

Catalytic Cross-Dehydrocoupling Polymerization of Phenylsilane with Water. A New Approach to Poly(phenylsilsesquioxane)

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ABSTRACT: Catalytic cross-dehydrocoupling polymerization of phenylsilane (**1**) with water was investigated. Among transition metal derivatives investigated, Pd₂(dba)₃ is the most active catalyst for the polymerization, which affords a high molecular weight polymer (**P1**) soluble in common organic solvents ($M_w/M_n = 62200/25400$). The polymer can be cast into a film. The polymerization was monitored by SEC and ²⁹Si NMR. It was shown that hydrolysis of SiH and cross-dehydrocoupling reaction between SiH and SiOH are faster compared to dehydration of SiOH in this polymerization, and plausible reaction mechanism was suggested. Chemical structure and thermal property of **P1** was characterized by NMR, IR, and TGA.

KEY WORDS Phenylsilane / Water / Pd Catalyst / Dehydrocoupling / Poly(phenylsilsesquioxane) / Thermal Property /

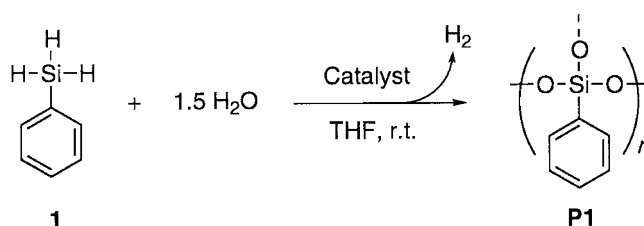
Poly(silsesquioxane), having the empirical formula RSiO_{1.5} (R = Ph, Me, and H etc.), is a kind of interesting silicone polymer. It shows excellent properties, such as high thermal stability, low dielectric constant, oxidative resistance, and has been used widely as silicone resin, electrical insulating, and protective coating materials.¹ Generally, poly(silsesquioxane) is prepared by hydrolysis and condensation of trichlorosilane or trialkoxysilane in the presence of an acid or base as catalyst.^{2,3} The reaction usually gives high molecular weight product, but control of chemical structure of the formed polymer is not easy because of the occurrence of Si–O bond cleavage and recombination processes. Thus, establishment of reliable synthetic method is still required.

Meanwhile, we reported a clean method to obtain siloxane–arylene polymer by catalytic cross-dehydrocoupling polymerization of bis(silane) with water under neutral condition.⁴ Here, we present our results on the application of the catalytic cross-dehydrocoupling reaction of phenylsilane with water in the direction of the synthesis of poly(silsesquioxane) (Scheme 1).

EXPERIMENTAL SECTION

General

NMR (¹H, 500 MHz; ¹³C, 125.5 MHz; ²⁹Si, 99.5 MHz) spectra were obtained in CDCl₃ on a Varian UNITY INOVA. Chemical shifts are reported in ppm relative to internal CHCl₃ (7.26) for ¹H, CDCl₃ (77.00) for ¹³C, and external tetramethylsilane (0.00)



Scheme 1. Poly(phenylsilsesquioxane) from phenylsilane and water.

for ²⁹Si. Size exclusion chromatography (SEC) analyses were performed on a JASCO HPLC with combinations of Shodex KF-801 and KF-802 (exclusion limit $M_n = 5.0 \times 10^3$), and KF-803L and KF-804 (exclusion limit $M_n = 4.0 \times 10^5$) using tetrahydrofuran as an eluent. IR spectra were obtained on a JASCO VALOR-III spectrophotometer. Mass spectra (MS) were taken on Shimadzu QP-5000 mass spectrometer. The thermogravimetric analysis (TGA) was performed on a Seiko SSC/5200H instrument at a heating rate of 5 °C min⁻¹ under air.

Polymerization

A typical polymerization procedure is shown (run 2 in Table I). In a 25 mL Schlenk flask were placed tris(dibenzylideneacetone)dipalladium(0)-chloroform (Pd₂(dba)₃) (0.052 g, 5.0×10^{-2} mmol), phenylsilane (**1**) (1.082 g, 10 mmol), and H₂O (0.270 g, 15 mmol) at room temperature. As THF (2 mL) was added, a violent evolution of hydrogen gas occurred. After 42 h, the reaction was stopped by filtering the catalyst through a short celite column (Et₂O eluent). Re-precipitation of the concentrated polymer solution into the mixture of ethanol/hexane (4/6) gave a white solid

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Table I. Cross-dehydrocoupling polymerization of **1** with water^a

run	catalyst	yield(%)	M_n^b	M_w^b	PD ^b
1 ^c	Pd ₂ (dba) ₃	~100	750	3330	4.44
2		~100 (36)	1750 (25400)	21400 (62200)	12.2 (2.45)
3 ^d		67	860	5510	6.41
4	PdCl ₂	68	1050	2950	2.81
5	10%Pd/C	60	1880	4300	2.29
6	H ₂ PtCl ₆ ·6H ₂ O	99	2300	23100	10.0
7	Pt(PPh ₃) ₄	~100	1180	2810	2.38
8	RhCl(PPh ₃) ₃	~100	940	2430	2.59
9	[RhCl(cod)] ₂	98	1600	14400	9.00

^aReaction conditions: 0.010 mol of phenylsilane (**1**) and 0.015 mol of water in 2 mL THF for 42 h; 0.005 mol of metal mol⁻¹ of silane. ^bEstimated by SEC with polystyrene standards before (after) reprecipitation. ^cFor 2 h. ^dBulk.

P1 (0.460 g, yield 36%). SEC (exclusion limit $M_n = 4.0 \times 10^5$): $M_w/M_n = 62200/25400$. ¹H NMR: δ 2.70–3.60 (br, SiOH), 6.40–7.80 (br, C₆H₅). ¹³C NMR: δ 127.66, 130.32, 134.07 (C₆H₅). ²⁹Si NMR: δ -79.09 (PhSi(O-)₃), -70.45 (PhSi(O-)₂OH), -67.97(PhSi(O-)(OH)₂). IR (KBr, cm⁻¹): 3622, 3369 (ν_{OH}), 3074, 3052, 2245, 1964, 1892, 1826, 1777, 1594, 1491, 1431, 1135–997 (ν_{SiOSi}), 883, 739, 697, 484.

In studying the progress of the polymerization, an aliquot of the sample was pulled out with a syringe for SEC analysis, or the reaction was stopped by filtering off the catalyst after diluting the system with chloroform for ²⁹Si NMR analysis, at 30 sec, 15 min, 3 h, 8 h, 18 h, and 42 h. The initial reaction products at 30 sec were separated by preparative SEC (exclusion limit $M_n = 5.0 \times 10^3$) from the reaction mixture after terminating the reaction with trimethylchlorosilane in pyridine.

RESULTS AND DISCUSSION

Some transition metal derivatives were investigated as catalyst, and the results are summarized in Table I. Pd₂(dba)₃, reported^{4a} as an efficient catalyst for the cross-dehydrocoupling polymerization, gave only low molecular weight oligomers in 2 h { $M_w/M_n = 3330/750$ (run 1)}. After 42 h, the molecular weight of the product increased, but apparent molecular weight distribution became very broad with roughly bimodal distribution {Figure 1f, $M_w/M_n = 21400/1750$ (run 2)}. The lower molecular weight fraction could be removed by reprecipitation into ethanol/hexane. The insoluble fraction was proved to be a high molecular weight polymer (Figure 1g, $M_w/M_n = 62200/25400$). When the polymerization was carried out in bulk, a small portion of the product became insoluble, but soluble fraction was still oligomeric products { $M_w/M_n = 5510/860$ (run 3)}. PdCl₂ or palladium on charcoal (10% Pd/C) catalyzed polymerization also yielded low

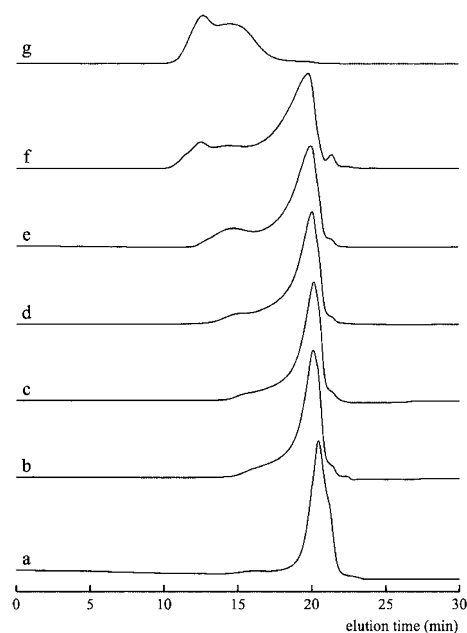


Figure 1. SEC of the polymerization products (run 2): (a) 30 sec, (b) 15 min, (c) 3 h, (d) 8 h, (e) 18 h, (f) 42 h, and (g) after reprecipitation into EtOH/Hexane.

molecular weight products { $M_w/M_n = 2950/1050$ (run 4), $M_w/M_n = 4300/1880$ (run 5)}. H₂PtCl₆·6H₂O was an efficient catalyst to give a high molecular weight product, but the catalyst might be acting as an acid { $M_w/M_n = 23100/2300$ (run 6)}. Pt(PPh₃)₄ yielded only low molecular weight oligomer { $M_w/M_n = 2810/1180$ (run 7)}. RhCl(PPh₃)₃ was not a suitable catalyst for the polymerization { $M_w/M_n = 2430/940$ (run 8)}. [RhCl(cod)]₂ was a relatively efficient catalyst, but gave a polymer with wide molecular weight distribution { $M_w/M_n = 14400/1600$ (run 9)}. Thus, among the transition metal derivatives investigated, Pd₂(dba)₃ is the most active catalyst for the dehydrocoupling polymerization of **1** with water.

¹H NMR spectrum of polymer **P1** showed two broad signals at around 2.70–3.60, and 6.40–7.80 ppm, which are ascribed to hydroxyl and phenyl proton. In the polymer obtained from **1** with deuterium oxide (D₂O),

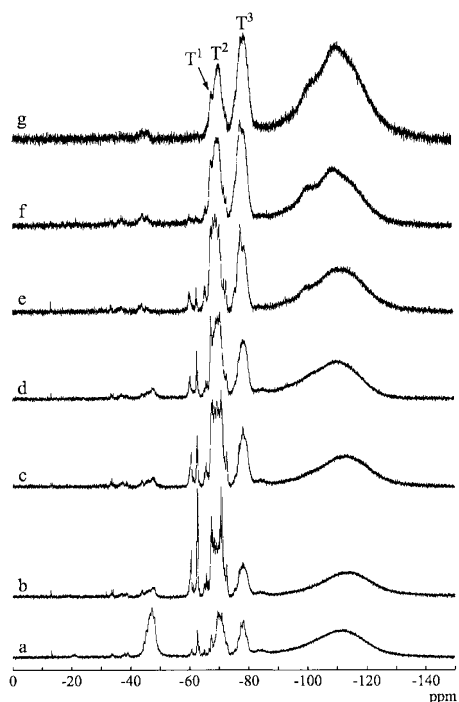


Figure 2. ^{29}Si NMR of the polymerization products: (a) 30 sec, (b) 15 min, (c) 3 h, (d) 8 h, (e) 18 h, (f) 42 h, and (g) after reprecipitation into EtOH/Hexane.

the signal at around 2.70–3.60 ppm disappeared. ^{13}C NMR spectrum showed three signals of phenyl carbons at 127.66, 130.32, and 134.07 ppm. ^{29}Si NMR spectrum exhibited main signals at -79.09 , -70.45 , and -67.97 ppm, which are assigned to $\text{PhSi}(\text{O}-)_3$ (T^3), $\text{PhSi}(\text{O}-)_2\text{OH}$ (T^2) and $\text{PhSi}(\text{O}-)(\text{OH})_2$ (T^1) structure,⁵ respectively (Figure 2g). The area ratio is roughly 60 : 31 : 9. The fact that the polymer is soluble in common organic solvent strongly suggests that the polymer has only small amounts of cross-linking even with about 60% of T^3 structure.

The progress of the polymerization (run 2) was monitored by SEC (exclusion limit $M_n = 4.0 \times 10^5$) (Figure 1a–f) by pulling out an aliquot of sample from the reaction mixture at 30 sec, 15 min, 3 h, 8 h, 18 h, and 42 h. After 30 sec, the molecular weight of the product is low with narrow molecular weight distribution. After 15 min, a shoulder peak appeared in higher molecular weight region, and whose molecular weight increased with reaction time. Molecular weight of lower molecular weight fraction did not change so much with reaction time. A small peak at 21.38 min was separated and determined as 1,5-diphenyl-3-pentanone by NMR and MS,⁶ which was produced by the reduction of dibenzylideneacetone (dba) ligand of $\text{Pd}_2(\text{dba})_3$ by liberated hydrogen on Pd.

To obtain the insights of the polymerization at initial stage, the polymerization was stopped after 30 sec by capping the products with Me_3SiCl . The SEC (ex-

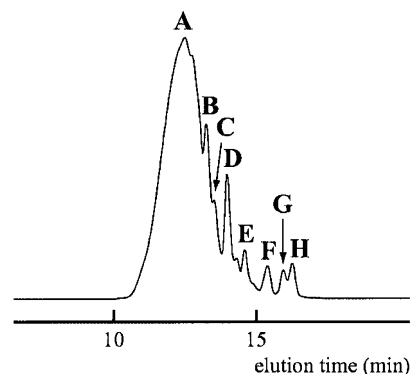


Figure 3. SEC of the trimethylsilylated products of the reaction at 30 sec.

Table II. The compounds of fractions⁶

A	not determined
B	$\{(\text{Me}_3\text{SiO})_2\text{PhSi}\}_2\text{O}$, $\{(\text{Me}_3\text{SiO})\text{PhSiO}\}_3$
C	$(\text{Me}_3\text{SiO})_2\text{PhSiOSiPhH}(\text{OSiMe}_3)$
D	$\text{PhSi}(\text{Me}_3\text{SiO})_3$
E	$\text{PhSiH}(\text{Me}_3\text{SiO})_2$
F	stabilizer of THF
G	$(\text{PhCH}_2\text{CH}_2)_2\text{C}=\text{O}$
H	not determined

clusion limit $M_n = 5.0 \times 10^3$) of the product mixture exhibited several peaks (Figure 3). Some of these peaks were separated and characterized, using model compound as reference, if necessary, and the results are summarized in Table II. The fractions **D** and **E** are assigned as $\text{PhSi}(\text{Me}_3\text{SiO})_3$ and $\text{PhSiH}(\text{Me}_3\text{SiO})_2$ by ^1H , ^{13}C , ^{29}Si NMR, and MS.⁶ The fraction **C** is $(\text{Me}_3\text{SiO})_2\text{PhSiOSiPhH}(\text{OSiMe}_3)$. Fraction **B** is a mixture of two compounds, which were separated by recycling SEC. One is $\{(\text{Me}_3\text{SiO})_2\text{PhSi}\}_2\text{O}$, and the other is a cyclotrisiloxane $\{(\text{Me}_3\text{SiO})\text{PhSiO}\}_3$.

Compounds **D** and **E** should be formed from phenylsilanetriol $\{\text{PhSi}(\text{OH})_3\}$ (**4**) and phenylsilanediol $\{\text{PhSiH}(\text{OH})_2\}$ (**3**). The amount of **D** detected is more than that of **E**, namely **4** was present more than **3** in the reaction system. It should be stressed that no phenylsilane (PhSiH_3) (**1**), nor phenylsilanol (PhSiH_2OH) (**2**) was detected in the reaction system. This fact suggests that hydrolysis of SiH function with water by Pd catalyst is fast in the very early stage of the reaction, and that not only the first but also the second silane functions are more reactive than the third silane function toward hydrolysis. This also indicates that **1**, **2**, and **3** have been consumed faster than **4** in the successive reactions in the polymerization. Cross-dehydrocoupling reaction between SiH of **1–3** and SiOH functions of **2–4** seems responsible for the faster consumption of SiH functions. Dehydration reaction of **4** is a relatively slower process compared with the reactions which consume SiH function as re-

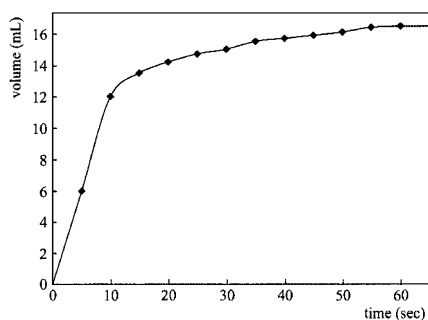


Figure 4. Evolution of hydrogen gas in polymerization.

ported in synthesis of siloxane-arylene polymer from bis(silane) with water.^{4a} This inference is in accordance with hydrogen evolution from the system as shown in Figure 4 under the similar condition with the polymer synthesis in small scale,⁷ where a violent evolution of hydrogen corresponding to about 70% of calculated amounts occurred in the initial 10 sec, and almost quantitative amount of hydrogen was generated {16.5 mL (the calculated amount, 16.8 mL)} after 60 sec. Thus, cross-dehydrocoupling of two molecules of **3**, or between **2** and **4** is considered to be the major path to give compound **C** as the trimethylsilylated product. Compound $\{(\text{Me}_3\text{SiO})_2\text{PhSi}\}_2\text{O}$ in **B** seems to be formed *via* cross-dehydrocoupling of **3** with **4**. Cyclotrisiloxanes $\{(\text{Me}_3\text{SiO})\text{PhSiO}\}_3$ should be produced as the trimethylsilylated cyclized products *via* intramolecular dehydrocoupling reaction from the linear trimers, such as $\text{PhH}(\text{OH})\text{SiOSi}(\text{Ph})(\text{OH})\text{OSiPh}(\text{OH})_2$, which, in turn, formed by successive dehydrocoupling between SiH and SiOH functions of **1**–**4**, typically from the condensation of three molecules of **3**. The major fraction **A** was a mixture of several compounds. Cyclotrisiloxanes and cyclotetrasiloxanes seem to be contained, although their NMR spectra are too complicated to allow a determination of their chemical structure. The fraction **G** was 1,5-diphenyl-3-pentanone as mentioned above.

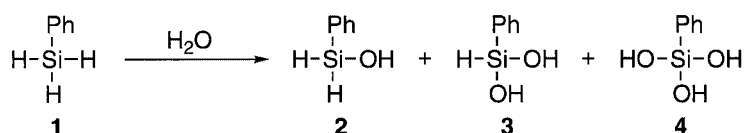
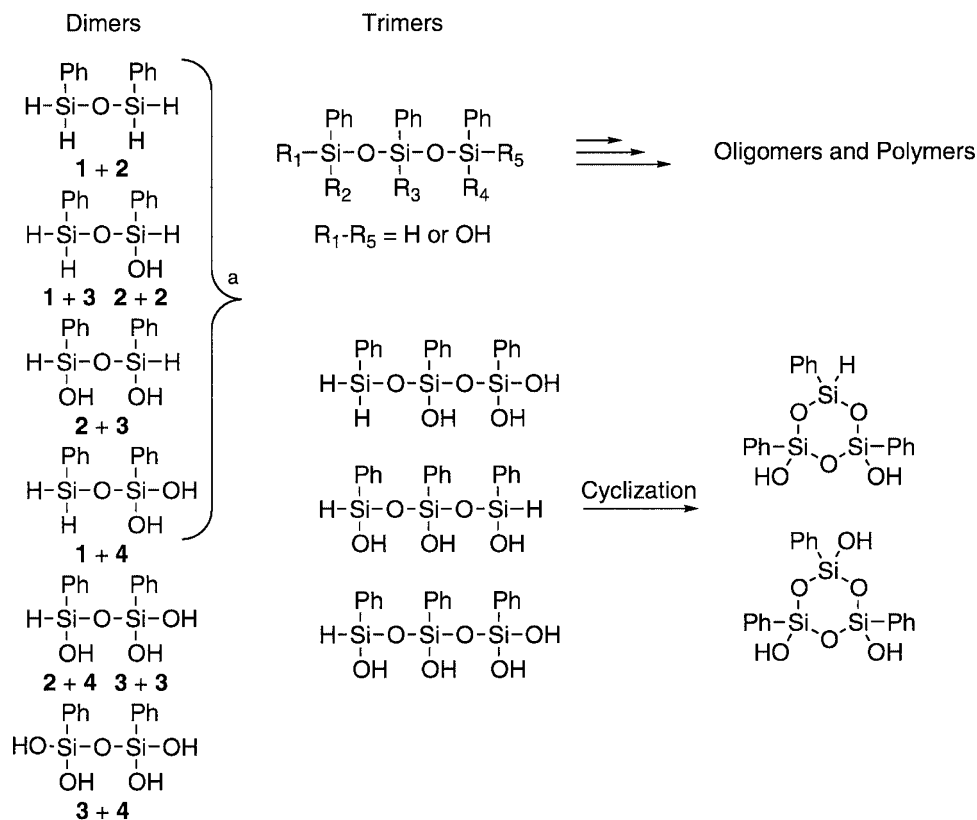
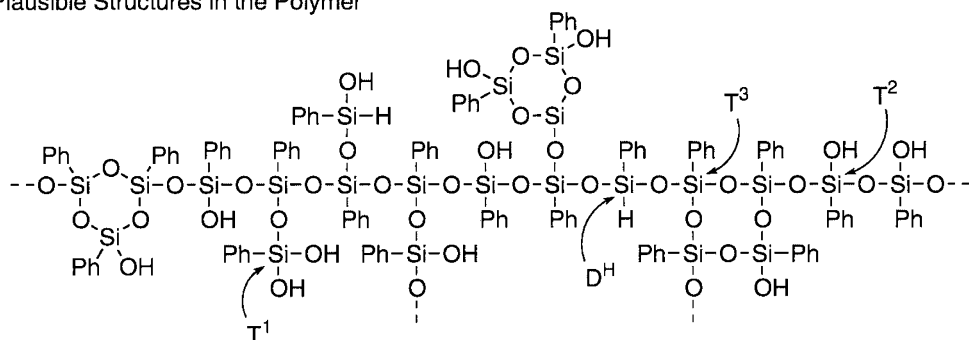
²⁹Si NMR analysis was carried out to detect the progress of the polymerization (Figure 2a–f). In ²⁹Si NMR after 15 min, basically five kinds of signals were observed at around –78.4, –70.5, –67.4; –65.9, –62.8; –60.7, and –47.5 ppm. The signal at –78.4 ppm is assigned to T³, and that at –70.5 to T². The signals at –67.4; –65.9 ppm are assigned mainly as T¹ (may include the signal of SiOEt, since it was later found that the stabilizer ethanol in chloroform is reacting with the SiH function when the reaction system was diluted), respectively. Sharp signals at –62.8; –60.7 ppm are reasonably considered to originate from T² of low molecular weight products, for example, cyclic structure like cyclotrisiloxane. The multiplicity of the signals is considered to reflect stereochemical structures of the cyclic

compounds. The signal at –47.5 ppm might be assigned to $\text{PhSi}(\text{O}-)_2\text{H}$ (D^H), by referring the chemical shift of $(\text{Me}_3\text{SiO})_2\text{PhSiH}$ (–49.38 ppm) as in the case of the signal at 30 sec. The peak in this area did not change with reaction time, especially after 3 h. Accordingly, the peak at around –47.5 ppm after 3 h should not be assigned to D^H. The origin of the peak is unclear at present. The broad signal at 90–130 ppm arose from NMR glass tube.

In the signal after 30 sec, the major peaks are observed at –78.5, –70.2, and –47.4 ppm. It should be stressed that in the very early stage of the polymerization, the species present in the system are, T³, T², and D^H and only small amounts of T¹ present. After 15 min, the signal of D^H almost disappeared, and instead, the signals of T² and T¹ (–70.5, –62.8; –60.7 and –67.4; –65.9 ppm) and T³ (–78.4 ppm) became stronger. The signals at –62.8; –60.7 and –67.4; –65.9 ppm assignable to T² and T¹ decreased gradually with reaction time after taking maximum, and became weak after 42 h. Especially the change of T² assignable to low molecular weight products is notable. The signal of T² at –70.5 ppm became stronger and broader with reaction time, and that of T³ also became stronger. After 42 h, the peak of T³ became the strongest. The major structure of polymer is consisted of T² and T³ structure.

The results of SEC and ²⁹Si NMR indicated that the basic reactions occurring in this polymerization are (1) fast hydrolysis of SiH functions of **1** with water, and (2) cross-dehydrocoupling reaction between SiH and SiOH, followed by (3) slow condensation of SiOH functions. Intramolecular cyclization between SiH and SiOH functions are also occurring in the early stage of the polymerization. The reactions to give oligomers might be depicted as Scheme 2.

The solubility in organic solvent and the ratio of T¹, T², and T³ strongly suggest non cross-linked structure of the polymer having cyclic moiety as shown in Scheme 2. Judging from the structures of initial oligomers, it will be reasonable to assume that the principal chemical structure of the polymer is poly{oxy(phenylhydroxysilylene)} with branched SiH(OH), Si(O–)OH or Si(OH)₂ functions. In the early stage of the polymerization, when higher concentration of SiH functions present compared to later stage of the polymerization, branching and cyclization should occur more frequently. Cyclization of D^H functions in the branches, or reaction of $\{\text{Ph}(\text{OH})\text{SiO}\}_2(\text{PhHSiO})$ with T¹ or T² functions of the main chain or branches is quite plausible to reduce the amounts of D^H, T¹ or T² relatively to T³ structure, and result in 2 : 3 T² and T³ ratio in the polymer. Cross-linking through the condensation

Hydrolysis of **1**Cross-dehydrocoupling of **1**, **2**, **3**, and **4**Plausible Structures in the Polymer^b

Scheme 2. Plausible polymerization mechanism. ^aNot isolated. ^bConcentration of SiH is very low.

of SiOH groups of T² units seems quite slow.

Polymer can be cast into a transparent rather fragile film. When cast on polyethylene, the film can be removed. Contrary, the film cast on glass sticks the substrate very strongly, and could not be removed. This polymer may find an application as a coating material.

Thermal stability of polymer **P1** was studied by thermo-gravimetric analysis (TGA) in air, and the result is shown in Figure 5. The mass was lost gradu-

ally (8.5%) from room temperature through at around 500 °C, presumably because of elimination of water by condensation of SiOH of T² structure. In IR spectrum of the residue at 500 °C, the absorption of OH (3369 cm⁻¹) was disappeared. The weight loss was observed at around 510 °C, which was caused by the removal of phenyl group. The residual weight of 46.7% is close to the expected value, 46.5%, calculated based on the assumption that all the Si is oxidized to SiO₂.

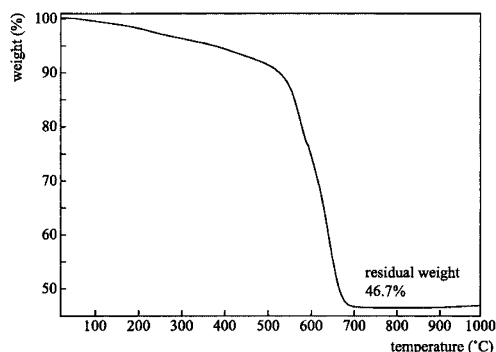


Figure 5. TGA of polymer P1.

CONCLUSION

The cross-dehydrocoupling polymerization of **1** with water in the presence of Pd, Pt, and Rh derivatives was investigated. It was found that Pd₂(dba)₃ is an efficient catalyst for this polymerization, which affords a high molecular weight poly(phenylsilsesquioxane) ($M_w/M_n = 62200/25400$ by SEC). Hydrolysis of SiH and cross-dehydrocoupling reaction between SiH and SiOH are very fast and principal reactions to give polymers. In early stage of the polymerization, not only linear but also cyclic oligomers are formed. Polymer seems to have branched structure, especially in the early stage of the polymerization. Condensation of SiOH is quite slow and soluble polymer in common organic solvents are produced. When heated, dehydration of SiOH groups occurs to give cross-linked insoluble polymer.

This study affords a novel route to access poly(silsesquioxane)s, and appropriate selection of reaction condition will give a better controlled structure of the polymer.

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6. Selected spectroscopic data: PhSiH (Me₃SiO)₂: ¹H NMR (300 MHz, CDCl₃): δ 0.14 (s, 18 H, SiCH₃), 4.97 (s, 1 H, SiH), 7.34–7.46 (m, 3 H, Ph), 7.53–7.60 (m, 2 H, Ph). ¹³C NMR (125.5 MHz, CDCl₃): δ 1.72, 127.76, 130.00, 133.10, 137.02. ²⁹Si NMR (95.5 MHz, CDCl₃): δ -49.38, 10.77. MS (EI, *m/z*): 283 ([M-H]⁺), 269 ([M-Me]⁺). PhSi(Me₃SiO)₃: ¹H NMR (300 MHz, CDCl₃): δ 0.11 (s, 27 H, SiCH₃), 7.29–7.41 (m, 3 H, Ph), 7.52–7.58 (m, 2 H, Ph). ¹³C NMR (125.5 MHz, CDCl₃): δ 1.60, 127.60, 129.58, 133.93, 135.64. ²⁹Si NMR (95.5 MHz, CDCl₃): δ -77.81, 8.83. MS (EI, *m/z*): 357 ([M-Me]⁺). (Me₃SiO)₂PhSiOSiPh(OSiMe₃): ¹H NMR (300 MHz, CDCl₃): δ 0.072, 0.079, 0.087 (3 s, 27 H, SiCH₃), 5.05 (s, 1 H, SiH), 7.28–7.45 (m, 6 H, Ph), 7.54–7.60 (m, 4 H, Ph). ¹³C NMR (125.5 MHz, CDCl₃): δ 1.66, 127.54, 127.69, 129.68, 130.07, 133.27, 133.89, 134.60, 136.40. ²⁹Si NMR (95.5 MHz, CDCl₃): δ -78.42, -49.87, 9.52, 11.11. MS (EI, *m/z*): 494 ([M]⁺). {(Me₃SiO)₂PhSi}₂O: ¹H NMR (300 MHz, CDCl₃): δ 0.07 (s, 36 H, SiCH₃), 7.28–7.45 (m, 6 H, Ph), 7.53–7.59 (m, 4 H, Ph). ¹³C NMR (125.5 MHz, CDCl₃): δ 1.67, 127.40, 129.51, 133.98, 134.90. ²⁹Si NMR (95.5 MHz, CDCl₃): δ -79.79, 9.08. MS (EI, *m/z*): 567 ([M-Me]⁺). {(Me₃SiO)PhSiO}₃: ¹H NMR (300 MHz, CDCl₃): δ -0.034, 0.084, 0.203 (3 s, 27 H, SiCH₃), 7.18–7.50 (m, 9 H, Ph), 7.58–7.64 (m, 4 H, Ph), 7.74–7.79 (m, 2 H, Ph). ¹³C NMR (125.5 MHz, CDCl₃): δ 1.38, 1.55, 1.73, 127.58, 127.62, 127.66, 130.12, 130.21, 130.32, 132.44, 132.58, 132.75, 133.92, 134.03, 134.09. ²⁹Si NMR (95.5 MHz, CDCl₃): δ -70.85, -70.56, -70.45, 11.26, 11.33, 11.46. MS (EI, *m/z*): 615 ([M-Me]⁺). (PhCH₂CH₂)₂C = O: ¹H NMR (300 MHz, CDCl₃): δ 2.71 (t, 4 H, PhCH₂CH₂, *J* = 7.8 Hz), 2.89 (t, 4 H, PhCH₂, *J* = 8.1 Hz), 7.14–7.22 (m, 6 H, Ph), 7.24–7.31 (m, 4 H, Ph). ¹³C NMR (125.5 MHz, CDCl₃): δ 29.71, 44.50, 126.10, 128.29, 128.48, 140.99, 209.11. MS (EI, *m/z*): 238 ([M]⁺).
7. Reaction condition: 0.250 mmol of **1**, 0.375 mmol of water, and 0.001 mmol of Pd₂(dba)₃ in 0.05 mL THF.