Highly Isotactic Poly(vinyl alcohol) Derived from *tert*-Butyl Vinyl Ether V. Viscoelastic Behavior of Highly Isotactic Poly(vinyl alcohol) Films

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ABSTRACT: Dynamic viscoeasticity of poly(vinyl alcohol) with high isotacticity (HI-PVA, mm = 0.78), being derived from *tert*-butyl vinyl ether, was investigated to compare with that of syndiotactc PVA (S-PVA, mm = 0.14) and commercial atactic PVA (A-PVA, mm = 0.22). In the non-crystalline region, the β_a (local twisting motion) and α_a (micro-Brownian motion) dispersions occurring at around -10 °C and 70 °C were nearly the same, in both magnitude and location, for the HI-PVA and S-PVA having an almost identical degree of crystallinity. On the other hand, in the crystalline region, the β_c and α_c dispersions of HI-PVA were somewhat different from those of ordinary PVAs. The β_c dispersion (local motion in crystals due to defects) was clearly observed for HI-PVA but not for S-PVA and A-PVA. The α_c dispersion (axial motion of the chain in the crystal lattices) was observed for all PVA films but its temperature increased in the order of HI-PVA > S-PVA > A-PVA, which well corresponded to the order of the melting temperature and ¹³C spin-lattice relaxation time (T_{IC}) of each stereoregular PVA. These differences may be attributed to a difference of the magnitude of intermolecular and/or intramolecular hydrogen bonding in the crystals of respective PVAs. Specifically, the successive intramolecular bonding in the HI-PVA crystal appears to reduce the magnitude of the intermolecular bonding thereby allowing the chain to exhibit the β_c motion. This successive bonding would also stiffen the chain backbone thereby increasing the α_c dispersion temperature. [doi:10.1295/polymj.PJ2005235]

KEY WORDS Highly Isotactic Poly(vinyl alcohol) / Stereoregular Polymerization /

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Many efforts have been made for synthesis of stereoregular poly(vinyl alcohol)s (PVAs) being rich in syndiotactic or isotactic sequences^{1–3} and changes of their physical properties with the stereoregularity, possibly reflecting changes in the inter- and intramolecular hydrogen bonding, have been extensively investigated. A series of radical-polymerized poly(vinyl ester)s was utilized to synthesize syndiotactic PVAs, and the relationships between stereoregularity and physical properties of PVA have been examined.^{1–3} Isotactic PVAs were synthesized successfully from poly(vinyl ether)s such as poly(benzyl vinyl ether) (PBzVE),^{4,5} poly(*tert*-butyl vinyl ether) (PVOSi),^{8,9} and their physical properties were also investigated.^{1,3}

Despite the above efforts, the stereoregularity still remained at a low level of the *mm* fraction (= 0.70) even for so-called isotactic PVA (LI-PVA) derived from PVOSi.^{9,10} Recently, we studied the cationic polymerization of *t*BVE with boron trifluoride diethyl etherate (BF₃•OEt₂) and successfully prepared the PVAs having the highest isotacticity so far reported.^{10–12} We found interesting features of these highly isotactic PVAs (HI-PVAs) being different from those of LI-PVA and ordinary atactic PVA (A-PVA).^{10,13,14} In particular, we compared the melting temperature $T_{\rm m}$, degree of crystallinity $\chi_{\rm c}$, and ¹³C spin-lattice relaxation time T_{1C} of the crystalline components for PVA samples with different tacticities (including HI-PVA) to find that these physical quantities show clear minima at the *mm* fraction = 0.4-0.5 when plotted against mm.¹⁴ This result suggests that the structural disordering associated with the decrease in the crystallinity occurs most significantly in this *mm* range. In relation to these minima, FT-IR spectroscopy confirmed the formation of the new crystal form for HI-PVAs with mm > 0.55 and solid-state ¹³C NMR spectroscopy revealed that all OH groups are allowed to form successive intramolecular hydrogen bonding along the respective chains in the crystalline region for HI-PVAs with mm > 0.7. On the basis of the line shape analysis of the CP/MAS ¹³C NMR spectra of the crystalline components, the minima of $T_{\rm m}$, $\chi_{\rm c}$, and T_{1C} were mainly related to the maximum fraction of disordered units introduced in the syndiotactic or isotactic sequences (that form successive inter- or intramolecular hydrogen bonding, respectively).

It is of our interest to elucidate the effect of the ster-

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eoregularity on the motion of the PVA chains in addition to the above structural features. This motion can be conveniently examined through dynamic viscoelastic behavior. Indeed, Nagai et al.¹⁵ examined this behavior for A-PVA, S-PVA and LI-PVA and found that the decrease of the dynamic Young's modulus E' (at 138 Hz) at high temperatures is larger in the order, S-PVA > A-PVA > LI-PVA. However, the viscoelastic behavior of the HI-PVA with mm fraction higher than 0.70 has not yet been investigated, and the effect of the stereoregularity on this behavior and underlying chain motion has not been fully elucidated. Thus, we have synthesized HI-PVAs having higher mm values from poly(tBVE) and compared their behavior with that of A-PVA (mm = 0.22) and S-PVA (mm = 0.14). It turned out that the stereoregularity hardly affects the viscoelastic behavior of the noncrystalline component but a significant effect (related to the hydrogen bonding) is observed for the behavior of the crystalline component. Details of these results are reported in this paper.

EXPERIMENTAL

Synthesis of Stereoregular PVAs

HI-PVAs with *mm* triad fractions higher than 0.70 were synthesized by the following procedure:¹¹ The *t*BVE monomer was prepared by the alkyl exchange reaction between *tert*-butyl alcohol and lauryl vinyl ether in the presence of Hg(OAc)₂. Solvents were purified and dehydrated carefully by the conventional methods. Toluene was distilled in the presence of metallic sodium before use. BF₃•OEt₂ was purified by distillation under reduced nitrogen pressure and used as a toluene solution. Polymerization of *t*BVE was carried out with BF₃•OEt₂ in toluene at $-78 \,^{\circ}$ C, and the resulting P*t*BVE was converted into PVA by cleavage of the ether linkage using hydrogen bromide at $0 \,^{\circ}$ C.

A commercially available A-PVA derived from poly(vinyl acetate) and syndiotactic PVA (S-PVA) derived from poly(vinyl pivalate) with the method previously reported¹⁶ were used as the reference materials to clarify unique, solid-state properties of HI-PVAs.

Determination of Stereoregularity of PVAs

The stereoregularity of PVA samples was determined from solution-state ¹H NMR spectroscopy on the basis of the methods^{17,18} reported previously. ¹H NMR spectra were measured in deuterated dimethyl sulfoxide (DMSO- d_6) at 25.0 °C on a JEOL GSX-270 spectrometer operating at 270.17 MHz.

Preparation of PVA Films

All physical properties were measured for the PVA

films with a thickness of about $100 \,\mu\text{m}$ unless otherwise specified. The PVA films were prepared by casting $5 \,\text{wt} \%$ DMSO solutions on glass plates, allowing DMSO to evaporate at $50 \,^{\circ}\text{C}$ for 20 h, immersing them in hot methanol overnight, and then drying *in vacuo* at room temperature for 2 d. The films were annealed at several temperatures for 30 min.

Dynamic Viscoelasticity

Dynamic storage Young's modulus (E'), loss modulus (E'') and loss tangent (tan δ) were measured at 110 Hz with a heating rate of 2 °C/min from -50 °C to 250 °C using a dynamic viscoelastic spectrometer DVE-V4 (Rheology Engineering Co., Ltd.).

Density, Swelling and DSC Tests

The densities of PVA films prepared as above were measured with a density gradient tube (Shibayama Kagaku) using a mixture of *n*-heptane and carbon tetrachloride at $25 \,^{\circ}$ C.

For the swelling experiment, the PVA films were soaked in water at room temperature for 24 h to be fully equilibrated in water. The degree of equilibrium swelling, Q, was determined by the following formula: Q = (weight of swollen films - weight of driedfilms after swelling)/(weight of dried films after swelling).

Differential scanning calorimetry (DSC) thermograms were recorded on 10 mg of each PVA films under nitrogen with a Mettler differential scanning calorimeter. The heat of melting (ΔH) of each film was evaluated from the integrated intensity of the endothermic curve observed in the thermogram.

RESULTS AND DISCUSSION

Overview of Behavior of HI-PVA with Different Heat Treatments

Figure 1 shows dynamic storage Young's modulus (E') and loss modulus (E'') of HI-PVA films with mm = 0.78 (the highest mm so far reported) at temperatures from $-50 \,^{\circ}$ C to $250 \,^{\circ}$ C. The corresponding loss tangent (tan δ) is shown in Figure 2. The HI-PVA films examined here were annealed for 30 min at different temperatures, 40, 120, and 200 $^{\circ}$ C, and subjected to the viscoelastic test with a heating mode. The degree of crystallinity χ_c of the film increased with an increase of the annealing temperature, as explained later in more detail.

The HI-PVA films exhibit four dispersions referred to as β_a , α_a , β_c and α_c in the order of increasing temperature, as most clearly noted for tan δ (Figure 2). The major dispersion (α_a) observed at around 70 °C is attributable to the onset of micro-Brownian motion (*i.e.*, glass transition) of the polymer chains in the



Figure 1. Temperature dependence of the dynamic storage Young's modulus (E') and loss modulus (E'') of HI-PVA films (mm = 0.78). The annealing temperature is 40 °C (circle), 120 °C (square) and 200 °C (cross).



Figure 2. Temperature dependence of the loss tangent $(\tan \delta)$ of HI-PVA films (mm = 0.78). The annealing temperature is 40 °C (circle), 120 °C (square) and 200 °C (cross).

non-crystalline region, as judged from the sharpness of the dispersion reflected in the large decrease of E' at around 70 °C. The β_a dispersion seen at around -10 °C can be assigned to the local twisting motion of non-crystalline chains.¹⁹ The α_c and β_c dispersions, seen at around 140 °C and 220 °C, occurs at temperatures well above the glass transition temperature and are attributable to the motion in the crystallites.^{20–23}

The decrease of E' at around 70 °C (Figure 1) can be used as a measure of the intensity of the α_a dispersion (glass transition). This decrease becomes smaller on the increase of annealing temperature, which suggests that the content of the non-crystalline component decreases (and thus the degree of crystallinity χ_c increases) on sufficient annealing. (Indeed, the increase of χ_c was confirmed, as explained later for Figure 3). At the same time, we note that the dispersion temperatures for all of the β_a , α_a , β_c and α_c processes hardly change with the annealing. Thus, the



Figure 3. Dependence of the degree of swelling in water and the density on the ΔH values for HI-PVA (mm = 0.78, circle), A-PVA (mm = 0.22, cross) and S-PVA (mm = 0.14, square).

mobility of respective HI-PVA chains in the crystalline and non-crystalline regions appears to be insensitive to the change in the degree of crystallinity induced by the annealing.

Density, Swelling and Thermal Behavior of PVAs

Since the viscoelastic behavior of PVA films changes not only with the stereoregularity but also with the crystallinity, the effect of stereoregularity on the behavior can be most clearly examined for films having the same crystallinity. The degree of crystallinity of PVA, χ_c , has been studied by various methods: X-ray diffraction, swelling, density and DSC measurement, etc. Among the various methods, the generally available is the DSC method, and χ_c can be determined from the enthalpy change for melting, ΔH . In this determination, the ΔH_c value for the 100% crystallinity $(\Delta H_c = 6.87 \text{ kJ mol}^{-1})^{24}$ is often assumed to be independent of the stereoregularity. However, the crystal structure of HI-PVA differs from that of S-PVA and A-PVA,¹⁰ and no ΔH_c data have been reported for HI-PVA.

For this problem, we here focus on the density (ρ) and the degree of swelling (Q) of HI-PVA, S-PVA, and A-PVA films in water. For HI-PVA (mm = 0.78), A-PVA (mm = 0.22) and S-PVA (mm = 0.14) films being annealed at several different temperatures and thus having different χ_c values, we measured the ρ , Q and ΔH values from the density, swelling and DSC measurements. In Figure 3, the ρ and Q values are plotted against the ΔH . For the PVAs with different stereoregularities, ρ and Q exhibit a universal, almost linear dependence on ΔH . These results suggest that the ΔH_c value for the 100% crystallinity is almost same for the HI-PVA, S-PVA, and A-PVA films. In the absence of more detailed information for χ_c , this paper utilizes the ΔH data and the *mm*-independent ΔH_c value to estimate χ_c of HI-PVA films. The width



Figure 4. Temperature dependence of the dynamic storage Young's modulus (*E'*) and loss modulus (*E''*) of HI-PVA ($\chi_c = 0.59$, circle), A-PVA ($\chi_c = 0.49$, cross) and S-PVA ($\chi_c = 0.59$, square).



Figure 5. Temperature dependence of the loss tangent (tan δ) of HI-PVA ($\chi_c = 0.59$; circle), LI-PVA ($\chi_c \sim 0.3$; triangle), A-PVA ($\chi_c = 0.49$; cross) and S-PVA ($\chi_c = 0.59$; square).

of the scatter in the plots in Figure 3 suggests that the accuracy of this estimate is better than $\pm 10\%$.

Comparison of HI-PVA, S-PVA, and A-PVA Films

Figure 4 shows a comparison of the temperature dependence of the E' and E'' for HI-PVA film $(\Delta H = 4.03 \text{ kJ cm}^{-1};$ annealed at 200 °C), S-PVA film $(\Delta H = 4.08 \text{ kJ cm}^{-1};$ annealed at 40 °C), and A-PVA film $(\Delta H = 3.40 \text{ kJ cm}^{-1};$ annealed at 200 °C). The corresponding tan δ is shown in Figure 5. The degree of crystallinity χ_c , determined satisfactorily from the ΔH value as explained in the previous section, is almost identical for the HI-PVA and S-PVA films ($\chi_c = 0.59$) but somewhat smaller for the A-PVA film ($\chi_c = 0.49$). We can keep this point in our mind to relate the data in Figures 4 and 5 to the difference in the stereoregularity (*mm* value), as discussed below.

As clearly seen in Figures 4 and 5, the β_a and α_a dispersions occurring at around -10° C and 70° C



Figure 6. Dependence of the α_a dispersion intensity measured as the decrease of E' on the degree of crystallinity estimated from ΔH for HI-PVA (mm = 0.78; circle), A-PVA (mm = 0.22; cross) and S-PVA (mm = 0.14; square).

are nearly the same, in both magnitude (reflected in the decrease of E') and location (noted as the peak temperature for E'' and/or $\tan \delta$), for the HI-PVA and S-PVA films having almost identical χ_c . For the A-PVA film having a smaller χ_c value, the magnitude of the α_a dispersion is larger but the location of the β_a and α_a dispersions are the same compared to the HI-PVA and S-PVA films.

To clarify the effect of the stereoregularity on the non-crystalline chain motions of PVA, the $\tan \delta$ data for LI-PVA film (mm = 0.44 and $\chi_c \sim 0.3$; annealed at 200 °C) are also shown in Figure 5. The degree of crystallinity of this LI-PVA film, obtained from a DSC measurement (only approximately because of its poor thermal stability at high temperatures¹⁴), was $\chi_c \sim 0.3$. As seen in Figure 5, the local twisting motion (seen as the β_a dispersion) and micro-Brownian motion (α_a dispersion) of the respective chains in the non-crystalline region are essentially the same in the PVA films of various stereoregularities (mm =0.14-0.78). This behavior is in harmony with the change in the intensity of the α_a dispersion (measured as the decrease of E' at around 70 °C) with ΔH (and χ_c) shown in Figure 6. The intensity appears to be almost universally dependent on ΔH (and χ_c) irrespective of the mm value, suggesting that the noncrystalline chain motion resulting in the α_a dispersion is insensitive to the stereoregularity.

The *mm*-insensitivity of the α_a and β_a dispersions is rather surprising because the stereoregularity is expected to affect the mobility of the non-crystalline chain. One possible origin of this insensitivity is the enrichment of the stereoregular sequences (either *m*or *r*-sequences) of the PVA chains in the crystalline region and the corresponding reduction in the difference of the stereoregularities in the non-crystalline region of the samples. To examine this possibility, we followed the previous paper²⁵ to apply the solid-state ¹³C NMR analysis for the hydrated PVA films to estimate the mole fractions of the mm, mr, and rr sequences for the mobile component that is produced by swelling with water. Our recent NMR experiment,²⁶ however, revealed that the mole fractions of the respective sequences in the mobile component are very close to the mole fractions of the corresponding, overall triad tacticity for the HI-PVA and S-PVA films. These results indicate that the mm, mr, and rr units should be almost equally distributed in the crystalline and non-crystalline regions for the HI-PVA and S-PVA films, which is in harmony with the result obtained for the A-PVA films.²⁵ Thus, the chain motion in the non-crystalline region is insensitive to the stereoregularity therein, and an examination of the origin of this result requires more detailed solid-state ¹³C NMR experiments. These experiments, including the detailed analysis of the T_{1C} values of the non-crystalline components of the stereoregular PVA samples, are considered as an important subject of future work.

Now, we turn our attention to the dispersions of the crystalline components observed in Figures 4 and 5 at temperatures above 100 °C. The locations and intensities of these dispersions significantly change with the stereoregularity of the films. The HI-PVA sample (mm = 0.78) shows the major crystalline dispersion (β_c) at around 140 °C and the side dispersion (α_c) at around 220 °C. The LI-PVA sample (mm = 0.44) shows the β_c dispersion at nearly the same temperature but its α_c dispersion emerges at around 180 °C (well below $T\alpha_c$ for HI-PVA). For the A-PVA (mm = 0.22) and S-PVA (mm = 0.14) samples, no $\beta_{\rm c}$ dispersion is clearly detected and the $\alpha_{\rm c}$ dispersion emerges at around 195 °C and 205 °C. These differences can be related to the structural origin(s) of the dispersions, as discussed below.

The β_c dispersion has been related to the transition in the expansion of the crystal²¹ or a discontinuous point of the expansion coefficient of PVA.²² It resulted from a reduction of intermolecular forces in crystals, and this reduction takes place with thermal expansion of the PVA unit cells.^{19,21} As previously reported, successive intramolecular hydrogen bonding is formed for all OH groups along the chains in the crystalline region for the HI-PVAs.¹⁴ This perfect intramolecular bonding would in turn weaken the intermolecular bonding and thus enhance the sensitivity of the latter type of bonding to the unit cell expansion. This enhancement appears to result in the clear β_c dispersion seen for HI-PVA. For LI-PVA having a fairly large mm value (= 0.44), the β_c dispersion seems to be clearly resolved for the same reason. In contrast, for S-PVA, the β_c dispersion peak vanishes possibly because the strong intermolecular forces due to syndiotactic regulation²³ (and lack of significant intramolecular hydrogen bonding) hardly changes with the cell expansion. For A-PVA, the lack of the clear β_c dispersion peak can be also attributed to a similarly strong intermolecular forces as well as to high ordering in crystallites in A-PVA film, the latter resulting from the lamellar thickening on high-temperature annealing (*i.e.* at 200 °C).

Differing from the β_c dispersion, the α_c dispersion is assigned to thermal motion of the chain axis in the crystal lattices.^{22,23} Figure 5 clearly indicates that the α_c dispersion temperature $T\alpha_c$ decreases in the order of HI-PVA $(220 \degree C) > S-PVA (205 \degree C) > A PVA (195 \circ C) > LI-PVA (180 \circ C)$. This order, well corresponding to the order of the other physical properties, $T_{\rm m}$, $\chi_{\rm c}$, and $T_{\rm 1C}$, is not in accord to the order of the mm value. In other words, plots of $T\alpha_c$, T_m , χ_c , and T_{1C} against mm show minima at mm = 0.4-0.5(for LI-PVA). This behavior of the physical properties including $T\alpha_c$ suggests that the disordering of the intermolecular and/or intramolecular hydrogen bonding along each PVA chain, being most significant at mm = 0.4-0.5, governs these properties.¹⁴ In particular, the enhancement of the intermolecular bonding on a decrease of mm below 0.4 (for A-PVA and S-PVA) would naturally reduce the axial mobility of respective chains in the crystal lattice, while the enhancement of intramolecular bonding on an increase of mm above 0.5 (for HI-PVA) would stiffen the chain to reduce the axial mobility. The reduction of the mobility due to these two types of enhancement results in an increase of $T\alpha_c$ thereby possibly giving the order of $T\alpha_c$ explained above.

CONCLUSION

We have examined dynamic viscoelasticity of highly isotactic poly(vinyl alcohol) (HI-PVA, mm = 0.78) in comparison with syndiotactic PVA (S-PVA, mm = 0.14) and atactic PVA (A-PVA, mm = 0.22). The relaxation in the non-crystalline region, the $b_{\rm a}$ and $a_{\rm a}$ dispersions reflecting the local twisting motion and micro-Brownian motion of the chains in this region, were nearly the same, in both magnitude and location, for the HI-PVA and S-PVA having an almost identical degree of crystallinity. Our recent solid-state ¹³C NMR experiments revealed that there is no enrichment of the stereoregular sequences (neither mor *r*-units) of the PVA chains in the crystalline region for each PVA sample. To clarify the origin of this surprising result, the insensitivity of the chain motion in the non-crystalline region to the stereoregularity, more detailed solid-state ¹³C NMR experiments will be made in future.

In contrast, the β_c and α_c dispersions in the crystal-

line region changed with the stereoregularity. The $\beta_{\rm c}$ dispersion (local motion in crystals due to defects) was clearly observed for HI-PVA but not for S-PVA and A-PVA. The α_c dispersion (axial motion of the chain in the crystal lattices) was observed for all PVA films but its temperature increased in the order of HI-PVA > S-PVA > A-PVA, which well corresponded to the order of the melting temperature or ¹³C spin-lattice relaxation time of these PVAs. These results may be related to a difference of the magnitude of intermolecular and/or intramolecular hydrogen bonding in the crystals of respective PVAs. Specifically, the successive intramolecular bonding in the HI-PVA crystal appears to reduce the magnitude of the intermolecular bonding and stiffen the chain backbone, thereby allowing the chain to exhibit the β_c motion and increase the α_c dispersion temperature.

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