

Photoinduced Holographic Gratings in Azobenzene-Functionalized Poly(amideimide)s

Ewa SCHAB-BALCERZAK,^{1,†} Anna SOBOLEWSKA,² Andrzej MINIEWICZ,²
Jan JURUSIK,¹ and Bożena JARZABEK¹

¹Centre of Polymer and Carbon Materials, Polish Academy of Sciences, 34 M. Curie-Skłodowska Str., 41-819 Zabrze, Poland

²Institute of Physical and Theoretical Chemistry, Wrocław University of Technology, 50-370 Wrocław, Poland

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ABSTRACT: We present the diffraction grating recording in a series of poly(amideimide)s functionalized with methyl-substituted azobenzene chromophore as side group and correlate the results with the molecular structure. Poly(amideimide)s, differing in their backbone structure and in position in which azobenzene group is attached to polymer chain, were synthesized and characterized by the spectroscopic methods. Diffraction gratings were fabricated using degenerate two-wave mixing technique with cw Ar⁺ laser working at $\lambda = 514.5$ nm and monitored by light diffraction of low power He-Ne probe beam $\lambda = 632.8$ nm in a transmission mode. Two qualitatively different configurations of the recording beam polarizations were used *s-s* and *s-p*. The dynamics of diffraction grating inscription was described by mono- or biexponential growth functions. The surface relief grating formation was also examined in poly(amideimide)s. They were studied by atomic force microscope and revealed the strong dependence on the writing beams polarization. Additionally the thermal stability of the photoinduced surface gratings was checked.

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Recent years are characterized by an ever-growing interest in the new photosensitive materials which are able to change their optical properties under light irradiation.¹ Studies on polymers containing azobenzene-type chromophores, often called azopolymers, represent a very active research field in the past few decades. Azobenzene chromophores have been incorporated into polymer structure in variety configurations by a number of different synthetic approaches.²

The presence of the –N=N– photochromic group in azopolymers provides its sensitivity to the light. The light-induced modification of photochromic azopolymers is very promising for optical information storage, fabrication of diffractive optical elements and other photonics devices.^{3–7} All of these applications are based on one of the most important and known properties of azobenzene, *i.e.*, reversible *trans-cis* photochemical isomerization. This photoisomerization induces conformational changes in the polymer chain, which in turn lead to macroscopic variation in the chemical and physical properties of polymeric material. The irradiation with a linearly polarized light generates the optical anisotropy as a result of perpendicular alignment of azobenzene molecules to the actinic electric field vector.⁸ This phenomenon has been first time reported in 1984 by Todorov *et al.*⁹ Photoinduced anisotropy produces optical dichroism and birefringence in various materials. Another result of the photoisomerization under conditions of spatially peri-

odic light illumination is respective modification of the film surface, which is called the surface relief grating. The first inscriptions of surface relief gratings (SRGs) have been independently reported in 1995 by Rochon *et al.*¹⁰ and Kim *et al.*¹¹ Over the past years, the discovery of SRG has sparked off intense research effort into design and synthesizing new polymers for SRG formation. Typically, SRG is formed when a thin polymer film is exposed to an interference pattern produced by two coherent laser beams of appropriate polarization, as a result of mass transport close to the polymer surface.¹² The SRG formation is unique to azobenzene polymers.⁵ SRGs may be photoinscribed with amplitude modulation ranging from a few nanometers up to the micrometer scale.¹³ Therefore, the formation of controlled topographic gratings has many interesting applications in the fast expanding area of nanotechnology. Fabrication of various complex diffractive optical elements such as infrared waveplates, grating filters, waveguide couplers, slanted SRG for planar optical interconnects and high efficiency gratings for laser applications represent one aspect of their uses.^{14–16}

Most systems employed for SRG formation comprise azopolymers in which the chromophore is covalently attached either as a side-chain or in the main-chain, in the form of thin, spin-coated or casted films.¹⁷

It has been shown that the photoresponsive behavior of polymers strongly depends on various chemical

[†]To whom correspondence should be addressed (Tel: +48-32-273-2214, Fax: +48-32-271-2969, E-mail: ewabalce@cchp-pan.zabrze.pl).

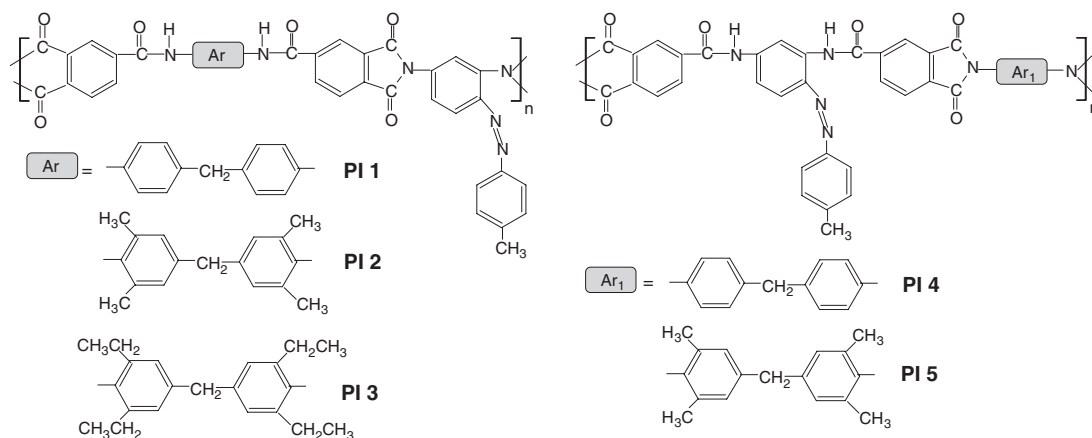


Figure 1. Chemical structures of investigated polyimides.

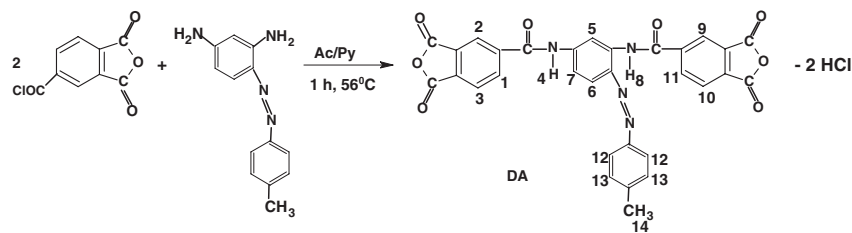
structure factors of azopolymers. They include: molecular structure of azobenzene derivative, the spacer length, the content of photochromic moieties,⁷ the rigidity of polymer main chain and the interaction between chromophore and the main chain,¹⁸ and finally experimental conditions as well. In an effort to increase the stability of photoinduced birefringence and to generate highly efficient surface relief gratings, new azopolymers are being designed and synthesized. Thus the systematically performed study of the structure-property relationship of azobenzene-functionalized polymers are desired to guide the rational design of polymers for photonics devices. To date, photoinduced effect such as dichroism, birefringence and formation of diffraction and surface relief gratings have been studied in various types of azopolymers.¹⁹ However, little information on the relationship between kinetics of diffraction grating and surface relief gratings and structure of polyimides bearing azobenzene group can be found in the literature so far.²⁰ Polyimides (PI) based on aromatic ring structures or their copolymers are well known as high performance polymers, by virtue of their excellent thermal and thermo-oxidative stabilities, outstanding mechanical and electrical properties, and superior chemical resistance, have secured a permanent place in a variety of industrial applications.^{21,22} The light induced properties of PI, mainly due to their good thermal stability, cause the polyimides bearing azobenzene group have been used in the design of photorefractive and second-order nonlinear optical (NLO) materials.^{23,24} In a recent few years our research has been focused on the study of the influence of the chemical structure of azobenzene functionalized polyimides on photoinduced dichroism and birefringence both related with diffraction grating inscription.^{25–31} This work is part of a continuing study of the relationship between polyimide structure and their photoinduced properties. In present paper we investigated the formation of dif-

fraction gratings in new synthesized azobenzene functionalized poly(amideimide)s. Their azobenzene group is identical, but there are differences in the main chain architecture. The effect of backbones on the inscription rates and saturation levels of the diffraction grating formation in these polymers have been studied and discussed. The ability to surface relief grating formation and its thermal stability were also examined in these poly(amideimide)s.

EXPERIMENTAL

Materials

Chemicals and solvents were purchased from Aldrich and used as received. Detailed synthesis and characterization of the poly(amideimide)s **PI 1–PI 3** (Figure 1) have been reported previously.²⁵ Poly(amideimide)s **PI 4** and **PI 5** (Figure 1) are new and were prepared from the newly synthesized diamidedianhydride (**DA**) and 4,4'-methylenedianiline and 4,4'-methylene bis(2,6-dimethylaniline), respectively. The monomer **DA** was prepared as reported in our previous paper for different dianhydrides (Scheme 1).³² To a 100 mL flask equipped with magnetic stirrer, condenser and dropping funnel was charged 22 mmol of trimellitic anhydride chloride (TMACl) and 25 mL of dry acetone. After the mixture was heated under reflux, a solution of 10 mmol 2,4-diamino-4'-methylazobenzene and 22 mmol of dry pyridine in 25 mL of acetone were added dropwise for 30 min. The mixture was stirred and heated under reflux for 1 h. After cooling to room temperature, the resulting precipitate was filtered off and washed with methanol and then dried in 60 °C. The crude product was washed with hot acetic anhydride and dried in vacuum at 150 °C, (yield: 50%). mp 190 °C. UV-Vis (NMP): $\lambda_{\max} = 262, 298, 391$ nm ($\epsilon_{391} = 15.3 \times 10^3$, $\epsilon_{514.5} = 0.475 \times 10^3$ Lmol⁻¹ cm⁻¹). IR (KBr, cm⁻¹): 1853, 1777, (C=O in anhydride),



Scheme 1. Synthesis of the diamidedianhydride **DA**.

1682 (C=O in amide), 2922 (CH₃). Anal. Calcd for C₃₁H₁₈N₂O₈: C, 64.81%; H, 3.16%; N, 9.75%. Found: C, 64.48%; H, 3.30%; N, 9.46%. ¹H NMR (DMSO-*d*₆, δ, ppm): 2.37 (s, 3H, CH₃, H₁₄), 7.37 (d, ArH, 2H, H₁₃), 7.83 (dd, ArH, 1H, H₇), 7.89 (d, ArH, 1H, H₆), 7.91 (d, ArH, 2H, H₁₂), 8.23 (d, ArH, 1H, H₃), 8.27 (d, ArH, 1H, H₁₀), 8.51 (d, ArH, 1H, H₉), 8.53 (dd, ArH, 1H, H₁), 8.63 (d, ArH, 1H, H₅), 8.84 (dd, ArH, 1H, H₁₁), 11.09 (s, NH, 1H, H₄), 11.37 (s, NH, 1H, H₈).

Polymers **PI 4** and **PI 5** were prepared following the procedure described in literature.²⁵ The polymers were characterized with FT-IR, ¹H NMR spectrometers and elemental analysis.

PI 4: IR (KBr, cm⁻¹): 1777, 1719 (C=O in imide), 1374 (C–N str.), 722 (C=O bending), 1681 (C=O amide), 3032 (–CH₃), 3357 (N–H). Anal. Calcd: C, 71.73%; H, 3.83%; N, 11.41%. Found: C, 71.06%; H, 4.0%; N, 11.38%. ¹H NMR (DMSO-*d*₆, δ, ppm): 2.40 (s, 3H, CH₃), 3.84 (s, CH₂, 2H), 6.55 (d, ArH, 4H), 6.91 (d, ArH, 2H), 7.31 (dd, ArH, 1H), 7.36 (d, ArH, 1H), 7.39 (d, ArH, 2H), 7.46 (d, ArH, 4H), 7.82 (d, ArH, 1H), 7.85 (d, ArH, 1H), 8.09 (d, ArH, 1H), 8.15 (dd, ArH, 1H), 8.51 (d, ArH, 1H), 8.90 (dd, ArH, 1H), 10.88 (s, NH, 1H), 11.32 (s, NH, 1H). UV-Vis (NMP): λ_{max} = 267, 346, 407, 447 (ε₄₄₇ = 5.99 × 10³, ε_{514.5} = 0.88 × 10³ Lmol⁻¹ cm⁻¹).

PI 5: IR (KBr, cm⁻¹): 1779, 1723 (C=O in imide), 1370 (C–N str.), 726 (C=O bending), 1686 (C=O in amide), 2923 (–CH₃), 3329 (N–H). Anal. Calcd: C, 71.42%; H, 4.79%; N, 11.10%. Found: C, 69.91%; H, 4.83%; N, 10.90%. ¹H NMR (DMSO-*d*₆, δ, ppm): 2.08 (s, 12H, CH₃), 2.39 (s, 3H, CH₃), 3.97 (s, CH₂, 2H), 7.18 (s, ArH, 4H), 7.36 (d, ArH, 2H), 7.83 (dd, ArH, 1H), 7.85 (d, ArH, 1H), 7.92 (d, ArH, 2H), 8.14 (d, ArH, 1H), 8.21 (d, ArH, 1H), 8.51 (d, ArH, 1H), 8.54 (dd, ArH, 1H), 8.61 (d, ArH, 1H), 8.91 (dd, ArH, 1H), 10.89 (s, NH, 1H), 11.34 (s, NH, 1H). λ_{max} = 262, 344, 407, 447 (ε₄₄₇ = 2.85 × 10³, ε_{514.5} = 0.66 × 10³ Lmol⁻¹ cm⁻¹).

Polymer film preparation

The homogeneous solutions of poly(amideimide)s in *N*-methyl-2-pyrrolidinone (NMP) were filtered

through 0.2 μm membranes and casted onto glass substrates. The films were dried at 100–200 °C for 4 h. Film thickness was determined with an interference microscope (Tolansky method) and obtained values were in the range of 1.8–3.9 μm.

Characterization

FT-IR spectra were recorded on a BIO-RAD FTS 40 A Spectrometer using KBr pellets. ¹H NMR spectroscopy were carried out on a Varian 300 Spectrometer using DMSO-*d*₆ as solvent and TMS as the internal standard. Carbon, hydrogen, and nitrogen elemental analyses were performed using Elementar vario EL III. UV-vis spectra were recorded in NMP solution of polymers and as films casted on glass using a Jasco V570 UV-V-NIR spectrometer. Differential scanning calorimetry (DSC) measurements were done using a TA DSC 2010 apparatus with a heating rate of 20 °C/min under nitrogen. Thermogravimetric analyses (TGA) were performed on a Paulik-Erdey apparatus at a heating rate of 10 °C/min under nitrogen. The reduced viscosity was measured in NMP (concentration = 0.2 g/100 mL) at 25 °C using Ubbelohde viscometer. The molar masses and the dispersities of obtained polymers were determined by size exclusion chromatography (SEC) using RI detector (Δ*n*-2010 RI WGE Dr. Bures). Measurements were performed in *N,N*-dimethylformamide (DMF) with 5 mmol/L LiBr using a set of columns: guard (Polymer Laboratories) + GRAM 100 Å (Polymer Standards Service) + 2 × Mixed-C (Polymer Laboratories). All chromatograms were obtained at 1 mL/min at 45 °C. Molar masses were calculated according to polystyrene standards calibration using WINGPC software (PSS). The surface structure of the polymer films after the diffraction gratings were recorded was investigated by atomic force microscope (AFM explorer TMX 2000 apparatus in the contact mode) at room temperature.

Optical Setup

The experimental setup employed to write the diffraction gratings is shown in Figure 2. All diffraction gratings were recorded at a wavelength λ = 514.5 nm using light beam from an Ar⁺ laser. After splitting the

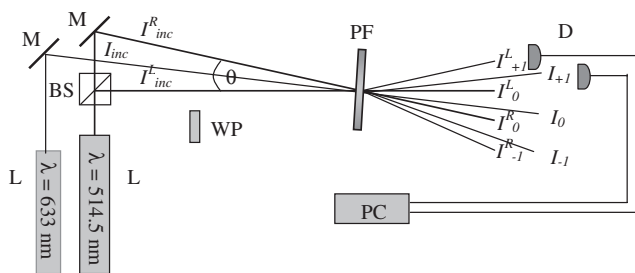


Figure 2. An experimental setup: L – laser, BS – beam splitter, M – mirror, W – waveplate $\lambda/2$, PF – sample, D – detector, PC – computer.

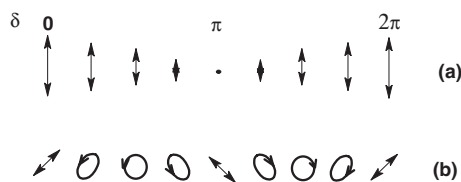


Figure 3. Scheme of light intensity and polarization distribution for experimental grating inscription configurations: (a) *s-s* and (b) *s-p*. δ is the phase shift along the grating wave-vector.

laser beam by a beam splitter into two beams their light intensities were thoroughly equilibrated by inserting an attenuator into one branch. The average total light intensity at the sample was $I_{inc}^R = I_{inc}^L = 570 \text{ mW/cm}^2$. The angle between the writing beams was kept fixed at $\theta \cong 20^\circ$ resulting in a periodicity of $\Lambda = 1.5 \mu\text{m}$ for the grating (according to $\Lambda = \lambda / (2 \sin(\theta/2))$). Two different combinations of the writing beam polarizations were realized, *i.e.*, pure intensity modulation one (*s-s*) and pure polarization with constant intensity over the illuminated region (*s-p*) (*cf.* Figure 3). In the *s-s* polarization geometry two incoming beams are linearly polarized with electric field vectors perpendicular to the incidence plane and for *s-p* polarization one of the incoming beam polarization is rotated by 90° . The grating formation process was monitored by scattering a probe beam from low power He-Ne laser operating at wavelength $\lambda = 632.8 \text{ nm}$ on the grating area and observation of the first order diffraction power in the transmission mode. Simultaneously the temporal evolution of the first-order diffraction beam power arising due to self-diffraction process of recording beams was also measured.

RESULTS AND DISCUSSION

The polymers studied here are amorphous, poly-(amideimide)s with methyl substituted azobenzene side group. The chemical structures of the investigated polymers are shown in Figure 1. Polymers **PI 1–PI 3**

have been reported previously,²⁵ however, the diffraction gratings formation in these polymers have not been studied yet. The poly(amideimide)s **PI 4** and **PI 5** are novel and were prepared from new synthesized dianhydride containing azobenzene group (**DA**). The $^1\text{H NMR}$ and FT-IR spectra of the polymers were consistent with their assigned structures. All polymers exhibited good solubility in a variety of polar solvents such as: NMP, DMA, DMF, DMSO, pyridine and 4-chlorophenol at room temperature. Two polymers **PI 3** and **PI 5** are soluble in THF, polymer **PI 4** is partially soluble and polymers **PI 1** and **PI 2** are insoluble even after heating in this solvent. The reduced viscosity (η_{red}) of all investigated polymers is in the range between 0.18 and 0.40 dL g^{-1} . The new polymer **PI 5** showed the highest value of η_{red} , *i.e.*, 0.40 dL g^{-1} , polymer **PI 4** exhibited reduced viscosity of 0.20 dL g^{-1} . Number-average molecular weights (relative to polystyrene standards) of new polymers for **PI 4** M_n is 12.8×10^3 and for **PI 5** is $19.6 \times 10^3 \text{ g/mol}$, with dispersity index between 1.34 (**PI 4**) and 2.10 (**PI 5**). The ability of these polymers to form films was examined. Good optical quality films can be obtained by casting from solution on glass substrates. The glass transition temperatures (T_g s) of the new polymers (**PI 4** and **PI 5**) and **PI 2** were not observed by differential scanning calorimetry (DSC) up to 300°C . The polymers **PI 1** and **PI 3** exhibited almost the same T_g , *i.e.*, 268 and 269°C , respectively. Poly(amideimide)s loss 5 wt % in N_2 (T_{d5}) at the temperature range of $330\text{--}390^\circ\text{C}$. The T_{d5} is 336 and 390°C for **PI 4** and **PI 5**, respectively. These new polymers **PI 4** and **PI 5** loss 10 wt % (T_{d10}) at temperature of 424 and 476°C . The kinetics of grating inscription was discussed in relation to the polymer structure, *i.e.*, from the point of view of the chemical structure of the polymer backbone and position in which azobenzene group is attached to the polymer chain. Contrary to polyimides **PI 1–PI 3** where azobenzene moiety is introduced between imide rings, in polyimides **PI 4** and **PI 5** azo chromophore is incorporated between amide linkages.

UV-Vis absorption characterizations

The UV-Vis spectra of the poly(amideimide)s were acquired both in NMP solutions and in films. The range of UV-Vis measurements was limited by transparency of the used solvent and substrate. The optical absorption spectra of the new poly(amideimide)s **PI 5**, **PI 6** and polymer **PI 2** in NMP solution are compared in Figure 4. Each spectrum of polymers **PI 1–PI 3** consists of two absorption bands: a peak located around 338 nm , characteristic for the benzene rings and band appearing at longer wavelength in the

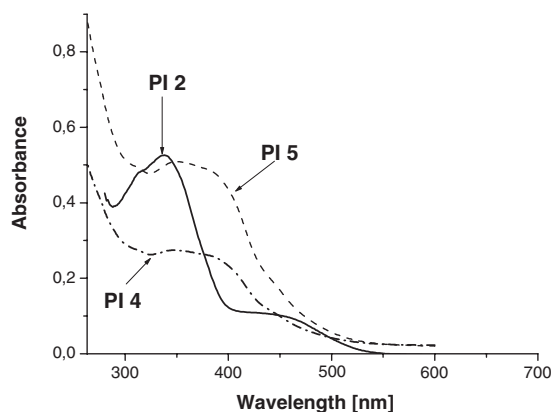


Figure 4. UV-Vis spectra of **PI 4**, **PI 5** and **PI 2** in NMP solution. The concentrations are different.

visible range at 455 nm due to azobenzene group.²⁵ Monomer **DA** shows three absorption maxima at 262, 298 nm attributed to the benzene ring and carbonyl groups and a peak located at 391 nm which is assigned to the vibronic coupling between $n-\pi^*$ and $\pi-\pi^*$ electronic transition of the *trans* azobenzene moiety.³³ Absorption spectra of polymers obtained from dianhydride **DA**, *i.e.*, **PI 4** and **PI 5** exhibit two absorption bands one centered at about 262 nm and second very broad band at about 334–407 nm with shoulder. To find the position of the shoulder the second derivatives method was used (*i.e.*, the maximum of the second derivative of absorption corresponds the absorption maximum). The maximum of the shoulder is at 447 nm for both polymers. The absorption bands at shorter wavelength are due to electronic transitions in polymer backbone while the band at longer wavelength (447 nm) is attributed to the transitions in azo chromophore. Red shift (about 56 nm) of absorption maximum (λ_{\max}) of azobenzene group is observed between poly(amideimide)s **PI 4** and **PI 5** and the monomer. As expected, both series of poly(amideimide)s, *i.e.*, the polymers **PI 1–PI 3** and **PI 4–PI 5** exhibit almost the same position of absorption maxima of azobenzene group because they contain the same azo chromophore with methyl *para*-substituent. Comparing absorption spectra of series **PI 1–PI 3** with series **PI 4**, **PI 5** the differences are observed (Figure 4). These results obviously indicate that the shape of absorption bands is affected by difference in polymer structures, *i.e.*, difference in the chemical surrounding of the azo group in the polymer chain. In other words, the manner in which the group is attached led to a change in the electronic environment of the azobenzene moiety. The spectra of polymer films are compared with spectra obtained in solution. No differences between the position of absorption maximum in film and solution were observed in the case of all polyimides.

Holographic Diffraction Grating Recording

Irradiation of the azopolymers films by interfering polarized laser beams induces a periodic change in birefringence and/or dichroism in a sample. This result in a diffraction grating build-up as is evidenced by an appearance of a light self-diffraction. We performed measurements of diffraction gratings recording using degenerate two-wave mixing technique (DTWM) with line $\lambda = 514.5$ nm of Ar^+ laser. The experimental setup of DTWM is shown in Figure 2. Diffraction gratings were recorded for two configurations of beams polarization *s-s* and *s-p* (Figure 3) in different exposure time for each geometry, *i.e.*, up to 60 min for *s-s* and 30 min for the *s-p* one. Measurements of the temporal evolution of the first-order diffracted beam's power during grating formation enable study of the kinetics of its build-up process and estimation of the diffraction efficiency η . The latter is defined as the ratio between the first-order diffracted beam intensity I_{+1}^L and the input beam intensity I_{inc}^L (*cf.* Figure 2). Additionally the grating formation process was monitored by *s*-polarized He-Ne probe beam operating at wavelength $\lambda = 632.8$ nm in the transmission mode and the diffraction efficiency was also measured. Figure 5 shows the exemplary results of both diffraction efficiencies in function of time during grating recording process, *i.e.*, the diffraction efficiency in a self-diffraction of Ar^+ laser and a diffraction of the probe He-Ne beam, for polymers **PI 2** and **PI 5** under *s-s* polarization configuration. As the grating formed, the diffraction efficiency increased, saturated and remained at a constant value throughout the rest of the recording process (Figure 5(a)) at both wavelengths. Such behavior is observed in the polymers containing azobenzene group attached between imide rings (**PI 1**, **PI 2**) at $\lambda = 514.5$ nm. When the azo chromophore is placed between amide groups (**PI 4** and **PI 5**) the diffraction efficiency at $\lambda = 514.5$ nm behaves differently (Figure 5(b)). In these polymers diffraction efficiency reached maximum and started to decrease after prolonged exposure. The decreasing in the diffraction efficiency is also observed at $\lambda = 632.8$ nm in polymers **PI 1**, **PI 2** and **PI 4**. Such a different behavior was never observed in the cases of diffraction efficiency measurements performed under *s-p* geometry. The exemplary measurements of diffraction efficiencies under this geometry are showed in Figure 6 for **PI 2**. During *s-p* polarization configuration the kinetics of diffraction efficiencies showed exponential growths which were observed without any exception for all the studied poly(amideimide)s. One interesting thing can be also noticed in Figure 5 when one compares the maximum diffraction efficiencies at two different wavelengths. It is clearly seen that for the polyimide **PI 2** the diffraction effi-

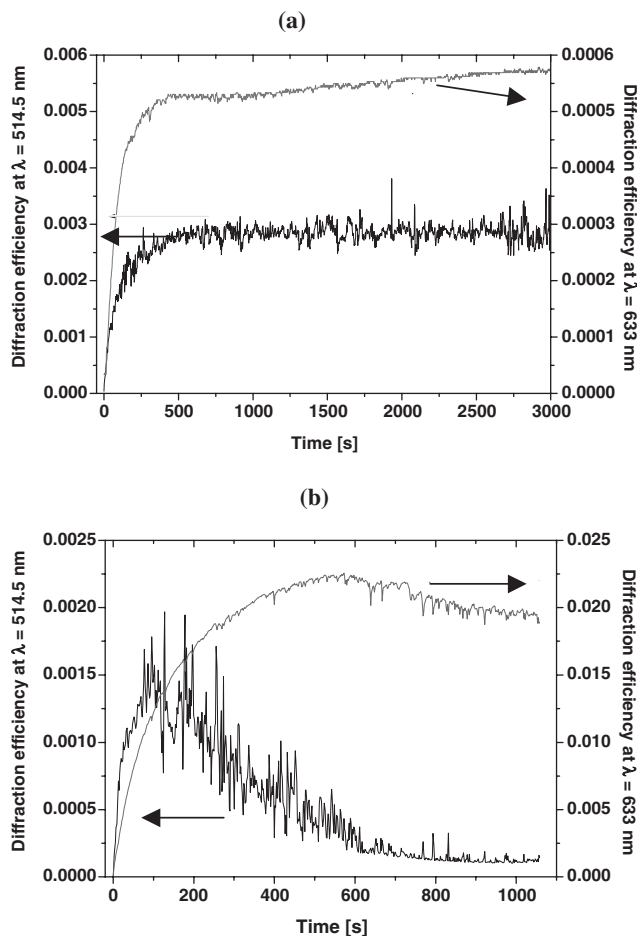


Figure 5. Temporal evolutions of the diffraction efficiencies measured *in situ* for the inscribed gratings at wavelengths $\lambda = 514.5$ nm and $\lambda = 632.8$ nm for polyimides **PI 2** (a) and **PI 5** (b) for *s-s* polarization configuration.

ciency is significantly higher for laser line $\lambda = 514.5$ nm than for $\lambda = 632.8$ nm in contrary to **PI 5** case where the maximum diffraction efficiency is inverted, *i.e.*, it is higher for He-Ne laser line. It was also observed for poly(amideimide) **PI 1** in comparison to **PI 4**. Additionally such behavior is independent on configurations of the beams polarization, *i.e.*, the same tendency at which wavelength is the higher diffraction efficiency for each poly(amideimide) is observed for both polarization geometries. The values of the measured diffraction efficiencies for all studied polyimides at those two wavelengths and for both polarization geometries are gathered in Table I. The diffraction efficiency measured at grating recording wavelength $\lambda = 514.5$ nm for *s-s* polarization is generally significantly weaker when compared to *s-p* one for all studied polyimides. The explanation could be found in the work of Lagugne-Labarthe *et al.*³⁴ where authors claimed that in the case of *s-p* polarization geometry the birefringence, *i.e.*, modulation of refractive index in the volume of the polymer, is maximal. The differ-

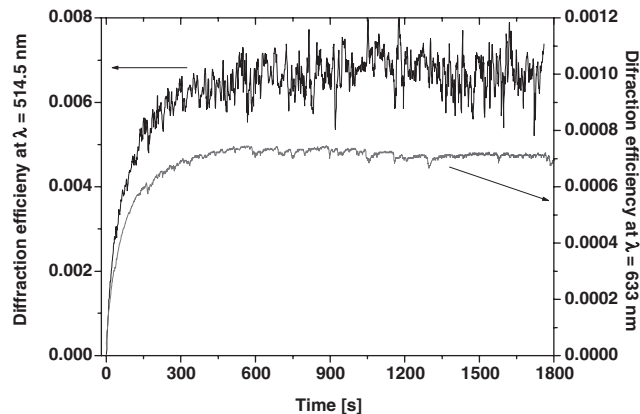


Figure 6. Diffraction efficiencies in function of time for the polyimide **PI 2** recorded at different wavelengths 514.5 nm and 632.8 nm for *s-p* polarization geometry.

Table I. Maximum diffraction efficiencies (in %) measured at two different wavelengths and two polarization configurations for the studied polyimides

Polymer code	514.5 nm		632.8 nm	
	<i>s-s</i>	<i>s-p</i>	<i>s-s</i>	<i>s-p</i>
PI 1	0.32	0.82	0.12	0.08
PI 2	0.29	0.65	0.06	0.07
PI 3	0.02	0.06	0.11	0.15
PI 4	1.07	1.97	2.63	2.78
PI 5	0.14	0.59	2.23	2.45

ence in diffraction efficiency between *s-s* and *s-p* configurations is well seen in two-wave mixing experiment but surprisingly much less of difference was observed for reading of these gratings at $\lambda = 632.8$ nm (*cf.* Table I). In this case the diffraction efficiencies are almost comparable for these both configurations.

In our opinion this difference may result from a specific beam coupling in the case of self-diffraction process (*i.e.*, for $\lambda = 514.5$ nm) especially for *s-p* polarization grating resulting in large volume changes of refractive index. This type of beam coupling is not present in the case of simple light diffraction on the grating with single beam from He-Ne laser ($\lambda = 632.8$ nm).

In general the inscribed diffraction gratings show low diffraction efficiencies lying in the range 0.06–2.5%. The lower diffraction efficiencies were observed for polymers with azo group attached between the imide rings (**PI 1**, **PI 2**, **PI 3**), whereas the higher one were observed for polymers with azobenzene group placed between amide groups (**PI 4**, **PI 5**). One should not compare the diffraction efficiencies for different sample thickness and unknown surface relief grating contribution to light scattering. How-

Table II. Parameters of the diffraction efficiency growth curves according to eq 1 obtained from fitting. Recording light wavelength $\lambda = 514.5$ nm

Polymer code	<i>s-s</i> polarization				<i>s-p</i> polarization			
	A_1	τ_1 [s]	A_2	τ_2 [s]	A_1	τ_1 [s]	A_2	τ_2 [s]
PI 1	0.03	13.5	0.02	639.6	0.07	21.8	0.02	417.6
PI 2	0.03	16.8	0.02	146.4	0.04	11.0	0.03	128.7
PI 3	—	—	—	—	0.01	26.9	0.01	281.0
PI 4	0.11 ^a	83.5 ^a	—	—	0.08	199.9	0.06	575.5
PI 5	0.03 ^a	13.7 ^a	—	—	0.04	11.8	0.04	120.4

^aTime constant estimated only for the first stage of grating built-up process.

ever, in both cases of bulk refractive index and relief gratings low diffraction efficiencies are due to restricted chromophore's motion in studied polyimides. The azobenzene molecule is rigidly linked to polymer chain because one of the aromatic cores of the azobenzene moiety is a part of the backbone connected in the 2 and 4 position either imide or amide group. The rigid link has a double effect on photoorientation. It makes harder to induce orientation, but on the other side it confers a better stability of photoinduced properties. Taking into account the polymer backbone structure it can be concluded that increase of aliphatic groups amount decreases the value of diffraction efficiency (**PI 1**, **PI 3**). This may suggest that stable molecular ordering is more difficult to achieve due to higher chain mobilities.

In order to study the dynamics of the diffraction grating recording, *e.g.*, follow the kinetics of refractive index changes $\Delta n(t)$ and/or surface relief amplitude changes $\Delta d(t)$ the following equation might be applied to describe the grating growth curve:

$$\sqrt{\eta(t)} = A_1 \left[1 - \exp\left(-\frac{t}{\tau_1}\right) \right] + A_2 \left[1 - \exp\left(-\frac{t}{\tau_2}\right) \right] \quad (1)$$

where $\eta(t)$ is the measured diffraction efficiency and τ_1 and τ_2 are the time constants of the fast and slow grating build-up processes contributing to the light diffraction.³⁵

The fast process of grating build-up is due to the *trans-cis-trans* isomerization and the local mobility of azobenzene moiety, which is determined by their size, the free volume around them, and the strength of their coupling to the polymer chain. The slow process depends on the coupling between azobenzene and polymer segments, and the mobility of whole polymer therefore is indirectly connected with surface relief grating formation. Parameters A_1 and A_2 tell about the contribution of these processes to light diffraction signal. Such approximation allows to elucidate the dynamics of the involved parameters and make possible to compare the respective grating inscription time constants for all investigated polymers. It is worth to

underline the fact that the dependence of inscription rate on the film thickness can be ignored for relatively thick ($>0.5 \mu\text{m}$) films,³⁶ what is the case in our work where $d_0 > 1.8 \mu\text{m}$. Therefore the difference of the grating inscription kinetics can predominately be attributed to the polymer structure.

The shape of the square root of diffracted power signal versus time (*cf.* eq 1) for all studied polymers exhibited biexponential behavior under *s-p* polarization at both wavelengths with one exception for **PI 4** where the monoexponential function was more adequate. In some polymers (**PI 4**, **PI 5** at $\lambda = 514.5$ nm recording line and **PI 1**, **PI 2**, **PI 4** at $\lambda = 632.8$ nm) not biexponential kinetics diffraction growth under *s-s* polarization is observed. In such cases the monoexponential equation was applied to describe the first stages of the grating formation, which gives information about refractive index grating build-up process. The obtained fitting parameters are summarized in Table II for $\lambda = 514.5$ nm and in Table III for $\lambda = 632.8$ nm. Taking into consideration the grating recording under *s-s* geometry at line 514.5 nm one could notice the different dynamics behaviors for polymers **PI 1** and **PI 3** when compared to **PI 4** and **PI 5** (*cf.* Figure 5). Polymers with the azobenzene group bounded between imide rings (**PI 1**, **PI 2**) showed well described by biexponential function grating growth, *i.e.*, the diffraction efficiency increased, reached the maximum and stayed saturated. The completely different behavior was observed for polymers where the azo moiety was introduced between amide linkages (**PI 4**, **PI 5**). In this case the diffraction grating recording process revealed the tendency to the decreasing after reaching the maximum of diffraction efficiency. This behavior can be result of either phase shift $\Delta\varphi(t)$ between birefringence and the surface relief gratings³⁷ or saturation of *cis* isomer concentration caused by enhancement of its lifetime at given illumination conditions. If there exist the phase shift between those two gratings (say equal to π) then the build-up of surface relief grating can lead to partial cancellation of light phase retardation caused by the two gratings. This should lead to the de-

Table III. Parameters of the diffraction efficiency growth curves according to eq 1 obtained from fitting. Scattered light wavelength $\lambda = 632.8$ nm

Polymer code	<i>s-s</i> polarization				<i>s-p</i> polarization			
	A ₁	τ_1 [s]	A ₂	τ_2 [s]	A ₁	τ_1 [s]	A ₂	τ_2 [s]
PI 1	0.02 ^a	20.8 ^a	—	—	0.02	23.7	0.01	427.9
PI 2	0.02 ^a	74.6 ^a	—	—	0.01	13.0	0.01	96.4
PI 3	0.02	28.0	0.01	586.7	0.02	16.2	0.01	142.9
PI 4	0.16 ^a	187.4 ^a	—	—	—	—	0.18	556.2
PI 5	0.06	11.0	0.09	128.9	0.04	5.6	0.1	112.9

^aTime constant estimated only for the first stage of grating built-up process.

crease in the diffraction efficiency at the longer exposures.^{38,39}

The effect of the backbone structure on the inscription rates of grating formation under *s-p* polarization in the polymers is also observed. Poly(amideimide)s **PI 2** and **PI 5** which possess four methyl groups in polymer chain when compared to others revealed the fastest grating recording rates at both wavelengths. The slowest grating formation is observed in **PI 4** and **PI 1** without methyl groups in polymer backbone. The influence of the type of the group attaching the azobenzene moiety to polymer backbone, *i.e.*, imide or amide, on inscription rates was not pronounced.

Surface Relief Modulation

As already mentioned the process of holographic diffraction grating recording in azobenzene functionalized polymers is usually accompanied with the creation of the surface relief grating.⁴⁰ The forming mechanisms of the photofabricated SRGs on azopolymer films have been actively investigated by Natansohn *et al.*, Triphaty *et al.* and others.^{10,11,19,34,37,41,42} The surface relief modulation was found to be dependent on both experimental conditions such as light intensity, the beams polarization and incidence angle,^{43–47} and chemical structures of the polymer backbone and the azobenzene group, the polymer molecular weight.^{19,45,48,49} The surface grating formation ability was tested by atomic force microscopy (AFM) for all investigated polyimides. AFM scans were taken for a 20 $\mu\text{m} \times 20 \mu\text{m}$ area of the grating. The original film surfaces before exposure were planar without any regular periodicity. The results of AFM examination are presented in Figure 7 for exemplary polyimide **PI 5** for *s-s* and *s-p* polarization configurations. We confirmed that also for the group of studied polymer the surface relief formation is strongly dependent on the writing beam polarizations. After grating recording under *s-s* polarization configuration the surface of the polyimides films showed a regularly spaced relief structures with the grating spacing of about 1.5 μm , as it was set

by the interference pattern (*cf.* Figure 7(a),(b)). The grating profile exhibits a nicely sinusoidal shape (*cf.* Figure 7(b)) and relief amplitude is about 25 nm for **PI 5**. The surface relief grating inscribed under *s-p* geometry shows spatially more dense structure (half-period) and much less relief amplitude lower than 4 nm (*cf.* Figure 7(c),(d)). This appearance of half-period structure may result of additional interference between two *p*-polarized beams of 0-th and 2-nd order during *s-p* inscription.^{50,51} Figure 7 depicts the general rule of surface modulation in dependence of writing beams polarization in all studied poly(amideimide)s. It means that in the case of *s-s* geometry the regularly sinusoidal, relatively deep modulation is observed, whereas for *s-p* polarization configuration the surface modulation is very weak and the half-period grating appears. Detailed modeling of such phenomenon will be described in a prepared separate paper.⁵¹

The measurements of surface modulation depths for gratings recorded for *s-s* polarization of the interfering beams in the studied series of polymers are compared in Figure 8. The results are somehow surprising because the higher amplitude of the surface modulation does not correspond to the higher diffraction efficiency (*cf.* Table I). According to the theory,^{34,44} which was also experimentally proved in the same references, such correlation should be observed. Our results are significantly different and we cannot find now the convincing reason for such behavior except that the absorption and phase shift between the gratings play a decisive role. Anyway it should be stressed that in spite of low diffraction efficiencies it is possible to inscribe regular surface gratings on the polymer films of studied polymers. One clear conclusion about the surface relief formation ability in studied polymers can be made. For the same experimental conditions the deeper surface modulation is achieved in poly(amideimide)s with azobenzene group introduced between imide rings. It is well known that the surface grating depth can be controlled by the light exposure time, the polarization state of the beams (*e.g.*, using circular polarizations) and the period. Thus, SRG's with higher amplitude of surface modulation can be inscribed in

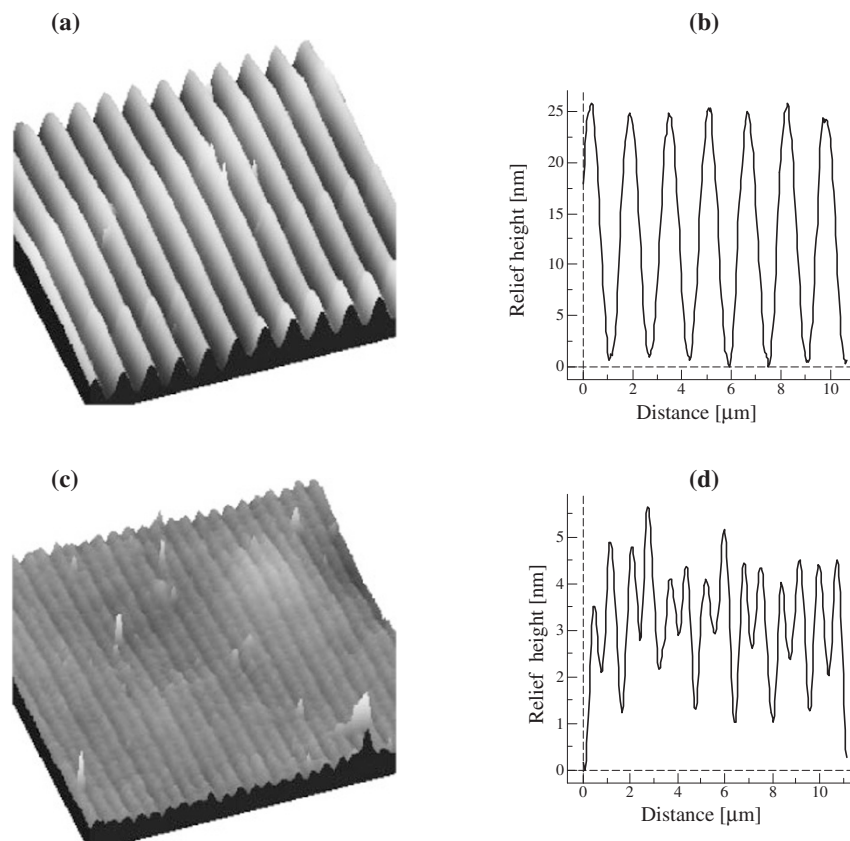


Figure 7. AFM surface imaging of SRG written in 3.5 μm thick film of **PI 5**: three-dimensional topography of surface relief grating inscribed with *s-s* polarized interfering beams (a) and its amplitude modulation profile (b) three-dimensional topography of surface relief grating inscribed with *s-p* polarization configuration (c) and its amplitude modulation profile along the ripple pattern (d).

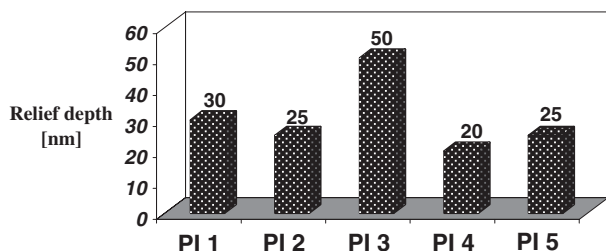


Figure 8. The surface relief depths obtained in studied polyimide films under *s-s* polarization configuration of recording beams.

investigated polyimide films by tuning experimental conditions.

As a further step in this work the thermal stability of SRG was investigated. The thermal behavior of recorded gratings at elevated temperatures is an important parameter of a given polymeric material. Shape stability is greatly desired in photonic applications such as waveguide couplers and aligning layers for liquid crystals.⁵² Most surface relief gratings are thermally erasable. It has been recognized that the shape stability of the relief structure is strongly associated with the rigidity of the polymer main chain.^{18,36,52}

Therefore it is expected that SRGs in studied polyimides should be stable even at elevated temperatures. In order to detect the effect of the temperature on the stability of the surface modulation the polymer films were heated at 200 °C from 15 to 60 min. After annealing the film surface was examined again by AFM technique. The negligible (<1%) changes in the amplitudes of the surface relief grating after 15 min of the thermal treatment were observed in all polyimides with one exception. In polymer **PI 3** the decrease in the relief amplitude took place after the first 15 min of heating from 50 nm to 20 nm. Figure 9 shows the surface topography of the polymer **PI 2** film before and after 60 min of thermal treatment at 200 °C. The grating depths remain unchanged after 60 min of heating. Similarly no surface relief deformation after annealing of the inscribed gratings was observed in the rest of studied polyimides. The heating has no effect on the grating spacing.

CONCLUSIONS

The ability of the holographic grating recording in series of side-chain azaromatic poly(amideimide)s was studied. The linking place of the azobenzene units

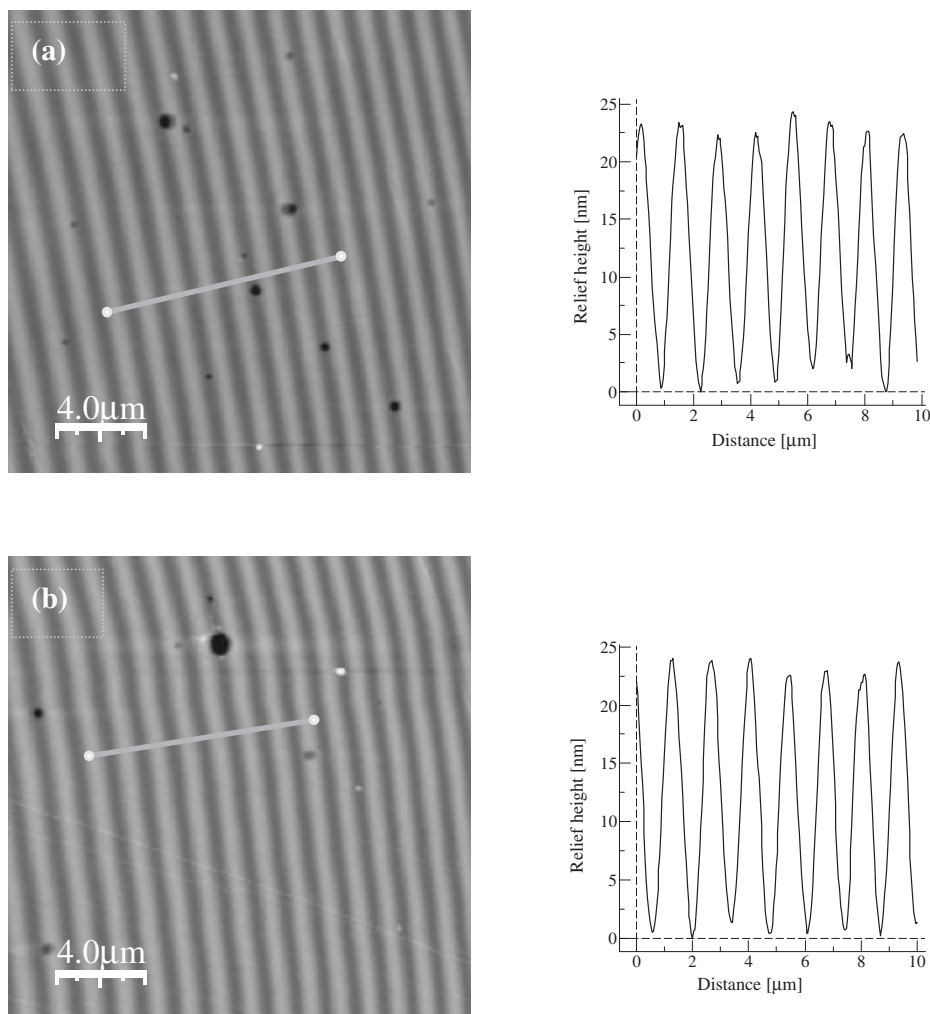


Figure 9. The topographical AFM images of relief gratings observed in 1.8 μm thick **PI 2** film before (a) and after thermal treatment at 200 $^{\circ}\text{C}$ for 60 min (b).

in polymer chain and the backbone structure exhibited some effect on the inscription rates and the values of diffraction efficiencies. The highest values of diffraction efficiencies showed polymer with azobenzene chromophores attached between amide linkages to polymer. The faster grating recording rates were observed for poly(amideimide)s with four methyl substituents in the backbone. The diffraction efficiency of light scattered on the gratings was dependent on used light wavelength. We noticed that polymers with the azobenzene group attached between imide rings had higher diffraction efficiency for the wavelength 514.5 nm than for 632.8 nm whereas polyimides with the azo group placed between amide groups exhibited opposite behavior. However, the origin of this phenomenon may not be directly linked with the molecular structure. In spite of the observed low diffraction efficiency it was possible to inscribe surface relief gratings of amplitudes reaching 50 nm on poly(amideimide)s films using *s-s* light polarization configuration. The studies confirmed the strong depend-

ency of writing beams polarization on the efficiency of the surface modulation. The sinusoidal modulation was observed for *s-s* recording, whereas the half-period structure has been seen for *s-p* geometry. The significant differences in the SRG modulation depths were observed. The deeper surface modulation (*s-s* case) was achieved in polymers with azobenzene group introduced between imide rings. The photoinduced surface gratings were partially erasable by heating at elevated temperature.

Due to ease of surface relief gratings recording on the azo functionalized poly(amideimide) films, such polymers may find potential applications in fabrication of optical elements and devices.

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