Mechanical Properties of Poly(ethylene terephthalate) Estimated in Terms of Orientation Distribution of Crystallites and Amorphous Chain Segments under Simultaneous Biaxially Stretching

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ABSTRACT: Young's modulus of poly(ethylene terephthalate) (PET) film was estimated by using the generalized orientation factors of crystallites and amorphous chain segments calculated from the orientation functions of crystallites and amorphous chain segments. Theoretical analysis was carried out on the basis of a two-phase model assuring the homogeneous stress hypothesis for a polycrystalline material. In this model system, the anisotropic crystal phase is surrounded by the anisotropic amorphous phase. As the theoretical values of elastic compliance of crystal unit of PET, the values by Tashiro were adopted. The experimental values of Young's modulus at room temperature were in good agreement with the predicted value calculated by using the theoretical compliance. Furthermore, the ultimate value of Young's modulus was estimated by assuming an ideal simultaneous biaxially stretching film with 100% crystallinity and the perfect orientation of the c-axis as well as that of benzene rings parallel to the film surface. The predicted ultimate goal of the Young's modulus was less than 5 GPa indicating the difficulty in producing high modulus and high strength PET sheets in terms of theoretical aspects. [DOI 10.1295/polymj.36.888]

KEY WORDS Young's Modulus / Poly(ethylene terephthalate) / Generalized Orientation Factors / Simultaneous Biaxially Stretching Film / Two-phase Model /

Young's modulus of a polycrystalline material can be generally estimated by using the generalized fourth and second order orientation factors by using the method of Roe and Krigbaum^{1,2} and crystallinity on the basis of a two-phase model,^{7,8} assuming the homogeneous stress within the material.^{8,9} This treatment represented as a continuous body is essentially suitable, if there exist no aggregations of crystallites such as spherulites and rods within the material. The analysis has been successful for polyethylene (PE) with an orthorhombic crystal unit^{5,6} and for poly(vinyl alcohol) (PVA),¹⁰ nylon $6^{11,12}$ and cellulose¹³ with a monoclinic crystal unit. The generalized fourth order orientation factors can be obtained from the orientation factors. In doing so, at least, the orientation functions of the reciprocal lattice vectors of five crystal planes are needed for PVA and that of three crystal planes are needed for PE. However, there is no report for the estimation of poly(ethylene terephthalate) (PET) and poly(buthylene terephthalate) (PBT), because of difficulty in estimating the orientation functions of nine crystal planes of PET and PBT with a triclinic crystal unit.

In previous papers,^{14,15} the orientation of crystallites as well as the orientation of the three principal crystallographic axes, the *a*-, *b*-, and *c*-axes, were estimated in terms of the orientation distribution functions for a PBT film¹⁴ stretched uniaxially and for a PET film stretched biaxially.¹⁵ The successful results predicted that the preferential orientation function of the *c*-axis with respect to the stretching direction follows a floating model associated with an affine fashion.¹⁶ Furthermore, the same method was applied to a simultaneous biaxially stretched PET film.¹⁵ As for the PET film, the orientation distribution function provided the information that most of benzene rings in a main chain of PET are oriented parallel to the film surface but some of them are oriented perpendicular to the film surface.

This paper is concerned with the rigorous estimation of Young's modulus of PET by using the orientation distribution function obtained in the previous experiment. Great contribution to the treatment was done by Hibi *et al.*^{17,18} They tried to calculate Young's modulus in relation to the generalized orientation factors and crystallinity. Based on their concept, the actual calculation is carried out using the generalized orientation factors with the fourth and second orders estimated from the orientation distribution function of crystallites in the previous paper,¹⁵ on the basis of a twophase model^{3–7} assuring the homogeneous stress hypothesis for a polycrystalline material.^{8,9}

EXPERIMENTAL

Original samples used in this experiment were amorphous PET film whose film thickness is *ca*.

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Draw ratio	Volume crystallinity (%)	Melting point (°C)	
1	39.4	258	
2×2	41.9	258	
3×3	45.0	258	
4×4	47.4	259	

Table I. Characteristics of PET specimens elngated at 95 °C and annealed at 160 °C for 30 min

220 μ m and volume crystallinity is 0.3%, which was used in the previous paper.¹⁵ The simultaneous biaxially elongation of the amorphous film was done up to the desired draw ratios. For the theoretical estimation, the results for the film with 4 × 4 folds reported in the previous paper¹⁵ were adopted in this paper. As described there the elongation was done at 95 °C and then annealed at 160 °C for 30 min. The elongated sample was fixed under cooling process to 20 °C and also maintained for 30 min at 25 °C. The characteristics of the PET film are summarized again in Table I.

Birefringence was measured between crossed polar in an optical microscope (Olympus 206648).

To check an outline for the mechanical properties of the simultaneous biaxially stretching PET film, the complex dynamic tensile modulus was measured at 10 Hz over the temperature range from -150 to 300 °C by using a visco-elastic spectrometer (VES-F) obtained from Iwamoto Machine Co., Ltd. The length of the specimen between the jaws was 40 mm and the width was about 1.5 mm. During measurements, the specimen was subjected to a static tensile strain in order to place the sample in tension during the axial sinusoidal oscillation. The complex dynamic modulus was measured by imposing a small dynamic strain to ensure linear visco-elastic behavior of the specimen.

Figure 1 shows storage modulus (E') and loss modulus (E'') of the films elongated up to the desired draw ratio (λ). The elongation condition is the same as described in the previous paper.¹⁵ The storage modulus increases with λ indicating increases in molecular orientation and crystallinity. However, the increase in E'with increasing λ is less significant in comparison with the increase under uniaxial stretching.¹⁹ This reason shall be discussed later. The loss modulus at each draw ratio shows two peaks. The results are similar to the results by Illers *et al.*²⁰ which were reported for the temperature dependence of shear modulus G' and loss modulus G'' with a torsion pendulum at a constant frequency of 1 cycle/s in the temperature range between -180 and 260 °C. The peaks at higher and lower temperature sides are termed as β and γ relaxations. The NMR measurements by Ward *et al.*²¹ revealed clearly that above the β -dispersion temperature, both the benzene ring and the methylene group protons are under-

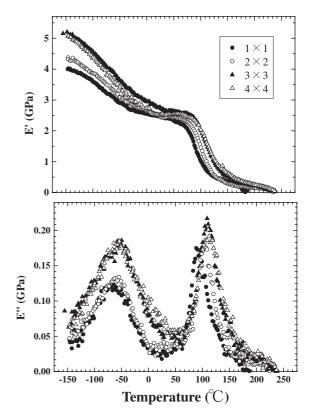


Figure 1. Temperature dependence of the storage and loss moduli of the PET films elongated biaxially up to the desired temperature.

going considerable molecular motion. Since then, the β -dispersion at 74 °C has been explained as the contribution from the glass transition (the β -dispersion) associated with an increase in free volume and the micro-Brownian motion of the chain segments starting at this temperature. Incidentally, the existence of the α -dispersion associated with crystal relaxation was found as a small peak by Yoshihara et al.²² The peak appeared at temperature close to the β -dispersion. The γ peak of E'' is certainly built up from two or more closely adjacent peaks, belonging to motions of different groups or groups with different steric or energetic interaction. According to the NMR experiments by Ward *et al.*²¹ there are hindered rotations of methylene groups below glass transition temperature which presumably also occur within the crystalline regions.

To make clear the difference of mechanical properties between uniaxial and biaxial stretching films, the crystal lattice modulus were measured as a function of temperature for understanding intrinsic mechanical properties of PET crystallites. The X-ray diffraction intensity was measured with a sintillaion counter and a 12 kW rotating-anode X-ray generator (Rigaku RDA-rA operated at 200 mA and 40 kV). The X-ray beam was monochromatized with a curved graphite monochromatar. Crystal strain at elevated temperature was provided by a constant-stretching apparatus which has been described elsewhere.¹⁹ For the measurements, the specimen was mounted vertically in the stretching clamps of the apparatus. The intensity distribution was measured with a step-scanning device at a step interval of 0.1° , each at a fixed time of 40 s.

The measurements were carried out for the crystal lattice modulus along the reciprocal lattice vectors of the (105), (100), (010) and (110) planes. In doing so, uniaxial stretching specimens were used. The original specimen was first drawn up to 12 times uniaxially in a hot water bath at 95 °C and after vacuum-drying the specimen was drawn to 20 times again in a hot oven at 250 °C under nitrogen in the second stage. The crystallinity was ca. 60%. The crystal lattice modulus of PET along the chain direction cannot be observed directly by the X-ray diffraction, since there exists no detectable crystal plane whose reciprocal lattice vector is parallel to the crystal chain axis. In the unit cell of PET, the reciprocal lattice vector of the (105) plane, among all the crystal planes, most closely parallels the *c*-axis.

Table II shows the modulus of the crystal chain direction (*c*-axis) estimated from the $U_{(\bar{1}05)}$ as well as the crystal lattice moduli of the $U_{(010)}$, $U_{(\bar{1}10)}$ and $U_{(100)}$ as a function of temperature. In addition, the Young's moduli in a bulk along the stretching direction and along the direction perpendicular to the stretching direction are listed as a function of temperature. Such experiments were carried out only to understand the different temperature dependence of crystal lattice modulus of PET. As listed in Table II, the crystal

Table II. Temperature dependence of the crystal modulialong the reciprocal lattice vectors and Young's moduliparallel (E_{33}) and perpendicular (E_{11}) to the uniaxialstretching direction (unit, GPa)

		0				
Temp (°C)	U ₍₁₀₅₎	E_{33}	U(010)	<i>U</i> ₍₁₁₀₎	U(100)	E_{11}
20	125	7.7	8.0	12.9	13.0	2.1
50	123	7.6	7.6	12.6	12.9	2.0
70	123	7.6	7.7	11.5	11.4	2.0
80			6.7	8.9	9.1	1.6
100	122	4.3	5.3	7.5	8.4	1.0
120			5.4	7.2	9.1	0.7
125	124	3.4				
140			5.1	5.8	8.7	0.6
150	120	2.7				
160			5.1	5.5	7.9	0.5
175	123	2.2				
180			4.9	5.0	7.9	0.4
200	124		4.6	4.5	7.4	0.3
210	125					
220	121					
230	123					
240	117					

lattice modulus for $U_{(\bar{1}05)}$ is almost independent of temperature. The values of the crystal lattice modulus of the ($\bar{1}05$) plane are in the range from 121 to 125 GPa at temperatures lower than 230 °C. Therefore the crystal lattice modulus along the chain direction is *ca.* 118 GPa on the basis of the theoretical analysis described elsewhere.¹⁹ This indicates no temperature dependence of crystal lattice modulus of PET along the chain direction, as has been reported for PE²³ PP,²⁴ and PVA.¹⁰ In contrast, the other crystal moduli decrease with increasing temperature. Of course, temperature dependence of the crystal lattice moduli as listed in Table II is useful as fundamental information to analyze the temperature dependence of the present simultaneous biaxially stretching films later.

RESULTS AND DISCUSSION

In a paracrystalline material, a number of small crystallites as islands are buried in an anisotropic amorphous phase as ocean in the case that there are no large crystal aggregations such as spherulites and rods. Namely, a number of crystallites with each different orientation are surrounded by an anisotropic amorphous phase whose molecular chains are oriented in the stretching direction as shown in Figure 2a. Model (b) simplifies the morphology of (a) and represents each crystallite as an island showing a rectangular, in which the X_1 , X_2 , and X_3 axes are fixed in the bulk specimen. An arbitrary crystallite is generally represented as $\mu_i \zeta_j \delta_k$ and the total crystallites, as $\sum_i \sum_j \sum_k \mu_i \zeta_j \delta_k$, corresponding to crystallinity.

To pursue the theoretical calculation for estimating Young's modulus, further simplification of the model system in Figure 2b is proposed as a composite model in Figure 3. In Figure 3, a crystal phase is represented as aggregation of a number of crystallites with different orientation distribution. Namely, the crystallites with different orientation is replaced as orientation

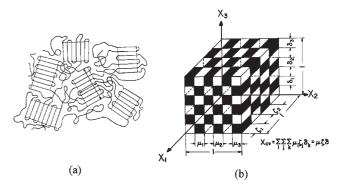


Figure 2. (a) A schematic model representing a number of crystallites with each different orientation surrounded by oriented amorphous phase. (b) A simplified model of (a), in which each crystallite with different orientation is represented by a rectangle.

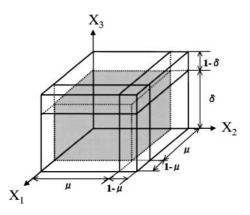


Figure 3. A composite model in which oriented crystallites are surrounded by an anisotropic amorphous phase.

distribution of crystallites in the crystal phase in Figure 3. In the proposed model, the anisotropic amorphous phase is adjacent to the oriented crystal phase with the interfaces perpendicular to the X_1, X_2 and X_3 axes. In the simultaneous biaxially stretching film, the X_1 and X_2 axes are chosen in the stretching direction and the X_3 axis, in the film thickness direction. This model system satisfies the morphological characteristics of a polymeric system with a low degree of molecular orientation and low crystallinity such as a simultaneous biaxial stretching film. Volume crystallinity X_{cv} is represented by $\delta \mu^2$ by the use of the fraction lengths δ and μ in the directions of the X_3 and X_2 (and X_1) axes because the present specimen is isotropic around the X_3 axis and then the $\mu = \zeta$. In this model system, amorphous layers are adjacent to the oriented crystalline layers with the interfaces perpendicular to the X_1 , X_2 and X_3 axes. Strains of the two phases at the boundary are assumed to be identical. This model can be constructed by following three processes as shown in Figure 4.

First, an anisotropic amorphous layer lies adjacent to the crystallite with the interface perpendicular to the X_3 axes and the resultant system is termed as phase I. Secondly, an anisotropic amorphous layer with fraction length $1 - \mu$ is attached to the structure of phase I in a plane normal to the X_1 direction to construct phase II. The final phase III can be constructed by adding an anisotropic amorphous layer with fraction length $1 - \mu$ to phase II. This procedure was represented elsewhere in detail.^{15,16,23} For simultaneous biaxially stretched films, this model corresponds to a series model at $\mu = 1$, while it corresponds to a parallel model at $\delta = 1$. In following discussion, some final equations are described to shorten this paper. Complicated mathematical derivation for the composite procedure in Figure 4 was eliminated, since such treatment is similar to that of crystal lattice modulus reported elsewhere.⁷

In accordance with the mathematical procedure of the generalized Hook's law, Young's modulus in the stretching direction (the X_1 and X_2 directions) can be given by

$$E = \frac{\mu}{S_{11}^{\text{II}}} + \frac{1 - \mu}{S_{11}^{\text{av}}} + \frac{\left\{\frac{S_{13}^{\text{II}}}{S_{11}^{\text{II}}} - \frac{S_{13}^{\text{av}}}{S_{11}^{\text{av}}}\right\}^2}{\frac{S_{33}^{\text{II}}}{\mu} \left\{1 - \frac{S_{13}^{\text{II}^2}}{S_{11}^{\text{II}}S_{33}^{\text{II}}}\right\} + \frac{S_{33}^{\text{av}}}{1 - \mu} \left\{1 - \frac{S_{13}^{\text{av}^2}}{S_{11}^{\text{av}}S_{33}^{\text{av}}}\right\}}$$
(1)

In eq 1, S_{ij}^{II} is given as a function of S_{ij}^{I} , S_{ij}^{av} and μ and S_{ij}^{I} is also given as a function of S_{ij}^{cv} , S_{ij}^{av} and δ in which S_{ij}^{cv} , and S_{ij}^{av} are the average compliances of crystal the amorphous phases, respectively. The complicated relationship was represented by Matsuo elsewhere.⁷

The relation between the intrinsic compliance of the structural unit and the bulk compliance is given as angular relationship in Figure 5. Figure 5 shows Cartesian coordinate $0-U_1U_2U_3$ fixed within a structural unit, with respect to another Cartesian coordinate $0-X_1X_2X_3$ fixed in a bulk specimen. The U_3 axis may be taken along the *c*-axis. For simultaneous biaxially stretched films, crystallites have a random orientation around the X_3 axis (the film thickness direction). The orienta-

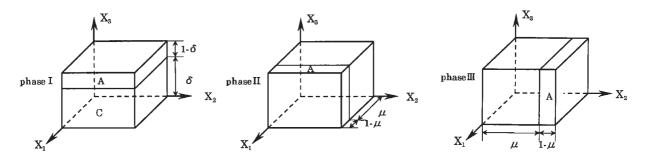


Figure 4. A procedure to construct a model in Figure 3 (1) amorphous phase attached to the X_3 face of the crystallite to construct phase II. (2) amorphous phase attached to the X_1 face of the phase I to construct phase II. (3) amorphous phase attached to the X_2 face of the phase II to construct phase III.

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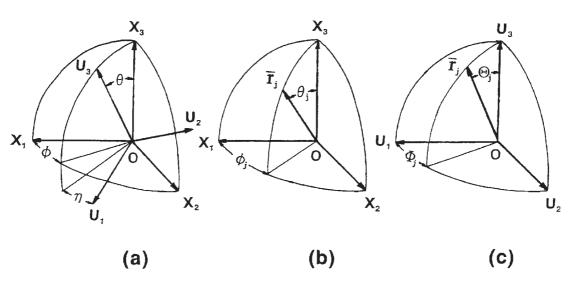


Figure 5. Cartesian coordinates illustrating the gometrical relation: (a) Euler angles θ and η which specify the orientation of coordinate $0-U_1U_2U_3$ of structural unit with respect to coordinate $0-X_1X_2X_3$ of specimen, (b) Angles θ_j and ϕ_j which specify the orientation of the given *j*th axis of the structural unit with respect to the coordinate $0-X_1X_2X_3$, (c) Angles Θ_j and Φ_j which specify the orientation of *j*th axis of the structural unit with respect to the coordinate $0-U_1U_2U_3$.

tion of the structural unit within the space of the film specimen may be specified by using three Euler angles, ϕ , θ and η . The angles θ and ϕ , which define the orientation of U_3 axis of the unit within the space, are polar and azimuthal angles, respectively, and η specifies the rotation of the unit around its own U_3 axis.

The tensor description between the intrinsic compliance of the structural unit and the bulk compliance is given by

$$S_{ijkl}^{cv} = \sum_{r=1}^{3} \sum_{q=1}^{3} \sum_{p=1}^{3} \sum_{o=1}^{3} \langle a_{io} a_{jp} a_{kq} a_{lr} \rangle_{cv} S_{opqr}^{co}$$
(2)

$$S_{ijkl}^{av} = \sum_{r=1}^{3} \sum_{q=1}^{3} \sum_{p=1}^{3} \sum_{o=1}^{3} \langle a_{io} a_{jp} a_{kq} a_{lr} \rangle_{av} S_{opqr}^{ao}$$
(3)

where S_{ijkl}^{cv} and S_{ijkl}^{av} are bulk compliance of the crystal and amorphous phases, respectively, and S_{opqr}^{co} and S_{ijkl}^{ao} are their intrinsic compliance. a_{io} is, for example, the direction cosine of the u_o axis with respect to the X_i axis, which is given from the geometrical arrangements. Average values of the crystal phase in eq 2, $\langle a_{io}a_{jp}a_{kq}a_{lr}\rangle_{cv}$, is given by^{5–7}

$$\langle a_{io}a_{jp}a_{kq}a_{lr}\rangle_{\rm cv} = \int_{0}^{2\pi} \int_{0}^{2\pi} \int_{0}^{\pi} \overline{\sigma}(\theta,\eta)a_{io}a_{jp}a_{kq}a_{lr}\sin\theta\,\mathrm{d}\theta\,\mathrm{d}\phi\,\mathrm{d}\eta \quad (4)$$

where $\varpi(\theta, \eta)$ is the orientation distribution function of the crystal unit $0-U_1U_2U_3$ with respect to the coordinate $0-X_1X_2X_3$ in Figure 5. On the other hand, average values of the amorphous phase in eq 3,

$$\langle a_{io}a_{jp}a_{kq}a_{lr}\rangle_{\rm av}$$
, are given by

$$\langle a_{io}a_{jp}a_{kq}a_{lr}\rangle_{\rm av} = \int_{0}^{2\pi} \int_{0}^{2\pi} \int_{0}^{\pi} \overline{\varpi}_{\rm am}(\theta)a_{io}a_{jp}a_{kq}a_{lr}\sin\theta\,\mathrm{d}\theta\,\mathrm{d}\phi\,\mathrm{d}\eta \quad (5)$$

where $\varpi_{am}(\theta)$ is an orientation distribution function of the amorphous chain segments. The generalized orientation factors F_{l0n} and G_{l0n} of the crystal phase and F_{l00}^{am} of the amorphous phases can be represented by using $\varpi(\theta, \eta)$ and $\varpi_{am}(\theta)$, respectively, in the case when both the structural units have an uniaxial orientation around the X_3 axis (film thickness direction):¹

$$F_{l0n} = \int_{0}^{2\pi} \int_{0}^{2\pi} \int_{0}^{2\pi} \sigma(\theta, \eta) P_{l}^{n}(\cos \theta)$$
$$\times \cos n\eta \sin \theta \, \mathrm{d}\theta \, \mathrm{d}\phi \, \mathrm{d}\eta \tag{6}$$

$$G_{l0n} = \int_{0}^{2\pi} \int_{0}^{2\pi} \int_{0}^{2\pi} \overline{\varpi}(\theta, \eta) P_{l}^{n}(\cos \theta)$$
$$\times \sin n\eta \sin \theta \, \mathrm{d}\theta \, \mathrm{d}\phi \, \mathrm{d}\eta \tag{7}$$

and

$$F_{l00}^{\rm am} = \int_{0}^{2\pi} \int_{0}^{2\pi} \int_{0}^{2\pi} \sigma(\theta) P_l(\cos\theta) \sin\theta \,\mathrm{d}\theta \,\mathrm{d}\phi \,\mathrm{d}\eta \quad (8)$$

where $P_l^n(\cos \theta)$ and $P_l(\cos \theta)$ are the associated Legendre polynomial and the Legendre polynomial, respectively and *l* must be even and *n* takes even and odd. Incidentally, for an orthorhombic crystal form like PE, F_{l0n} (*n*: even) and $G_{l0n} = 0$. For a monoclinic crystal unit like PVA and nylon 6, F_{l0n} (*n*: even) and G_{l0n} (*n*: even).¹⁴ The elastic compliance S_{ijkl} represented as a tensor quantity may be related to S_{uv} by ma-

trix.^{5–7} Using eqs 2–8, S_{uv}^{cv} of the crystal unit and S_{uv}^{av} of the amorphous unit in the stretching direction may be given as follows: For crystal phase:

$$\begin{split} S_{11}^{cv} &= S_{22}^{vv} = \frac{3}{64} S_{11}^{cv} \left(\frac{1}{105} F_{404} - \frac{8}{105} F_{402} - \frac{32}{21} F_{202} + \frac{24}{35} F_{400} + \frac{64}{21} F_{200} + \frac{64}{15} \right) \\ &+ \frac{3}{64} S_{22}^{cv} \left(\frac{1}{105} F_{404} + \frac{8}{105} F_{402} + \frac{32}{21} F_{202} + \frac{24}{35} F_{400} + \frac{64}{21} F_{200} + \frac{64}{15} \right) + S_{33}^{cv} \left(\frac{3}{35} F_{400} - \frac{2}{7} F_{200} + \frac{1}{5} \right) \\ &- \frac{1}{64} \left(2S_{12}^{cv} + S_{60}^{cv} \right) \left(\frac{1}{35} F_{404} - \frac{24}{35} F_{400} - \frac{64}{21} F_{200} - \frac{64}{15} \right) \\ &+ \frac{1}{8} \left(2S_{13}^{cv} + S_{50}^{cv} \right) \left(\frac{1}{35} F_{402} - \frac{2}{21} F_{202} - \frac{12}{35} F_{400} - \frac{4}{21} F_{200} + \frac{8}{15} \right) \\ &- \frac{1}{8} \left(2S_{23}^{cv} + S_{60}^{cv} \right) \left(\frac{1}{35} F_{402} - \frac{2}{21} F_{202} + \frac{12}{35} F_{400} - \frac{4}{21} F_{200} - \frac{8}{15} \right) \\ &- \frac{1}{32} S_{16}^{cv} \left(\frac{1}{15} G_{404} - \frac{4}{35} G_{402} - \frac{16}{7} G_{202} \right) - \frac{3}{112} S_{15}^{cv} \left(\frac{1}{15} F_{403} - \frac{6}{5} F_{401} - \frac{16}{3} F_{201} \right) \\ &+ \frac{1}{32} S_{26}^{cv} \left(\frac{1}{15} G_{403} + \frac{6}{5} G_{401} + \frac{16}{7} G_{202} \right) - \frac{1}{7} S_{55}^{cv} \left(\frac{3}{10} F_{401} - F_{201} \right) \\ &- \frac{3}{112} S_{24}^{cv} \left(\frac{1}{15} G_{403} + \frac{6}{5} G_{401} - \frac{16}{3} G_{201} \right) \\ &+ \frac{1}{112} \left(S_{25}^{cv} + S_{40}^{cv} \right) \left(\frac{1}{5} F_{403} - \frac{6}{5} F_{401} - \frac{16}{3} G_{201} \right) \\ &+ \frac{1}{112} \left(S_{25}^{cv} + S_{40}^{cv} \right) \left(\frac{1}{5} F_{403} + \frac{6}{5} F_{401} - \frac{16}{3} F_{201} \right) - \frac{1}{14} \left(S_{36}^{cv} + S_{45}^{cv} \right) \left(\frac{1}{10} G_{402} - \frac{1}{3} G_{202} \right)$$
(9)

$$\begin{aligned} S_{33}^{v} &= \frac{1}{8} S_{11}^{vo} \left(\frac{1}{105} F_{404} - \frac{1}{005} F_{402} + \frac{2}{7} F_{202} + \frac{1}{35} F_{400} - \frac{1}{7} F_{200} + \frac{1}{5} \right) \\ &+ \frac{1}{8} S_{22}^{vo} \left(\frac{1}{105} F_{404} + \frac{8}{105} F_{402} - \frac{8}{7} F_{202} + \frac{24}{35} F_{400} - \frac{16}{7} F_{200} + \frac{8}{5} \right) + S_{33}^{vo} \left(\frac{8}{35} F_{400} + \frac{4}{7} F_{200} + \frac{1}{5} \right) \\ &- \frac{1}{8} (2S_{12}^{vo} + S_{60}^{vo}) \left(\frac{1}{105} F_{404} - \frac{8}{35} F_{400} + \frac{16}{21} F_{200} - \frac{8}{15} \right) \\ &+ \frac{1}{2} (2S_{13}^{vo} + S_{50}^{vo}) \left(\frac{2}{105} F_{402} + \frac{1}{21} F_{202} - \frac{8}{35} F_{400} + \frac{2}{21} F_{200} + \frac{2}{15} \right) \\ &- \frac{1}{2} (2S_{23}^{vo} + S_{40}^{vo}) \left(\frac{2}{105} F_{402} + \frac{1}{21} F_{202} - \frac{8}{35} F_{400} - \frac{2}{21} F_{200} - \frac{2}{15} \right) \\ &- \frac{1}{2} (2S_{23}^{vo} + S_{440}^{vo}) \left(\frac{2}{105} F_{402} + \frac{1}{21} F_{202} + \frac{8}{35} F_{400} - \frac{2}{21} F_{200} - \frac{2}{15} \right) \\ &- \frac{1}{28} S_{16}^{vo} \left(\frac{1}{15} G_{404} + \frac{4}{15} G_{402} + 4G_{202} \right) - \frac{1}{14} S_{15}^{vo} \left(\frac{1}{15} F_{403} - \frac{6}{5} F_{401} + 4F_{201} \right) \\ &+ \frac{1}{28} S_{26}^{vo} \left(\frac{1}{15} G_{404} + \frac{4}{15} G_{402} - 4G_{202} \right) - \frac{2}{7} S_{35}^{vo} \left(\frac{2}{5} F_{401} + F_{201} \right) \\ &- \frac{1}{14} S_{24}^{vo} \left(\frac{1}{15} G_{403} + \frac{6}{5} G_{401} - 4G_{201} \right) + \frac{2}{7} S_{34}^{vo} \left(\frac{2}{5} G_{401} + G_{201} \right) \\ &+ \frac{1}{14} \left(S_{14}^{vo} + S_{56}^{vo} \right) \left(\frac{1}{15} G_{403} - \frac{2}{5} G_{401} + \frac{4}{3} G_{201} \right) \\ &+ \frac{1}{14} \left(S_{14}^{vo} + S_{56}^{vo} \right) \left(\frac{1}{15} F_{403} + \frac{2}{5} F_{401} - \frac{4}{3} F_{201} \right) - \frac{1}{21} \left(S_{36}^{vo} + S_{45}^{vo} \right) \left(\frac{2}{5} G_{402} + G_{202} \right) \end{aligned}$$
(10)

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$$\begin{split} S_{12}^{ev} &= \frac{1}{64} S_{11}^{ev} \Big(\frac{1}{105} F_{404} - \frac{8}{105} F_{400} - \frac{32}{21} F_{202} + \frac{24}{35} F_{400} + \frac{64}{21} F_{200} + \frac{64}{15} \Big) \\ &\quad - \frac{1}{32} S_{12}^{ev} \Big(\frac{1}{105} F_{404} - \frac{10}{21} F_{202} - \frac{3}{35} F_{400} - \frac{21}{21} F_{200} - \frac{16}{15} \Big) \\ &\quad + \frac{1}{4} S_{13}^{ev} \Big(\frac{1}{165} F_{402} - \frac{10}{21} F_{202} - \frac{4}{35} F_{400} - \frac{20}{21} F_{200} + \frac{16}{15} \Big) \\ &\quad + \frac{1}{112} S_{14}^{ev} \Big(\frac{1}{15} G_{403} - \frac{2}{5} G_{401} - \frac{8}{3} G_{201} \Big) - \frac{1}{112} S_{13}^{ev} \Big(\frac{1}{15} F_{403} - \frac{6}{5} F_{401} - \frac{16}{3} F_{201} \Big) \\ &\quad - \frac{1}{672} S_{10}^{ev} \Big(\frac{1}{5} G_{404} + \frac{8}{5} G_{402} - 16 G_{302} \Big) \\ &\quad + \frac{1}{64} S_{22}^{ev} \Big(\frac{1}{105} F_{404} + \frac{8}{105} F_{402} + \frac{21}{21} F_{202} + \frac{24}{35} F_{400} + \frac{64}{21} F_{200} + \frac{64}{15} \Big) \\ &\quad - \frac{1}{162} S_{20}^{ev} \Big(\frac{1}{105} F_{404} + \frac{8}{105} F_{402} + \frac{20}{21} F_{200} - \frac{16}{15} \Big) \\ &\quad - \frac{1}{12} S_{20}^{ev} \Big(\frac{1}{105} F_{404} + \frac{8}{105} F_{402} + \frac{20}{35} F_{400} + \frac{20}{21} F_{200} - \frac{16}{15} \Big) \\ &\quad - \frac{1}{12} S_{20}^{ev} \Big(\frac{1}{15} G_{403} - \frac{5}{2} G_{401} + \frac{4}{35} F_{400} + \frac{20}{21} F_{200} - \frac{16}{15} \Big) \\ &\quad - \frac{1}{12} S_{20}^{ev} \Big(\frac{1}{5} G_{404} + \frac{4}{5} G_{402} + 16 G_{312} \Big) + \frac{1}{12} S_{20}^{ev} \Big(\frac{1}{15} F_{403} + \frac{2}{5} F_{401} + \frac{80}{3} F_{201} \Big) \\ &\quad + \frac{1}{672} S_{20}^{ev} \Big(\frac{1}{5} G_{404} + \frac{4}{5} G_{402} + 16 G_{312} \Big) + \frac{1}{3} S_{20}^{ev} \Big(\frac{1}{35} F_{400} - \frac{2}{7} F_{200} + \frac{1}{15} \Big) \\ &\quad + \frac{1}{14} S_{20}^{ev} \Big(\frac{1}{5} G_{404} + \frac{4}{2} F_{202} + \frac{4}{35} F_{400} - \frac{8}{2} F_{200} + \frac{4}{15} \Big) \\ &\quad - \frac{1}{8} S_{40}^{ev} \Big(\frac{1}{105} F_{404} + \frac{2}{2} F_{202} + \frac{4}{35} F_{400} + \frac{2}{15} F_{200} - \frac{1}{3} F_{20} \Big) \\ &\quad + \frac{1}{8} S_{20}^{ev} \Big(\frac{1}{15} G_{403} - \frac{2}{5} G_{401} + \frac{3}{3} G_{201} \Big) - \frac{1}{64} S_{20}^{ev} \Big(\frac{1}{105} F_{404} - \frac{8}{35} F_{400} + \frac{1}{21} F_{20} + \frac{1}{35} F_{400} + \frac{1}{3} F_{20} - \frac{1}{15} \Big) \\ &\quad + \frac{1}{8} S_{10}^{ev} \Big(\frac{1}{105} F_{404} - \frac{8}{35} F_{400} - \frac{2}{21} F_{202} + \frac{2}{35} F_{400} + \frac{8}{35} F_{40$$

Mechanical Properties of PET under Biaxially Stretching

$$-\frac{1}{14}S_{34}^{co}\left(\frac{4}{5}G_{401}-\frac{1}{3}G_{201}\right)+\frac{1}{14}S_{35}^{co}\left(\frac{4}{5}F_{401}-\frac{1}{3}F_{201}\right)+\frac{1}{42}S_{36}^{co}\left(\frac{2}{5}G_{402}-\frac{5}{2}G_{202}\right)$$

$$+\frac{1}{4}S_{44}^{co}\left(\frac{2}{105}F_{402}+\frac{1}{21}F_{202}+\frac{8}{35}F_{400}-\frac{2}{21}F_{200}-\frac{2}{15}\right)$$

$$+\frac{1}{42}S_{45}^{co}\left(\frac{2}{5}G_{402}+G_{202}\right)-\frac{1}{28}S_{46}^{co}\left(\frac{1}{15}F_{403}+\frac{2}{5}F_{401}-\frac{4}{3}F_{201}\right)$$

$$-\frac{1}{4}S_{55}^{co}\left(\frac{2}{105}F_{402}+\frac{1}{21}F_{202}-\frac{8}{35}F_{400}+\frac{2}{21}F_{200}+\frac{2}{15}\right)$$

$$-\frac{1}{28}S_{56}^{co}\left(\frac{1}{15}G_{403}-\frac{2}{5}G_{401}+\frac{4}{3}G_{201}\right)+\frac{1}{16}S_{66}^{co}\left(\frac{1}{105}F_{404}-\frac{8}{35}F_{400}+\frac{16}{21}F_{200}-\frac{8}{15}\right)$$
(12)

For amorphous phase:

$$S_{11}^{av} = S_{22}^{av} = \frac{3}{64} S_{11}^{ao} \left(\frac{24}{35} F_{400}^{am} + \frac{64}{21} F_{200}^{am} + \frac{64}{15} \right) + \frac{3}{64} S_{22}^{ao} \left(\frac{24}{35} F_{400}^{am} + \frac{64}{21} F_{200}^{am} + \frac{64}{15} \right) + S_{33}^{ao} \left(\frac{3}{35} F_{400}^{am} - \frac{2}{7} F_{200}^{am} + \frac{1}{5} \right) + \frac{1}{64} (2S_{12}^{ao} + S_{66}^{ao}) \left(\frac{24}{35} F_{400}^{am} + \frac{64}{21} F_{200}^{am} + \frac{64}{15} \right) + \frac{1}{8} (2S_{13}^{ao} + S_{55}^{ao}) \left(-\frac{12}{35} F_{400}^{am} - \frac{4}{21} F_{200}^{am} + \frac{8}{15} \right) - \frac{1}{8} (2S_{23}^{ao} + S_{44}^{ao}) \left(\frac{12}{35} F_{400}^{am} + \frac{4}{21} F_{200}^{am} - \frac{8}{15} \right)$$
(13)

The other compliances S_{uv}^{ao} can be obtained by using $F_{404} = F_{403} = F_{402} = F_{401} = 0$, $F_{202} = F_{201} = 0$, $G_{404} = G_{403} = G_{402} = G_{401} = 0$, $G_{202} = G_{201} = 0$ and by replacing F_{200} and F_{400} into F_{200}^{am} and F_{400}^{am} .

respectively as well as by replacing S_{ij}^{co} into S_{ij}^{ao} . Here the orientation factors in eqs 9–13 may be given by

$$F_{l0n} = \langle P_l^n(\cos\theta)\cos n\eta\rangle \tag{14}$$

$$F_{I00}^{\rm am} = \langle P_{I00}(\cos\theta) \rangle \tag{15}$$

$$G_{l0n} = \langle P_l^n(\cos\theta)\sin n\eta \rangle \tag{16}$$

The generalized fourth and second order orientation factors obtained for the PET film with 4×4 are listed in Table III. These values are estimated on the basis of the orientation distribution function of crystallites in the previous paper.¹⁵ The representation of eqs 9–13 for PET crystal unit is much complicated in comparison with for PE with an orthorhombic crystal unit and for polypropylene (PP), PVA and nylon 6 with a monoclinic crystal unit. The problem that now arises has been how the value of the intrinsic compliances S_{uv}^{co} and S_{uv}^{ao} of PET can be determined theoretically. The values as the pioneering work were obtained by Tashiro *et al.*²⁵ using **B** matrix at absolute temperature. According to their paper,

$$S_{\mu\nu}^{\rm co} = \begin{vmatrix} 2.986 & -2.033 & -0.2279 & 3.106 & -5.700 & -5.019 \\ 2.317 & 0.1953 & -2.255 & 6.513 & -4.136 \\ 0.1130 & -0.4718 & 1.220 & -0.4098 \\ 9.250 & -9.768 & 6.562 \\ 5.023 & -6.690 \\ 1.868 \end{vmatrix} \times 10^{-1}/{\rm GPa}$$
(17)

Because of the lack of inharmonic effects, the crystal lattice modulus, 88.5 GPa, along the chain direction given by $1/S_{33}^{co}$ is much smaller than the crystal lattice modulus along the direction, *ca.* 118 GPa, calculated by using the somewhat complicated treatment,¹⁹ on the basis of the crystal lattice modulus of the (105) plane at room temperature (see Table II).

The intrinsic elastic compliances $S_{\mu\nu}^{ao}$ of the amorphous phase needed in the numerical calculation is

not quite certain. In accordance with the previous method,^{3–7} however, the values can be estimated as a crude approximation, assuming that the following relation between the potential energy P(r) of Lennard-Jones and the atomic or molecular distance r holds for a noncrystalline chain

$$P(r) = -\frac{c}{r^n} + \frac{d}{r^m}$$
(18)

Table III. The generalized forth and second order orientation factors estimatied for the PET film stretched up to 4×4^{15}

F_{400}	0.2998			F_{200}	-0.493	G_{201}	-0.0812
F_{401}	0.1121	G_{401}	-0.5467	F_{201}	0.1022	G_{202}	-0.5201
F_{402}	-2.421	G_{402}	1.721	F_{202}	1.436		
F_{403}	4.485	G_{403}	3.397				
F_{404}	27.30	G_{404}	-24.38				
F_{403}	4.485	G_{403}	3.397	F 202	1.436		

where m = 9-12 and n = 1 or 6 for an ionic or molecular crystal, respectively. Then, neglecting the repulsion term in eq 18 for *r* greater than the equilibrium distance, the elastic compliance S_{11}^{ao} and S_{22}^{ao} may be estimated by taking the second-order derivative of P(r). Then,⁵

$$S_{11}^{ao} = S_{22}^{ao} = \left\{ \frac{\rho_c}{\rho_a} \right\}^4 S_{11}^{c'}$$
(19)

where $S_{11}^{c'}$ corresponds to S_{11}^{cv} at $\theta = 0^{\circ}$ in Figure 5, ρ_c and ρ_a are the intrinsic densities of the crystalline and amorphous phases, respectively. ρ_c and ρ_a are given as 1.455 and 1.335 g/cm³, respectively.²⁶ On the other hand, the expansion of the amorphous phase is assumed to occur only along the lateral direction of the polymer chain, not lengthwise. The compliance S_{33}^{ao} may be estimated by assuming that the modulus along the chain axis is proportional to the number of chain molecules in the unit area perpendicular to the chain direction, and that the molecules is independent of temperature. Thus we have

$$S_{33}^{ao} = \left\{\frac{\rho_c}{\rho_a}\right\} S_{33}^{co} \tag{20}$$

The other compliances are given by Hibi *et al.*^{17,18} That is,

$$S_{44}^{ao} = S_{55}^{ao}$$

$$S_{12}^{ao} = -v_{12}^{ao}S_{11}^{ao}$$

$$S_{23}^{ao} = S_{13}^{ao} = -v_{13}^{ao}S_{33}^{ao}$$

$$2S_{11}^{ao} = (2S_{13}^{ao} + S_{55}^{ao})\Omega$$

$$2S_{11}^{ao} = 2S_{12}^{ao} + S_{66}^{ao}$$
(21)

The parameter Ω is first introduced by Hibi *et al.*^{17,18} to determine the value of S_{55}^{ao} as an unknown parameter. The Poisson's ratio v_{12}^{ao} (= v_{13}^{ao}) is an unknown parameter and is set to be 0.49 or 0.33 in this paper. The former and the latter values of v_{12}^{ao} are selected in the case where the mechanical property of the amorphous phase is similar to ideal rubber elasticity or somewhat tougher or glass state, respectively.

The second order orientation factor F_{200}^{am} could be estimated from birefringence measurement.²⁷ Assuming that the birefringence, Δ_{am} , from the amorphous phase may be estimated by subtracting the crystalline birefringence Δ_{cry} from the total birefringence Δ_{total} , we have²⁸

$$\Delta_{\rm am} = \frac{\Delta_{\rm total} - X_{\rm c} \Delta_{\rm c}}{1 - X_{\rm c}} \tag{22}$$

where

$$\Delta_{\rm am} = \left\{ n_{33}^{\rm am} - \frac{n_{11}^{\rm am} + n_{22}^{\rm am}}{2} \right\} F_{200}^{\rm am'} \tag{23}$$

and

$$\Delta_{\rm c} = \left\{ n_{33}^{\rm co} - \frac{n_{11}^{\rm co} + n_{22}^{\rm co}}{2} \right\} F_{200} + \frac{n_{11}^{\rm co} - n_{22}^{\rm co}}{4} F_{202} \quad (24)$$

where X_c is the degree of volume crystallinity. The parameters n_{33}^{co} and n_{11}^{co} are the refractive indexes along the *c*-axis and a^* -axis corresponding to the direction normal to the benzene ring. n_{22}^{co} is the refractive index in the direction perpendicular to the *c* and a^* axes. n_{33}^{am} is the refractive index normal to the benzene ring. n_{11}^{am} and n_{22}^{am} are the refractive perpendicular to the above axis. All the values were calculated by Sakaguchi *et al.*²⁹ in the usual way by assuming the atomic arrangements within the crystal unit and the values of the bond polarizabilities according to Bunn and Daubeny³⁰ and by neglecting the uncertain effect of the internal field within the crystal and of secondary bonds upon the principal polarizabilities. They are given by²⁸

$$n_{33}^{co} = 1.806$$

$$n_{22}^{co} = 1.733$$

$$n_{11}^{co} = 1.398$$

$$n_{33}^{am} = 1.362$$

$$n_{11}^{am} = n_{22}^{am} = 1.687$$
(25)

Since $n_{33}^{\text{am}} < n_{22}^{\text{am}}$, $F_{200}^{\text{am}'}$ corresponds to the second order orientation factor of the direction normal to the benzene ring with respect to the stretching direction. In the case when the amorphous chain axes orient randomly around their own axes, the orientation factors of the other two axes become equivalent with respect to the stretching direction. Then, the orientation factor of the amorphous chain axes may be given as follows:²⁸

$$F_{200}^{\rm am} = -2F_{200}^{\rm am'} \tag{26}$$

In the present specimen, the value of F_{200}^{am} was -0.4998, indicating that the amorphous chains are almost oriented parallel to the film surface.

To calculate the mechanical properties according to eqs 1–26, the fourth-order orientation factor F_{400}^{am} of the amorphous chain segments must be estimated. Unfortunately, this factor cannot be obtained from birefringence measurements and therefore must be calculated by assuming a common function as an orientation function of amorphous chain segments. In this paper, the mean fourth power of direction $\langle \cos^4 \theta \rangle_{am}$ was calculated from an inversely super-

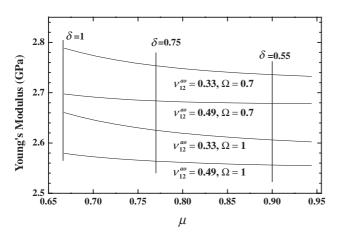


Figure 6. μ -Dependence of Young's modulus *E* calculated at $v_{12}^{ao} (= v_{13}^{ao}) = 0.33$ and 0.49 in the case of $\Omega = 0.7$ and 1.

posed Gaussian function given elsewhere³¹ and the value of F_{400}^{am} was 0.375.

Based on the procedure discussed above, the values of average elastic compliance S_{ij}^{cv} and S_{ij}^{av} of the crystal and amorphous phases can be determined. By using these values, S_{ij}^{I} and S_{ij}^{II} are calculated on the procedure described elsewhere⁷ and consequently the Young's modulus can be calculated by using eq 1.

Figure 6 shows the μ -dependence of Young's modulus E calculated at $v_{12}^{ao} (= v_{13}^{ao}) = 0.33$ and 0.49 in the case of $\Omega = 0.7$ and 1. Here δ is determined automatically by $X_c = \mu^2 \delta$ (X_c is volume crystallinity). The numerical calculation was carried out using the intrinsic crystal elastic compliance S_{uv}^{co} proposed by Tashiro et al.²⁵ The value of E is more sensitive to Ω than v_{12}^{ao} . Judging from the physical meaning of Ω in eq 21 to determine S_{55}^{ao} , this tendency indicates the significant effect on shear modulus on Young's modulus for biaxial stretching films. Anyway, these calculated values of E decreased slightly with increasing μ . This means that a series model at $\delta = 1$ gives higher Young's modulus, when crystallinity and molecular orientation within a bulk and the value of Ω are the same. Even so, the calculated Young's modulus is in the range from 2.55-2.79 GPa and the values are in good agreement with the experimental value at room temperature. This is probably due to the fact that the mechanical property of simultaneous biaxially stretched PET film can be represented roughly by the theory for infinitesimal deformation of an aniisotropic elastic body using 36 independent elastic compliances based on the homogeneous stress hypothesis. As another analysis, we must emphasize that the intrinsic densi ty^{26} of amorphous phase ρ_a is almost equal to the density of amorphous PET film at room temperature, apart from another crystalline polymers. The amorphous density of most of crystalline polymers has been obliged to adopt the value at their melt state because of rapid crystallization. Accordingly, the values of real stiffness of the PET film are thought to be in good agreement with S_{11}^{ao} and S_{22}^{ao} calculated by eq 19 and S_{33}^{ao} by eq 20, although they could not be obtained experimentally. The increase in E' with decreasing temperature, as shown in Figure 1, is postulated to be an increase in ρ_a with decreasing temperature. In other wards, the values of ρ_a and X_c decrease drastically with increasing temperature and consequently the Young's modulus E and/or the storage modulus E' decrease with increasing temperature.

As listed in Table II, the crystal lattice modulus depends on temperature except along the chain direction. Accordingly, it may be postulated that the moduli of the crystal and amorphous phases decrease with increasing temperature and consequently the Young's modulus of the present film decreases. The drastic decrease in the amorphous modulus deduces from the decrease in the Young's modulus in bulk along the stretching direction as listed in Table II, since the corresponding crystal lattice modulus is independent of temperature.

Finally, we shall refer to the ultimate goal of Young's modulus of an ideal simultaneous biaxially stretched film such that the crystallinity is 100% and the *c*-axis orient to the film surface perfectly. Furthermore, the benzene rings must be oriented perfectly parallel to the film surface. Such mathematical treatment, however, is very complicated because of the difficulty in obtaining the accurate geometrical arrangement of benzene ring within the unit cell. In this paper, the benzene rings are assumed to be parallel to the a-c crystal plane and then the generalized orientation factors can be obtained by substituting $\theta = 90^{\circ}$ and $\eta = 0^{\circ}$ into eqs 14 and 16. Thus, we obtain $F_{200} = -1/2, \ F_{202} = 3, \ F_{400} = 3/8, \ F_{402} = -15/2,$ $F_{404} = 105$ and the other factors become zero. By the calculation at X_c (= $\delta \mu^2$) = 1, the ultimate value of Young's modulus for the biaxially stretching film is found to be 4.73 GPa. This value is much lower than the crystal lattice modulus along the chain direction estimated by X-ray diffraction (see Table II) and the theoretical modulus by Tashiro.²⁵ This tendency is due to the fact that Young's modulus of a biaxially stretched film is isotropic around the film normal direction and consequently e compliance relating to sher modulus. In contrast, Young's modulus of a uniaxial film with a perfect molecular orientation depends on the compliance S_{33}^{co} in the chain direction.

The experimental value at 20 °C is less than 4.73 GPa as the ultimate value for PET, when the crystallites are oriented randomly around the film thickness. Furthermore, the Young's modulus at -100 °C is close to the ultimate value. This phenomenon is attributed to the theoretical value, 88.5 Gpa,

by Tashiro²⁴ smaller than the crystal lattice modulus (118 GPa) estimated from the value of ($\overline{105}$) plane measured by X-ray diffraction (see Table II). Anyway, the predicated ultimate Young's modulus indicates the impossibility to produce high-modulus and high-strength PET sheets.

CONCLUSIONS

Young's modulus of poly(ethylene terephthalate) (PET) film was estimated by using the generalized orientation factors of crystallites and amorphous chain segments calculated from the orientation functions of crystallites and amorphous chain segments. The relationship between the elastic compliance of oriented crystallites and the generalized orientation factors for PET with a triclinic crystal unit were much complicated than that for polyethylene with an orthorhombic crystal unit and that for poly(vinyl alcohol) with a monoclinic crystal unit. The analysis of the Young's modulus was carried out on the basis of a two-phase model assuring the homogeneous stress hypothesis for a polycrystalline material. In doing so, a three-dimensional model was proposed, in which the anisotropic crystal phase is surrounded by the anisotropic amorphous phase. This model system satisfies the morphological characteristics of a polymeric system with a low degree of molecular orientation and low crystallinity such as a simultaneous biaxial stretching film. The theoretical values of elastic compliance of crystal unit of PET estimated by Tashiro were adopted to pursue theoretical calculation. The calculated Young's modulus depends on the composite mode but the difference was not considerable. The Young's modulus was in 2.55-2.79 GPa and the values are in good agreement with the experimental results. The ultimate value of Young's modulus was estimated by assuming an ideal simultaneous biaxial stretching film with 100% crystallinity and the perfect orientation of the *c*-axis as well as that of benzene rings parallel to the film surface. Even in such an ideal case, the predicted value was less than 5 GPa. Accordingly, it was demonstrated to be impossible to produce high modulus and high strength PET sheets in terms of theoretical aspects, in spite of industrial production of high modulus and high strength polyethylene fibers and tapes.

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