

INVITED ARTICLE

Preparation of organic–ceramic–metal multihybrid particles and their organized assembly

Jun Matsui^{1,2}, Salina Parvin¹, Eriko Sato^{1,3} and Tokuji Miyashita¹

This paper describes the synthesis and assembly of multihybrid particles having a gold core, silica as an initial shell and polymethylmethacrylate (pMMA) as a second shell (Au@SiO₂@pMMA). Multihybrid particles were synthesized in a stepwise manner. First, direct sol–gel coating of silica onto gold nanoparticles was carried out to prepare Au@SiO₂ particles. The Au@SiO₂ particles were modified with 3-(triethoxysilyl)propyl 2-bromo-2-methylpropanoate (BMPS), which is an initiator for atom transfer radical polymerization (ATRP) of MMA. Finally, bulk ATRP of MMA was conducted using BMPS-coated Au@SiO₂ particles. Au@SiO₂@pMMA particles formed a closely packed monolayer at the air–water interface. The monolayer can be deposited onto a solid substrate using the Langmuir–Blodgett technique. Atomic force microscopy and scanning electron microscopy images of the deposited film show a uniform and closely packed monolayer film in an area a few tens-of-micrometers in size with no voids. Moreover, a clear Au core, silica shell and pMMA shell structure were observed in a transmission electron microscopy image of the transferred film. It is particularly interesting that the monolayer film shows no clear plasmon absorption of the gold core, as observed in the chloroform solution of the particle. Results show that a thick silica shell masked the monolayer film's plasmon absorption.

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INTRODUCTION

Organic–inorganic hybrid nanomaterials seem to be a creative alternative for obtaining new materials with unusual features that cannot be achieved by any single component. Nanometer-scale combinations of organic and inorganic materials can yield, for example, flexible films with high mechanical and thermal stability or flexible films with magnetic or electrical properties.^{1,2} In contrast, metal nanoparticles have attracted much interest because of their unique optical properties based on localized surface plasmon resonance, which has wide applicability for optical filters,³ immunoassay labeling⁴ and Raman spectroscopy enhancers.⁵ Gold nanoparticles are probably the most studied and established system because of tremendous advances in their synthesis procedures.⁶ The optical properties of gold nanoparticles can be further controlled by coating the surface with a dielectric material and by changing the interparticle spacing.⁷ For that reason, synthesis of hybrid gold particles that have organic or inorganic shells and assembly of hybrid gold particles into two-dimensional and three-dimensional orders have become active areas of research. For example, Heath *et al.*⁸ studied the optical properties of a hybrid gold-nanoparticle monolayer at the air–water interface by changing the interparticle distance with surface pressure. Fukuda and others⁹ controlled the interparticle distance of gold nanoparticles by changing

the polymer shell thickness. Xia and others¹⁰ synthesized a gold nanoparticle with a silica shell and assembled the hybrid particles in an array to produce a plasmonic waveguide. These reported studies are related to the synthesis and assembly of hybrid particles composed of a gold core with a single shell. Few reports describe hybrid particles composed of a single gold core with a multiple-layer shell.¹¹ The assembly of such gold particles has not been reported.

For this study, we prepared multihybrid spherical particles, which have an Au core, silica as an initial shell and polymethylmethacrylate (pMMA) as a second shell (Au@SiO₂@pMMA), and prepared two-dimensional and three-dimensional assemblies of the particle using the Langmuir–Blodgett (LB) technique. Silica-coated gold particles (Au@SiO₂) were prepared by direct coating of gold nanoparticles through a sol–gel reaction of tetraethylorthosilicate (TEOS). Thereafter, Au@SiO₂ was coated with an initiator for atom transfer radical polymerization (ATRP). The ATRP of MMA was initiated from the initiator-coated particle. The resulting Au@SiO₂@pMMA particles were characterized using dynamic light scattering (DLS) and transmission electron microscopy (TEM) measurements. Then, an Au@SiO₂@pMMA particle film was prepared at the air–water interface, and the particle film was transferred onto a solid substrate. The morphology of the film was observed using atomic force microscopy

¹Institute of Multidisciplinary Research for Advanced Materials, Tohoku University, Aoba-ku, Sendai, Japan and ²Precursory Research for Embryonic Science and Technology, Japan Science and Technology Agency, Honcho, Kawaguchi, Japan

³Current address: Department of Applied Chemistry and Bioengineering, Graduate School of Engineering, Osaka City University, Sugimoto, Sumiyoshi-ku, Osaka 558-8585, Japan.

Correspondence: Professor T Miyashita, Institute of Multidisciplinary Research for Advanced Materials, Tohoku University, 2-1-1 Katahira Aoba-ku, Sendai, Miyagi 980-8577, Japan. E-mail: miya@tagen.tohoku.ac.jp

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(AFM), scanning electron microscopy (SEM) and TEM. In addition, optical properties of the multilayer film were elucidated using ultraviolet-visible (UV-Vis) absorption measurements.

EXPERIMENTAL PROCEDURE

Materials

For use in this study, $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ (98%), 4,4'-dinyonil-2,2'-bipyridine (dNbipy, 97%) and $\text{Cu}(\text{I})\text{Cl}$ (99.99%) were purchased from Aldrich (St Louis, MO, USA). Ethyl-2-bromoisobutyrate was purchased from TCI (Tokyo, Japan). Ammonia solution (28%) and trisodium citrate dihydrate (Na-cit, 99%) were purchased from Wako Pure Chemical Industries (Osaka, Japan). TEOS and octadecyltrichlorosilane were purchased from Shinetsu Chemical (Tokyo, Japan). All chemicals were used as received. In addition, 3-(triethoxysilyl)propyl 2-bromo-2-methylpropanoate (BMPS) was kindly donated by Chisso (Tokyo, Japan). MMA was obtained from Nacalai Tesque (Kyoto, Japan) and purified by distillation under reduced pressure. Ultrapure deionized water was prepared (CPW-101; Advantec Toyo Kaisha, Tokyo, Japan). All other chemicals were purchased from TCI. All solvents were distilled before use.

Synthesis of organic-inorganic-metal multihybrid nanoparticle ($\text{Au@SiO}_2\text{@pMMA}$)

Organic-inorganic-metal multihybrid particles were synthesized using the stepwise procedure presented in Scheme 1. Each procedure is described below.

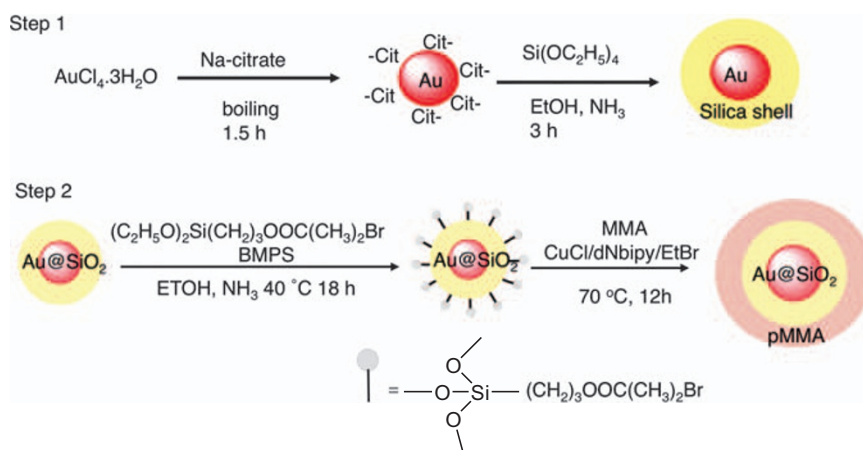
Synthesis of gold nanoparticles and silica coating on gold nanoparticles (step 1). Gold nanoparticles were prepared by a reduction of gold salt with Na-cit, following the reported procedure.¹² Briefly, 1 ml of freshly prepared 0.34 M Na-cit aqueous solution was added to 200 ml of 0.24 mM HAuCl_4 aqueous solution at boiling temperature with vigorous stirring. The mixture turned wine red within a few minutes, indicating the presence of gold nanoparticles. After 30 min of vigorous stirring, 5 ml of 1 mM Na-cit was added again to the boiling gold sol. The mixture was stirred for another 1 h. The prepared gold solution was cooled to room temperature. The sol-gel seeded-growth process was applied to produce a silica shell on the gold nanoparticle surface.¹³ Then, TEOS in ethanol (5 mM) was slowly added to the gold sol. The mixture was stirred for 10 min, and 5 ml of ammonia solution was added to the TEOS/ethanol/gold sol mixture with vigorous stirring. The reaction was stirred for 3 h to produce Au@SiO_2 particles.

Coating of BMPS onto Au@SiO_2 particles and graft polymerization of MMA onto Au@SiO_2 particles (step 2). The Au@SiO_2 particles were separated from the colloidal solution with centrifugation (4000 r.p.m. \times 90 min) and diluted with ethanol (30 ml, 7.7 wt%). A mixture of ammonia solution (14 ml) and ethanol (175 ml) was added dropwise to the particles suspended in ethanol under stirring. The mixture was then stirred for 2 h at 40 °C. BMPS (2 g, 4.8 mmol) was then dissolved in ethanol (10 ml) and added dropwise into the system.

The reaction mixture was stirred continuously for another 18 h at 40 °C. The initiator-modified Au@SiO_2 particles were then washed by repeating the centrifugation-redispersion process using ethanol (4000 r.p.m. \times 60 min) to remove the free initiator from the surface of the particles. The procedure was repeated three times. Finally, the initiator-coated Au@SiO_2 particles ($\text{Au@SiO}_2\text{-BMPS}$) were dried under vacuum at room temperature. Bulk polymerization of MMA was conducted from the $\text{Au@SiO}_2\text{-BMPS}$ particles.¹⁴ The $\text{Au@SiO}_2\text{-BMPS}$ particles (0.4 g) were mixed with MMA (9.8 g, 98 mmol) and purged with argon. Then, ethyl-2-bromoisobutyrate, which acts as a 'sacrificial' free initiator (3.2 mg, 0.016 mmol), $\text{Cu}(\text{I})\text{Cl}$ (16 mg, 0.16 mmol) and dNbipy (134 mg, 0.32 mmol) were added to the particles suspended in MMA under an argon atmosphere. The role of the free initiator is to accumulate an appropriate amount of $\text{Cu}(\text{II})$ species by the termination of propagating radicals and thus to control polymerization by the so-called persistent radical effect.^{15,16} Polymerization was conducted at 70 °C for 14 h. The pMMA-grafted Au@SiO_2 particles ($\text{Au@SiO}_2\text{@pMMA}$) were precipitated in 2 wt% citric acid/methanol solution to remove the catalyst. The $\text{Au@SiO}_2\text{@pMMA}$ particles were purified by repeating the centrifugation-redispersion process using tetrahydrofuran (4000 r.p.m. \times 60 min, five times) and drying them under vacuum at room temperature.

Measurements

The molecular weights of pMMA were determined using gel permeation chromatography (Tosoh, Tokyo, Japan) with a polystyrene standard. Fourier transform infrared (FT-IR) spectra were obtained with an FT-IR spectrometer (FT/IR-230; Jasco, Tokyo, Japan). UV-Vis spectra were obtained using a UV-visible absorption spectrometer (U-3000; Hitachi, Tokyo, Japan). Thermogravimetric-differential thermal analysis was conducted (Thermo plus TG 8120; Rigaku, Showajima, Japan). The size distributions of the particles were obtained with electrophoretic light scattering using the DLS method (ELS-8000; Otsuka Electronics, Hirakata, Japan). Microscopic images were taken and surface morphologies of the particles were assessed using TEM (JEM-3010; JEOL, Tachimachi, Japan), AFM (SPI400; Seiko Instruments, Chiba, Japan) and scanning electron microscopy (SEM) (JSM-7000F; JEOL). TEM images were obtained at an accelerating voltage of 300 kV. Particle suspensions were dropped onto a collodion-coated copper grid; TEM images of particles were obtained after evaporating the solvent. For measuring TEM images of particles in the LB film, the monolayer was transferred directly to the TEM grid, which was mounted on a silicon wafer. Samples for SEM and AFM measurements were prepared by transferring the monolayer onto a silicon wafer using the LB technique. Thereafter, SEM measurements were conducted at an accelerating voltage of 10 kV. Surface pressure-area (π -A) isotherm measurements were determined and deposition of monolayers was conducted using a computer-controlled Langmuir trough system (FSD-110; USI Systems, Fukushima, Japan) at a compression speed of 14 cm^2/min at 20 °C. The monolayers were transferred onto a substrate using vertical



Scheme 1 Synthesis procedure for $\text{Au@SiO}_2\text{@pMMA}$.

up-down deposition. Silicon wafers and quartz were used as deposition substrates. The substrates were first washed with 2-propanol; they were then treated with UV-O₃ cleaner (NL-UV 253; Filgen, Nagoya, Japan) to expose hydroxyl groups on the substrate surface. The cleaned hydrophilic substrates were immersed into an approximately 1×10^{-6} M octadecyltrichlorosilane chloroform solution to produce a hydrophobic substrate.

RESULTS AND DISCUSSION

Preparation of silica-coated gold nanoparticles (Au@SiO₂)

Au@SiO₂ particles were prepared following the published method,¹³ with slight modifications. First, gold nanoparticles were prepared using sodium citrate as a reductant.¹² To carry out silica coating on the surface of gold nanoparticles, a high concentration of citric acid was necessary.^{17–19} Therefore, the prepared citrate ion-coated gold nanoparticles were treated once again with 1 mM sodium citrate solution. It is known that during the preparation of gold nanoparticles, some of the citrate ions decompose to form acetone, dicarboxylic acid, itaconic acid and so on, which are adsorbed onto the surfaces of gold nanoparticles.^{17–19} After subsequent treatment of freshly prepared gold nanoparticles with additional citrate ions, fresh citrate ions can replace decomposed citrate ion products effectively, which results in a high concentration of citrate ion on the gold surface. Silica coating was first initiated by adsorption of silanol groups onto the nanoparticle's surface, leading to formation of a silica layer. The silica shell was grown with a sol-gel reaction of TEOS using ammonia as a catalyst. The average particle size estimated from DLS measurements was 130 ± 40 nm. The UV-Vis absorption spectrum of Au@SiO₂ shows a broad peak centered at 520 nm, which is attributed to the surface plasmon resonance band of gold nanoparticles (Figure 1). The large background absorption arises because of the scattering of light from the thick silica shell. The TEM image of Au@SiO₂ particles shows a clear core-shell structure (Figure 2). From the image, the darker and lighter parts of the particles were determined to be gold particles and the silica shell, respectively, because of differences in their electron densities.

Graft polymerization of MMA onto Au@SiO₂ nanoparticles through ATRP

Au@SiO₂ particles were reacted with an ATRP initiator, BMPS, through a silane coupling reaction with hydroxy groups on the silica surface. The presence of BMPS moiety was confirmed by the FT-IR spectrum presented in Figure 3. After reacting with the BMPS initiator, particles show a characteristic ester-stretching peak at 1735 cm^{-1} , and an alkyl-stretching peak at $2840\text{--}3000 \text{ cm}^{-1}$, which are assignable to the BMPS initiator (Figure 3a). The amount of

initiator incorporated onto the surface of particles was calculated as $3.76 \times 10^{-5} \text{ mol mg}^{-1}$ by thermogravimetric-differential thermal analysis. The BMPS-coated Au@SiO₂ particles were dispersed in MMA, and bulk ATRP of MMA was carried out. The FT-IR spectrum of particles after polymerization showed a large increase in the

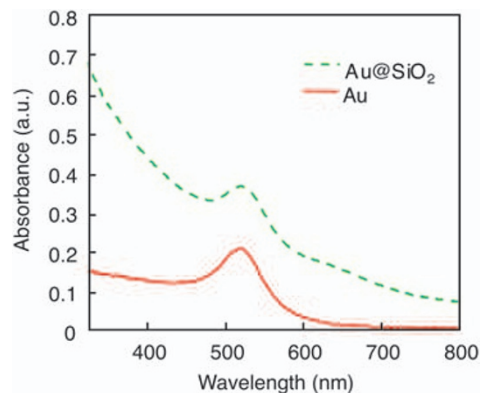


Figure 1 UV-Vis spectra of Au and Au@SiO₂ particles dispersed in an ethanol solution.

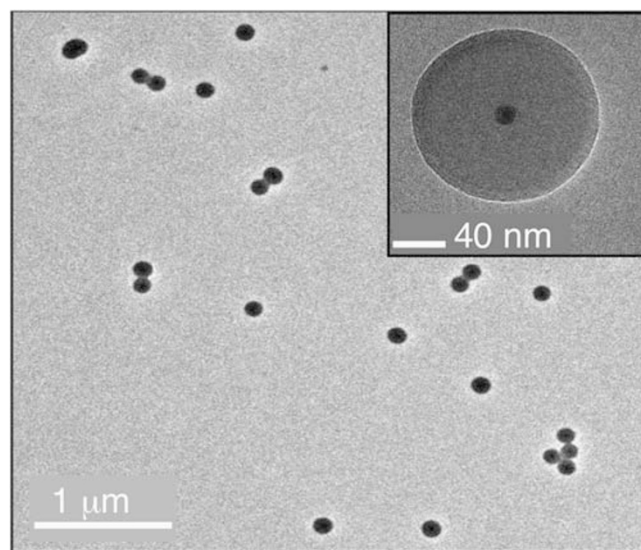


Figure 2 TEM image of Au@SiO₂ particles dropped onto a collodion-coated TEM grid from an ethanol solution.

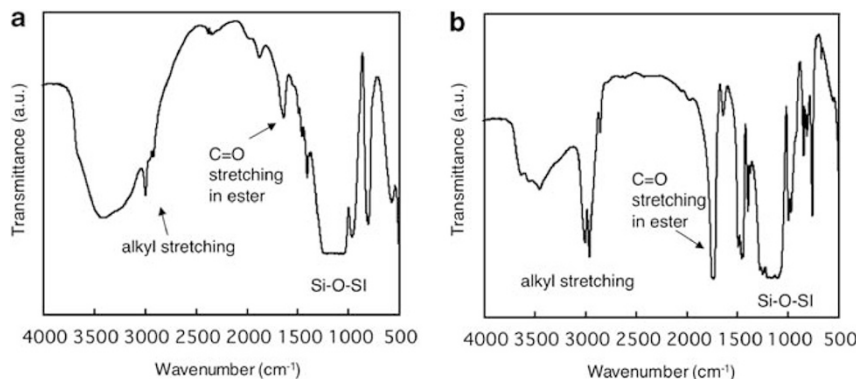


Figure 3 FT-IR spectra of (a) Au@SiO₂-BMPS particles and (b) Au@SiO₂-pMMA particles.

intensity of the ester peak and of the alkyl peak compared with that of Si-O-Si ($1034\text{--}1249\text{ cm}^{-1}$), which indicates the formation of a pMMA shell around the Au@SiO₂ particles (Figure 3b). Au@SiO₂@pMMA particles were well dispersed in good solvents for pMMA, such as chloroform and toluene, which supports the presence of pMMA in the second shell. The molecular weight of the grafted

pMMA and the diameter of Au@SiO₂@pMMA were determined, respectively, using gel permeation chromatography and DLS. The molecular weight of the pMMA shell was determined to be $M_n=47\,800$, with a polydispersity index of 1.45, using a free polymer, which was produced simultaneously from the 'sacrificial' free initiator. Reportedly, in an ATRP system, the molecular weights of the free polymer and grafted polymers are nearly equal.²⁰ The DLS measurements of Au@SiO₂@pMMA particles were determined in chloroform solution. The Au@SiO₂@pMMA particle diameter was calculated as $284 \pm 80\text{ nm}$. The increase in the diameter to 150 nm indicates that an approximately 75-nm-thick second pMMA shell was coated onto the Au@SiO₂ particle surface.

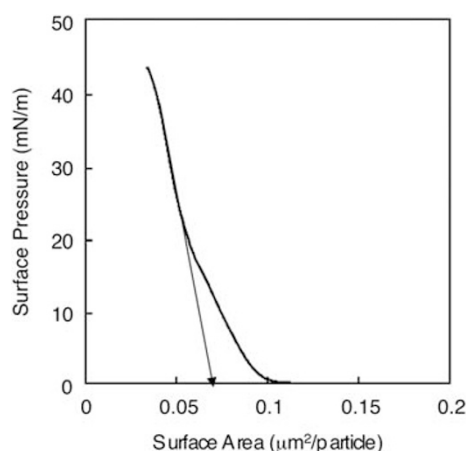


Figure 4 π -A isotherm of Au@SiO₂@pMMA particles measured at 20 °C. The straight arrow indicates the line extrapolated to determine the limiting surface area.

Characterization of Au@SiO₂@pMMA nanoparticle LB film

The Au@SiO₂@pMMA particles that dispersed in chloroform solution were spread onto a water surface, and the monolayer property of the particles was studied using π -A isotherm measurements (Figure 4). The isotherm shows a phase transition of around $0.06\text{ }\mu\text{m}^2$ per particles and a high collapse pressure of 43 mN m^{-1} . The limiting surface area per particle was determined by extrapolating the linear portion of the steep rise in the π -A isotherm to zero surface pressure. The estimated surface area of an Au@SiO₂@pMMA particle is $0.07\text{ }\mu\text{m}^2$ per particle, which is close to the cross-sectional area calculated from the particle diameter, assuming that all particles are spherical with a 280 nm diameter ($0.06\text{ }\mu\text{m}^2$). These results indicate that Au@SiO₂@pMMA particles form a closely packed monolayer at

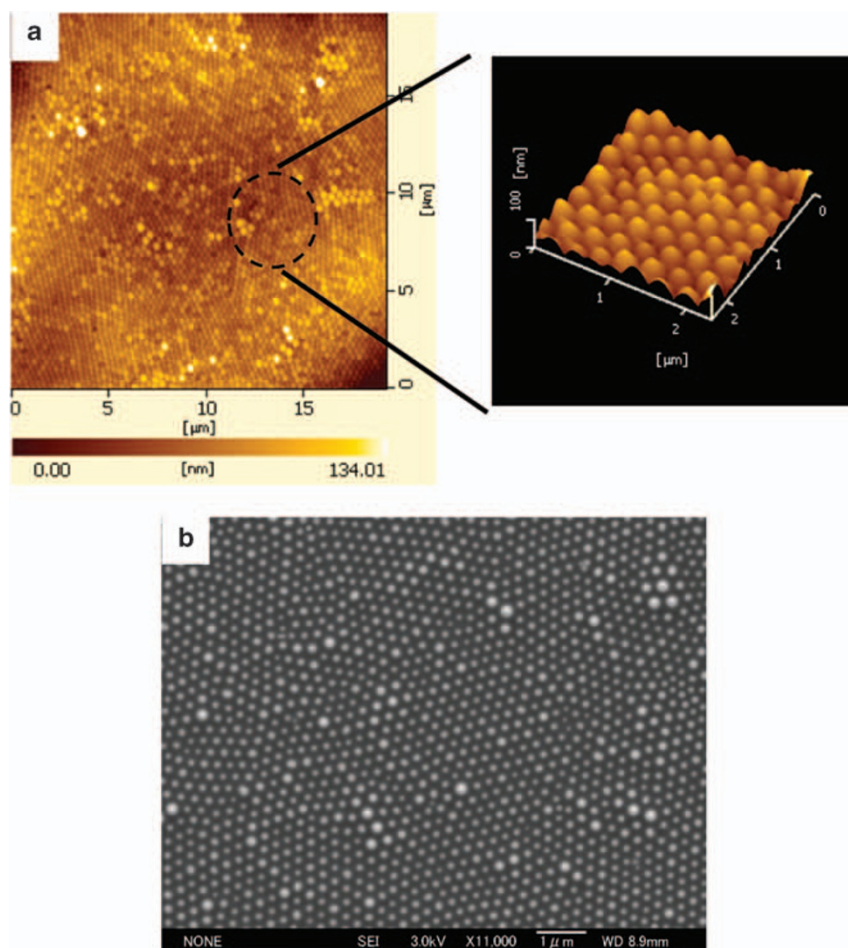


Figure 5 (a) AFM and (b) SEM images of a Au@SiO₂@pMMA particle monolayer deposited onto a silicon substrate at 30 mN m^{-1} .

the air–water interface. It is difficult to prepare a stable Au@SiO₂ monolayer at the air–water interface because of the hydrophilicity of the silica shell.

One layer of the particle film was transferred onto a silicon wafer at 30 mN m⁻¹; surface morphology was observed using AFM, SEM and TEM. The AFM and SEM images show a uniform and closely packed structure of several tens-of-micrometers in area with no voids (Figures 5a and b). The high deposition pressure induced the multihybrid particle to form the closely packed structure in such a large area. The TEM image of the particle monolayer shows a clear Au core, a SiO₂ first shell and a pMMA second shell structure because of differences in the electron density of gold, silica and pMMA (Figure 6). Polymer shell thickness is calculated from the distance between particles. The distance is estimated as 60–70 nm, which indicates that the pMMA thickness is approximately 30 nm. Conversely, the pMMA shell thickness at the edge of the assembly is about 60 nm, which indicates that

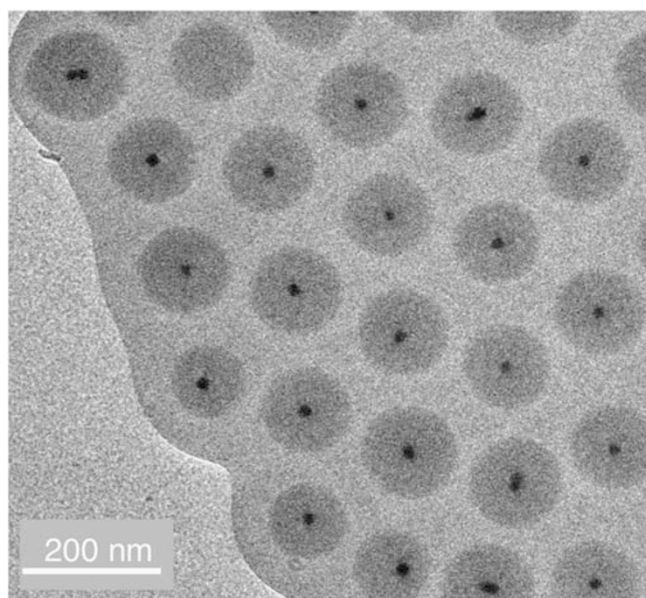


Figure 6 TEM images of one layer of Au@SiO₂@pMMA particles deposited onto a collodion-coated TEM grid at 30 mN m⁻¹.

the pMMA shell takes a stretched conformation at the edge of the assembly. The thinner pMMA shell signifies that the pMMA shell between particles was compressed by surface pressure. During compression, some chains might interdigitate because of the attractive force occurring between chains.²¹ A few particles contained two gold nanoparticles in one shell. We concluded that the occurrence of this irregular particle is a few percent, because the absorption spectra of the multihybrid particle dispersed in chloroform show negligible absorption around 780 nm, which is related to the plasmon coupling absorption of two gold nanoparticles.

A multilayer film of Au@SiO₂@pMMA was fabricated through a sequential deposition of the monolayer using the LB technique. The UV-Vis absorption spectra of a Au@SiO₂@pMMA monolayer deposited on a quartz substrate with different numbers of layers are portrayed in Figure 7a. It is particularly interesting that UV-Vis spectra do not show a clear surface plasmon absorption band, which is visible in the UV-Vis spectra of Au@SiO₂@pMMA dispersed in a chloroform solution. The refractive index difference between air and silica is greater than that between chloroform and silica. Therefore, a high scattering of light occurs in the multilayer film. The high scattering of light masked the surface plasmon resonance absorption completely.²² Absorption does not linearly increase concomitantly with the increasing number of layers (Figure 7b). Transfer ratios of the multihybrid particle monolayer were 1.2 at the downstroke (first layer) and 1.1 at the upstroke (second layer) deposition. However, the upstroke deposition decreased to 0.7 in the fourth and sixth layer depositions (Table 1). The weak hydrophilic–hydrophilic interaction in the pMMA shell decreases the deposition amount concomitantly with an increase in the number of deposited layers. Therefore, polymers of

Table 1 Transfer ratios of Au@SiO₂@pMMA monolayer at different number of layers

Layer number	Transfer ratio	
	Downstroke	Upstroke
1–2	1.2	1.1
3–4	1.2	0.7
5–6	1.1	0.7

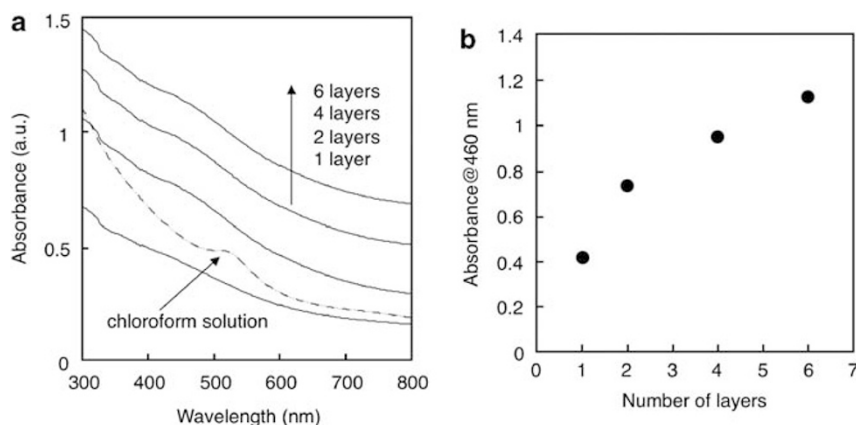


Figure 7 (a) UV-Vis spectra of Au@SiO₂@pMMA particles dispersed in chloroform solution and Langmuir–Blodgett films with different numbers of layers. (b) Absorption intensity at 460 nm as a function of the number of layers.

other types are necessary to achieve a uniform multilayer deposition. Possible candidates are polyalkylacrylamide and polyalkylmethacrylamide, which form a stable polymer monolayer because of hydrogen bonding in the amide groups.^{23,24} However, it is difficult to synthesize high-molecular-weight polymers by ATRP with alkylacrylamide and alkylmethacrylamide polymers.²⁵ A possible procedure is the use of a reactive polymer as an initial shell, with subsequent conversion of the polymer to an alkylmethacrylamide polymer.^{26,27}

CONCLUSION

Multihybrid particles of Au@SiO₂@pMMA composed of a gold core, a silica shell and a pMMA second shell were synthesized through direct silica coating of gold nanoparticles, with subsequent ATRP of the MMA. The π -A isotherm of the multihybrid particles shows a steep rise in surface pressure with a high collapse pressure. The limiting surface area of the monolayer was close to the calculated cross-sectional area of the particle, indicating that Au@SiO₂@pMMA particles form a closely packed monolayer. The monolayer is transferable onto a solid substrate; the closely packed structure was confirmed by microscopic images of the deposited film. Clear surface plasmon absorption was not observed in the multilayer film because the thick silica shell increases the scattering of light. By contrast, when the outer refractive index is as close to that of the silica (approximately 1.44 at 589 nm) as it is to that of the chloroform (1.45 at 589 nm), the multihybrid particle shows a clear absorption from gold nanoparticles. Therefore, the particle film might be useful as a vapor sensor that can detect a vapor with a refractive index of approximately 1.45 according to the change in film color.

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