Synthesis and Application of Polymerizable Silicone Oligomers from Water Glass

Yoshiki CHUJO, Toshiaki SHISHINO, and Yuya YAMASHITA

*Department of Synthetic Chemistry, Faculty of Engineering, Nagoya University,
Furo-cho, Chikusa-ku, Nagoya 464, Japan*

(Received February 3, 1984)

ABSTRACT: Polymerizable methacryloyl- or epoxy-end silicone oligomers were prepared from water glass by two methods. i) Silicic acid, which was prepared by acidification of aqueous water glass, was end-capped with methyldichloro(3-methacryloyloxypropyl)silane and trimethylchlorosilane. ii) Silicic acid was allowed to react with dimethylchlorosilane and trimethylchlorosilane and then subjected to hydrosilylation with allyl methacrylate or allyl glycidyl ether. The methacryloyl-end silicone oligomers were copolymerized with methyl methacrylate to produce soluble and film-forming copolymers. Addition of only 1 wt% of the copolymers to PMMA showed high water-repellency on the air side of the film. The methacryloyl-end silicone oligomers were also subjected to coating on various test panels followed by curing with UV or electron beam irradiation.

KEY WORDS Silicone Oligomers / Water Glass / Hydrosilylation / Water-Repellency / Coatings /

Recently considerable interest has been shown in the development of functional organic materials. We have synthesized tailor-made graft copolymers by the macromonomer method for the purpose of functionalization of polymers.¹ Since Lentz reported² the trimethylsilylation of water glass to prepare functional organic materials from mineral resources, the synthesis of soluble silicone oligomers have been actively investigated³ and reviewed by Currell.⁴ Recently, Inoue *et al.* reported the preparation and copolymerization of carbon-functional silylated silicic acid by the silylation of water glass.⁵

Polysiloxane- or silicone-containing copolymers are of interest for a number of reasons including a wide temperature range over which they retain elasticity,⁶ their thermal, UV and oxidative stabilities, low surface energies, bio-compatibility⁷ and high oxygen permeabilities.⁸

Here, we report the synthesis of polymerizable silicone oligomers by the novel hydro-

silylation of allyl methacrylate or allyl glycidyl ether with silicone oligomers having Si-H bonds at terminal positions and also by the end-capping method. Furthermore, for application of the polymerizable silicone oligomers, we have examined the graft copolymerization with methyl methacrylate and cross-linking reactions to give hard coats.

RESULTS AND DISCUSSION

The preparation of polymerizable silicone oligomers was carried out according to Scheme 1.

Method I

After acidification of aqueous water glass, silicic acid was extracted with THF in the presence of sodium chloride. The introduction of polymerizable end groups was carried out by the reaction with dichloromethyl(3-methacryloyloxypropyl)silane (**1**). **1** was prepared by hydrosilylation of allyl methacrylate

Method I

Method II

Scheme 1.

with dichloromethylsilane catalyzed by Pt/C in the presence of hydroquinone as the inhibitor (eq 1) and identified by IR and ^1H NMR spectroscopies (see the experimental part).

The extracted silicic acid was treated with

trimethylchlorosilane and **1** in THF at room temperature and then at the reflux temperature to produce polymerizable silicone oligomers (**2a**). The IR spectrum of **2a** shows a characteristic band at 3400 cm^{-1} due to the re-

Table I. Changes in the molecular weight and number of methacryloyl-end groups before and after the second treatment of **2a** with trimethylchlorosilane

No. ^a	Before (2a)				After (2b)			
	GPC ^b		VPO ^c M_n	End ^d group	GPC ^b		VPO ^c M_n	End ^d group
	M_n	M_w/M_n			M_n	M_w/M_n		
2	1970	1.2	2760	3.9	2190	1.3	3040	3.3
5	2420	1.4	2780	1.5	2650	1.4	2960	1.1

^a Sample numbers correspond to those in Table II.

^b Measured in THF using a calibration curve with polystyrene.

^c Measured in benzene.

^d Determined in THF by UV spectroscopy.

Figure 1. ^1H NMR spectra of A, **1**; B, silicone oligomers (**2b**); and C, copolymer (**6**).

maintaining silanol group. This band disappeared by the treatment of **2a** with trimethylchlorosilane once more. As shown in Table I, the molecular weight and number of the end groups were little changed between before (**2a**) and after (**2b**) the second treatment with trimethylchlorosilane. The structure of **2b** was confirmed by IR and ^1H NMR spectroscopies. The IR spectrum of **2b** shows absorptions at 1720 cm^{-1} ($\nu_{\text{C=O}}$), 1600 cm^{-1} ($\nu_{\text{C=C}}$),

1420 cm^{-1} ($\delta_{\text{C=C}}$), 1250 cm^{-1} ($\delta_{\text{Si-C}}$), and 1090 cm^{-1} ($\nu_{\text{Si-O-Si}}$). The presence of the end methacryloyl group was also supported from the ^1H NMR spectrum as shown in Figure 1. Elemental analysis showed that **2b** contained no chlorine. This indicates that the Si-Cl bond in **2b** was hydrolyzed to the Si-OH or Si-O-Si group. **2b** was soluble in THF, benzene and chloroform. Relatively linear structure of **2b** is supported by the

Table II. Preparation of methacryloyl-end silicone oligomers (**2b**) by method I

No.	WG ^a	Time ^b	End-cap ^c			Reflux Yield ^e		GPC ^h		VPO ⁱ	End ^j
	g (mmol)	h	I ^d /WG	TMCS ^e /WG	Add. ^f	h	g	M _n	M _w /M _n	M _n	group
1	0.83 (2.4)	0.5	3.5	3.2	A	2	0.39	1550	1.6	2060	4.8
2	5.51 (16)	3	0.16	3.1	A	4	2.33	2190	1.3	3040	3.3
3	5.54 (16)	3	0.16	3.1	B	4	2.58	2260	1.2	2100	0.27
4	5.59 (16)	6	0.16	3.2	B	4	2.29	3360	1.6		0.27
5	5.54 (16)	3	0.19	3.1	C	6	2.51	2650	1.4	2960	1.1
6	5.85 (17)	6	0.19	3.0	C	4	3.14	3220	1.4		2.5
7	11.14 (32)	4	0.19	3.1	C	4	6.72	2770	1.4		1.1
8	11.33 (33)	6	0.19	3.0	C	4	6.59	2730	1.3		1.2

^a Water glass (JIS 1st class, Na₂O·2.11SiO₂).^b Acidolysis was carried out with 0.64 mol l⁻¹ H₂SO₄ aq (30 ml) at room temperature.^c Molar ratios.^d End-cap agent. Dimethylchloro(3-methacryloyloxypropyl)silane.^e Trimethylchlorosilane.^f Order of the addition. A, i) **1** ii) TMCS; B, i) TMCS ii) **1**; C, at the same time.^g After reprecipitation from THF into methanol-water (4:1) and washing.^h Measured in THF using a calibration curve with polystyrene.ⁱ Measured in benzene.^j Determined by UV spectroscopy in THF.

solubility in common organic solvents and also by the preliminary result of ²⁹Si NMR spectroscopy. The results of the preparation of **2b** are summarized in Table II. The molecular weight of **2b** was dependent on the stirring time after acidification of water glass as evident from the results of No. 3 and No. 4 in Table II. However, as shown in Figure 2, a long stirring time caused a wide and bimodal distribution of the molecular weight. The number of end methacryloyl groups was dependent on the order of addition of **1** and trimethylchlorosilane. Simultaneous addition of **1** and trimethylchlorosilane produced silicone oligomers with about one end-functional group per molecule. This silicone oligomers can be used as macro-monomers.

Method II

As shown in Scheme 1, polymerizable silicone oligomers were also prepared by method II. Silicic acid obtained after acidification of water glass was allowed to react with trimethylchlorosilane and dimethylchlorosilane.

Figure 2. GPC traces of silicone oligomers (**2b**). —, (No. 3); ----, (No. 4).

The silicone oligomers (**3**) shows a characteristic stretching band at 2130 cm⁻¹ due to the Si-H bond in its IR spectrum. The results of the formation of **3** are summarized in Table III. The number of Si-H groups determined by titration is close to the calculated value from the IR spectrum.

3 was treated with allyl methacrylate at 160°C in the presence of Pt/C and hydroquinone to give **5a** after precipitation in MeOH-H₂O (4:1). The IR spectrum of **5a** shows characteristic bands owing to the methacryloyl group but no Si-H absorption.

Table III. Preparation of silicone oligomers with Si-H bonds (**3**)

No.	WG ^a	Time ^b	Molar ratio		Yield ^c	VPO ^f	Si-H	
	g	h	DMCS ^c /WG	TMCS ^d /WG	g	M_n	IR ^g	Tit. ^h
1	5.56	6	1.3	2.3	2.93	4600	3.4	3.4
2	5.54	6	2.0	2.3	2.59	4200	1.8	2.6
3	5.56	6	1.9	2.3	3.00	4200	3.7	3.8
4	5.54	6	2.0	2.3	2.55	5200	3.0	3.1

^a Water glass (JIS 1st class, Na₂O·2.11SiO₂).^b Acidolysis was carried out with 0.64 mol l⁻¹ H₂SO₄ aq (30 ml) at room temperature.^c Dimethylchlorosilane.^d Trimethylchlorosilane.^e After reprecipitation from THF into methanol-water (4:1) and washing.^f Measured in benzene.^g The number of Si-H groups per molecule was determined by IR spectroscopy using a calibration curve with tetramethyldisiloxane.^h Determined by titration with mercuric acetate in methanol.**Table IV.** Preparation of silicone oligomers (**5a** and **5b**) by method II

No.	4	3		Temp	Yield ^c	VPO ^d	End group
	g	g	M_n ^a	Si-H ^b	°C	g	
1	a 2.14	2.00	4200	2.6	60	1.21	0.31 ^e
2	a 4.99	2.00	5200	3.1	160	1.01	1.7 ^e
3	b 0.59	2.00	4200	3.8	80	1.43	0 ^f
4	b 1.66	2.00	4600	3.4	160	1.39	3.3 ^f

^a Determined by VPO in benzene.^b Determined by titration with mercuric acetate in methanol.^c After reprecipitation from THF into methanol-water (4:1) and washing.^d Measured in benzene.^e Determined by UV spectroscopy.^f Determined by ¹H NMR spectroscopy.

Similarly, the reaction of **3** with allyl glycidyl ether at 160°C produced epoxy-end silicone oligomers (**5b**). The structure of **5b** was confirmed by IR and ¹H NMR spectroscopies. Because epoxy groups are sensitive to Si-Cl bonds, the introduction of epoxy-end groups can be carried out only by method II. The results of the formation of silicone oligomers (**5a** and **5b**) are summarized in Table IV. As can be seen in Table IV, the introduction of the end group was ineffective at 60–80°C (No. 1 and No. 3). In these cases, the absorptions due to Si-H bonds remained in their IR spectra.

Copolymerization with Methyl Methacrylate

The silicone oligomers with one end-functional group can be used as macromonomers to form graft copolymers. Methacryloyl-end silicone oligomers **2b** were copolymerized with methyl methacrylate in the presence of AIBN as the initiator in benzene at 60°C as shown in eq 2. The copolymer was isolated by reprecipitation from benzene into MeOH-diethyl ether (1:1), which dissolves unreacted **2b**. The removal of unreacted **2b** was supported by GPC analysis. The structure of the copolymer (**6**) was confirmed by IR

Figure 3. IR spectra of **1** (A), **2b** (B), and **6** (C).

(Figure 3C) and ^1H NMR (Figure 1C) spectroscopies. **6** was soluble in THF, benzene and gave films by casting a THF solution. The results of the copolymerization are summarized in Table V.

Application of Silicone Oligomers

Water repellency of **6** was evaluated by the measurement of contact angles for water by

the sessile drop method. PMMA films containing various amounts of PMMA-polysiloxane graft copolymer **6** were cast from a THF solution onto clean glass slides. The results are shown in Figure 4. On the air side of the film, the contact angle on the copolymer **6** alone was 100° , which shows high water repellency. As shown in Figure 4, this property is also attained by adding **6** to PMMA. Only 1 wt%

Table V. Copolymerization of methacryloyl-end silicone oligomers (**2b**) with methyl methacrylate^a

No.	Monomer		AIBN ($\times 10^2$)	Time	Copolymer			
	MMA	Silicone Oligomer			Yield ^c	GPC ^d		
	mol l ⁻¹	No. ^b ($\times 10^2$) mol l ⁻¹	mol l ⁻¹	h	%	M_n ($\times 10^{-4}$)	M_w/M_n	
1	5.54	2	3.67	1.35	5	28	11.6	2.6
2	5.61	3	3.73	1.33	2	16	10.5	2.0
3	2.38	5	2.64	2.66	1	5.7	5.5	1.7
4	2.68	4	2.60	2.45	2	6.3	4.8	2.0

^a Reactions were carried out at 60°C in benzene in sealed glass tubes under vacuum.

^b Numbers correspond to those in Table II.

^c After reprecipitation from benzene into methanol-diethyl ether (1:1) and washing.

^d Measured in THF using a calibration curve with polystyrene.

of **6** is sufficient to make PMMA surfaces water repellent. On the other hand, on the glass side, addition of **6** to PMMA shows little change of contact angles compared with PMMA alone. This result may be explained by assuming that the surface active polysiloxane, which shows water repellency, accumulated on the air side. The conditions of this surface accumulation are now under investigation in details.

Furthermore, the methacryloyl-end silicone oligomers with 7.6 end groups were examined as coating materials on various panels. Coated surfaces after irradiation with UV or electron beams showed water-repellency from the measurement of contact angles. Adhesion of surface coatings to the various panel surfaces was evaluated by the cross-

cut test. These results are shown in Table VI. It can be seen from the table that the adhesion was strong in the following panel/curing means combinations: polycarbonate/UV irradiation and aluminum/electron beams. The study on the application of epoxy-end silicone oligomers is now continuing.

EXPERIMENTAL

Materials

Water glass (aqueous sodium silicate solution, JIS first class, $\text{Na}_2\text{O} \cdot 2.11\text{SiO}_2$) was commercially available and used without further purification. Allyl methacrylate, dichloromethylsilane, trimethylchlorosilane, dimethylchlorosilane, allyl glycidyl ether and all solvents were dried and distilled under a

Figure 4. Contact angles for water.

Table VI. Cross-cut test of coating films^a

Curing	Panel			
	Polycarbonate	PMMA	Al	Steel
Electron Beam	○	×	×	×
UV	△	△	○	×

○, not stripped; △, partially stripped; ×, stripped.

nitrogen atmosphere.

Instruments

IR spectra were obtained with a JEOL IRA-1. ¹H NMR spectra were obtained using a JEOL JNM PMX-60 (60 MHz). UV spectra were recorded on a Hitachi 124 double beam spectrophotometer. GPC was taken on a Toyo-Soda HLC-802 UR and calibrated with standard polystyrene samples. Molecular

weights by VPO were determined in benzene using a Hitachi-115 instrument. Contact angles of a water droplet were measured at 20°C by a CA-A type goniometer of Kyowa Kagaku Co., Ltd. Electron beams were irradiated by a NP-ESH 150 (Japan Parkerizing Co., Ltd.).

Synthesis of Methylchloro(3-methacryloyloxypropyl)silane

In a 50 ml flask equipped with a dropping funnel, reflux condenser and thermometer, allyl methacrylate (2.39 g, 18.9 mmol), platinum-carbon (5×10^{-4} g, 2.6×10^{-4} mol%) and a small amount of hydroquinone as the inhibitor were heated at 40°C for 30 min under a nitrogen atmosphere. Dichloromethylsilane was added from a dropping funnel and then the reaction mixture was heated at 60°C for 4 h. Distillation (bp^{0.25} 61–63°C) gave methylchloro(3-methacryloyloxypro-

pyl)silane (**1**) as a colorless liquid. Yield was 2.19 g (48%). IR (neat): 1705 ($\nu_{\text{C=O}}$), 1640 ($\nu_{\text{C=C}}$), 1420 and 900 cm^{-1} ($\delta_{=\text{CH}_2}$). ^1H NMR (in CCl_4): δ 0.83 (s, 3H, Si-CH_3), 1.27 (t, 2H, Si-CH_2-), 1.86 (m, 2H, $-\text{CH}_2-$), 2.00 (3H, $\text{CH}_3-\text{C=}$), 4.22 (t, 2H, $-\text{COOCH}_2-$), 5.54 and 6.08 ppm (2H, $\text{CH}_2=$).

Preparation of Polymerizable Silicone Oligomers

Method I. Water glass (5.51 g) in water (20 ml) was added dropwise into aqueous sulfuric acid (0.64 mol l^{-1} , 30 ml) with stirring. After 3 h, THF (50 ml) and NaCl (40 g) were added and silicic acid was separated as a THF solution which was dried over Na_2SO_4 . Silicic acid and a small amount of hydroquinone in THF were placed in a flask under a nitrogen atmosphere. From a dropping funnel, **1** (0.61 g, 2.5 mmol) was added for 30 min and then the reaction mixture was stirred for 1 h at room temperature. Trimethylchlorosilane (5.32 g, 49 mmol) was added and the mixture was stirred for 1 h at room temperature and then refluxed for 4 h. Silicone oligomers (**2a**) were isolated by precipitation in $\text{MeOH-H}_2\text{O}$ (4:1) and washing by water. Furthermore, this white solid of silicone oligomers was allowed to react with trimethylchlorosilane (3.35 g, 31 mmol) at room temperature for 1 h and then under reflux for 4 h. Methacryloyl-end silicone oligomers (**2b**) were purified by reprecipitation using a mixed solvent described above. Yield was 2.17 g.

Method II. Silicic acid was prepared by the same procedure as method I starting from 2.80 g (8.07 mmol) of water glass. Into silicic acid in THF, dimethylchlorosilane (3.19 g, 33.7 mmol) was added from a dropping funnel for 30 min in nitrogen. The mixture was stirred for 1 h at room temperature and trimethylchlorosilane (2.33 g, 20.5 mmol) in THF (20 ml) was then added. The resulting reaction mixture was stirred for 1 h at room temperature and refluxed for 3 h. Precipitation in $\text{MeOH-H}_2\text{O}$ (4:1) produced a white solid of **3**.

In a flask equipped with a dropping funnel, thermometer and reflux condenser, allyl methacrylate (6.78×10^{-2} g, 0.54 mmol), platinum-carbon (5×10^{-4} g), a small amount of hydroquinone as the inhibitor and toluene (1 ml) were heated at 40°C for 30 min under a nitrogen atmosphere. Silicone oligomers (**3**) in toluene were added dropwise and the mixture was heated at 160°C for 4 h. The catalyst was removed by filtration and methacryloyl-end silicone oligomers (**5a**) were isolated by precipitation in $\text{MeOH-H}_2\text{O}$ (4:1). Yield was 0.25 g.

Allyl glycidyl ether was also used instead of allyl methacrylate in a similar procedure.

Copolymerization with MMA

The copolymerization of methacryloyl-end silicone oligomers (**2b**) and methyl methacrylate was carried out in benzene at 60°C in the presence of AIBN in a sealed glass tube. The copolymer was isolated as a white solid by precipitation in MeOH -diethyl ether (1:1) and purified by reprecipitation.

Application of Silicone Oligomers

Poly(methyl methacrylate) films containing various amounts of the copolymer (0–5 w/w%) were prepared by casting 2 w/v% THF solution on clean glass slides, evaporating the solvent overnight and drying *in vacuo*. Contact angles of water on the air- and glass-sides of the films were measured at 20°C .

The test panels of glass or poly(ethylene terephthalate) were coated with a THF solution of methacryloyl-end silicone oligomers and irradiated with UV or electron beams. The cross cut test was carried out as follows. The coating films on the test panels was cut into 25 squares of 1×1 cm by a knife. An adhesive tape was applied on the films and then torn off. The remaining coating films on the panels were examined.

REFERENCES

1. K. Ito, N. Usami, and Y. Yamashita, *Macro-*

- molecules*, **13**, 216 (1980); Y. Yamashita, *J. Appl. Polym. Sci., Appl. Polym. Symp.*, **36**, 193 (1981); Y. Yamashita, Y. Tsukahara, K. Ito, K. Okada, and Y. Tajima, *Polym. Bull.*, **5**, 335 (1981); Y. Yamashita, Y. Chujo, H. Kobayashi, and Y. Kawakami, *Polym. Bull.*, **5**, 361 (1981); Y. Kawakami, Y. Miki, T. Tsuda, R. A. N. Murthy, and Y. Yamashita, *Polym. J.*, **14**, 913 (1982); Y. Chujo, T. Tatsuda, and Y. Yamashita, *Polym. Bull.*, **8**, 239 (1982).
2. C. W. Lentz, *Inorg. Chem.*, **3**, 574 (1964).
3. S. Kohama, H. Inoue, and T. Yasuda, *J. Polym. Sci., Polym. Chem. Ed.*, **18**, 2357 (1980); Y. Abe, and T. Misono, *J. Polym. Sci., Polym. Lett. Ed.*, **20**, 205 (1982); Y. Abe, and T. Misono, *J. Polym. Sci., Polym. Chem. Ed.*, **21**, 41 (1983); M. Kajiwarra, and N. Yamamoto, *Polym. Commun.*, **24**, 150 (1983); H. Inoue, and S. Kohama, *J. Appl. Polym. Sci.*, **28**, 2499 (1983).
4. B. R. Currell and J. R. Parsonage, *J. Macromol. Sci., Chem.*, **A16**, 141 (1981).
5. H. Inoue and S. Kohama, *J. Polym. Sci., Polym. Chem. Ed.*, **19**, 759 (1981).
6. W. Noll, "Chemistry and Technology of Silicones," Academic Press, New York, N.Y., 1968.
7. R. S. Ward, Jr. and E. Nyilas, "Organometallic Polymers," Academic Press, New York, N.Y., 1978.
8. L. Le duc, L. P. Blanchard, and S. L. Malhotra, *J. Macromol. Sci., Chem.*, **A14**, 389 (1980).