Characterization and kinetics study of the photochlorination of polyethylene

Ali Moradi¹, Ahmad Ramazani Saadat Abadi² and Mohammad Shahrokhi²

The radical chlorination of polyethylene was carried out in perchloroethylene solvent using chlorine gas and ultraviolet photoradiation to investigate a reaction kinetics model. The recurrent structure of chloromethylene (-CHCI-) and methylene (-CH₂-) groups and the percentage of substituted chlorine were determined using hydrogen-nuclear magnetic resonance (H-NMR) (500 MHz) spectra. A kinetics model has been proposed for this reaction in which the conversion of methylene (-CH₂-) units to chloromethylene (-CHCI-) units was considered to be the main reaction step. The simplified version of the obtained kinetics model indicates that the reaction is first order with respect to the chlorine gas concentration and zero order with respect to the methylene concentration up to 40% chlorination. At the first stage of the reaction, the concentration of γ -methylene sequences is high. Once the degree of chlorination exceeds 40%, the reaction order changes and becomes zero order with respect to the chlorine gas concentration. At this stage of the reaction, the concentration of γ -methylene groups has decreased considerably. *Polymer Journal* (2012) **44**, 973–977; doi:10.1038/pi.2012.42; published online 18 April 2012

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INTRODUCTION

Chlorinated polyethylene (CPE) has many applications due to its intrinsic properties, including weathering, chemical resistance and ability to modify impact. CPE can be found in several industrial grades, with 10 to 70% substituted chlorine.^{1–3} By increasing the number of substituted chlorine atoms on the polymer backbone, the crystallinity gradually decreases, and CPE is converted into a soft and flexible thermoplastic. Above 10% chlorination the flexibility of CPE becomes noticeable, and at 35–40% chlorination it is in its most flexible form. Above 55% chlorination the hardness and toughness of the polymer increase considerably.^{1–4}

There are two main types of chlorination processes, namely, solution-phase and suspension-phase chlorination. In solution-phase systems, the chlorine atoms are homogenously substituted through the backbone of the polymer, and the obtained CPE does not usually have crystalline blocks, which could be more favorable for rubber applications. Most industrial units produce various types of CPEs in solution-phase systems using a single solvent, such as CCl₄, or a mixture of solvents.^{1–11} In the suspension-phase technique, the chlorination reaction is carried out primarily on the surface of polyethylene granules, and the structure of the product is non-uniform or heterogeneous. Both of these methods are usually carried out under a moderate pressure, approximately 5 bar.^{1–3,12,13}

Although the kinetics study of the radical chlorination of polyethylene is required for process design purposes and product quality control, this subject has not received considerable attention in the literature. Recently, the quantitative analysis of the radical chlorination reaction of polyethylene for determination of the chlorine percentage and the concentration of methylene sequences during the chlorination reaction was performed by Moradi *et al.* using hydrogen-nuclear magnetic resonance (H-NMR) 500 MHz spectra and the flask combustion method (IP-244).¹⁴⁻¹⁷ However, the reaction kinetics of chlorination has not been considered in the above-mentioned works and will be considered in this paper. The concentrations of CHCl and CH₂ groups that are needed to obtain the reaction. To obtain the kinetics model, a pattern similar to the one that has been proposed for the chlorination reaction of low-molecular-weight paraffins has been used.

The radical chlorination of low-molecular-weight paraffins such as n-dodecane and tetrachloroethene has been studied by several researchers.^{18–22} In the photochlorination of tetrachloroethene, the conversion of methylene units ($-CH_{2-}$) to chloromethylene ($-CHCl_{-}$) groups has been considered the main reaction.^{21,22} In the radical chlorination of polyethylene, the chlorine atoms are progressively substituted on the polyethylene backbone, which makes the kinetics study of the reaction a difficult task. It seems that the rate of the chlorination reaction strongly depends on the distribution of chlorine atoms along the polymer chain. A kinetics model similar to the chlorination kinetics model of low-molecular-weight alkanes can be

¹Department of Chemical Engineering, Shahid Bahonar University of Kerman, Kerman, Iran and ²Department of Chemical and Petroleum Engineering, Sharif University of Technology, Tehran, Iran

Correspondence: Dr A Moradi, Department of Chemical Engineering, Shahid Bahonar University of Kerman, Jomhoori Islami BLVD, Kerman 76175-133, Iran. E-mail: moradi@mail.uk.ac.ir

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considered for the conversion of methylene $(-CH_2-)$ units to chloromethylene (-CHCl-) groups for modeling the chlorination reactions of polyethylene (PE) to CPE. The overall kinetics reaction may be obtained by considering all of the intermediate radical reactions, including initiation, propagation and termination reactions. In this study, such a kinetics model has been proposed and its validity has been confirmed through experimental study.

Reaction scheme

The overall reaction equation for the radical chlorination of polyethylene is given below:¹

$$-CH_{2}CH_{2}CH_{2}CH_{2}-+Cl_{2} \xrightarrow{h\nu} -CH_{2} \underset{C1}{C}HCH_{2}CH_{2}-+HCl$$
(1)

The different steps involved in the radical chlorination of polyethylene can be written as:

(1) Initiation:

$$\operatorname{Cl}_2 \xrightarrow{uv} 2 \operatorname{Cl}^*$$
 (2)
(2) Propagation:

$$-CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - + Cl$$

$$\longrightarrow -CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - + HCl$$

$$(3)$$

$$-CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - + Cl_{2}$$

$$\longrightarrow -CH_{2}CH_{2}CH_{2}CH_{2} - + Cl$$

$$(4)$$

(3) Termination:

$$-CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - + -CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} -$$

$$\xrightarrow{-CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}} - CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - CH_{2}CH_{2$$

$$-CH_{2}CH_{2}\overset{*}{C}HCH_{2}CH_{2} - + \overset{*}{Cl}$$

$$\xrightarrow{-} -CH_{2}CH_{2}CH_{2}CH_{2}CH_{2} - \qquad (6)$$

$$\overset{*}{\mathrm{Cl}} + \overset{*}{\mathrm{Cl}} \longrightarrow \mathrm{Cl}_2 \tag{7}$$

When the chlorine content is high, polymer chains can have several chloromethylene groups with different sequence structures. Therefore, the above mechanism can be complicated when the chlorine content is high because of the hindrance effects of the chlorine groups.

The proposed kinetics model for the radical chlorination of polyethylene

In this attempt to obtain a kinetics model for the radical chlorination of polyethylene, the methylene (– CH_2 –) and chloromethylene (–CHCl–) groups are considered to be individual reactive sites and product units, respectively. The proposed mechanism was inspired by the photochlorination reaction of tetrachloroethene.^{21,22} Hence, the results of low-molecular-weight reactions are used to model the radical chlorination of polyethylene as follows.

(a) Initiation:

$$\operatorname{Cl}_2 \xrightarrow{nv} 2\operatorname{Cl}^*, -r_1 = \phi I$$
 (8)

(b) Propagation:

$$-CH_2 - + Cl^* \longrightarrow -CH^* - + HCl, -r_2 = K_2BX$$
(9)

$$-CH^* - + Cl_2 \longrightarrow -CHCl - + Cl^*, -r_3 = K_3AY$$
(10)

(c) Termination:

$$-CH^* - + -CH^* - \longrightarrow -CH_2CH_2 - , -r_4 = K_4Y^2$$
(11)

$$-CH^* - + Cl^* \longrightarrow -CHCl - , -r_5 = K_5XY$$
(12)

$$\operatorname{Cl}^* + \operatorname{Cl}^* \longrightarrow \operatorname{Cl}_2, -r_6 = K_6 X^2 \tag{13}$$

where A, B, X, Y, φ and I denote the concentrations of chlorine, methylene, chlorine radicals and methylene radicals, the intensity of ultraviolet light, and its quantum yield, respectively.

Using the pseudo-steady-state approximation, the following equations are obtained:

$$- dX/dt = 2r_1 - r_2 + r_3 - r_5 - 2r_6 = 0$$
(14)

$$- dY/dt = r_2 - r_3 - 2r_4 - r_5 = 0 \tag{15}$$

$$r = r_3 = - dA/dt = - dB/dt = dC/dt$$
(16)

where C shows the concentration of chloromethylene groups.

Using the above equations, the concentration of methylene radicals and the rate of reaction can be obtained as:

$$Y = (\varphi I/K_4)^{0.5} \left[1 + \frac{K_5(K_3A)}{K_4(K_2B)} + \frac{K_6(K_3A)^2}{K_4(K_2B)^2}\right]^{-0.5}$$
(17)

$$r = r_3 = K_3 A Y = K_3 (\varphi I/K_4)^{0.5} A \left[1 + \frac{K_5 (K_3 A)}{K_4 (K_2 B)} + \frac{K_6 (K_3 A)^2}{K_4 (K_2 B)^2}\right]^{-0.5}$$
(18)

Depending on the importance of the termination steps, equation (18) can be simplified in three different ways. If the effects of termination rates (12) and (13), or K_5 and K_6 are negligible, equation (18) is reduced to:

$$r = K_3 (\varphi I/K_4)^{0.5} A \tag{19}$$

This means that the reaction is first order with respect to the chlorine concentration and zero order with respect to the concentration of methylene groups.

If termination is dominated by the mechanism presented by equation (13) or if K_4 and K_5 are negligible, then equation (18) can be written as:

$$r = K_3 (\varphi I/K_4)^{0.5} A \left[\frac{K_6 (K_3 A)^2}{K_4 (K_2 B)^2} \right]^{-0.5} = \frac{K_2}{K_6^{0.5}} (\varphi I)^{0.5} B$$
(20)

This means that the reaction is zero order with respect to the chlorine concentration and first order with respect to the methylene group concentration.

Finally, if termination is dominated by equation (12) or K_4 and K_6 are negligible, equation (18) reduces to:

$$r = K_3 (\varphi I/K_4)^{0.5} A \left[\frac{K_5(K_3A)}{K_4(K_2B)} \right]^{-0.5} = \left[\frac{K_3K_2}{K_5} \right]^{0.5} (\varphi I)^{0.5} [AB]^{0.5}.$$
(21)

This means that the reaction is half order with respect to the chlorine and methylene group concentrations.^{21,22}

However, the experimental data can be used to help identify which of the above-mentioned reaction rates is valid.

MATERIALS AND METHODS

Material and equipment

Industrial grades of perchloroethylene and methanol after double distillation were used as the solvent and antisolvent, respectively. The pure powder of high density polyethylene (HDPE) was obtained from an Iranian petrochemical company (Arak, Iran). Industrial chlorine gas with a purity of 99.5% was used as the chlorine source. The deuterated benzene and chloroform used in the NMR analysis technique were prepared by the Merck Chemical Company (Merck, Darmstadt, Germany).

The experimental setup consisted of a 2-l Pyrex reactor (Goldis Company, Tehran, Iran), a condenser, a chlorine gas cylinder, the ultraviolet cell and a rotameter for measuring the chlorine volume flow rate. A neutralization system was applied to the exhaust gas. The H-NMR 500 MHz apparatus, Bruken Drx-500 Avance model (Bruken Company, Rheinstetten, Germany) with a 5-mm QNP probe and an internal reference of tetramethylsilane) was used to analyze the experimental results.

Experiment

CPE can be produced by several methods, such as bulk, solution or suspension polymerization. To obtain a homogeneous CPE product, the solution-phase system was selected. $^{\rm 1}$

In this research, using chlorine gas and ultraviolet light, several preliminary reactions were carried out in the solution phase (that is, using perchloroethylene as the solvent) to achieve stable and suitable conditions for the chlorination reaction. Using different analytical tests, it was recognized that a temperature of 100 $^{\circ}$ C, 1 bar pressure and a rate of 0.41 per min of chlorine gas are appropriate conditions to produce different degrees of CPE. Samples were taken every 50 min, and the reaction time was set to 6 h. The schematic diagram of the laboratory setup is shown in Figure 1.

The H-NMR (500 MHZ) spectra were taken in deuterated benzene at 80 °C.

RESULTS AND DISCUSSION

In this section, the H-NMR spectra are first interpreted, and then the percentage of chlorination was calculated from these spectra during the course of the reaction. Next, the concentrations of methylene and chloromethylene sequences in the vicinity of the substituted chlorine were determined. Finally, the obtained kinetics equations were evaluated by the H-NMR data, and the appropriate kinetics model was selected.

Interpretation of the H-NMR spectra

The chlorination reaction was conducted under the operation conditions given in the section Experiment. During the reaction, samples were taken and analyzed by the H-NMR technique. As an example, one of these spectra is given in Figure 2.¹⁵

As can be seen in Figure 2, the group of peaks appearing at approximately 3.6–4.7 p.p.m. (parts per million), at 1–2.5 p.p.m. and under 1 p.p.m. show the resonance of chloromethylene protons (–CHCl–), methylene protons (–CH₂–) and methyl (–CH₃–) groups, respectively.^{23–25} The chlorination percentage can be obtained from these spectra as explained below.

Let the summation of the integration values of methyl, methylene and chloromethylene protons be denoted as S_1 , S_2 and S_3 , respectively. As the number of hydrogen atoms is three times larger than the number of carbon atoms in the methyl group, the number of hydrogen atoms is twice the number of carbon atoms in the methylene group, and the numbers of chlorine, carbon and hydrogen atoms are equal in the chloromethylene group, the chlorine percentage can be calculated as follows:

Chlorine percentage

$$= (M_{\rm Cl} \times S_3) / [S_3 \times M_{\rm CHCl} + (S_2/2) \times M_{\rm CH_2} + (S_1/3) \times M_{\rm CH_3}]$$
(22)

where M_{Cl} , M_{CHCl} , M_{CH3} and M_{CH2} indicate the formula weights of Cl, CHCl, CH₃ and CH₂, respectively.

As the sum of methylene and chloromethylene concentrations should be equal to the initial value of the methylene concentration, the concentration of chloromethylene can be calculated from the following relation:

$$[CHCI] = [CH_2]_0 - [CH_2], [CH_2]_0 = m/M_{CH_2} = 4.29, m = 60 \text{ g}, M_{CH_2} = 14$$
(23)

where $[CH_2]_0$ and [CHCl] denote the initial concentrations of methylene and chloromethylene, respectively.

The experimental results for different runs are given in Table 1. The accuracy of the results obtained by this method has been checked via the flask combustion method.¹⁵



Figure 1 Schematic of the reaction setup: (a) chlorine gas cylinder, (b) pressure gage, (c) needle valve, (d) gas trap, (e) flow meter, (f) ultraviolet light, (g) mechanical mixer, (h) impeller, (i) gas disperser, (j) reactor, (k) oil bath, (l) condenser, (m) trap and (n) neutralization.



Figure 2 Hydrogen-nuclear magnetic resonance spectra of chlorinated polyethylene containing 13.5% chlorine.¹⁵

Table 1 Experimental results for polyethylene chlorination

		Chlorine percent obtained by hydrogen-nuclear	
Run	Time (min)	magnetic resonance	[CHCI] (mol)
1	0	0	0
2	50	13.5	0.2626
3	110	31.5	0.76727
4	170	41	1.1519
5	230	46.5	1.43389
6	290	49.5	1.61215
7	340	51	1.70901
8	390	52.5	1.81164



Figure 3 Relative fractions of $\alpha\text{-},\ \beta\text{-}$ and $\gamma\text{-methylene sequences during the reaction.}^{15}$

To identify the sequences of the methylene groups, the notations α , β and γ were used to show the distances from the CHCl group (one, two and three or more carbon atoms away from chloromethylene, respectively). These sequences can be shown as:

$$-\operatorname{ClCH}_{2} - \underset{\alpha}{\operatorname{C}}\operatorname{H}_{2} - \underset{\beta}{\operatorname{C}}\operatorname{H}_{2} - (\underset{\gamma}{\operatorname{C}}\operatorname{H}_{2})_{n} - \underset{\beta}{\operatorname{C}}\operatorname{H}_{2} - \underset{\alpha}{\operatorname{C}}\operatorname{H}_{2} - \operatorname{CH}_{2}\operatorname{Cl} -$$

$$(24)$$

Using the H-NMR spectra, variations of the methylene sequence concentrations (α , β and γ) can be calculated during the course of the reaction, as shown in Figure 3. As one can see from this figure, the percentage of α -methylene sequences does not change considerably up to 40% chlorination; after that, the percentage of α -methylene sequences increases exponentially. The percentage of β -methylene sequences gradually increased to a maximum value at approximately 40% chlorination and then decreased at a higher rate beyond this concentration. The percentage of γ -methylene sequences decreases as the chlorination reaction continues. For a detailed discussion, the reader is referred to Moradi *et al.*¹⁵

Verification of reaction kinetics. To investigate the reaction kinetics, the variations of the methylene

concentration with respect to time are plotted in Figure 4. If Equation (20) holds, the kinetics reaction of chlorination will be first order with respect to the methylene concentration. Based on this hypothesis, variations of the methylene concentration vs time should have an exponential trend. If an exponential function were fitted to these data (Figure 4), the correlation coefficient (R^2) would be 0.9689. If variations of the methylene concentration with respect to time are analyzed more carefully, it will be observed that the relationship initially exhibits a linear functionality with time, while at the end it varies exponentially with respect to time. Therefore, a line is fitted to the first four data points, and an exponential function is fitted to the second four data points. As can be seen from Figure 4, the correlation coefficients of these fittings are 0.99375 and 0.99821, respectively. The above functionalities of the methylene concentration with respect to time can be justified as explained below. At the beginning of the chlorination reaction, the concentrations of the chlorine radicals and the methylene groups are high. Therefore, the termination reaction rate r_4 is dominant, and the reaction rate is given by equation 19. In all experiments, the chlorine flow rate was selected to be high, and therefore the reaction solution is almost saturated by chlorine radicals.



Figure 4 Variation of the methylene concentration vs time during the course of the reaction. A full color version of this figure is available at *Polymer Journal* online.

This means that in equation 19, the chlorine radical concentration can be considered a constant; therefore, the reaction rate is constant at the beginning of the chlorination reaction. This fact justifies the linear variations of the methylene concentration with respect to time, as shown in Figure 4.

As the reaction proceeds, for chlorination higher than 40%, the concentration of β -methylene sequences decreases and the concentration of γ -methylene groups also decreases, but at a higher rate (Figure 3), which results in a lower consumption of chlorine radicals and leads to dominancy of termination reaction rate r_6 . Therefore, at a higher polymer chlorine content (more than 40%), the reaction rate is given by equation 20, which is consistent with the exponential behavior of the methylene concentration variations with respect to time at a higher polymer chlorine percentage. equation 20 can be written as follows:

$$r = -\frac{\mathrm{d}B}{\mathrm{d}t} = \frac{K_2}{K_6^{0.5}} (\varphi I)^{0.5} B = K' B$$
(25)

(26)

or

$$B = B_0 \exp(-K't)$$

Using Figure 4, K' is obtained as given below: $K' = 0.00082 \text{ min}^{-1}$

CONCLUSIONS

To model the radical chlorination reaction of polyethylene, a kinetics model similar to the one proposed for the photochlorination reaction of tetrachloroethene was used in this work. Photochlorination of polyethylene was conducted in a lab-scale experimental setup, and the results were analyzed by the H-NMR technique. Conversion of methylene units to chloromethylene groups is considered to be the main reaction step, and the concentrations of different methylene sequences (α , β and γ) are obtained during the course of the reaction. By plotting the variations of the methylene concentration vs time, the reaction kinetics and type of termination reaction were identified. By analyzing the experimental data, it has been shown that up to 40% chlorination, the reaction rate is first order with respect to the chlorine gas concentration and zero order with respect to the methylene group concentration. As the reaction continues and the degree of chlorination exceeds 40%, the reaction order changes and becomes zero order with respect to the chlorine gas concentration and respect to the chlorine gas concentration and set and the degree of chlorination exceeds 40%, the reaction order changes and becomes zero order with respect to the chlorine gas concentration and first order with respect to the methylene group concentration.

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