylic acid functionality, the present hyperbranched poly(ether ketone)s have potential for a wide range of applications.

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