

Transition from Cellulose I Family to Cellulose II Family

Masae TAKAHASHI and Haruko TAKENAKA

*Faculty of Home Economics, Japan Women's University,
2-8-1 Mejirodai, Bunkyo-ku, Tokyo 112, Japan*

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ABSTRACT: To make clear the mechanism of the transition from Cell (cellulose) I to Cell II, the changes of the crystal structure and the chain conformation during the mercerization reaction process are studied under various conditions by means of wide angle X-ray diffractograms and CP/MAS ^{13}C NMR spectra. It was observed that Cell I and Cell II show the different ^{13}C NMR spectra resulting from the different chain conformations. In Na-cells treated with three conditions of fixing the fibers, CP/MAS ^{13}C NMR spectra show different patterns which result from two types of the chain conformations, that is Na-cell of Cell I type and that of Cell II type. By regeneration, the Na-cell of Cell II type conformation transforms to Cell II regardless of the temperature of washing water. On the other hand, the Na-cell of Cell I type conformation mostly transforms to Cell I by washing with water at higher temperature. From these results, it seems reasonable to conclude that the transition from Cell I to Cell II is caused by the change of the chain conformation, as proposed by Hayashi.

KEY WORDS Cellulose / Transition / CP/MAS ^{13}C NMR / Mercerization / Chain Conformation / Chain Packing /

It is recognized that cellulose I (Cell I) family can be transformed into cellulose II (Cell II), but that the reverse is impossible. Such an irreversible phenomenon has been explained by mainly two different proposals.¹⁻⁵ One is the proposal by Hayashi *et al.*,^{1,2} which claims that the types of chain conformation in Cell I family and in Cell II family are different and the conformation of Cell II type is more stable than that of Cell I type. The other proposal by Sarko and Blackwell *et al.*³⁻⁵ is that Cell I and Cell II have parallel and antiparallel chain packings, respectively, and that antiparallel chain packing is the lowest energy form. However, it seems unclear which proposal is more plausible.

Cell II does not exist naturally, but can be obtained from the native material by mercerization which involves swelling treatment with sodium hydroxide. Therefore, the study of the mercerization process is important to make

clear the mechanism of the transition from Cell I to Cell II. In this paper, the changes of crystal structure and the chain conformation during the mercerization are investigated under various conditions by means of wide angle X-ray diffractograms and ^{13}C NMR solid-state spectra, and the transition mechanism is discussed.

EXPERIMENTAL

The starting material of Cell I was ramie, of which crystallinity measured by X-ray method was about 90%. The sodium cellulose I (Na-Cell I) was prepared from ramie by treatment with 15% aqueous NaOH solution⁶ at 20°C for 1 hour, 1 day, and 1 week under the following three conditions of fixing fibers: the fibers were wound tightly around a glass plate to keep fixed length (A), the fibers were wound loosely around a glass plate to allow shrinkage by about 30% (B) and the fibers were allowed

to shrink freely (C). After air-dry treatment for 24 hours at about 20°C under these conditions, the sodium celluloses were removed from a glass plate.

In the case of X-ray diffraction measurements, Cell I, Cell II, sodium celluloses (Na-cells) and regenerated celluloses in the dry state were wound around stainless steel plates to make their fiber axis parallel to the specimen holder surface. The equatorial diffractograms of these specimen were examined with an X-ray diffractometer. The X-ray source was the nickel-filtered $\text{Cu-K}\alpha$ radiation from a generator operating at 30 kV and 15 mA. A divergence slit of 1° was used and a slit of 0.15 mm for receiving diffracted rays. The contents of Cell I and Cell II in the regenerated celluloses were calculated by the method of Rånby.⁷

To obtain quantitative informations on the chain conformation,⁸ cross polarization/magic angle spinning (CP/MAS) ^{13}C NMR spectra were recorded on JEOL JNM FX-200 and GX-270 spectrometers equipped with a

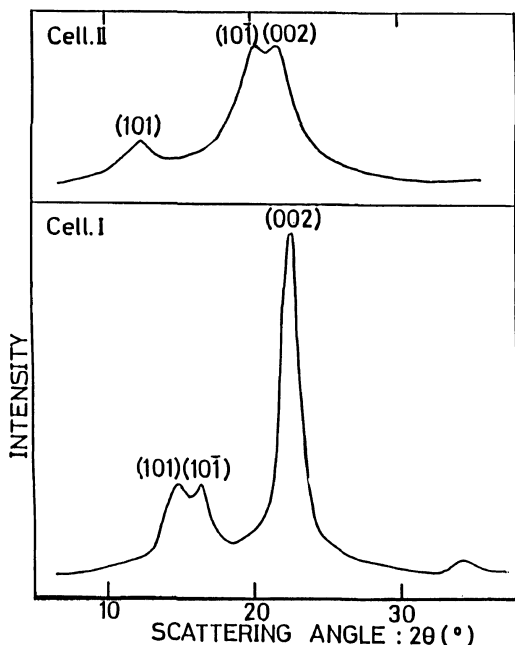


Figure 1. Equatorial X-ray diffractograms of ramie (Cell I) and viscous rayon (Cell II).

CP/MAS unit operating at 50.2 and 67.8 MHz for ^{13}C , respectively. Chemical shifts δ relative to tetramethylsilane were determined using a narrow crystalline resonance line at 29.5 ppm for adamantan. The specimens wound into ball, were packed closely in a rotor.

RESULTS AND DISCUSSION

Figure 1 shows the typical equatorial X-ray diffractograms of Cell I and Cell II. Figure 2 shows the X-ray diffractograms of Na-Cell prepared under (A), (B), and (C) conditions. These diffractograms are different from Cell I and Cell II shown in Figure 1, and are divided into (A), (B), and (C) groups depending on the condition of the fixing fibers.

Figure 3 shows X-ray diffractograms of

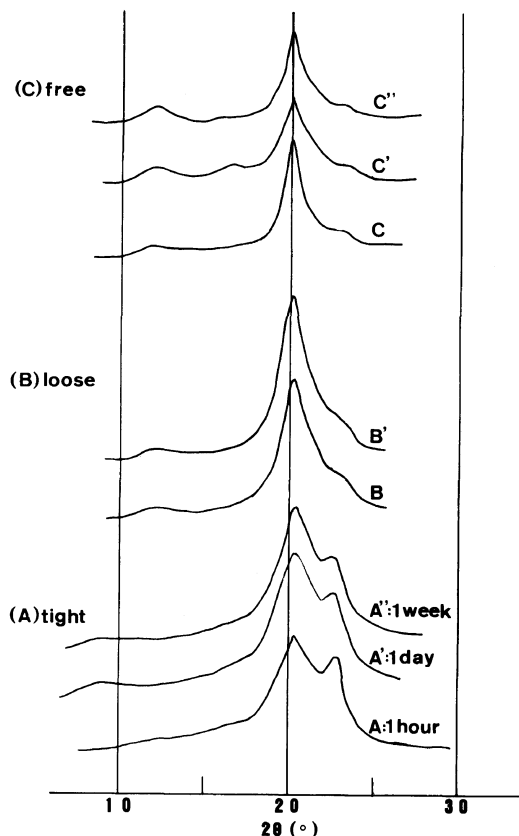


Figure 2. Equatorial X-ray diffractograms of Na-cells prepared under (A), (B), and (C) conditions.

Transition from Cell I to Cell II Family

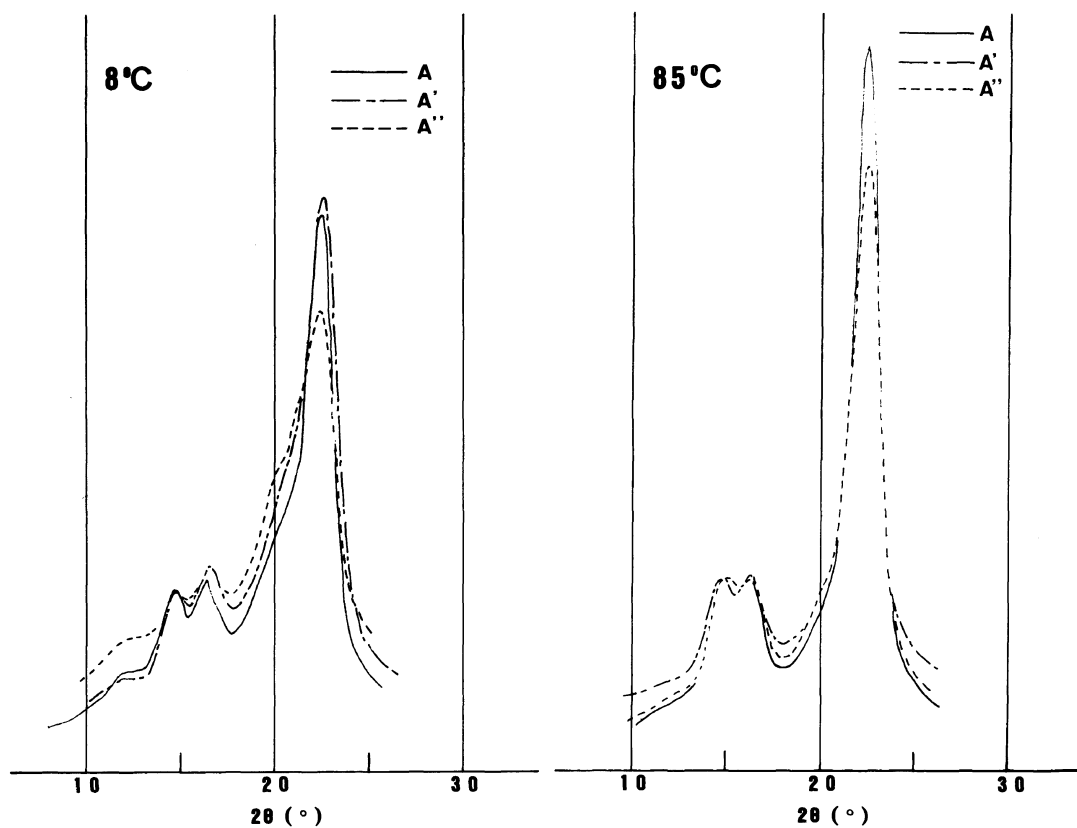


Figure 3. X-Ray diffractograms of cellulose regenerated from (A) group (A, A', and A'') with water at 8°C and 85°C.

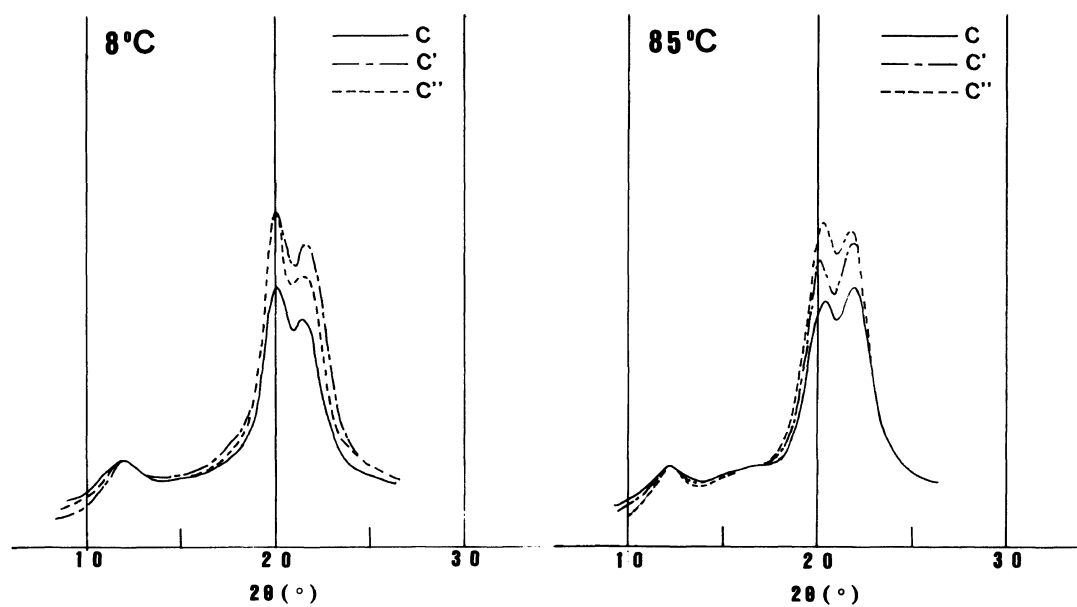


Figure 4. X-Ray diffractograms of cellulose regenerated from (C) group (C, C', and C'') with water at 8°C and 85°C.

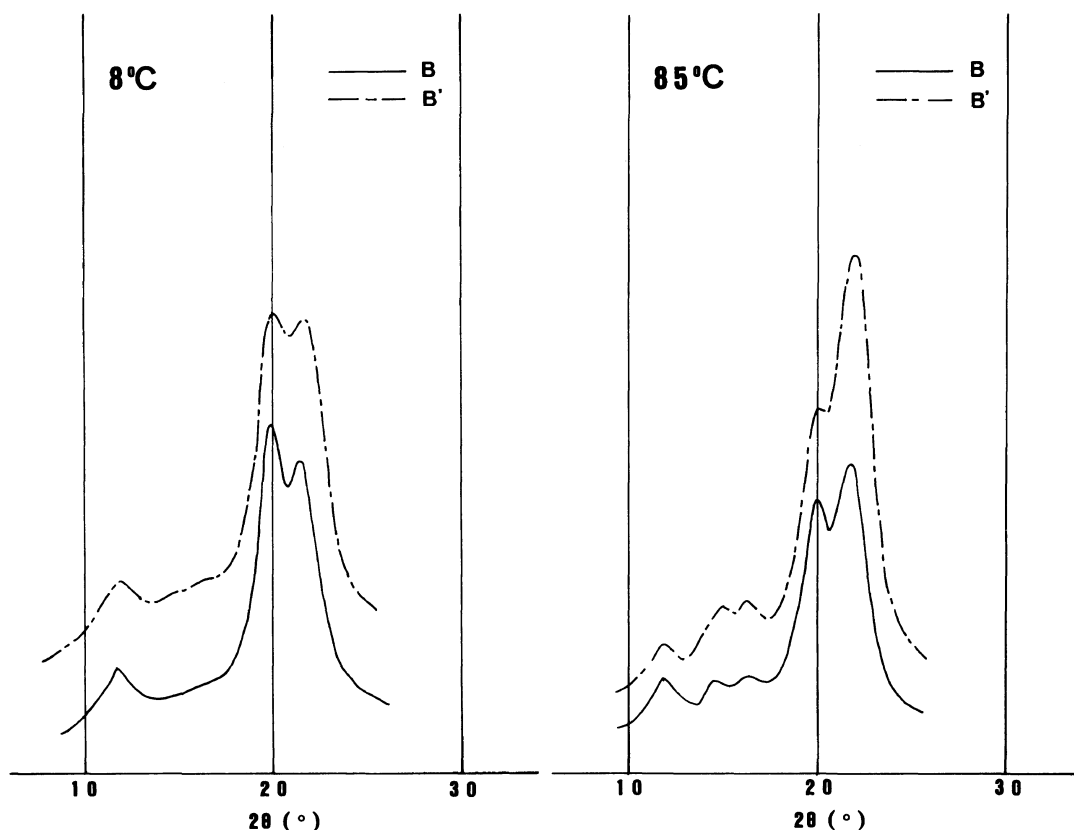


Figure 5. X-Ray diffractograms of cellulose regenerated from (B) group (B and B') with water at 8°C and 85°C.

cellulose which was regenerated from (A) group (A, A', and A'') of the Na-cell with water at 8 and 85°C for 15 min and was dried for 24 hours at about 20°C under a condition of not fixing fibers. In this case, each cellulose which was regenerated with water at 85°C returns to Cell I. On the other hand, with water at 8°C, the content of Cell II in the regenerated celluloses increases with the time of mercerization. It is considered that Cell II in the regenerated celluloses from (A) group was prepared from the crystalline modification of the Cell I type under harder swelling conditions by cold water. The results agree with those for Na-cell by Hayashi.⁹

The results obtained from (C) group (C, C', and C'') in a similar way are shown in Figure 4. Each regenerated cellulose is completely

transformed into Cell II regardless of the temperature of washing water. So, it is suggested that the change of the chain conformation or the chain packing from the Cell I type to the Cell II type may result in the ramie mercerized with 15% NaOH for only 1 hour in (C) condition.

Figure 5 shows the results obtained from (B) group (B and B') in a similar way, of which X-ray diffractograms are almost similar to those of (C) group. The content of Cell II is about 100% at 8°C and about 30–60% at 85°C for B and B'. These results suggest that the chain conformation or the chain packing has changed from the mixture of the Cell I and Cell II types to the Cell II type in Na-cell prepared from (B) group.¹⁰

In order to know more clearly the chain

Transition from Cell I to Cell II Family

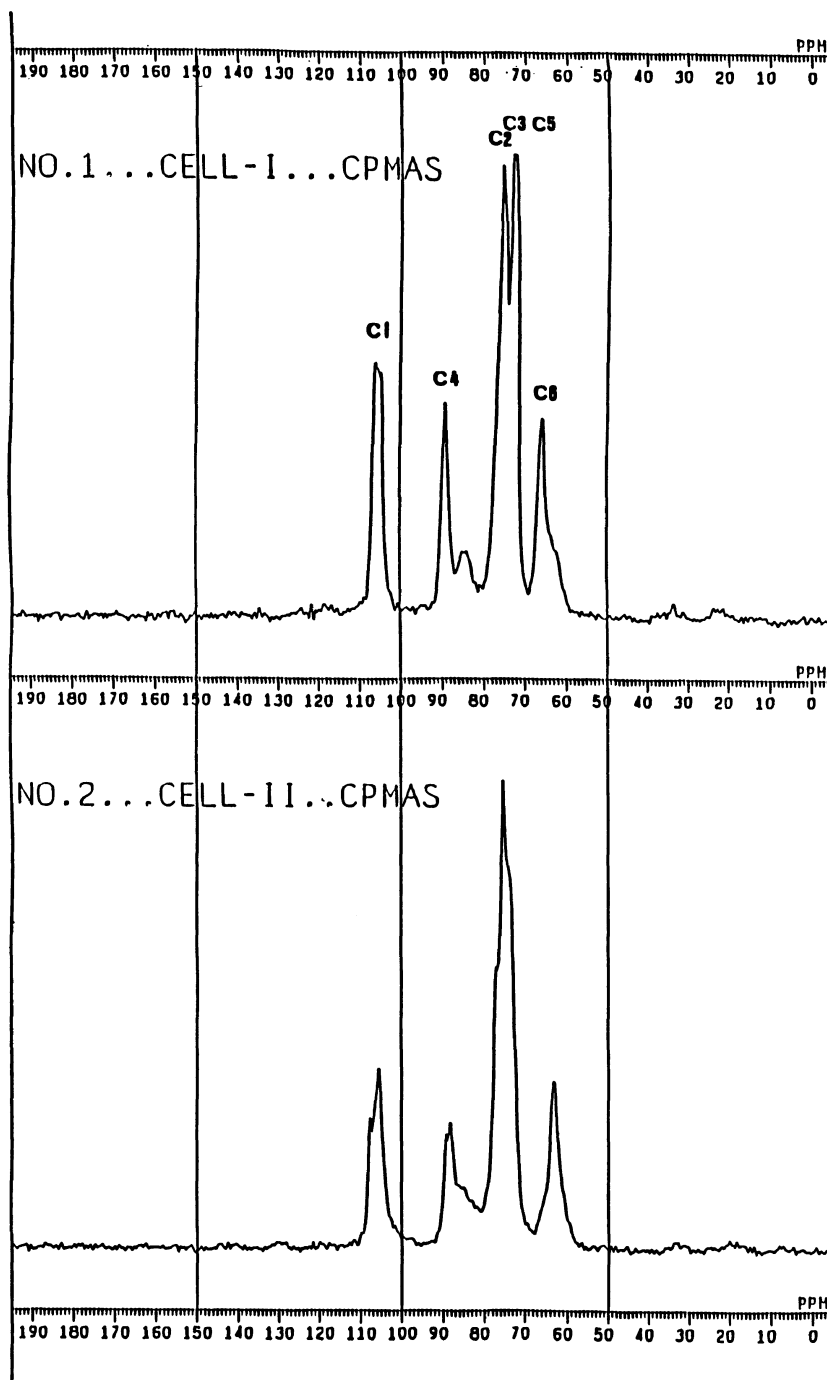
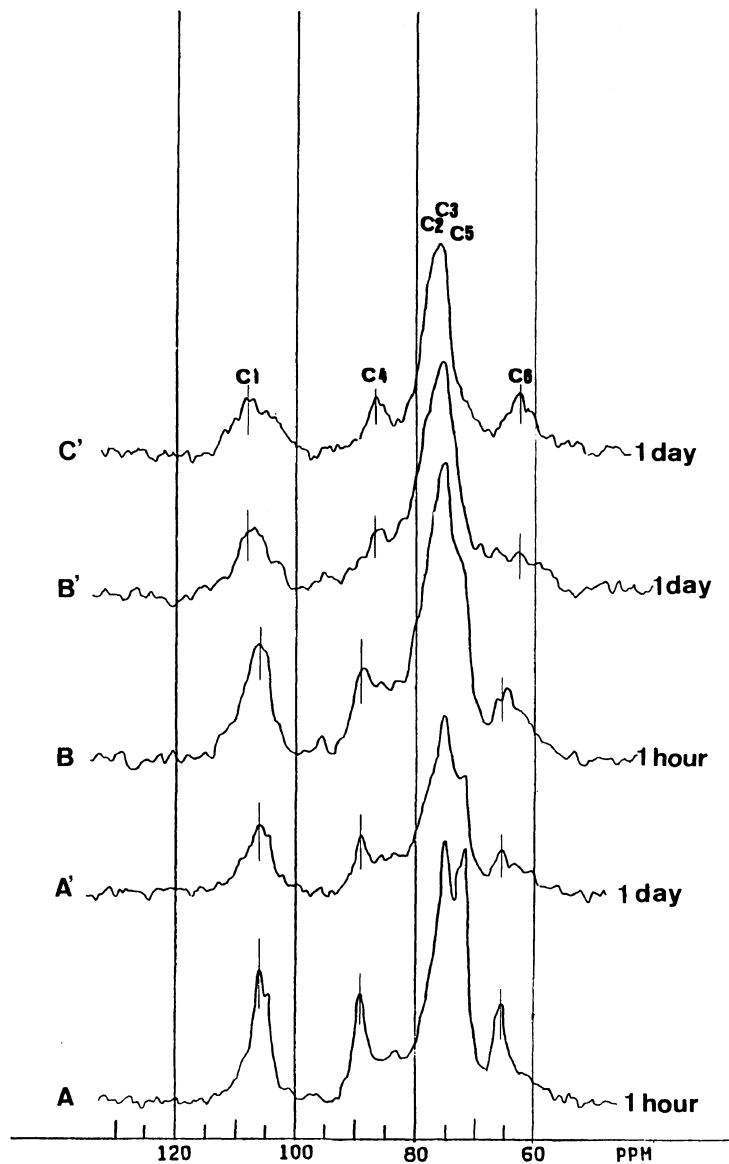


Figure 6. 67.8 MHz CP/MAS ^{13}C NMR spectra of ramie in Cell I and mercerized ramie in Cell II.

Table I. ^{13}C chemical shifts of ramie in Cell I and mercerized ramie in Cell II in the solid state

	δ/ppm			
	C_1	C_4	C_6	$\text{C}_2, \text{C}_3, \text{C}_5$
Cell I	105.9, 104.7	89.1	65.6	75.3, 72.7, 72.0
Cell II	107.5, 105.5	89.0, 88.1	63.1	76.9, 75.2, 73.7

**Figure 7.** 50.2 MHz CP/MAS ^{13}C NMR spectra of various Na-cell samples (A, A', B, B', and C').

conformation of various Na-cells (A, A', B, B', and C'), CP/MAS ^{13}C NMR spectra were investigated. Figure 6 shows the CP/MAS ^{13}C NMR spectra of ramie in Cell I and mercerized ramie in Cell II. The signals in the spectral regions of 60–68, 70–78, 86–91, and 103–109 ppm belong to those of C_6 , $\text{C}_2\text{--C}_3\text{--C}_5$, C_4 , and C_1 carbons, respectively.⁸ The chemical shifts of their respective carbons are shown in Table I. It is evident that the C_1 resonance line, and C_4 and C_6 resonance lines in Cell II result in down and up fields, respectively, as compared with those in Cell I. For comparison, C_1 , C_4 , and C_6 resonance lines of each Na-cell are shown in Figure 7. The vertical lines marked in the spectrum of specimens A, A', and B indicate the position of the C_1 , C_4 , and C_6 lines of Cell I shown in Table I and the lines in specimens B' and C' indicate those of Cell II. The positions of respective peaks in A and A' coincide with Cell I lines, so A and A' are considered to have the Na-cell of the Cell I type conformation. Similarly, C' is considered to have the Na-cell of Cell II type conformation. On the other hand, for B and B', it is concluded that these specimens are not composed of complete Na-cells of Cell II type conformation but mixtures of the Na-cell of Cell I type and that of Cell II type conformations.

Since the families of Cell I type and Cell II type in Na-cells have different chain conformations, it may be reasonable to conclude that the transition from Cell I to Cell II is caused by the change of the chain conformation, as proposed by Hayashi.

CONCLUSION

In mercerization of ramie under various conditions of fixing fibers, the contents of Cell I and Cell II are estimated from X-ray diffractograms, and the chain conformation is

studied by analysis of ^{13}C NMR spectra, to make clear the transition mechanism from Cell I to Cell II.

It is observed that Cell I and Cell II show different ^{13}C NMR spectra resulting from different chain conformations. In Na-cells treated under three conditions of fixing fibers, CP/MAS ^{13}C NMR spectra show different patterns resulting from two types of the chain conformations, that is, the Na-cell of Cell I type and that of Cell II type conformations. By regeneration, the Na-cell of Cell II type conformation transforms to Cell II regardless of the temperature of washing water. On the other hand, the Na-cell of Cell I type conformation mostly transforms to Cell I by washing with water at higher temperature.

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