

ORIGINAL ARTICLE

Impaired DICER1 function promotes stemness and metastasis in colon cancer

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Disruption of microRNA (miRNA) expression patterns is now being recognized as a hallmark of human cancer. The causes of these altered profiles are diverse, and, among them, we found the existence of defects in the miRNA processing machinery. However, little is known about how these alterations affect the biology of the underlying tumors. Herein, we show that colorectal cancer cells with an impairment in DICER1, a major miRNA biogenesis gene, undergo enrichment of tumor stemness features and an epithelial-to-mesenchymal transition. These phenotypes are associated with the downregulation of miRNAs, such as miR-34a, miR-126 and those of the miR-200 family, that target critical coding genes in these pathways. Most importantly, DICER1 impairment also induces the acquisition of a greater capacity for tumor initiation and metastasis, two properties associated with cancer stem cells.

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INTRODUCTION

MicroRNAs (miRNAs) belong to a conserved group of small non-coding RNAs (18–24 nucleotides) that are transcribed as long miRNA precursors and processed into mature miRNAs by several enzymatic and biochemical steps that require the activity of DICER1.^{1,2} Sequence-specific interactions of miRNAs with the 3'-untranslated region of target mRNAs inhibit translation or induce degradation of these mRNAs.^{1,2} The fact that one miRNA can control the expression of multiple gene targets makes miRNAs important regulators of physiological and pathological processes, including cancer.^{3,4} In this regard, miRNA signatures relate to the tumoral differentiation stage of the tumor⁵ and are indicative of a patient's clinical outcome.^{3,6} Importantly, an miRNA expression profile of human tumors has emerged that is characterized by a defect in miRNA production and global miRNA downregulation.^{5–7} Mechanisms that explain this miRNA deregulation in cancer include the failure of miRNA post-transcriptional control,⁸ miRNA transcriptional silencing by CpG island promoter hypermethylation^{9,10} oncogene-mediated transcriptional repression¹¹ and mutational impairment of the miRNA processing machinery.^{12,13}

The role of DICER1 in tumorigenesis is attracting increasing interest in the field. Although full deletion of Dicer has deleterious effects on tumor growth in some cancer mouse models,¹⁴ it is currently believed that Dicer may be a haploinsufficient tumor suppressor that facilitates mouse and human cancer development.^{12,14,15} In this context, the hemizygous loss of Dicer has been observed in various tumor types,¹⁴ and low levels of Dicer expression are known to predict poor survival of patients with chronic lymphocytic leukemia, breast, lung, ovarian, nasopharyngeal, colorectal and bladder cancer.^{16–23} Furthermore, low levels of Dicer expression occur in colorectal cancer liver metastasis²⁴ and are associated with an enhanced epithelial-to-mesenchymal transition (EMT) and metastasis in breast cancer cells.^{25,26}

Loss of Dicer function also severely affects stem cell proliferation and differentiation during development,^{27–29} so one way that DICER1 defects might contribute to human tumors is by paving the way for generating cancer stem cells that are important for sustaining tumor growth.³⁰ Herein, we show that colorectal cancer cells impaired for DICER1 activity develop an enhanced stemness phenotype in association with a higher metastatic potential.

RESULTS

Impaired DICER1 function causes upregulation of colon cancer stem cell markers

To evaluate the effect of an impaired function of DICER1 in the stemness of colorectal tumors, we used human colon cancer cells hypomorphic for the enzyme activity,³¹ because Dicer $-/-$ vertebrate cells are known to be inviable.²⁸ The colorectal cancer cell lines HCT-116, DLD-1 and RKO were disrupted at exon 5 of DICER1, interrupting a well-conserved segment of the N-terminal helicase domain, by using an adeno-associated targeting vector to originate HCT-116-Dicer^{ex5-}, DLD-1-Dicer^{ex5-} and RKO-Dicer^{ex5-} defective cell lines that have a reduced production of mature miRNAs.³¹

We first studied whether the generation of DICER1-impaired cells created any shift in the pattern of expression of colorectal cancer stem cell markers such as CD133, CD166 and CD44.^{32,33} Quantification by flow cytometry of the percentage of cells expressing each marker showed that if there were no substantial differences in CD133 and CD166 between parental and hypomorphic cells (data not shown), Dicer^{ex5-} colon cells become enriched in a population with a high level of CD44 expression (Figure 1a). Immunofluorescence (Figure 1b), western blot (Figure 1c) and quantitative reverse transcriptase PCR (qRT-PCR) (Figure 1d) confirmed the overexpression of CD44 in HCT-116-Dicer^{ex5-}, DLD-1-Dicer^{ex5-} and

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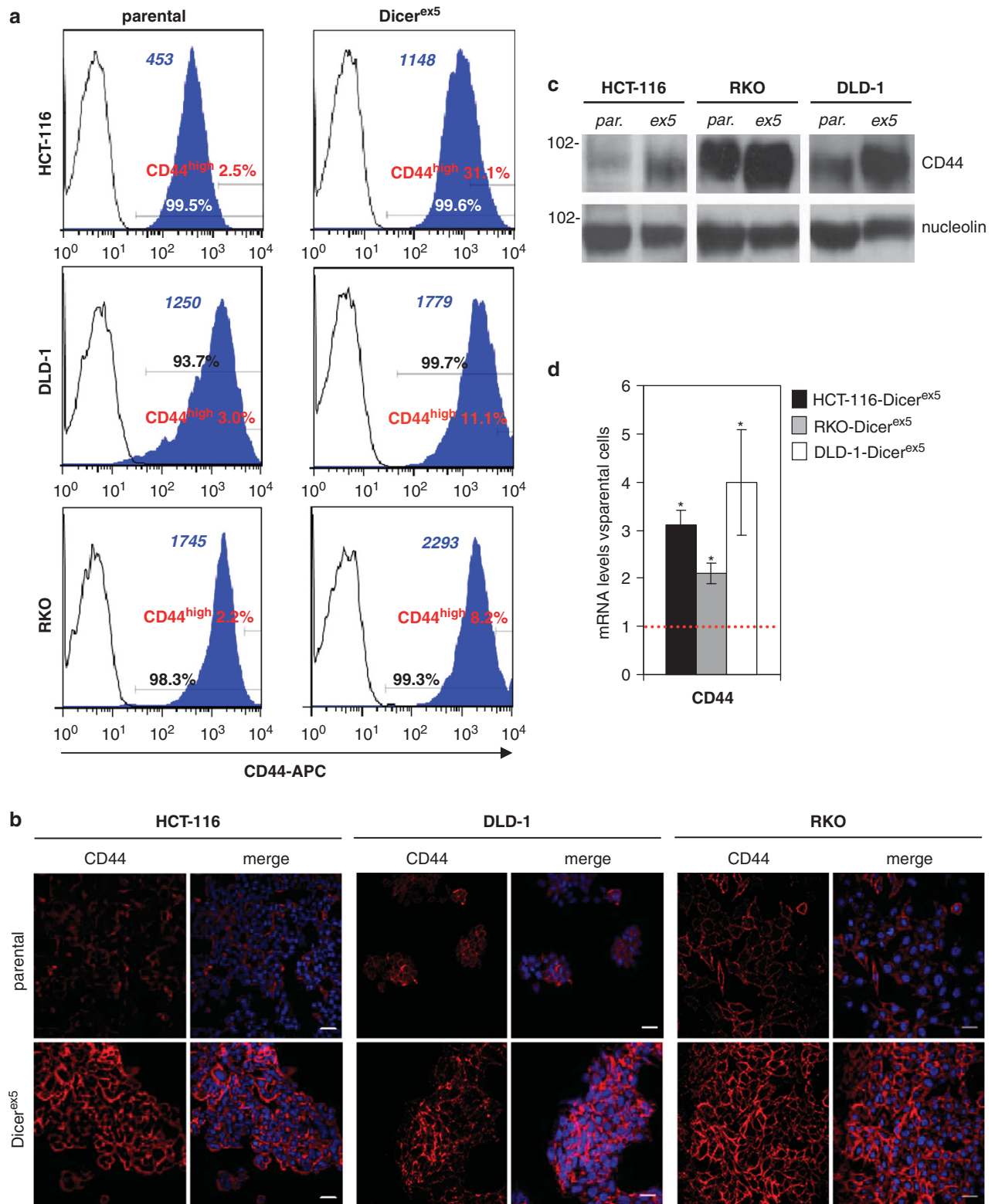


Figure 1. CD44 expression is induced in colon cancer cells with impaired Dicer function. **(a)** Representative results of CD44⁺ cell quantification by flow cytometry in parental and Dicer^{ex5} cells. Percentage of CD44⁺ cells and CD44^{high} cells are indicated in each panel. Blue numbers indicate the mean intensity of fluorescence of CD44⁺ cells, as quantified by FlowJo software. **(b)** Representative immunofluorescence images of CD44-expressing cells in parental and Dicer^{ex5} cells. Nuclei were stained with DAPI. Scale bars, 30 μ m. **(c)** Levels of CD44 protein was analyzed by western blot in whole-cell protein extracts of parental and Dicer^{ex5} cells. Nucleolin expression was used as loading control. **(d)** Results (mean \pm s.e.) of the CD44 mRNA quantification in parental and Dicer^{ex5} cells. Results were calculated as mRNA levels in Dicer^{ex5} cells relative to their respective parental cells. * indicates significant differences in CD44 expression between parental and Dicer mutant cells (*t*-test; *P* < 0.05).

RKO-Dicer^{ex5} cells relative to the parental lines. For the DICER1-impaired HCT-116 cells, which showed the greatest increase in the number of cells with enhanced CD44 expression, we also observed increased expression of standard stem cell markers, such as Sox2, Sox9 and Nanog (Supplementary Figure S1), and the well-characterized colorectal cancer stem cell marker Lgr5 (Supplementary Figure S1).^{34–36}

DICER1 defects are linked to the generation of subpopulations of colorectal cancer cells with enhanced stem cell features

We next investigated the molecular features of the population of Dicer^{ex5} cells with the highest CD44 expression identified by flow cytometry (Figure 1a). Although most (98.1%) of the parental HCT-116 cells expressed high levels of the epithelial marker EpCAM (Figure 2a),³⁷ we identified in HCT-116-Dicer^{ex5} cells two subpopulations: CD44^{high}/EpCAM^{low} and CD44^{high}/EpCAM^{high} cells (Figure 2b).

To further characterize these subpopulations of CD44^{high} cells, which only appeared with DICER1 impairment, we isolated these fractions by sorter-fluorescence-activated cell sorting (FACS) and compared them with the CD44^{low}/EpCAM^{high} cells. All subpopulations of HCT-116-Dicer^{ex5} cells exhibited upregulated CD44 expression compared with that in the parental cells, as quantified by qRT-PCR (1.75 ± 0.1-fold increase in CD44^{low}/EpCAM^{high} cells, 3.61 ± 0.39-fold increase in CD44^{high}/EpCAM^{high} cells and 4.68 ± 0.65-fold increase in CD44^{high}/EpCAM^{low} cells). Furthermore, western blot (Figure 2c) and immunofluorescence assays (Figure 2d) confirmed that CD44^{high}/EpCAM^{low} cells had higher levels of CD44 and lower levels of EpCAM than CD44^{low}/EpCAM^{high} cells. In addition, the expression of Sox2 significantly increased in both populations of CD44^{high} cells, although only the CD44^{high}/EpCAM^{low} cells showed an upregulation in the expression of Lgr5 compared with CD44^{low}/EpCAM^{high} cells (Figure 2e). These results indicate that the CD44^{high} cell subset, which exhibit enhanced stem cell features, is formed by a heterogeneous population of cells, distinguishable by EpCAM and Lgr5 expression.

When CD44^{low}/EpCAM^{high} cells were regrown in culture, they were able to reconstitute the cell heterogeneity of the original HCT-116-Dicer^{ex5} cells (Supplementary Figure S2). In contrast, fewer than 20% of CD44^{low}/EpCAM^{high} cells were recovered from the CD44^{high}/EpCAM^{low} population after 14 days of culture (Supplementary Figure S2). Given that both cell population have the same genetic mutation (Supplementary Figure S2), our data indicate that the two subsets of cells show a degree of plasticity, and CD44^{high}/EpCAM^{low} cells may be dynamically generated from CD44^{low}/EpCAM^{high} cells, rapidly reconstituting HCT-116-Dicer^{ex5} cell heterogeneity.

We also confirmed that the generation of the CD44^{high} cells and, specifically of CD44^{high}/EpCAM^{low} subpopulation, is directly dependent on the impaired function of Dicer by knocking down DICER1 expression using short hairpin RNA (shRNAs) in HCT-116 parental cells (Figure 3). Using four shRNA constructs, we induced a large decrease in DICER1 protein expression (Figure 3a) that was associated with a significant reduction in the expression levels of mature miRNAs (Figure 3b). As we have described above for the genetically impaired Dicer^{ex5} cells, shRNA-mediated depletion of DICER1 induced the upregulation of CD44 expression and downregulation of EpCAM expression in HCT-116 cells (Figures 3c and d). Most importantly, a subpopulation of CD44^{high}/EpCAM^{low} cells emerged specifically within the HCT-116 shRNA-Dicer cells. These were more abundant in cells with weaker Dicer expression (Figure 3c). We were also able to re-introduce the wild-type DICER1 in HCT-116-Dicer^{ex5} cells. We observed that this DICER1 stably transfected clone diminishes the CD44^{high} cell subset and its two subpopulations, CD44^{high}/EpCAM^{low} and CD44^{high}/EpCAM^{high} (Supplementary Figure S3).

Global decrease of miRNA expression in DICER1-impaired cells mediates cancer stemness

Once we had shown that the impaired function of DICER1 enhanced the stem cell features of colon cancer, in particular generating a population of CD44^{high} cells, we sought to identify a mechanism that might explain this phenotype. One possibility is that, within the spectrum of reduced production of mature miRNAs in Dicer^{ex5} cells,³¹ miRNAs targeting key coding genes in stemness features could be critically affected.

In this regard, different miRNAs targeting and downregulating CD44 have been identified in human cells, such as miR-34a,³⁸ miR-199-3p,³⁹ miR-328,⁴⁰ miR-330⁴¹ and miR-520.⁴² Herein, we found that expression levels for the five miRNAs were significantly diminished in HCT-116-Dicer^{ex5}, DLD-1-Dicer^{ex5} and RKO-Dicer^{ex5} in comparison with the corresponding parental cell lines (Figure 4a). Downregulation of most of these miRNAs was also observed in DICER1 shRNA-depleted HCT-116 cells (Figure 3b). Stable transfection of DICER1 in HCT-116-Dicer^{ex5} increased miRNA expression (Supplementary Figure S3). As CD44^{high}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells showed a similar upregulation of CD44 expression, we next compared the levels of this subset of miRNAs between CD44^{high}/EpCAM^{low} and CD44^{low}/EpCAM^{high} cells. Importantly, the studied miRNAs were also significantly decreased in the subpopulation of CD44^{high}/EpCAM^{low} cells compared with the CD44^{low}/EpCAM^{high} set (Figure 4b). These differences were not associated with a higher downregulation of the DICER1 protein in CD44^{high}/EpCAM^{low} cells (Supplementary Figure S4). To show that the observed effects were not restricted to microsatellite unstable colorectal cancer cells such as HCT-116, we depleted DICER1 expression by the shRNA approach in the microsatellite stable SW480 colorectal cancer cell line. Following efficient DICER1 depletion validated by western blot, we have observed that DICER1 shRNA-mediated downregulation is associated with a diminished expression of the studied mature miRNAs and an upregulation of the stem cell markers CD44, Sox 9 and Sox2 (Supplementary Figure S5). Thus, it resembles the data derived from the DICER1 genetic disruption in the microsatellite unstable cells. Therefore, we conclude that DICER1 impairment causes the downregulation of miRNAs that target CD44 expression. This could help explain the emergence of this cancer stem cell marker in the molecular context under consideration here.

The Wnt/β-catenin signaling is another miRNA-targeted pathway that could contribute to the upregulation of CD44 in DICER1 hypomorphic cells. The expression of CD44 is transcriptionally induced by β-catenin/T-cell factor (TCF) in response to the activation of the pathway.⁴³ Although two of the studied colon cancer cell lines, HCT-116 and DLD-1, carry a heterozygous mutation in the *CTNNB1* and *APC* locus, respectively, leading to an activated β-catenin signaling,^{44–46} this pathway can be further upregulated by the alteration of miRNA homeostasis.⁴⁷ In this regard, we found that the frequency of cells with β-catenin labeling within the nucleus increased in Dicer^{ex5} cell lines and HCT-116-Dicer knockdown cells compared with parental and HCT-116-shRNA-control cells, respectively (Figure 4c). To further determine the induction of β-catenin activity upon Dicer impairment, we used the 7TGC lentivirus in which seven binding sites of Tcf/Lef proteins drive the expression of the *EGFP* gene reporter, whereas transduced cells are detected by SV40 promoter-driven mCherry expression (Supplementary Figure S6). We found a significant increase of enhanced green fluorescent protein (EGFP⁺)/mCherry⁺ cells in HCT-116 Dicer mutant cells compared with parental cells, as determined by direct fluorescence detection by microscopy and flow cytometry quantification (Supplementary Figure 6). In contrast to the low percentage of HCT-116 parental cells exhibiting activated β-catenin, the 66.4% of DLD-1 parental cells showed a strong β-catenin activation, and not so significant increase in the percentage of EGFP⁺/mCherry⁺ cells was detected in DLD-1-Dicer^{ex5} cells

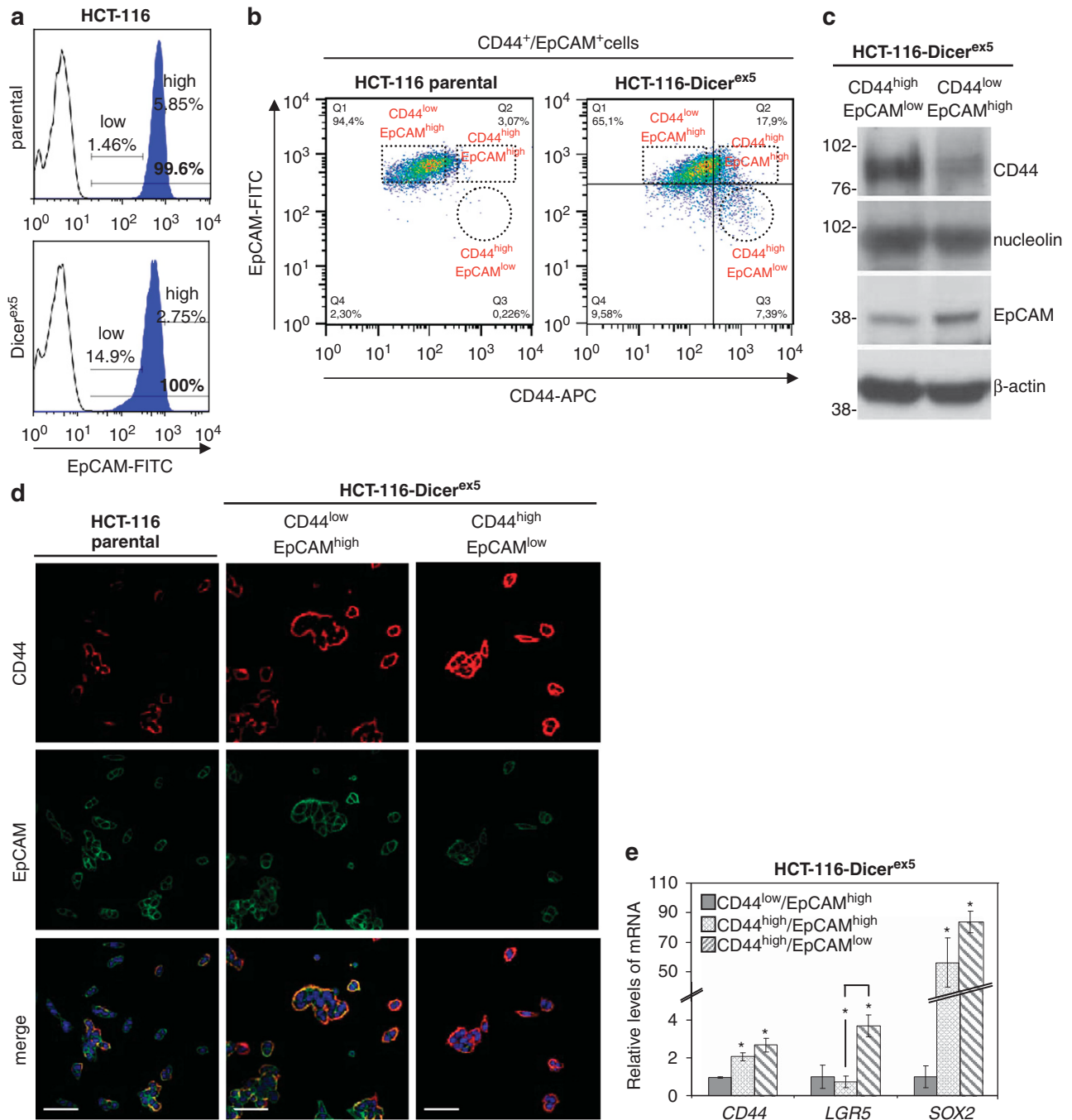


Figure 2. The generation of a population of CD44^{high}/EpCAM^{low} cells with enhanced expression of stem cell markers is linked to impaired Dicer function in HCT-116 cells. **(a)** Quantification of the percentage of EpCAM⁺ cells in HCT-116 parental and Dicer^{ex5} cells by flow cytometry. The percentage of EpCAM⁺, EpCAM^{high} (high) and EpCAM^{low} cells (low) are indicated in each panel. **(b)** Representative results of flow cytometry assays, showing the distribution of the CD44⁺/EpCAM⁺ cells in HCT-116 parental and Dicer^{ex5} cells. Limit lines were adjusted to allow the quantification of the percentage of cells expressing high levels of CD44 and low levels of EpCAM proteins. Numbers inside the panels indicate the percentage of each cell population in this assay. Dashed square mark out CD44^{low}/EpCAM^{high} and CD44^{high}/EpCAM^{high} cells, and circles indicate CD44^{high}/EpCAM^{low} cells. **(c)** CD44 and EpCAM protein levels in CD44^{low}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells were analyzed by western blot assay. Nucleolin and β-actin expression were used as loading control. **(d)** Representative immunofluorescence images showing the expression of CD44 and EpCAM in HCT-116 parental and CD44^{low}/EpCAM^{high} and CD44^{high}/EpCAM^{low} subsets, isolated from HCT-116-Dicer^{ex5} cells. Nuclei were stained with DAPI. Scale bars, 30 μm. **(e)** Quantification of CD44, LGR5 and SOX2 mRNA by qRT-PCR in the indicated HCT-116-Dicer^{ex5} cell subpopulations. The results show the mean ± s.e. of mRNA levels of CD44^{high}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells relative to CD44^{low}/EpCAM^{high} cells (2–3 samples for each population were analyzed). **** indicates significant differences between both cell populations (*t*-test; *P* < 0.05).

(Supplementary Figure S6). Parental RKO or RKO-Dicer mutant cells did not show detectable β-catenin expression by western blot, and β-catenin activation was not detected in these cells (Supplementary

Figure S6). In agreement with the HCT-116 and DLD-1 data, we observed an upregulation of β-catenin target genes, such as *AXIN2*, *CCND1* and *OLFM4* (Figure 4d), along with the aforementioned *LGR5*

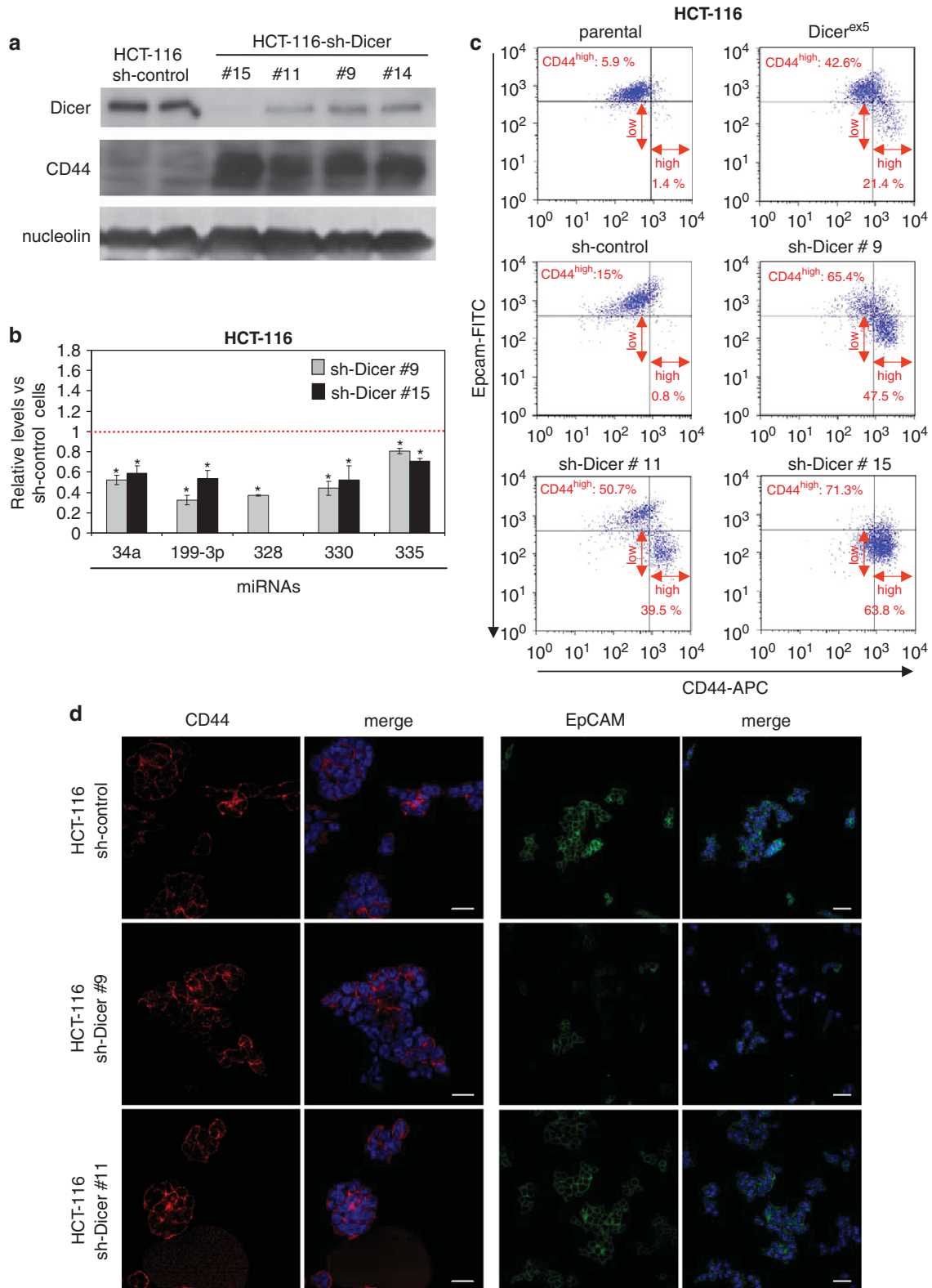


Figure 3. DICER1 knockdown induces the generation of CD44^{high}/EpCAM^{low} subset of cells in HCT-116. **(a)** Dicer and CD44 protein levels were determined by western blot analysis in whole-cell protein extracts of sh-control cells and of different clones of sh-DICER1 cells. Nucleolin protein was used as loading control. **(b)** Quantification by qRT-PCR of mature miRNAs involved in CD44 and the Wnt/ β -catenin pathway regulation. Results represent the levels (mean \pm s.e.) of the indicated miRNAs in two different clones of sh-DICER1 cells relative to sh-control cells. ^{*} indicates significant differences between the compared cells (*t*-test; *P* < 0.05). **(c)** Representative results of flow cytometry assays showing the generation of the CD44^{high}/EpCAM^{low} subpopulation in sh-DICER1 cells. The percentage of this cell subpopulation is indicated in red for each analyzed cell line. **(d)** Representative images showing CD44 and EpCAM expressing cells in sh-control and sh-DICER1 cells, as analyzed by immunofluorescence assays. Nuclei were stained with DAPI. Scale bars, 30 μ m.

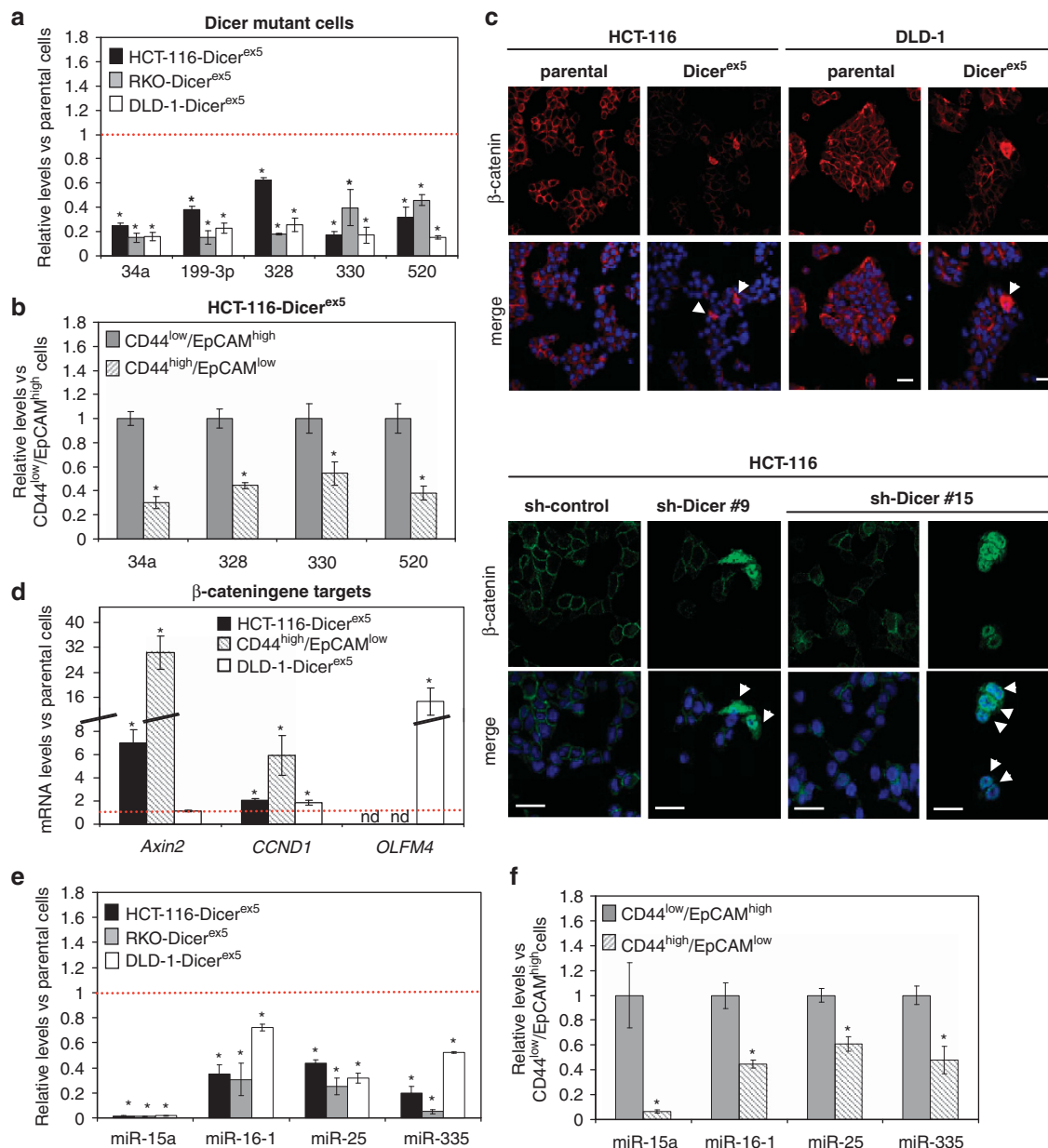


Figure 4. Reduction of multiple miRNAs that target CD44 and the Wnt/ β -catenin pathway mediates the upregulation of CD44 expression in cells with impaired Dicer function. **(a)** Quantification of mature CD44-targeting miRNAs by qRT-PCR. Results (mean \pm s.e. from three independent assays) show the levels of the indicated miRNAs in Dicer^{ex5} cells relative to their respective parental cells. **(b)** Results (mean \pm s.e. from three independent assays) indicate the levels of the indicated miRNAs in CD44^{high}/EpCAM^{low} cells relative to CD44^{low}/EpCAM^{high} cells. **(c)** Representative images showing the cellular localization of β -catenin in HCT-116 and DLD-1 parental and Dicer^{ex5} cells (upper panel), and in sh-control and Dicer knockdown cells (lower panel). Cells exhibiting nuclear location of β -catenin are indicated with white arrowhead. Scale bars, 30 μ m. **(d)** Quantification of AXIN2, CCND1 and OLFM4 mRNAs in the indicated cells. Mean of mRNA levels \pm s.e. in each cell population is represented as relative value to their respective parental cells or to CD44^{low}/EpCAM^{high} cells. OLFM4 expression was not detected (nd) in HCT-116 cells. **(e)** Results (mean \pm s.e.) indicate the levels of indicated miRNA that regulate the Wnt/ β -catenin pathway in Dicer^{ex5} cells relative to their respective parental cells. **(f)** Results show the levels (mean \pm s.e. from 2–3 independent assays) of the indicated miRNAs in CD44^{high}/EpCAM^{low} cells relative to CD44^{low}/EpCAM^{high} cells. ** indicates significant differences between compared cell populations (t-test; $P < 0.05$).

(Supplementary Figure S1). Therefore, we analyzed the levels of previously reported miRNAs that regulate the Wnt/ β -catenin pathway in Dicer^{ex5} cells. Levels of miR-15, miR-16-1, miR-25 and miR-335, which directly or indirectly target different modulators of the Wnt/ β -catenin pathway,^{48–50} as well as the previously mentioned miR-34a, which also negatively regulates the Wnt/ β -catenin pathway,⁵¹ were significantly reduced in Dicer^{ex5} cells relative to parental cells (Figure 4e). Consistent with these findings, miRNAs targeting the Wnt/ β -catenin pathway were even more downregulated in CD44^{high}/

EpCAM^{low} cells than in CD44^{low}/EpCAM^{high} cells (Figure 4f). Thus, the impaired expression of miRNAs targeting the Wnt/ β -catenin pathway is another mechanism that helps explain the enhancement of CD44 expression in DICER1-compromised cells.

DICER1 impairment increases tumor initiation potential

Once we had demonstrated that DICER1 impairment was associated with the acquisition of cancer stem cell features, we

next considered whether this stemness phenotype was associated with particular tumor biology properties. The most obvious approach was to assess its impact on tumor growth. We found that HCT-116-Dicer^{ex5}, DLD-1-Dicer^{ex5} and RKO-Dicer^{ex5} showed a reduced proliferation rate, measured by the 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) assay, in comparison with the parental colorectal cancer cell lines (Figure 5a and Supplementary Figure S7). DICER1 shRNA-depleted HCT-116 cells also grew slower than shRNA-scrambled cells (Supplementary Figure S7). The same phenomenon was observed in the selected subpopulations: CD44^{high}/EpCAM^{low} cells proliferated significantly more slowly than CD44^{low}/EpCAM^{high} and CD44^{high}/EpCAM^{high} cells (Figure 5b). Subcutaneous injection of HCT-116-, HCT-116-Dicer^{ex5}- and HCT-116-shRNA DICER1-depleted cells in immunodeficient mice demonstrated that the tumors generated from the DICER1-impaired cells grew more slowly than those generated from the same amount of HCT-116 parental or shRNA-control cells (Figures 5c and e and Supplementary Figure S7). In the isolated subpopulations, tumors generated from CD44^{high}/EpCAM^{low} cells grew more slowly than CD44^{low}/EpCAM^{high} cells or CD44^{high}/EpCAM^{high} cells (Figures 5d and e).

The slow tumor growth observed in DICER1-deficient cells could be a consequence of the impaired proliferation of these cells and might not directly reflect their ability to initiate tumor growth. To determine the frequency of tumor-initiating cells in HCT-116 with proficient (parental and shRNA-control cells) and deficient DICER1 function (Dicer^{ex5}- and shRNA-depleted DICER1 cells), we injected serial dilutions of these cells into immunodeficient mice and monitored the generation and growth of tumors over 5 weeks. We observed that, although the initial detection of tumors was frequently delayed in mice injected with Dicer^{ex5}- or shRNA-depleted DICER1 cells, these cells featured a higher frequency of tumor-initiating cells compared with the parental or shRNA-control samples (Figure 5f and Supplementary Figure S7). Interestingly, while the isolated CD44^{low}/EpCAM^{high} subpopulation presented a similar tumor-initiating cell frequency than heterogeneous HCT-116-Dicer^{ex5} cells, both populations of CD44^{high} cells (CD44^{high}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells) showed a significant enrichment in tumor-initiating cells (Figure 5f). We conclude that DICER1 defects are associated with an enhanced tumor-initiating ability that is related to the gain of cancer stem cells, identified by a high expression of the CD44 marker.

DICER1 impairment induces an EMT transition and enhances metastatic potential

In addition to an increase in the frequency of tumor-initiating cells, DICER1 impairment could also be related to a higher risk of cancer progression and dissemination. Data from previous studies suggest that a low level of Dicer expression is associated with a greater predisposition to develop metastasis,^{25,52,53} although, on the other hand, epithelial cancer stem cells exhibit some features of mesenchymal cells, and the EMT transition is associated with acquisition of stemness and distal metastasis.^{54–58} Thus, we wondered whether DICER1 hypomorphic cells, with enhanced cancer stem cell features, also show an acquisition of mesenchymal features and are prone to metastasize.

With respect to the EMT transition, we observed that both Dicer^{ex5} and HCT-116 shRNA-depleted DICER1 cells, relative to parental and shRNA control cells, experienced a significant reduction in E-cadherin expression (Figures 6a and b and Supplementary Figure S8) and an upregulation of the mesenchymal marker Vimentin (Supplementary Figure S8). Thus, in addition to exhibiting a cancer stem cell pattern, DICER1-impaired cells undergo an EMT transition that could also be explained by a defect in the production of the miRNAs controlling these features. We found in HCT-116-Dicer^{ex5}, DLD-1-Dicer^{ex5} and RKO-Dicer^{ex5} cells that all the EMT-regulating miRNAs examined were

significantly downregulated (Figure 6c), including miR-200 family members (that is, miR-200a, miR-200b, miR-200c, miR-141 and miR-429), which directly target the expression of Zeb1 and Zeb2.^{59–61} A similar downregulation of miR-200 members was also observed in DICER1 shRNA-depleted cells (Supplementary Figure S8). This was not just an *in vitro* observation. We analyzed the DICER1 expression levels in the primary colorectal tumors ($n = 140$) available from The Cancer Genome Atlas. Herein, we observed that cases with lower expression of DICER1 presented a significant reduced expression of the epithelial marker E-cadherin (analysis of variance (ANOVA)-test, $P = 0.01$) and the EMT regulator miR-200c (ANOVA test, $P = 0.05$) (Supplementary Figure S9). Thus, it resembles the data derived from the DICER1 genetic disruption in the cell lines, where these targets were also downregulated.

Following the downregulation of these miRNAs in the colorectal cancer cell lines, we found upregulation of most EMT-inducing transcriptional factors, such as the Zeb, Slug and Twist proteins, in Dicer^{ex5} cells (Figure 6d). It is of particular note that all the EMT-regulating miRNAs analyzed were further reduced in CD44^{high}/EpCAM^{low} cells than in CD44^{low}/EpCAM^{high} cells (Figure 6e), and in a higher magnitude than in the other identified subpopulation with enhanced stem cell features (CD44^{high}/EpCAM^{high}) (Figure 6e). A further increase of Twist and Vimentin expression, and a decrease in E-cadherin expression were also consistently observed in the CD44^{high}/EpCAM^{low} subpopulation, whereas the subset of CD44^{high}/EpCAM^{high} cells showed a lower induction of these EMT markers (Figure 6f). Thus, the EMT process may be further induced in the subpopulation of Dicer^{ex5} cells with the highest level of expression of cancer stem cell markers and reduced expression of EpCAM. The enhanced cancer progression of DICER1-deficient cells is also highlighted by the observation that other miRNAs inhibiting metastasis formation and angiogenesis, such as miR-126 (Figure 6c),^{62–65} miR-34a (Figure 4a) and miR-335 (Figure 4e),^{38,66,67} were also downregulated in Dicer^{ex5} cells.

Finally, as with the growth and tumor-initiating experiments, we also conducted our study of metastasis formation in DICER1-impaired cells in an *in vivo* context. We injected equal amounts (2×10^6 cells) of HCT-116 and HCT-116-Dicer^{ex5} cells into the spleens of immunodeficient mice. To check the dissemination ability of these cells in the absence of tumor growth, spleens were resected 48 h after tumor cell injection, and the generation of liver metastasis was quantified 7 weeks later. Following this procedure, HCT-116 parental and Dicer^{ex5} cells were able to generate multiple metastatic lesions in the liver. Macroscopical analysis of the liver revealed that Dicer^{ex5} cells induced smaller metastatic lesions than those cells seen in the parental cells (Figure 7a), which is entirely consistent with the slow tumor growth associated with DICER1 impairment described above (Figure 5c). However, and most importantly, when serial sections were microscopically analyzed, we found that Dicer^{ex5} cells had developed significantly more metastatic foci in the liver than was the case with the parental cells (Figure 7b). Next, we compared the capacity of isolated subpopulations of HCT-116-Dicer^{ex5} cells to initiate liver metastasis by injecting a more reduced amount of cells (3×10^5). HCT-116-Dicer^{ex5} cells generated significantly more metastatic lesions than HCT-116 parental cells (Figure 7c). Importantly, we found that CD44^{high}/EpCAM^{low} cells developed a significantly higher number of metastatic lesions than the other subpopulations of HCT-116 Dicer mutant cells (Figure 7c). These results indicate that DICER1 defects in colon cancer enhance the production of metastasis-initiating cells, which are characterized by a high expression of CD44, a reduced expression of EpCAM and the enhancement of EMT markers.

DISCUSSION

A current hypothesis in cancer cell biology suggests that tumor growth is sustained by a specific population of tumor cells, the

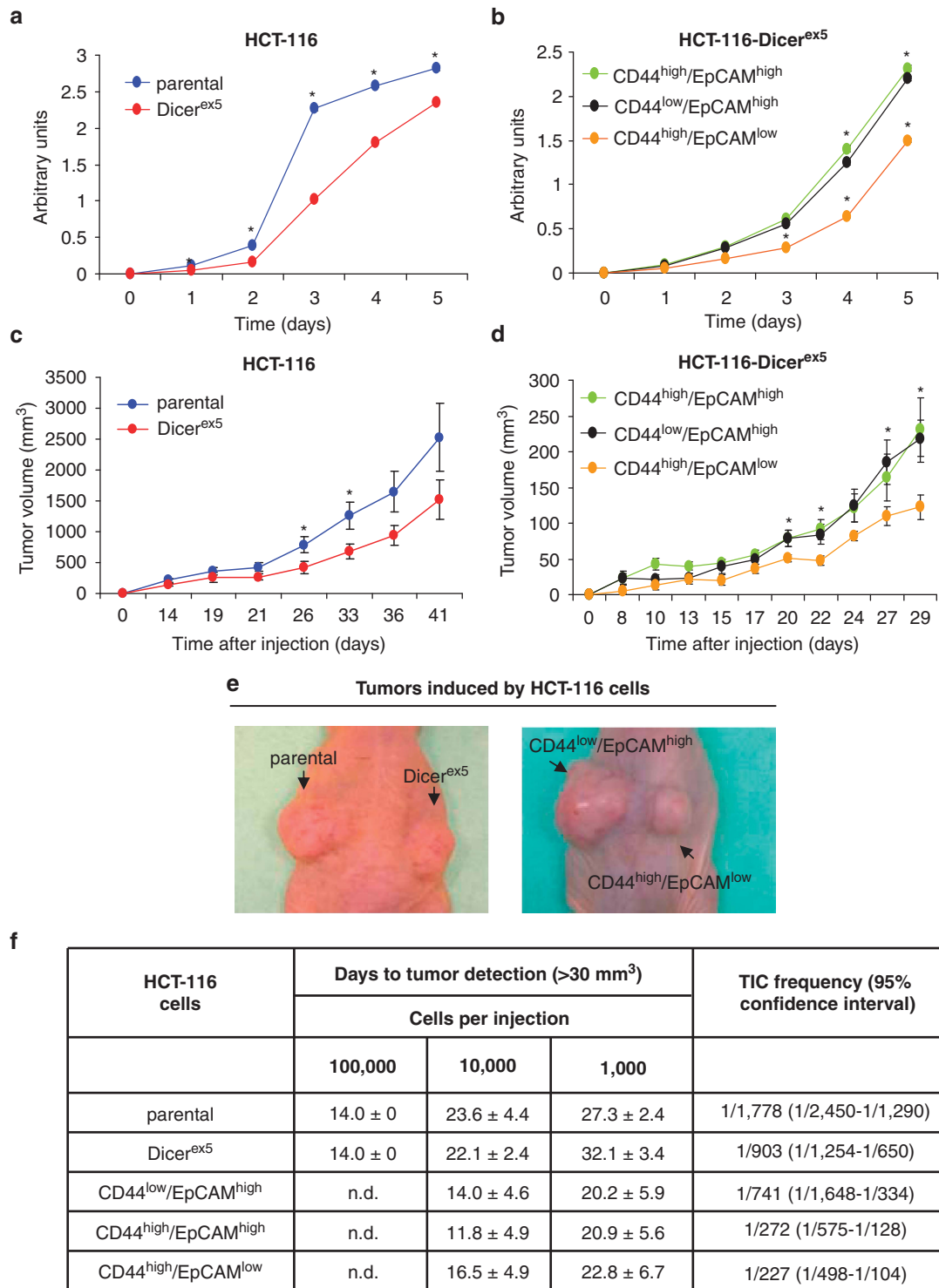


Figure 5. Dicer-impaired cells show a reduced cell proliferation and enhanced tumor-initiating ability. **(a, b)** Cell proliferation was compared **(a)** between HCT-116 parental and Dicer^{ex5} cells, and **(b)** between CD44^{low}/EpCAM^{high}, CD44^{high}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells by MTT assays. Results represent absorbance (mean ± s.e.) of each time point tested. **(c, d)** Results (mean ± s.e. of tumor volume) represent tumor growth kinetics after injection of **(c)** HCT-116 parental and Dicer^{ex5} cells (*n* = 10 mice), or **(d)** CD44^{low}/EpCAM^{high}, CD44^{high}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells (*n* = 10 mice) in immunodeficient mice. **t* indicates significant differences between the examined cells (*t*-test; *P* < 0.05). **(e)** Images showing tumors generated after injection of the indicated cells at the time of mice kill. **(f)** Serial dilutions of the indicated cells were injected in immunodeficient mice (10 mice injected for each cell dilution). Days to tumor detection was recorded for each cell dilution and cell population. Results shown on the right column represent the frequency of TICs in each cell population and their confidence intervals, as calculated by using ELDA software.

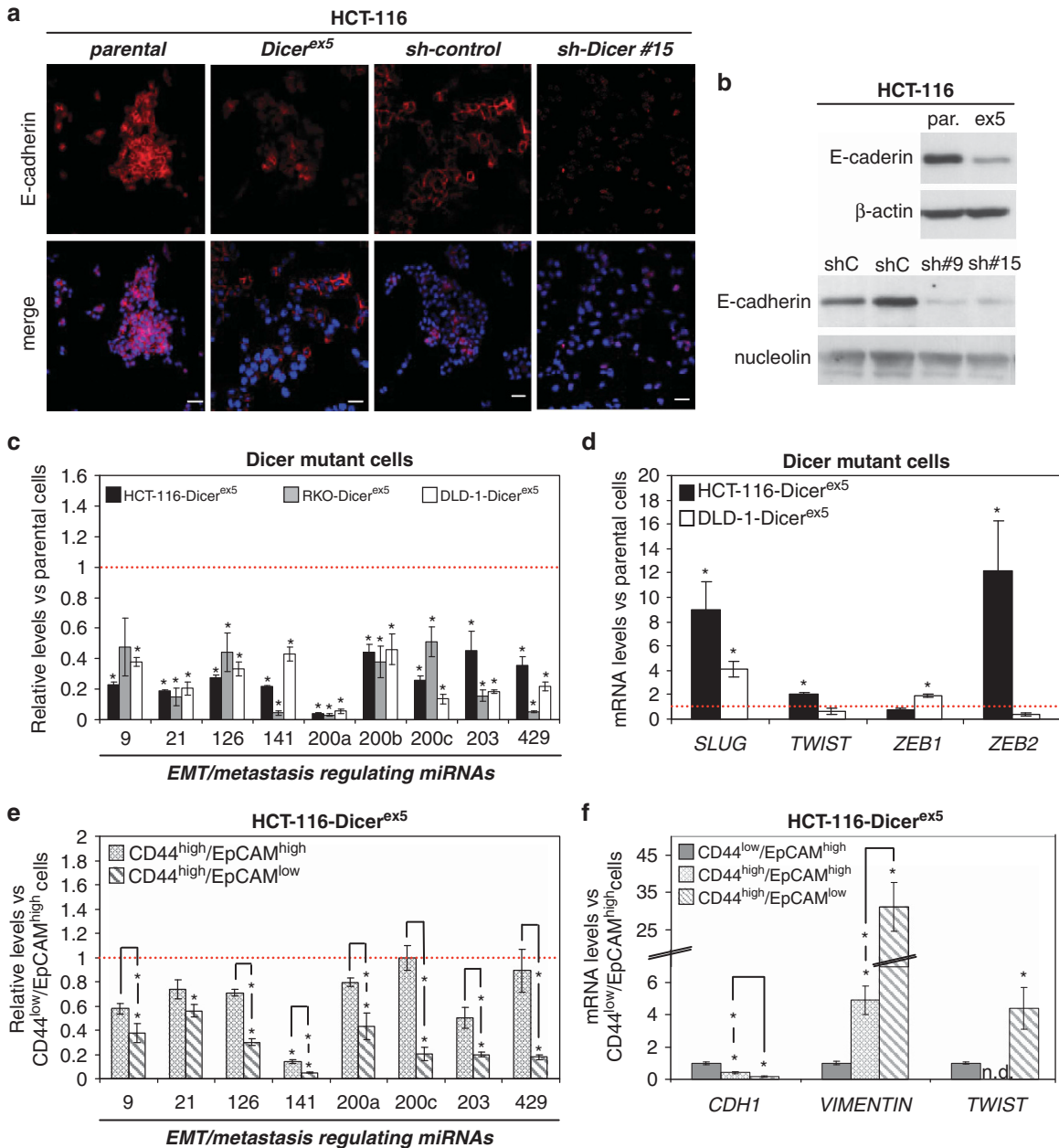


Figure 6. EMT is induced in cells with impaired Dicer function, coinciding with a global decrease of EMT and metastasis suppressor miRNAs. **(a)** Representative images of E-cadherin immunodetection in HCT-116 parental and *Dicer^{ex5}* cells, as well as in sh-control and sh-DICER1 cells. Nuclei were stained with DAPI. Scale bars, 30 μ m. **(b)** The levels of E-cadherin protein were determined by western blot assays in the indicated cell lines. Nucleolin and β -actin were used as loading control. **(c)** Quantification by qRT-PCR of mature miRNAs that regulate EMT program and metastasis in the indicated cells. Results represent mean \pm s.e. of miRNA levels in *Dicer^{ex5}* cells relative to their parental cells. **(d)** The expression of EMT-inducer transcription factors was quantified by qRT-PCR. Results (mean \pm s.e.) were expressed as mRNA levels in *Dicer^{ex5}* cells relative to parental cells. **(e)** Levels (mean \pm s.e.) of the indicated miRNAs in *CD44^{high}/EpCAM^{high}* and *CD44^{high}/EpCAM^{low}* cells relative to *CD44^{low}/EpCAM^{high}* cells, as quantified by qRT-PCR. **(f)** The expression of Twist (EMT-inducer transcription factor), as well as *CDH1* (E-cadherin) and *VIMENTIN* was quantified by qRT-PCR in the indicated cells. Results (mean \pm s.e.) indicate the mRNA levels in *CD44^{high}/EpCAM^{high}* and *CD44^{high}/EpCAM^{low}* cells relative to *CD44^{low}/EpCAM^{high}* cells. ****** indicates significant differences between the compared cells (*t*-test; *P* < 0.05).

cancer stem cells, which exhibit features reminiscent of adult stem cells, such as the ability to self-renew and differentiate.³⁰ Cancer stem cells have been identified in most solid tumors by using different stem cell surface markers, including CD133, CD44, EpCAM, CD24 and Lgr5, or the expression of ALDH1.³⁰ Moreover, cancer stem cells and adult stem cells resemble their gene expression profiles to a degree.^{68,69} The activation of this stem cell signature in human epithelial cancers is correlated with recurrent disease, metastasis and poor prognosis.^{70,71} Cancer stem cells are important in clinical cancer because they are more resistant to antitumor

therapies than most other types of tumor cell.^{55,72–77} In addition, cancer stem cells have some features that make them likely candidates for metastasis-initiating activities, such as the expression of EMT markers, which is associated with the migration of tumor cells and the ability to initiate tumor growth in distal tissues.^{54–58} An increasing body of evidence indicates that the establishment and maintenance of cancer stem cell features can be orchestrated by genetic and epigenetic mechanisms.⁷⁸ Our results indicate that defects in one of the main enzymes involved in the generation of miRNAs, DICER1, contribute to the generation of cancer stem cells.

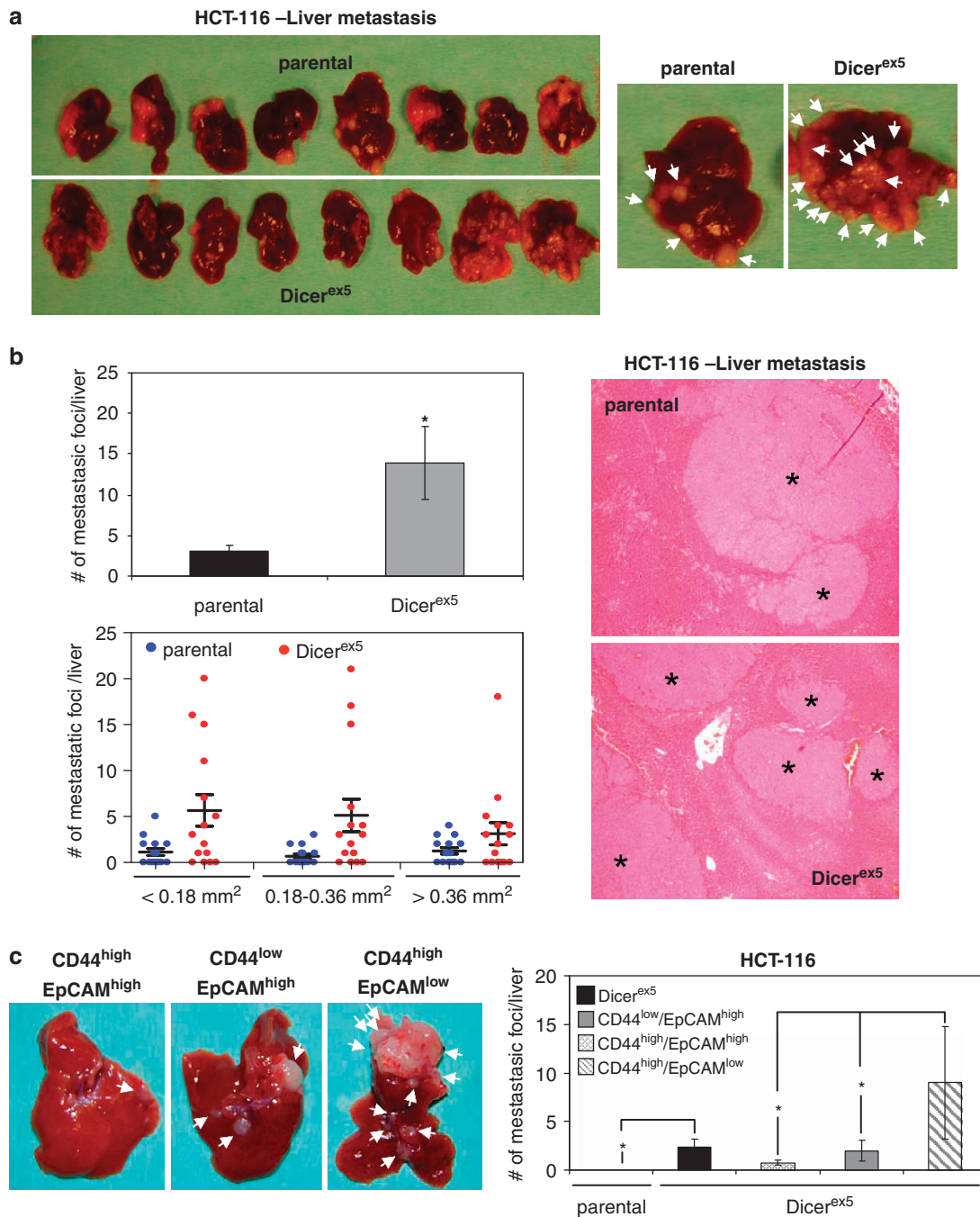


Figure 7. Dicer-impaired function in colon cancer cells promotes metastasis, associated to CD44^{high}/EpCAM^{low} cells. (a) Images show macroscopic metastatic lesions (indicated by white arrows on the right panel) developed in the liver of immunodeficient mice after injecting 2×10^6 of HCT-116 parental and Dicer^{ex5} cells in the spleen. (b) Representative images of liver section from mice injected with parental and Dicer^{ex5} cells after hematoxylin and eosin staining (right panel). * indicates the metastatic foci identified in these samples. The quantification of metastatic foci detected per liver (mean \pm s.e.) is shown in the upper left panel, and the number of these metastatic foci categorized according to size is represented in the lower left panel. ** indicates significant differences between parental and Dicer mutant cells (t-test; $P < 0.05$). (c) Comparison of the metastasis-initiating capacity between the separate HCT-116-Dicer^{ex5} cells. Images show macroscopic metastasis developed in the liver of mice after intra-spleen injection of the CD44^{low}/EpCAM^{high}-, CD44^{high}/EpCAM^{high}- and CD44^{high}/EpCAM^{low}-isolated cells. White arrows indicate metastatic lesions (left panel). Results on the right panel show the number of metastatic lesions developed per liver (t-test; $P < 0.05$).

Several studies have demonstrated the role of specific miRNAs regulating self-renewal/differentiation of tumor cells, EMT and metastasis^{78,79} in recent years. In addition, downregulation of Dicer in cancer cells promotes metastasis.^{26,53} However, data regarding the correlation of altered Dicer expression and colon cancer progression are scarce.^{22,24,80} In this study, we have

demonstrated that DICER1 hypomorphic colorectal cells show significantly stronger expression not only of CD44, but also of other cancer stem cell markers such as Sox9, Sox2, Lgr5 and Nanog. In addition, Dicer^{ex5} cells generated not only a cellular population that show high levels of CD44 and an enhanced tumor-initiating capability, but also a specific subpopulation of

CD44^{high}/EpcAM^{low} cells endowed with metastasis-initiating activity. These subpopulations of cells also appeared in DICER1 shRNA-depleted cells, and their abundances were decreased after restoring the DICER1 function, indicating that the promotion of stemness and metastatic features depends on the impairment of Dicer function. The subset of colorectal cancer cells considered here is highly reminiscent of the one observed in melanoma: cells with reduced proliferation rate, enhanced cancer stem cell features and EMT traits.^{81,82} Overall, high levels of expression of EpcAM have been found in cells with higher proliferation rates,^{83,84} whereas diminished levels of EpcAM are associated with cell invasion⁸⁵ and dissemination.⁸⁶ These observations are in agreement with our data, as the CD44^{high}/EpcAM^{low} cells, with lower proliferation rate, showed the strongest EMT induction and metastasis generating activity. From a mechanistic standpoint, the appearance of stemness, loss of epithelial characteristics and gain of mesenchymal features, and increased metastatic potential in DICER1-impaired cells are associated with the defective production of the miRNAs that regulate these pathways, such as miR-199-3p,³⁹ miR-328,⁴⁰ miR-330,⁴¹ miR-520,⁴² miR-126,⁶²⁻⁶⁵ miR-34a, miR-335,^{38,66,67} miR-15, miR-16-1, miR-25 and miR-200 family members,⁵⁹⁻⁶¹ which were further decreased in the subset of CD44^{high}/EpcAM^{low} cell population.

One critical finding of this study is that DICER1-deficient colorectal cancer cells, although not having an obvious proliferative advantage, have an enhanced ability to initiate tumors and metastasis. In this regard, it has been demonstrated that the overexpression of CD44 promotes metastasis in prostate and colon cancer cells,^{38,87,88} and, independently, that a consequence of the deregulation of miRNAs promoting cancer stem cell features is the induction of metastasis.⁷⁸ Our study shows that the two processes are linked: DICER1 hypomorphic-mediated loss of miRNAs induces cancer stem cell properties associated with enhanced tumor dissemination.

MATERIALS AND METHODS

Cell culture

Parental HCT-116, RKO and DLD-1 colorectal cancer cell lines and the isogenic Dicer^{ex5} cells were a generous gift from Dr Bert Vogelstein (Johns Hopkins Kimmel Comprehensive Cancer Center, Baltimore, MD, USA). All cells were grown in DMEM (GIBCO Life Technologies, Auckland, New Zealand) supplemented with 10% fetal bovine serum (FBS; Life Technologies, Foster City, CA, USA) and 1% penicillin/streptomycin (PAA Laboratories, Pasching, Austria) in a humidified 37 °C, 5% CO₂ incubator.

Dicer^{Ex5} Genomic PCR

Genomic DNA was extracted and PCR was performed to amplify across the *Dicer-exon5* insertion point, as previously described.³¹

Dicer shRNA and cell transductions

Parental HCT-116 and SW480 cells were transfected with Human 29mer shRNA (pRS HuSH) constructs against *DICER1* or shRNA-control (scramble) constructs (OriGene Technologies, Inc, Rockville, MD, USA). Stable knockdown clones were selected in the presence of 0.5 µg/ml puromycin and then propagated in the presence of 0.3 µg/ml puromycin. Knockdown efficiency was evaluated 48h post transfection by western blot using anti-Dicer antibodies. For the lentiviral transduction, lentivirus was produced in 293T using standard protocols, and psPAX2 and pMD2.G as packaging vectors. TCF/LEF reporter driving expression of EGFP (7TGC) was used to compare the activity of Wnt/β-catenin signaling in HCT-116, DLD-1 and RKO parental and Dicer^{ex5} cells. EGFP and mCherry expression was evaluated by flow cytometry analysis or direct fluorescence detection by confocal microscope. Construction of DICER1-Flag-pLPC retroviral expression vector: The DICER1-Flag-pLPC retroviral expression vector was constructed by subcloning the DICER1-Flag frame, using *Hind* III/*Eco*RI restriction sites, from the pCMV-Tag4B-DICER1 construct into an empty pLPC vector.

Immunofluorescence assays

Cells were cultured directly on coverslips, washed with PBS and fixed in 4% paraformaldehyde. They were permeabilized with 0.1% Triton X-100 in PBS,

blocked with 5% bovine serum albumin (BSA) in PBS for 1 h at room temperature (RT) and incubated with primary antibodies in 2% BSA/PBS overnight at 4 °C. Cells were washed with PBS containing 0.1% Tween-20 (PBS-T) and incubated with Alexa Fluor 488- and/or 568-conjugated antibodies (1:1000) in 2% BSA/PBS-T for 1 h at RT. DNA was counterstained with 4', 6-diamidino-2-phenylindole (DAPI). Cells were visualized using a Leica TCS SP5 confocal microscope (Leica Microsystems, Heidelberg GmbH, Germany). The following antibodies were used: anti-CD44 (1:250; Cell Signaling, Danvers, MA, USA), anti-E-cadherin (1:100; Abcam, Cambridge, UK), anti-β catenin (1:100; Abcam); and anti-Vimentin (1:100; Millipore, Billerica, MA, USA). For EpcAM staining, a direct immunofluorescence protocol was followed, incubating cells directly with a fluorescent primary antibody anti-Epcam-FITC (1:5; Becton Dickinson, San Jose, CA, USA) for 2 h at RT.

Western blot analysis

Whole-cell protein extracts were resolved in 6–15% Bis-Tris SDS-polyacrylamide gel for electrophoresis (Bio-Rad, München, Germany) and transferred onto a nitrocellulose membrane (Amersham, Buckinghamshire, UK). After transfer, proteins were incubated with the following antibodies: anti-CD44 (1:1000; Cell Signaling); anti-Dicer (1:1000; Abcam), anti-E-cadherin (1:500; Abcam), anti-β catenin (1:500; Millipore), anti-Epcam (1:1000; Abcam), anti-nucleolin (1:1000; Santa Cruz Biotechnology, Inc., Santa Cruz, CA, USA), anti-tubulin (1:5000; Sigma, St Louis, MO, USA) and anti-β actin (1:20000; Sigma). Antibody binding was detected with a secondary antibody coupled to horseradish peroxidase using enhanced chemiluminescence.

qRT-PCR assays

Total RNA was isolated using TRIzol reagent (Invitrogen, Frederick, MD, USA) according to the manufacturer's instructions. RNA samples were DNase I-treated (Turbo DNA-free, Ambion, Austin, TX, USA), and reverse-transcribed using oligo(dT)-primer and the ThermoScript RT-PCR System (Invitrogen). Real-time PCRs were performed using SYBR Green PCR Master Mix (Applied Biosystems, Foster City, CA, USA), and *ACT1N*, *GUSB* and *HPRT1* expression was used for housekeeping purposes. Primer sequences are available in Supplementary Table S1. A TaqMan miRNA Reverse Transcription Kit (Applied Biosystems) was used in conjunction with TaqMan miRNA assays to quantify the levels of mature miRNAs, as previously described.⁸⁹

Flow cytometry analysis and sorting

Cell were harvested by trypsin treatment and suspensions were prepared shortly before analysis. For flow cytometry analysis, cells (2×10^5 cells/100 µl buffer, 5% FBS in PBS) were stained with anti-EpcAM-FITC (Becton Dickinson), anti-CD133/1-PE (Miltenyi Biotec, Bergisch Gladbach, Germany), anti-CD166-PE (R&D Systems, Minneapolis, MN, USA) and/or monoclonal anti-CD44-APC (BD Pharmingen, San Jose, CA, USA) for 30 min at 4 °C. Cells were washed twice with washing buffer (0.5% BSA/2 mM EDTA in PBS) and were finally diluted in 300 µl analysis buffer (2.5% FBS/2 mM EDTA in PBS). For FACS-sorting, 10^6 cells were incubated in 700 µl blocking buffer in the presence of anti-CD44-APC and anti-EpcAM-FITC, and cells were finally resuspended in 700 µl analysis buffer. The dead cells and debris were excluded after propidium iodide staining (Sigma). Analyses of sorting experiments were performed with a BD Biosciences (San Jose, CA, USA) FACSaria apparatus.

MTT assay

Cell viability was measured daily for 7 days using the MTT assay. Briefly, 5×10^2 cells were seeded per well in replicates on 96-well plates. Cell proliferation was calculated by measuring absorbance (mean ± s.e.) at 595 nm every 24 h.

In vivo tumor and metastasis development assays

Parental or Dicer^{ex5} cells (10^6 cells in 100 µl of DMEM), or CD44^{low}/Epcam^{high}- and CD44^{high}/Epcam^{low}-isolated cells (4000 cells in 100 µl of DMEM) were mixed with BD matrigel (BD Biosciences) at 1:1 ratio and then subcutaneously injected into the dorsal side of each flank of 6-week-old male athymic nude mice (Harlan Laboratories, Indianapolis, IN, USA). Tumor development was monitored on average of every 2 days for 1–3 months. Mice were killed when their tumors reached a critical size. To carry out limited dilution assays, 10^2 , 10^3 , 10^4 and 10^5 HCT-116 parental and Dicer^{ex5} cells or sh-DICER1 and sh-control or CD44^{low}/EpcAM^{high}, CD44^{high}/EpcAM^{high} and CD44^{high}/EpcAM^{low} cells were subcutaneously injected in each dorsal flank of nude mice. The presence and size of tumors were

monitored every 2 days for 4–5 weeks. Tumor-initiating cells frequency was calculated using the ELDA program (<http://bioinf.wehi.edu.au/software/elda>). To test the ability of HCT-116 parental and Dicer^{ex5} cells to develop metastasis, 2×10^6 or 3×10^5 of HCT-116 parental and Dicer^{ex5} cells or 3×10^5 CD44^{low}/EpCAM^{high}, CD44^{high}/EpCAM^{high} and CD44^{high}/EpCAM^{low} cells were injected into the spleens of anesthetized mice. Spleens were resected 48 h after injection, and hepatic metastases were examined 5–7 weeks later. Metastatic foci were counted under a bright-field microscope (Zeiss AX10 Scope.A1) at $\times 20$ magnification. Metastatic lesions in the liver were categorized into three groups according to the area of the parenchyma covered (< 0.18 ; 0.18 – 0.36 ; and > 0.36 mm²), and the number of foci in each category was recorded. Animal housing and handling, and all procedures involving mice were approved by the Bellvitge Biomedical Research Institute (IDIBELL) Ethics Committee.

DICER1 expression analysis in primary colorectal tumor samples

Gene (UNC-AgilentG4502A-07-3) and miRNA (BCGSC-IlluminaGA-miRNA-Seq) expression data for primary colorectal adenocarcinoma samples ($n = 140$) was obtained from The Cancer Genome Atlas data portal (<https://tcga-data.nci.nih.gov/tcga>). Samples were grouped according to their DICER1 expression levels. Therefore, the samples were split in octiles, and the groups with highest and lowest DICER1 expression were used for subsequent comparisons. Significant differences in candidate gene expression between the groups were assessed using the ANOVA test.

CONFLICT OF INTEREST

The authors declare no conflicts of interest.

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