# The far-reaching scope of neuroinflammation after traumatic brain injury

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Abstract | The 'silent epidemic' of traumatic brain injury (TBI) has been placed in the spotlight as a result of clinical investigations and popular press coverage of athletes and veterans with single or repetitive head injuries. Neuroinflammation can cause acute secondary injury after TBI, and has been linked to chronic neurodegenerative diseases; however, anti-inflammatory agents have failed to improve TBI outcomes in clinical trials. In this Review, we therefore propose a new framework of targeted immunomodulation after TBI for future exploration. Our framework incorporates factors such as the time from injury, mechanism of injury, and secondary insults in considering potential treatment options. Structuring our discussion around the dynamics of the immune response to TBI - from initial triggers to chronic neuroinflammation - we consider the ability of soluble and cellular inflammatory mediators to promote repair and regeneration versus secondary injury and neurodegeneration. We summarize both animal model and human studies, with clinical data explicitly defined throughout this Review. Recent advances in neuroimmunology and TBI-responsive neuroinflammation are incorporated, including concepts of inflammasomes, mechanisms of microglial polarization, and glymphatic clearance. Moreover, we highlight findings that could offer novel therapeutic targets for translational and clinical research, assimilate evidence from other brain injury models, and identify outstanding questions in the field.

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doi:<u>10.1038/nrneurol.2017.13</u> Published online 10 Feb 2017 corrected online 4 Aug 2017 The Centers for Disease Control and Prevention estimates that in the USA, 1.7 million people experience traumatic brain injury (TBI) each year, and 5.3 million are living with TBI-related disability<sup>1</sup>. These figures may grossly underestimate the scope of the TBI epidemic, particularly for mild TBI (mTBI) which often goes unreported<sup>2</sup> and, globally, the incidence of TBI appears to be increasing<sup>1</sup>. TBI and mTBI are 'signature injuries' of the wars in Iraq and Afghanistan, primarily because many military personnel are exposed to blast injuries from conventional and improvised explosive devices<sup>3</sup>. In addition, TBI has now been linked to post-traumatic stress disorder, memory deficits, chronic traumatic encephalopathy (CTE), and chronic neuroinflammation.

The inflammatory reaction to TBI was thought to occur solely through peripheral immune mediators entering the CNS via a disturbed blood-brain barrier (BBB); however, inflammation after TBI is now recognized as a robust and complex interaction between central and peripheral cellular and soluble components, influenced by patient age, sex, mechanism of injury (focal, diffuse, blast), degree of injury (mild, repetitive mild, severe), secondary insults (hypoxaemia, hypotension), therapeutic interventions, and genetic variability. TBI leads to early resident microglial activation and peripheral neutrophil recruitment, followed by infiltration of lymphocytes and monocyte-derived macrophages<sup>4</sup>. Simultaneously, proinflammatory and anti-inflammatory cytokines vie to promote and terminate the post-traumatic neuroinflammatory response, and chemokine signalling results in the activation and recruitment of immune cells towards the lesion<sup>5-9</sup>.

Post-traumatic inflammation can be beneficial, because it promotes both clearance of debris and regeneration, and/or potentially harmful, by mediating neuronal death and progressive neurodegeneration (FIG. 1). Anti-inflammatory therapies have demonstrated efficacy in preclinical and single-centre trials; unfortunately, however, these therapies failed to show benefit — and several were even deleterious — in multicentre clinical trials<sup>10,11</sup>.

#### Key points

- Traumatic brain injury (TBI) is an important public health issue: the global incidence of TBI is on the rise, and mild, repetitive and blast injuries, in particular, are increasingly recognized in the popular press
- Neuroinflammation, triggered by release of endogenous danger signals and innate immune activation, is crucial to recovery after TBI; however, a dysregulated immune response can result in secondary injury
- After TBI, the activity of microglia and infiltrating macrophages and adaptive immune cells is driven by extracellular injury signals and intracellular molecular pathways that might represent novel therapeutic targets
- Trials assessing immunomodulatory interventions should account for changes in neuroinflammation that occur over time, between injury type and severity, and across patient characteristics such as age, sex and genetic variability

In this Review, we propose a new framework to

guide future preclinical and clinical trials to optimize the immune response to TBI. The purpose of immune-

targeting interventions in TBI is to limit the acute

proinflammatory response to the level needed for

clearance of debris and danger signals, promote an anti-inflammatory and pro-regenerative immune

phenotype, and prevent the development of chronic

neuroinflammation. Using this framework, we review the dynamics of the immune response to TBI, pro-

gressing from initiation of acute inflammation by

danger signals and early inflammatory mediators,

to subacute inflammation occurring days to weeks

after injury and, finally, to chronically activated

elements of the immune system that can remain

active for months to years and have been linked to

the development of traumatic encephalopathies. Mechanisms that balance proinflammatory and pro-

reparative immune activation are discussed, as well

as the potential for therapies to promote beneficial aspects of inflammation. We discuss recent discov-

eries in immunology and our current understanding

of the possible roles of processes and systems in the

immune response to TBI. Acknowledging the limita-

tions of TBI models<sup>12,13</sup>, we incorporate in this com-

prehensive Review what is known from human studies

over the past two decades of TBI research, although

notably, limited human data are available for mTBI.

Lastly, considering the current knowledge of post-

traumatic neuroinflammation, we propose new areas for

 Some individuals with TBI develop chronic neuroinflammation, which can last for years after the injury, and is being investigated as a link to accelerated neurodegeneration and chronic traumatic encephalopathy

Chronic traumatic encephalopathy A progressive

neurodegenerative disease associated with head trauma and characterized histologically by formation of neurofibrillary tangles, accumulation of phosphorylated TAR DNA-binding protein 43 (TDP-43) accumulation, and deposition of amyloid-β.

## Damage-associated molecular patterns

Host-derived molecules that trigger and/or exacarbate the inflammatory response. Prominent examples include DNA and RNA, high mobility group protein B1 (HMGB1), S100 proteins, ATP, uric acid, lysophospholipids, and lipid peroxidation-derived carbonyl adducts of proteins. Acute and subacute neuroinflammation
Inflammatory triggers

advancing translational and clinical research.

**Damage-associated molecular patterns.** Cellular membrane disruption as a result of primary mechanical insult or secondary injury causes release of damageassociated molecular patterns (DAMPs)<sup>4,14,15</sup> (TABLE 1). In response, tumour necrosis factor (TNF), IL-6 and IL-1 $\beta$  are upregulated rapidly by local glial cells and infiltrating immune cells<sup>16</sup> and represent early effectors that drive post-traumatic neuroinflammation (TABLE 1). The dual nature of inflammation was demonstrated in experimental models investigating the role of TNF and inducible nitric oxide synthase (iNOS) after TBI. TNF is linked to brain oedema, BBB disruption, and recruitment of leukocytes<sup>9</sup>. However, *TNF*<sup>-/-</sup> mice had impaired motor function and larger lesions at 4 weeks after injury, despite showing early neuroprotection<sup>17</sup>. Similarly, although TBI increased iNOS expression in the brain, with multiple proinflammatory and neurotoxic effects, genetic or chemical iNOS blockade resulted in worsened spatial memory 2–3 weeks after injury<sup>18</sup>.

Cell death via programmed necrosis, such as necroptosis through TNF-mediated RIP kinase activation<sup>19</sup>, can lead to a vicious cycle: necrosis increases membrane disruption, which promotes DAMP release, which in turn further exacerbates necrosis and amplifies inflammation. The prototypical DAMP, high mobility group protein B1 (HMGB1), is increased in cerebrospinal fluid (CSF) of patients after severe TBI, and is associated with elevated intracranial pressure in adults and poor outcome in children<sup>20,21</sup>. HMGB1 is a structural DNA-binding protein that regulates transcription by stabilizing nucleosomes under normal conditions<sup>21</sup>. This protein can be released from cells by membrane disruption or actively secreted by monocytes or macrophages, and it signals through RAGE (receptor for advanced glycation end products) and Toll-like receptor (TLR) 2 and TLR4 to increase production and release of cytokines<sup>22</sup>.

One mechanism of cytokine production triggered by DAMPs is the activation of the inflammasome complex. Binding to intracellular pattern recognition receptors such as the NLR (NOD-like receptor) family or absent in melanoma (AIM) leads to autoactivation of caspase-1 and processing of pro-IL-1ß and pro-IL-18 to their active forms23. Relatively few inflammasome complexes are expressed in the brain: NLRP1 and AIM2 are present in neurons<sup>24,25</sup> and NLRP3 is found in astrocytes<sup>25</sup> and microglia in both mice and humans<sup>26,27</sup>. In patients, NLRP1 and caspase-1 levels are increased in the CSF after severe TBI, and are associated with unfavourable outcomes<sup>25</sup>. In mice, neutralization of the NLRP1 and NLRP3 inflammasomes attenuated IL-1ß processing and reduced lesion volume<sup>24,28</sup>. Inflammasome-dependent cytokine production also contributes to disease progression in mouse models of multiple sclerosis, Alzheimer disease (AD) and amyotrophic lateral sclerosis<sup>26,29,30</sup>. However, it remains unclear which inflammasome complexes are the primary producers of IL-1 $\beta$  and IL-18 after TBI, and whether neurons, microglia or astrocytes are the key cellular mediators of inflammasome-mediated tissue damage.

**Glutamate excitotoxicity.** Concurrent with the release of DAMPs, a massive increase in extracellular glutamate (and other excitatory amino acids)<sup>31,32</sup> can occur, leading to excitotoxic neuronal injury via activation of neuronal glutamate receptors, such as *N*-methyl-D-aspartate (NMDA) and  $\alpha$ -amino-3-hydroxy-5methyl-4-isoxazolepropionic acid (AMPA) receptors,



Figure 1 | **Neuroinflammation after traumatic brain injury.** Primary mechanical injury to the CNS may cause cell membrane disruption, vascular rupture and blood–brain barrier (BBB) damage followed by secondary reactions involving ionic imbalance, release of excitatory amino acids, calcium overload, and mitochondrial dysfunction, culminating in cell death pathways. Primary and secondary injury lead to release of damage-associated molecular patterns, cytokines, chemokines, activation of microglia and astrocytes, and recruitment of circulating immune cells. Temporally, these immune responses largely overlap. The inflammatory response is crucial to clearance of debris, repair, and regeneration after traumatic brain injury. However, dysregulated inflammation can lead to additional acute and chronic brain injury. 4-HNE, 4-hydroxynonenol; HMGB1, high mobility group protein B1; mtDNA, mitochondrial DNA; ROS, reactive oxygen species.

followed by Ca<sup>2+</sup>-dependent degeneration<sup>33</sup>. In mice, multiple interactions between inflammatory mediators and glutamate signalling have been demonstrated, including changes in cell surface expression, distribution and function of NMDA and AMPA receptors, mediated by TNF and IL-1 $\beta$ ; NMDA receptormediated induction of inflammatory gene expression; and a TNF-and-IL-1 $\beta$ -mediated reduction in astrocytic glutamate transporters, resulting in impaired glutamate clearance from the synaptic cleft<sup>34</sup>. NMDA receptor blockade is an attractive therapeutic strategy to suppress inflammation after TBI; however, NMDA receptor antagonists have failed in clinical TBI trials, partly owing to a limited therapeutic window and off-target neurotoxicity, as well as the effects of inhibiting normal synaptic function and plasticity<sup>35</sup>.

In response to TBI and glutamate toxicity, high levels of the endogenous neuroprotectant adenosine are produced through breakdown of adenosine triphosphate and mRNA<sup>36</sup>. Activation of adenosine receptor A1 after TBI has anti-excitotoxic<sup>37</sup> and anti-inflammatory effects in mice<sup>38</sup>; however, systemic administration of adenosine to patients can result in bradycardia and hypotension. A variety of adenosine-associated strategies are being actively investigated to mitigate excitoxicity and various facets of acute and chronic neuroinflammation<sup>39</sup>.

Table 1   Factors modulating neuroinflammation in patients with TBI							
Inflammatory mediator	Tissue or fluid	Time course	Association with clinical outcomes	Other observations	Refs		
Cytokines and chemokines							
TNF	CSF, ECF	<ul> <li>Peaks early on day 1</li> <li>Prolonged elevation in patients with hypoxia</li> </ul>	<ul> <li>Mixed results</li> <li>Most studies show no association with outcome</li> <li>High level at 6 h might be associated with ICP and outcome</li> </ul>	<ul> <li>Soluble TNF receptor levels peak later (days 4–9)</li> <li>TNF allele variants associated with clinical outcome</li> </ul>	5,7,117, 168, 198–203		
	Tissue	Increased within 17 min after injury	Unknown	Fourfold increase in mRNA within 17 min	6		
IFNγ	Tissue	Increased within 17 min of injury	Unknown	Second-highest cytokine concentration measured (after IL-6)			
IL-1β	CSF, ECF	<ul> <li>Peaks at days 1–2; decreases at days 2–4</li> <li>IL-1ra consistently much higher than IL-1β</li> </ul>	<ul> <li>Paediatric: mixed results; no correlation with outcome</li> <li>Adult: mixed results; no correlation with outcome and elevated ICP</li> <li>Adult: high ECF IL-1ra and IL-1ra:IL-1β ratio associated with good outcome</li> </ul>	<ul> <li>IL1RN (IL-1ra gene) polymorphisms associated with cerebral haemorrhage after TBI</li> <li>Principal component analysis of microdialysis data shows close covariance with TNF</li> </ul>	117, 203–210		
	Tissue	Increased above control 6–122 h after injury	Unknown	Fivefold increase in mRNA at 6–122 h	6		
IL-6	CSF, ECF	<ul> <li>Marked increase after TBI</li> <li>Peaks at day 1, declines at days 2–3</li> </ul>	<ul> <li>Paediatric: mixed results; no correlation with outcome</li> <li>Adult: high CSF or ECF level associated with favorable GOS score</li> </ul>	<ul> <li>Paediatric: twofold greater in children with intermittent versus continuous CSF drainage</li> <li>Adults: associated with NGF level (CSF added to astrocyte culture induced NGF production, blocked by anti-IL-6 antibody)</li> </ul>	203–205, 209, 211–217		
	Tissue	Increased within 17 min after injury	No relationship with ICP, brain oxygenation or oedema	20-fold increase in mRNA levels at 6–122 h	6,209		
IL-10	CSF, ECF	<ul> <li>Peaks at day 1, declines at days 2–3</li> <li>May have second or third peak of lower magnitude</li> <li>Later peak in ECF at days 4–6</li> </ul>	<ul> <li>Paediatric: high level associated with mortality in severe TBI</li> <li>Adult: mixed results; correlation with outcome</li> </ul>	<ul> <li>Very young patients (&lt;4 years) have high levels</li> <li>No change in contused tissue</li> </ul>	5,6,117, 203,208, 209,211, 214,218		
IL-12p70	CSF, ECF	<ul> <li>Increased at days 2–3</li> <li>Peaks at days 3–5</li> </ul>	Paediatric: not associated with outcome	35-fold greater in ECF than in plasma	203,204		
GM-CSF	Tissue	Increased above control 6–122 h after injury	Unknown	Expression in CSF prolonged by hypoxia	6,219		
TGFβ	CSF	Peaks at day 1, gradually decreases over 21 days	Adult: not associated with outcome	Associated with BBB permeability	220		
CCL2 (MCP-1)	CSF, ECF	Peaks at day 1, decreases and plateaus by day 4, but remains elevated until day 10	Unknown	Tenfold higher in ECF than in plasma	203,221, 222		
	Tissue	mRNA detected 3 h to 15 days after injury	Unknown	The most consistently and strongly expressed chemokine mRNA in evacuated contusion	222		
CCL3 (MIP1a)	CSF, ECF	Increased at days 1–3, no clear peak	Paediatric: not associated with outcome	No association with age, sex or GCS score	203,204		
	Tissue	mRNA detected 3 h to 15 days after injury	Unknown	Intermediate levels of mRNA detected	222		
CXCL8 (IL-8)	CSF, ECF	Peaks at day 1; marked decline at days 2–3; remains elevated up to 108 h after injury	<ul> <li>Paediatric: high level strongly associated with mortality</li> <li>Adult: high level associated with BBB permeability, but not mortality</li> </ul>	<ul> <li>No association with age, sex or GCS score</li> <li>Tenfold to 20-fold higher in CSF and ECF than in plasma</li> </ul>	203,204, 214,223		
	Tissue	mRNA detected 3 h to 15 days after injury	Unknown	139-fold increase in mRNA at 6–122 h	6,222		

Inflammatory mediator	Tissue or fluid	Time course	Association with clinical outcomes	Other observations	Refs
Cellular media	tors				
Microglia	Tissue (pathology)	<ul> <li>Proliferating microglia observed 72 h after injury</li> <li>Peaks at 3 months</li> <li>Extensive amoeboid CR3/43 and CD68 immunoreactive cells seen in ~25% of patients surviving &gt;2 weeks and up to years after TBI, particularly with diffuse axonal injury</li> </ul>	Unknown	<ul> <li>Associated with ongoing white matter degeneration</li> <li>May be influenced by IL-1 genotype</li> </ul>	6,162, 163,166, 224
	Tissue (imaging)	<ul> <li>Increased <sup>11</sup>C-DPA-713 binding to TSPO 24–42 years after playing in the National Football League</li> <li>Increased <sup>11</sup>C-(R)-PK11195 binding to TSPO in thalamus, putamen, occipital cortex, and internal capsule years after moderate to severe TBI</li> </ul>	Adult: higher <sup>11</sup> C-(R)-PK11195 binding associated with more severe cognitive impairment	Atrophy of hippocampus observed	164,167
Astrocytes	CSF	YKL-40 (marker for reactive astrocytes) elevated at day 1, peaks at day 4	Adult: might be linked to outcome (non-significant trend)	Associated with CSF IL-1 $\beta$ , TNF and C-reactive protein	225
	Tissue	Increased GFAP in ipsilateral and contralateral cortex at 6–122 h	Unknown	Anti-GFAP antibodies detectable in serum	6,226
Triggers and b	rakes				
Adenosine	CSF, ECF	Increased within hours of injury, rapidly declines over 12–24 h	<ul> <li>Paediatric: no association with outcome after severe TBI</li> <li>Adult: high levels observed in patients who died after severe TBI</li> </ul>	<ul> <li>Adenosine receptor A1 gene variants associated with post-traumatic epilepsy</li> <li>Increased CSF adenosine associated with jugular vein desaturation</li> </ul>	227–229
Complement	CSF	Peaks 1 day after injury, declines on days 2–7	Adult: increased membrane attack complex level associated with BBB dysfunction in severe TBI	C3 and factor B increased relative to controls	49,230
	Tissue	Increased in tissue resected 2–82 h after injury	Unknown	C3 mRNA also detected in penumbra, suggesting a contribution of local synthesis	48
Glutamate	CSF, ECF	<ul> <li>Multiple courses described</li> <li>Typically reported to peak at day 1, with a decline at days 2–3</li> </ul>	<ul> <li>Paediatric: high levels associated with poor 6-month GOS score in severe TBI</li> <li>Adult: increase in ECF glutamate associated with poor outcomes</li> </ul>	<ul> <li>Increased glutamate associated with age &lt;4 years and diagnosis of child abuse</li> <li>Hourly levels not affected by transient haemodynamic or ICP change</li> </ul>	32, 231–233
HMGB1	CSF	No change over time	<ul> <li>Paediatric: high levels associated with unfavorable 6-month GOS score in severe TBI</li> <li>Adult: increased level associated with high ICP</li> </ul>	Not associated with age or mechanism of injury	20,21
	Tissue	<ul> <li>Translocated to cytoplasm of cells in contused area at 30 min to 1day</li> <li>Localized to cytoplasm of phagocytic microglia at days 2–20</li> </ul>	Unknown	RAGE (HMGB1 receptor) expression also increased in contused area in phagocytic microglia	234
NLRP1	CSF	Unknown	Adult: high levels seen in patients with unfavourable 5-month GOS score in moderate to severe TBI	Adaptor protein ASC also increased in CSF of TBI patients	235
Caspase-1	CSF	Unknown	Adult: high levels in patients with unfavourable 5-month GOS score in moderate to severe TBI	ldentified by p20 subunit, suggesting activated form of enzyme	235
	Tissue	Procaspase-1 is cleaved to active form on day 1	Unknown	-	236
Mitochondrial DNA	CSF	Peak day 1, declined on day 3, but still above control	Paediatric: high levels associated with unfavourable 6-month GOS score in severe TBI	Correlates with HMGB1 level	43

Table 1 (cont.) | Factors modulating neuroinflammation in patients with TBI

BBB, blood-brain barrier; CCL, C–C motif chemokine; CSF, cerebrospinal fluid; CXCL, C–X–C motif ligand; ECF, extracellular fluid; GFAP, glial fibrillary acidic protein; GCS, Glasgow Coma Scale; GOS, Glasgow Outcome Scale; HMGB1, high mobility group box 1; ICP, intracranial pressure; NGF, nerve growth factor; NLRP1, NACHT, LRR and PYD domains-containing protein 1; RAGE, receptor for advanced glycation end products; TBI, traumatic brain injury; TGF, transforming growth factor; TNF, tumour necrosis factor; TSPO, translocator protein.

#### CD11d/CD18 integrin

A pattern recognition receptor that is located on the surface of neutrophils and monocytes and is functionally important in recognition of complement, as well as cell-cell interactions and cellular adhesion.

#### Chemokine gradients

Concentration gradients of chemotactic cytokines with the ability to influence inflammatory cell migration and function. For example, C–C motif chemokine 2 (CCL2), a chemokine for monocytes, macrophages and microglia, and its receptor CCR2 interact to recruit these immune cells to injured tissue after traumatic brain injury. Mitochondrial dysfunction. Mitochondrial dysfunction and reactive oxygen species (ROS) generation, caused by direct and indirect injury after TBI, have also been identified as triggers of neuroinflammation<sup>40</sup>. Translocation of the phospholipid cardiolipin from the inner to the outer mitochondrial membrane, shown to occur after experimental TBI, tags damaged mitochondria for mitophagy, and can also be a final pathway for inflammasome activation<sup>41,42</sup>. Failure of mitophagy and resultant cell death can lead to release of mitochondrial DAMPs: in children with TBI, increased levels of mitochondrial DNA have been reported in the CSF43. These mitochondrial danger signals produce local and systemic responses through interaction with receptors on immune cells: mitochondrial DNA via TLR9 on dendritic cells and N-formyl peptides via formyl peptide receptor 1 on neutrophils44. Membranes with mitochondrial cardiolipins on their surface are engulfed via phagocytosis dependent on the CD36 protein<sup>45</sup>. There is a paucity of data regarding the CD36-mediated inflammatory response after TBI; however, one study has indicated that CD36 has a beneficial role in neurological outcomes in patients with intracranial haemorrhage, probably by facilitating haematoma resorption<sup>46</sup>. Although individuals with TBI were excluded from the study, intracranial haemorrhage is present in many patients with moderate to severe TBI, and CD36-mediated haematoma resorption may outweigh the harm of CD36-mediated inflammation.

*Vascular injury.* In addition to inflammatory triggers released from neurons and glia, trauma to the vasculature can lead to leakage of blood components, including complement and the potent neurotoxin and immune modulator cell-free haemoglobin (fHb), into the cerebral parenchyma<sup>47</sup>. Complement factors have been detected in brain tissue<sup>48</sup> and CSF<sup>49</sup> of patients within hours of severe TBI, and they correlate with increased

#### Box 1 | SIRS and the compensatory anti-inflammatory response

In this Review, we have focused primarily on the neuroinflammatory response to traumatic brain injury (TBI); however, a single TBI can also trigger a systemic inflammatory response syndrome (SIRS) and subsequent compensatory anti-inflammatory response. These systemic responses can potentially increase the risk of nosocomial infection or multiple organ dysfunction.

A peripheral immune response can increase the risk of CNS injury via several pathways, including the sympathetic and parasympathetic nervous system, glymphatic and lymphatic clearance, the hypothalamic–pituitary–adrenal axis, and a disrupted blood–brain barrier. In addition, therapeutic agents routinely used in neurocritical care, such as sedatives, antiepileptic drugs and hyperosmolar agents, can affect peripheral immune function and further exacerbate the risk of SIRS.

Age seems to be an important risk factor for SIRS. For example, early post-TBI neutrophilia in adults is associated with substantially increased oxidative burst activity<sup>195</sup>, whereas in children, TBI results in substantially reduced ROS generation in neutrophils<sup>196</sup>.

Perhaps the most important factor influencing the characteristics of the systemic inflammatory response to TBI is time from injury. Although few studies have carefully studied the time course of peripheral immune function, a marked immunosuppressed state seems to occur at ~1 week after TBI, corresponding to the peak of the nosocomial infection rate<sup>197</sup>.

BBB permeability<sup>49</sup>. Evidence from murine models<sup>50</sup> suggests that although complement system activation is required for normal wound healing, TBI can cause acute dysregulation of complement, resulting in secondary injury<sup>51</sup>. Indeed, in a weight-drop model of TBI in mice, inhibition of the alternative complement pathway52 or the membrane attack complex53 reduced neurodegeneration and axonal loss, and improved neurological outcomes. fHb-haptoglobin complexes are released in the contused areas of the brain, where they are cleared by CD163 receptors on microglia and macrophages which, as a result, differentiate to an anti-inflammatory phenotype<sup>54</sup>. If the haptoglobin-CD163 pathway is dysfunctional or is outcompeted by the amount of fHb present, fHb and its breakdown products haem and iron can, presumably, induce direct neuronal toxicity by generating ROS and scavenging nitric oxide<sup>55</sup>, as demonstrated in rodent models of post-traumatic epilepsy<sup>56</sup>. CSF levels of soluble CD163 and the iron-binding protein ferritin are increased after TBI in children, and they correlate with injury severity and unfavourable outcome<sup>57</sup>. Thus, inflammation triggered by fHb and its degradation products could be a therapeutic target after TBI.

Several of the biochemical and molecular mechanisms of secondary injury listed above have been reported in blast-induced mTBI animal models. Characterized by axonal, periventricular and hippocampal neuronal injury, blast-induced mTBI is associated with cytokine and chemokine release, adenosine production (probably from mRNA breakdown), and activation of microglia<sup>58,59</sup>. Promising neuroprotective effects were demonstrated with the anti-inflammatory drug minocycline following blast injury in rats<sup>60</sup>.

### Cellular regulation of inflammation

Dynamics of the cellular response. Several mouse studies have elucidated the time course of inflammatory response in TBI. The first circulating immune cells to infiltrate the CNS after trauma are neutrophils, numbers of which typically peak within 24-48h of the initial injury then rapidly decline<sup>61</sup>. Diapedesis between endothelial cells is dependent on binding of integrins to vascular adhesion molecules. Within 4h of experimental TBI, expression of the neutrophilic vascular adhesion molecules E-selectin (CD62E) and intracellular adhesion molecule 1 (CD54) increases on the endothelium of the injured hemisphere62. Administration of antibody to the CD11d/CD18 integrin reduced leukocyte infiltration to the CNS, as well as the systemic inflammatory response to TBI63,64 (BOX 1). Chemokine gradients attract monocytes from the circulation to injured brain tissue, where they differentiate into macrophage subpopulations distinguished by cell-surface expression of chemokine receptors65. Studies of monocyte infiltration in mice have demonstrated accumulation within the lesion through to 3 days post-injury<sup>66,67</sup>. Dendritic cells, T lymphocytes and natural killer cells are similarly recruited during this period<sup>68</sup>, but in lower numbers.

Concurrently within the CNS, astrocytes — vital regulators of CNS inflammation — undergo reactive astrogliosis characterized by morphological and functional



Figure 2 | Polarization of microglia and macrophages following TBI. Molecular signals from injured tissue drive phenotypic and functional responses in microglia or macrophages after traumatic brain injury (TBI). Damage-associated molecular patterns (DAMPs) released by injured neurons, and proinflammatory or oxidative mediators released by infiltrating immune cells polarize cells towards an M1-like phenotype. M1-like populations are characterized by expression of proteins such as IL-1β, TNF, IL-6, NOS2, IL-12p40, and NOX2. Molecular pathways that regulate the M1 phenotype include signal transducer and activator of transcription 1 (STAT1), interferon regulatory factor (IRF)-3/5, nuclear factor-кВ (NF-кВ), p50/p65 and microRNA (miR)-155. M1-like cells release proinflammatory factors and free radicals that promote neuroinflammation, oxidative stress and neurodegeneration. In response to anti-inflammatory and neurotrophic signals, microglia and macrophages can be polarized towards an M2-like phenotype, characterized by expression of proteins such as CD206, CD163, arginase-1, FCγR, Ym1, IL-10, and TGFβ. Molecular pathways that regulate M2-like phenotypic transitions include STAT6/3, IRF-4/7, NF-кB p50/p50, Nrf2 and miR-124. M2-like microglia and macrophages release anti-inflammatory and trophic factors that resolve inflammation. They have increased phagocytic activity and promote repair by modulating neurogenesis, axonal regeneration, synaptic plasticity, and angiogenesis. Microglia and macrophages demonstrate marked plasticity and can switch between M1-like and M2-like phenotypes. Following TBI, mixed phenotypes are present during the acute phase, transitioning to an M1-like-dominant phenotype in the chronic phase.

adaptations, including upregulation of glial fibrillary acidic protein (GFAP) and production of cytokines and chemokines that further recruit and activate immune cells<sup>69,70</sup>. YKL-40, a marker of reactive astrocytes, is significantly elevated in the CSF of adults with severe TBI on day 2, and peaks on day 4 post-injury (TABLE 1). Microglia undergo a similar transformation in morphology and function, with an initial peak approximately 7 days post-injury<sup>54,71,72</sup>.

Multiple phenotypes of microglia. The concept of post-traumatic neuroinflammation as a 'double-edged sword' (REF. 73), with both beneficial and injurious effects, has recently been expanded to include the function of microglia<sup>74,75</sup>. Like peripheral macrophages, microglia respond to changes in their microenvironment to become polarized along an activation spectrum ranging from the classic M1-like phenotype to an alternative M2-like phenotype<sup>76</sup> (FIG. 2). This concept has evolved from the canonical M1 versus M2 classification to reflect mixed phenotypes and the functional plasticity of tissue macrophages and microglia to changes in the microenvironment. Stimulation by DAMPs, free radicals, or proinflammatory cytokines such as IFNy induces a M1-like phenotype77. Although M1-like 'proinflammatory' cells are often presumed to be harmful, a well-regulated M1-like response could be neuroprotective after TBI. An exaggerated or prolonged M1-like response, however, can lead to secondary brain injury and drive a self-propagating hyperinflammatory state<sup>78,79</sup>. The M2a-like 'alternative' phenotype, a response to IL-4 and IL-13 stimulation, is associated with production of anti-inflammatory cytokines and increased phagocytic activity<sup>77</sup>. The M2c-like 'de-activated' phenotype occurs in response to IL-10, glucocorticoids or uptake of apoptotic cells, and regulates tissue repair and remodelling<sup>77</sup>. The M2b-like 'intermediate' phenotype is stimulated by immune complex exposure or TLR ligands<sup>76,77</sup>, and has both proinflammatory (mediated by IL-1, IL-6 and TNF) and antiinflammatory (mediated by IL-10) effects77. The degree to which microglia assume a particular phenotype (or multiple phenotypes) depends on these and other changes in the lesion microenvironment driving complex intracellular signalling pathways, influenced by genetic and epigenetic factors, that might offer additional opportunities for therapeutic intervention77,80.

Microglial polarization has been shown to vary over time and between different TBI models. In mice, activated microglia demonstrate a bimodal increase after focal contusion with an initial M2-like peak at 7 days followed by an M1-like peak at 21–28 days, although it should be noted that the bulk of activated microglia have mixed M1-like and M2-like activation markers<sup>68,71,79</sup>. In diffuse brain injury, M1-like versus M2-like polarization dynamics are strikingly different, probably as a result of altered cellular immune responses that include reduced neutrophil infiltration and restricted macrophage or microglial accumulation in white matter regions that incurred the greatest damage. Diffuse brain injury results in transient

increases in IL-1 $\beta$ , TNF and CD14 expression in the cortex and hippocampus of mice as early as 4h after injury; the levels of these molecules return to baseline by 72 h (REF. 81). In addition, mixed M1-like and M2-like activation markers are present on microglia or macrophages 24h after injury in mice<sup>82</sup>. However, the functional role of M1-like versus M2-like phenotypes in axonal injury and repair following diffuse brain injury remains to be elucidated.

*Links to adaptive immune response.* The adaptive immune response, mediated by T cells and B cells, can strongly influence microglial phenotype and function, but the involvement of this system after TBI remains unclear. Data from rodent studies have shown that T cells infiltrate the injured tissues to promote inflammation after experimental spinal cord injury; fingolimod administration sequestered lymphocytes in lymph nodes, thereby suppressing inflammation and promoting recovery<sup>83–85</sup>. However, fingolimod also acts directly on CNS cells, complicating the interpretation of these findings. Mice genetically engineered to lack T cells have worse outcomes after experimental CNS injury<sup>86,87</sup>, suggesting that T cells are predominantly neuroprotective.

Somewhat counterintuitively, activation of autoimmune T cells protects mice from secondary neurodegeneration in CNS injury, a phenomenon termed 'protective autoimmunity' (REFS 86,88). One potential mechanism underlying this protection is the production, by T cells, of neurotrophic factors that promote survival and repair of neurons and astrocytes<sup>89,90</sup>. Mice lacking T cells show cognitive, behavioural and developmental abnormalities, suggesting that T cells contribute to neurodevelopment; these cells might also participate in maintenance of normal brain function<sup>91</sup>. As well as regulating the M1-like versus M2-like balance, T-cell-produced IL-4 protects neurons by amplification of neurotrophin signalling<sup>86</sup>. Although T-cell responses typically require antigen presentation to the T-cell receptor, IL-4-mediated protection of injured CNS tissue does not seem to require antigen-specific receptor activation of T cells, and DAMPs from injured neurons directly induce differentiation of IL-4-producing T cells<sup>86</sup>. IL-33, an interleukin belonging to the IL-1 family that is released from damaged cells, is also neuroprotective after CNS injury in mice92. IL-33 is known to act on type 2 T-helper ( $T_{H}$ 2) cells that produce IL-4 (REF. 93); hence, IL-33 could provide a link between CNS injury and activation of IL-4 production. An IL-33-responsive population of tissue-resident regulatory T cells has been identified in the muscle94,95 and gut of mice96, and contributes to resolution of inflammation and wound repair in those tissues. It is intriguing to speculate that a similar IL-33-regulatory T-cell axis could operate in the human brain after TBI.

To harness the neuroprotective benefits of T-cell activity without adverse effects, the specific mechanisms of T-cell-mediated protection versus damage need to be precisely targeted. The methods used to evoke an autoimmune response that protects from injury in mouse models of TBI are also used to induce experimental autoimmune encephalomyelitis (EAE), which is used to model multiple sclerosis in rodents.  $T_H 17$  cells are thought to drive inflammatory demyelination of the spinal cord in EAE<sup>97</sup>.  $T_H 17$  cells and other type 17 T cells have been associated with myriad autoimmune and inflammatory conditions<sup>98</sup> but have not yet been investigated in TBI.

Potential involvement of the gut-brain axis. Type 17 responses are promoted by cytokines, particularly IL-1β, that are known to be released after TBI in humans, and induce CXCL8 and neutrophil recruitment. In ischaemic stroke modelled in mice, harmful IL-17 is largely produced by 'type 17'  $\gamma \delta T$  cells that rapidly infiltrate the injured brain<sup>99</sup>. These cells are strongly influenced by the remote gut environment, as shown in another mouse study in which antibiotic-induced dysbiosis of gut microbial flora resulted in protection from stroke, an effect that could be linked to reduced numbers of IL-17+  $\gamma\delta T$  cells<sup>100</sup>. The profound impact of the gut microbiome on peripheral tissue immune responses, including the CNS, is a recurring theme in immunology<sup>101,102</sup>. Besides CNS injury, the gut-CNS communication has been suggested to influence cognition, mood and anxiety<sup>103,104</sup>. Thus, it is possible that administration of antibiotics or changes in diet during intensive care unit hospitalization after severe TBI could inadvertently alter this gut microbiome-brain inflammation axis.

*Lymphatic and glymphatic systems.* Lymphatic drainage of body tissues regulates the flow of interstitial fluid and removal of waste products. The lymphatic system also supports immune surveillance by carrying macromolecules and activated antigen-bearing dendritic cells to local lymph nodes, where the antigens can be presented to activate the adaptive immune response. Antigen presentation may represent a critical step, as naive T cells and B cells typically circulate through lymph nodes via blood and efferent lymphatics but do not enter non-lymphoid tissues until primed.

Until recently, the brain was considered an immune-privileged site: the apparent lack of a lymphatic drainage system in the brain supported the assumption that the peripheral immune system was unresponsive to pathologies in the CNS. However, adaptive immune responses are primed and recruited as a response to CNS injury, and waste products must be rapidly cleared from the brain. Technological advances in imaging that enabled interrogation of brain drainage in closed-skull systems have resolved these paradoxes and, in the past few years, two — most probably intersecting — systems that drain the brain tissue have been delineated in mice.

The glymphatic system<sup>105</sup> allows small molecules to rapidly enter the brain and, perhaps more importantly, enables fluid drainage and clearance of metabolites, soluble proteins and waste products, including amyloid- $\beta$  (A $\beta$ ), from the brain interstitial space. Glymphatic flow is greatly increased during sleep, when the brain interstitial space volume is increased; this phenomenon seems to be at least partly

#### Autoimmune T cells

Also called autoreactive T cells, these T lymphocytes react to self antigens and may cause autoimmune disease, but are also critical for normal brain function and repair.

#### T<sub>H</sub>17 cells

A subset of effector T-helper cells that produce IL-17 and other proinflammatory cytokines.

#### Glymphatic system

Astrocyte-regulated convective bulk flow of the cerebrospinal fluid from the paravascular space through interstitial fluid in an arterial–venous direction. attributable to passive mechanisms, as lateral posture in awake mice replicated the increased flow compared with upright posture<sup>106,107</sup>.

The second CNS clearance system consists of lymphatic vessels that line the dural sinuses and meningeal arteries<sup>108,109</sup>. These vessels have classic lymphatic architecture and drain to the deep cervical lymph nodes, providing a direct conduit between the brain and the peripheral immune system. These vessels also contain immune cells and macromolecules, mimicking peripheral lymphatic vessels. Brain lymphatic vessels include populations of T cells and B cells<sup>109</sup>, which have presumably migrated through and surveyed the brain tissue.

In a rodent model, TBI has been shown to impair the glymphatic system drainage<sup>110</sup>, resulting in accumulation of damage and waste products such as tau<sup>111</sup>, and providing a potential link between injury-induced disruption of glymphatic drainage and development of CTE. Inflammatory astrocyte activation might amplify the effects of mechanical damage on glymphatic flow after TBI, as glymphatic flow is determined in large part by astrocyte function, in particular, aquaporin-4 channels. The effects of TBI on brain lymphatic drainage to deep cervical lymph nodes have not yet been investigated, but one could envision that TBI would alter the associated lymph vessels. Accumulation of waste products as a result of impaired lymphatic drainage might trigger neuroinflammation by activating pattern recognition receptors on microglia. The interaction between altered lymphatic drainage and neuroinflammation and the ensuing long-term consequences warrant further investigation.

#### Effects of secondary insults

The presence of a concurrent secondary insult such as polytrauma, hypotension and/or hypoxaemia is a critical determinant of outcome after TBI, particularly severe TBI. Secondary insults occur in as many as two-thirds of patients with severe TBI<sup>112</sup>. These insults are often haemorrhagic, and compromise perfusion and oxygen delivery to the injured brain<sup>113</sup>. Analysis of >2,000 patients revealed that mortality was 72% in patients with severe TBI and a comorbid secondary injury, compared with 46% in patients with TBI alone<sup>114</sup>.

Despite the importance of polytrauma and secondary insults in TBI, few preclinical or clinical studies have evaluated their role in the cerebral or systemic inflammatory responses. Although one might anticipate that polytrauma and/or secondary insults would amplify the local inflammatory response in the brain by superimposing tissue hypoxaemia and/or ischaemia onto the traumatic insult, such an effect has, surprisingly, not been observed. Instead, both preclinical and clinical studies have revealed that second insults shift the cytokine response to a more anti-inflammatory phenotype, amplifying the IL-10 response. In a mouse model of polytrauma (controlled cortical-impact TBI followed by a brief period of severe haemorrhagic shock), serum IL-10 levels increased nearly 100-fold compared with TBI alone and 30-fold compared with

haemorrhagic shock alone<sup>115</sup>. Levels of proinflammatory cytokines and chemokines (IP-10, TNF, CXCL1, CCL2, CCL3 and CCL11) increased in serum after TBI alone, but not after TBI plus haemorrhagic shock, and the mice with TBI plus haemorrhagic shock had lower serum IL-6 levels than did animals exposed to TBI alone. Although haemorrhagic shock worsened longterm behavioural outcomes and histologically confirmed pathology after TBI<sup>116</sup>, the local cytokine and chemokine responses in the brain were not appreciably altered.

In general, clinical data parallel these preclinical findings. Relative to patients with TBI alone, patients with TBI with polytrauma have increased serum concentrations of the anti-inflammatory agents IL-10, IL-1ra, and soluble TNF receptor 1, with no change in the levels of proinflammatory cytokines IL-1 $\beta$  and TNF<sup>117</sup>. In a longitudinal analysis of cytokines and adhesion molecules in 114 adults with severe TBI, serum IL-10 levels were higher among individuals with TBI plus polytrauma than in patients with TBI alone<sup>118</sup>.

It remains unclear whether haemorrhagic shock confers a unique effect, or whether all types of secondary insults produce a similar shift toward an antiinflammatory phenotype. Certain forms of peripheral injury, such as skeletal fracture or hepatic contusion, might increase the circulation of proinflammatory cytokines in patients. Combined-injury animal models that incorporate long-bone fracture with TBI are increasingly used to address the effects of peripheral injuries on TBI outcomes<sup>119,120</sup>. In a mouse model of tibia fracture combined with diffuse brain injury, mice with combined injury exhibited increased anxietyrelated behaviour and brain atrophy, and evidence of increased astrogliosis, neutrophil infiltration and brain tissue IL-1ß levels<sup>121</sup>. Similarly, systemic administration of the proinflammatory mediators IL-1 $\beta^{122}$  and lipopolysaccharide123 in rodent models of diffuse TBI have been demonstrated to exacerbate the neuroinflammatory response, result in larger contusion volume, and worsen behavioural outcomes. Whether these effects are mediated directly via binding to receptors on microglia and astrocytes is not known, and confounding indirect effects such as hypotension or hyperthermia cannot currently be excluded.

Finally, there has been limited study of the impact of secondary insults in mTBI. In rats, a brief period of imposed hyperthermia to 39 °C, beginning 15 min before mild fluid percussion injury and continued for 4 h, produced cognitive deficits even though the injury level used was so mild that it would not have otherwise resulted in cognitive deficits<sup>124</sup>. Cooling the rats to normothermia at 15 min after TBI prevented development of the cognitive deficits, a finding that the researchers attributed to amplification of neuro-inflammation by hyperthermia. Given the high prevalence of concussions during the summer months, for example, in football and other sports training camps, this observation, if translated to humans, could have important implications.

#### Neuroinflammation and regeneration

Neurogenesis. The effects of neuronal death after TBI might be mitigated by an increased rate of neuronal progenitor cell (NPC) proliferation, followed by migration to injured brain regions, differentiation to neurons, and integration into neural networks125. Similar to the dual effects of inflammation on secondary brain injury, experimental evidence suggests that inflammatory mediators are a key component of neurogenesis and can either support or hinder the potentially neuroprotective role of NPCs at several stages. For example, microglia stimulated to differentiate to an M1-like phenotype with lipopolysaccharide reduce hippocampal neurogenesis in the adult mouse126, an effect similarly seen with the proinflammatory cytokines TNF, IL-1β and IL-6 (REF. 127). Reduced neurogenesis was reversed by treatment with minocycline126 or indomethacin128. By contrast, M2-like microglia, induced by IL-4 or low-dose IFNy, release neurotrophins such as insulin-like growth factor-1 (IGF-1) and induce neurogenesis<sup>129</sup>. Neurogenesis may be stimulated by addition of a running wheel to the cage after experimentally induced TBI in mice, which was shown to reduce M1-like microglial activation and increase production of IGF-1, IL-10 and brain-derived neurotrophic factor; these changes were associated with improved cognitive outcomes<sup>130</sup>. NPCs also express chemokine receptors, such as CCR2 and CXCR4, and chemokines may direct the migration of these cells to the area of injury<sup>131</sup>.

Although brain atrophy increases over time after TBI132,133, most TBI survivors show functional improvements134, which are attributed not only to recovery of function of existing neuronal pathways but also to brain plasticity and reorganization. Although studies in TBI animal models are limited, experiments modelling other forms of brain injury suggest that interactions between the neuronal unit and immune system are critical to formation and strengthening of new synaptic connections<sup>135</sup>. The degree of activation and the local inflammatory milieu are likely to define whether any particular cytokine or inflammatory cell type benefits or disrupts brain plasticity. For example, in a GFAP-IL-6 transgenic mouse model, overexpression of IL-6 caused a substantial reduction in hippocampal longterm potentiation (LTP)<sup>136</sup>; this effect was partially reversed by blocking of IL-6 signalling137. Elevated IL-1β also impairs LTP but, surprisingly, promotes neurite outgrowth synergistically with neurotrophin-3, suggesting that IL-1β-blocking therapies would promote neuronal survival at the expense of reinnervation<sup>138</sup>. In rats subjected to repetitive mTBI, activation of microglia was associated with impaired LTP, attenuated NMDA signalling and impaired memory - effects that were not seen after a single mTBI<sup>139</sup>. Thus, neuroinflammation is likely to have a critical — though patient-specific — role in neurogenesis and synaptic plasticity after TBI.

*Angiogenesis and gliogenesis.* Microglial polarization and the local inflammatory milieu might influence repair through activation of angiogenesis and gliogenesis. Although evidence from animal models of TBI is

scant, findings from experimental autoimmune and hypoxic-ischaemic brain injury suggest that M1-like microglia impair oligodendrogenesis, and oligodendrocyte maturation and viability, via a TNF-dependent mechanism<sup>80,140</sup>. By contrast, M2-like microglia or conditioned medium from M2-like microglial culture promote oligodendrogenesis and remyelination in these models. M2-like microglia might also induce angiogenesis and vascular repair via production of pro-angiogenic cytokines (such as TGFβ) and growth factors. Whether these findings translate to recovery from TBI in humans is unknown; however, treatment with pro-angiogenic growth factors released by M2-like microglia has been demonstrated to be neuroprotective in mice, and promotes neurogenesis and angiogenesis in experimental TBI141.

#### **Clinical experience**

A key question arising from the data discussed above is whether TBI-responsive neuroinflammation is a clinically relevant therapeutic target (FIG. 3). Several preclinical studies have supported this hypothesis; however, few clinical trials of therapies primarily targeting inflammation TBI have been reported.

Steroids. Surprisingly, corticosteroids have been the least successful anti-inflammatory class of drugs in TBI (TABLE 2). Despite the potent suppressive effects on inflammation, high-dose methylprednisolone (5 mg/kg daily)<sup>142</sup>, large doses of dexamethasone (100 mg daily for 4 days, followed by 16 mg daily from days 5–7 and tapering of the dose from days 8–10 (REF. 143)), ultra-high-dose dexamethasone (2.3 g over 51 h)<sup>144</sup>, the aminosteroid tirilazad<sup>145</sup>, and a trial of hydrocortisone and fludrocortisone<sup>146</sup> all failed to demonstrate beneficial effects on neurological outcome. Off-target effects with systemic administration of corticosteroids are likely to affect outcomes and, in the case of tirilazad, limited brain exposure might have been a confounder<sup>147</sup>.

Other anti-inflammatory drugs. Other anti-inflammatory strategies have been evaluated in humans, including the bradykinin B2 receptor antagonist anatibant, which produced a trend toward worse outcome in 228 patients enrolled<sup>148</sup>. A provocative randomized controlled trial (RCT) used recombinant human granulocyte colony stimulating factor (G-CSF) to enhance the cellular inflammatory response<sup>149</sup>. The number of patients was low, and no differences were seen between G-CSFtreated and placebo groups in the primary outcome (nosocomial infections), mortality or hospital length of stay. Minocycline has shown promise in a phase II trial in patients with spinal cord injury<sup>150</sup>; however, its efficacy has not been tested in human TBI, and preclinical data are equivocal<sup>151</sup>. Of note, the studies targeting inflammation after TBI have all been performed in adult patients. Given the observed association between heightened inflammation and younger age in children with severe TBI, anti-neuroinflammatory strategies might be more impactful in the developing brain57,152.



Figure 3 | **Novel TBI therapies targeting inflammation at different time points.** Therapies targeting traumatic brain injury (TBI)-responsive inflammation may be effective at different time points depending on the therapeutic target(s). Inflammation triggered by release of damage-associated molecular patterns (DAMPs) and reactive oxygen species (ROS) can be blocked through the use of antioxidants, minocycline and peroxisome proliferator-activated receptor (PPAR) agonists. Inflammasome activation will cause release of IL-1 $\beta$ , the action of which can be inhibited at IL-1 receptors with IL-1ra (anakinra). Over the next few hours to days, invasion of the CNS by circulating immune cells can be inhibited by therapies such as NK1 and chemokine antagonists. Microglial polarization to an M2-like phenotype can be promoted by mesenchymal stem cells (MSCs), PPAR agonists and CCR2 antagonists. T cells must be primed to enter the CNS — this process might be inhibited by therapies such as intravenous immunoglobulin. Additionally, alterations in the gut microbiome may affect the relative numbers of proinflammatory and anti-inflammatory T lymphocytes. Glymphatic clearance may be impaired after TBI, possibly reducing clearance of proinflammatory mediators. Investigations are ongoing to determine ways to improve glymphatic flow, such as increased clearance observed during sleep. Chronic microglial activation might develop and lead to neurodegeneration. Activation of mGluR5 on microglia, such as with (RS)-2-chloro-5-hydroxyphenylglycine (CHPG), attenuates chronic M1-like microglial activation. Rehabilitation and exercise have also been shown to reduce M1-like microglial activation. CSF, cerebrospinal fluid.

*Non-selective versus targeted therapies.* Inferences can be made by extrapolating from clinical trials that evaluated multifaceted therapies with anti-inflammatory consequences. The anti-inflammatory effect of hypothermia was touted as one of its main modes of efficacy<sup>153</sup>. Disappointingly, although single-centre studies

in adults with severe TBI showed a reduction of inflammatory cytokine levels<sup>154</sup>, multicentre RCTs of therapeutic hypothermia after TBI have failed to show benefit in either adults<sup>155,156</sup> or children<sup>11,157</sup>. Progesterone, which blunted the neuroinflammatory response to trauma in mice<sup>158</sup>, was evaluated in two large multicentre RCTs<sup>159,160</sup>

of adults with moderate to severe TBI, and failed to show benefit with regard to 6-month Glasgow Outcome Scale scores or mortality.

The results from these clinical studies suggest that non-selective attenuation of the inflammatory response early after severe TBI is not beneficial, and can potentially be detrimental. The existing literature lacks studies using targeted, single-pathway anti-inflammatory strategies in humans, and more-personalized approaches that individualize treatments according to genotype, inflammatory biomarkers, timing and duration of therapy, and patient age and sex. Identification of specific patient subsets to target enrolment criteria for clinical studies could increase the success of future trials. For example, a variant of a gene encoding IL-1 $\beta$  was associated with increased risk of post-traumatic epilepsy<sup>161</sup>, making it an attractive strategy to target antiseizure interventions to individuals at high risk.

Furthermore, it is unclear whether acute inhibition of inflammation after single or repetitive mTBI might prevent chronic sequelae such as CTE. The key question is whether a single exposure or multiple exposures to TBI

Table 2   Selected clinical trials evaluating therapies for neuroinflammation after TBI							
Therapy	Effects on inflammation	Study design and number of patients	Dose	Primary outcome	Secondary outcomes	Comments	
Anatibant	<ul> <li>Blocks bradykinin signalling</li> <li>Prevents BBB disruption</li> </ul>	Brain Trial: • Multicentre RCT <sup>148</sup> • 228 adults with GCS score ≤12	Low (10 mg load + 5 mg daily), mid (20 mg load + 10 mg daily), or high (30 mg load + 15 mg daily) versus placebo	No difference in incidence of serious adverse events	Trend towards harm in discharge GCS, DRS, and HIREOS scores	<ul> <li>Recruitment paused due to Data and Safety Monitoring Board concerns</li> <li>Terminated (withdrawal of funding)</li> </ul>	
Cyclosporin A	Reduces T-cell counts and activation	<ul> <li>Single-centre RCT<sup>237</sup></li> <li>38 adults with GCS score ≤8</li> </ul>	5 mg/kg over 24 h or 10 mg/kg over 48 h versus placebo	No difference in blood T-cell counts	No difference in incidence of infection	Reduced lymphocyte count on admission associated with worse outcome and increased respiratory infections	
Dexanabinol	• TNF inhibitor • NMDA antagonist	<ul> <li>Multicentre RCT<sup>238</sup></li> <li>861 adults with ICP monitoring, GCS motor score 2–5</li> </ul>	Single 150 mg dose (within 6 h of injury) versus placebo	No difference in GOS-E score at 6 months	No difference in adverse events	-	
Erythropoietin	<ul> <li>Decreases production of proinflammatory cytokines and chemokines</li> <li>IL-1 and TNF block erythropoietin production</li> </ul>	<ul> <li>EPO-TBI:</li> <li>Multicentre RCT<sup>239</sup></li> <li>606 adults with moderate and severe groups (GCS score 9–12 and ≤8)</li> </ul>	40,000 IU weekly for 3 weeks versus placebo	No difference in GOS-E score at 6 months	No difference in mortality or deep vein thrombosis	<ul> <li>Mortality reduced in patients without mass lesions, no increase in good outcome</li> <li>Many patients did not receive full course</li> </ul>	
		<ul> <li>Multicentre RCT<sup>240</sup></li> <li>200 adults with TBI, unable to follow commands</li> </ul>	500 IU/kg × 3 doses at 24 h intervals or 500 IU/kg × 1 dose then weekly × 2 weeks versus placebo	No difference in GOS-E score at 6 months	No difference in mortality, acute respiratory distress syndrome or infection	Original dosing regimen (daily × 3 doses) stopped by the FDA owing to safety concern (higher mortality in stroke trial)	
Granulocyte colony- stimulating factor (G-CSF)	Stimulates stem cells to produce granulocytes	<ul> <li>Multicentre RCT<sup>149</sup></li> <li>61 adults with GCS score ≤8, expected to require mechanical ventilation for &gt;3 days</li> </ul>	75 μg or 300 μg daily for 10 days versus placebo	Dose-dependent increase in neutrophil count	<ul> <li>No difference in mortality, length of stay, or nosocomial infection</li> <li>Significant decrease in bacteraemia incidence</li> </ul>	<ul> <li>Adverse events similar between groups</li> <li>Included patients with cerebral haemorrhage as well as TBI</li> </ul>	

Table 2 (cont.)   Selected clinical trials evaluating therapies for neuroinflammation after TBI							
Therapy	Effects on inflammation	Study design and number of patients	Dose	Primary outcome	Secondary outcomes	Comments	
Hypertonic saline	<ul> <li>Improves T-cell function</li> <li>Reduces TNF and IL-10</li> </ul>	<ul> <li>Multicentre RCT<sup>241</sup></li> <li>1,331 adults with severe TBI</li> </ul>	250 ml bolus of 7.5% saline/6% dextran 70 or 7.5% saline versus 0.9% saline initiated pre-hospital	No difference in GOS-E score at 6 months	No difference in survival at 28 days	Terminated (futility)	
Hypothermia	<ul> <li>Humoral and cellular immune response is temperature- dependent</li> <li>Decreased neutrophil accumulation in CNS</li> <li>Decreased IL-1β, possibly via reduction in temperature- dependent caspase-1 activity</li> </ul>	Cool Kids: • Multicentre RCT <sup>242</sup> • 77 children with GCS score ≤8	32–33 °C versus 36.5–37.5 °C for 48–72 h	No difference in mortality at 3 months	No adverse events	Terminated (futility)	
		<ul> <li>Multicentre RCT<sup>11</sup></li> <li>225 children with GCS score ≤8</li> </ul>	32.5°C versus 37°C for 24h	No difference in 6-month PCPC score	No difference in mortality	Non-significant trend toward increased mortality, significantly higher incidence of hypotension and vasoactive agent use during rewarming (+0.5 °C every 2 h)	
		NABIS: HII • Multicentre RCT <sup>156</sup> • 97 adults with GCS score 4–8, enrolled within 2.5 h of injury	32–34 °C versus 35.5–37 °C for 72 h	No difference in 6-month outcome	No difference in mortality	<ul> <li>Terminated (futility)</li> <li>Improved outcomes in patients with evacuated haematoma treated with hypothermia</li> </ul>	
		Eurotherm3235: • Multicentre RCT <sup>155</sup> • 387 adults with severe TBI and ICP >20 mm Hg despite stage 1 treatments	Cooled to 32–35 °C followed by stage 2 if ICP remained high versus stage 2 treatments alone	Lower GOS-E score in hypothermia group	Stage 3 treatments (coma, craniectomy) more often required in the control group than in the hypothermia group	Terminated (safety concerns)	
Anakinra	Blocks IL-1 signal transduction	<ul> <li>Single-centre RCT<sup>243</sup></li> <li>20 adults with GCS score ≤8</li> </ul>	100 mg subcutaneous every 24 h × 5 doses	Increased IL-1ra in CNS extracellular fluid within 6 h	PCA of 42 cytokine multiplex demonstrated separation between treatment and placebo groups	<ul> <li>Subsequent study<sup>244</sup></li> <li>showed that patients</li> <li>receiving</li> <li>rlL-1ra had</li> <li>cytokines</li> <li>biasing to</li> <li>M1-like</li> <li>microglial</li> <li>phenotype</li> <li>Control</li> <li>patients were</li> <li>relatively</li> <li>biased to</li> <li>M2-like</li> <li>phenotype</li> </ul>	
Probiotics	Modify lymphocyte polarization before CNS infiltration	<ul> <li>Single-centre RCT<sup>245</sup></li> <li>52 adults with GCS score 5–8</li> </ul>	10º bacteria for 21 days versus placebo	No difference in 28-day mortality	On day 21, treatment group had higher IFNγ and lower IL-10 and IL-4	<ul> <li>Trend to decrease late ventilator- associated pneumonia</li> <li>CNS effects unclear</li> </ul>	

Table 2 (cont.)   Selected clinical trials evaluating therapies for neuroinflammation after TBI							
Therapy	Effects on inflammation	Study design and number of patients	Dose	Primary outcome	Secondary outcomes	Comments	
Statins	<ul> <li>Inhibit expression of vascular dhesion molecules and chemokines to reduce leukocyte infiltration of CNS</li> <li>Associated with reductions in proinflammatory cytokines</li> </ul>	<ul> <li>Single-centre RCT<sup>246</sup></li> <li>21 adults with GCS score 9–13</li> </ul>	Rosuvastatin 20 mg daily for 10 days versus placebo	Modest decrease in amnesia and disorientation time	No difference in disability at 3 months	Subsequent study <sup>247</sup> showed reduction in plasma TNF at 72 h	
Steroids	<ul> <li>Inhibit leukocyte activation and infiltration</li> <li>Modulate cytokine release</li> </ul>	CRASH: • Multicentre RCT <sup>142</sup> • 10,008 adults with GCS score <14	Methylprednisolone load 2 g over 1 h + maintenance 0.4 g/h for 48 h versus placebo	Higher risk of mortality at 2 weeks in steroid group	Higher risk of mortality at 6 months in steroid group	Terminated early for safety concerns	
	Progesterone decreases upregulation of	<ul> <li>Multicentre RCT<sup>143</sup></li> <li>161 adults with TBI and coma</li> </ul>	Dexamethasone 100 mg versus placebo	No difference in survival	No difference in 6-month outcome	-	
	<ul> <li>IL-1P, INF, and complement factors 3 and 5</li> <li>Reduce M1-like microglial activation</li> </ul>	<ul> <li>Multicentre RCT<sup>145</sup></li> <li>163 adults with GCS score 9–12 and 957 adults with GCS score 4–8</li> </ul>	Tirilazad 10 mg/kg within 4 h of injury and every 6 h for 5 days versus placebo	No difference in 6-month GOS score	No difference in mortality	Significant differences in pretreatment hypotension and hypoxia related to intercentre variation	
		Corti-TC: • Multicentre RCT <sup>146</sup> • 336 adults with GCS score ≤8	Hydrocortisone (200 mg daily, tapered) +fludrocortisone (50 μg daily) for 10 days versus placebo	No difference in incidence of hospital- acquired pneumonia	No change when analysed according to presence or absence of adrenal insufficiency	Study may have been underpowered owing to lower than expected incidence of hospital-acquired pneumonia	
		ProTECT III: • Multicentre RCT <sup>159</sup> • 882 adults with GCS score 4–12	Progesterone infusion started within 4 h of injury, duration 96 h	No difference in 6-month GOS score	No difference in mortality	Terminated (futility)	
		SyNAPSe: • Multicentre RCT <sup>160</sup> • 1,195 adults with GCS score ≤8	Progesterone 0.71 mg/ kg load +0.5 mg/kg/h infusion for 119 h	No difference in 6-month GOS score	No difference in mortality	-	

BBB, blood–brain barrier; DRS, Disability Rating Scale; GCS, Glasgow Coma Scale; GOS, Glasgow Outcome Scale; GOS-E, Extended GOS; HIREOS, Head Injury Related Early Outcome Score; ICP, intracranial pressure; NMDA, N-methyl-D-aspartate; PCA, principal components analysis; PCPC, Paediatric Cerebral Performance Category; RCT, randomized controlled trial; TBI, traumatic brain injury; TNF, tumour necrosis factor.

> can prime the brain for chronic neuroinflammation and, if so, would modulation of the inflammatory response early after mTBI, or at a later stage, provide clinically meaningful benefit? Finally, from a therapeutic perspective, given the aforementioned beneficial effects of strategies mimicking rehabilitation on neuroinflammation neurogenesis and cognitive outcome, it is possible that enhancement of beneficial aspects of neuroinflammation — rather than inhibition of detrimental effects — could represent a more successful avenue for future clinical investigation.

#### Chronic neuroinflammation Bystander or driver of pathology?

*Findings from patients.* As already discussed, one might expect the acute inflammatory response after TBI to eventually resolve to a normal state. However, a subset

of patients develop chronic neuroinflammation that can last for years after injury<sup>162–165</sup>. Areas under active investigation include the proportion of patients in whom chronic inflammation will develop, the dominant triggers and intracellular pathways propagating inflammation, and genetic susceptibilities to chronic inflammation.

An examination of autopsy specimens from patients surviving >1 year after TBI, and in some cases as long as 18 years, revealed an increase in amoeboid microglia in subcortical white matter tracts versus control tissue<sup>163,166</sup>. Activated microglia were observed in 28% of the autopsies, and were associated with thinning of the corpus callosum<sup>163,166</sup>. These findings are supported by PET studies in which translocator protein (TSPO) ligands, which are thought to bind to activated microglia, were used to examine chronic neuroinflammation in TBI survivors<sup>164,167</sup>. In one study of adult patients with moderate to severe TBI, diffuse binding of the TSPO ligand <sup>11</sup>C-(R)-PK11195 was observed in areas remote to the trauma, including the thalamus, putamen and occipital cortex, up to 17 years after injury<sup>164</sup>. Inflammation in the thalamus was associated with more-severe cognitive impairments<sup>164</sup>. In another study, the secondgeneration TPSO ligand <sup>11</sup>C-DPA-713 was used to study retired National Football League (NFL) players with self-reported histories of career concussions. The supramarginal gyrus and right amygdala exhibited ligand binding to levels greater than seen in age-matched controls<sup>167</sup>.

Serum cytokines might also demonstrate a chronic immune activation state after TBI. For example, a prospective TBI biomarker study reported chronically elevated expression of TNF in the serum after TBI, and an association between increased TNF and unfavourable long-term neuropsychiatric outcomes<sup>168</sup>.

Findings from animal models. Animal studies substantiate the clinical evidence for a chronic inflammatory state after TBI, and indicate underlying molecular mechanisms and potential therapeutic strategies<sup>139,169-173</sup>. In mice, chronic microglial activation with upregulation of the cell surface markers MHC class II, CD68 and NADPH oxidase (NOX2) is seen 1 year after moderate to severe contusion<sup>78</sup>. These markers would indicate an M1-like phenotype, with proinflammatory cytokine production and reduced phagocytic activity that would be less effective at protective functions such as AB clearance. Over the course of the year, the mice demonstrated progressive neurodegeneration with enlarging lesion volume, persistent oxidative stress, demyelination, and cognitive impairments. Chronic neuroinflammation is also observed in several murine models of repetitive mTBI. As in the acute inflammatory response to mild repetitive injury, microglia are characteristically localized to white matter tracts and may be seen in regions bordering degenerating axons, with associated neurobehavioural changes, 12–18 months after injury<sup>139,170,174–177</sup>.

**Prospects for therapy.** These findings have raised interest in the development of pharmacological and nonpharmacological approaches to reduce chronic neuroinflammation after TBI, thereby greatly expanding the window for targeted interventions. The concept of targeting chronic neuroinflammation has been examined in preclinical studies. One of the compounds tested was the selective metabotropic glutamate receptor 5 agonist (RS)-2-chloro-5-hydroxyphenylglycine (CHPG), which was previously shown to reduce microglial activation178 and improve functional recovery179 when given acutely after trauma. Mice given CHPG at 1 month after focal brain injury displayed improved neurological recovery, decreased neuroinflammation, arrested lesion expansion, sparing of white matter, and reduced neurodegeneration at 4 months<sup>180</sup>. Similarly, though with a more generalized anti-inflammatory approach, administration of the phosphodiesterase

inhibitor ibudilast on days 30–34 after fluid percussion injury in rats reduced anxiety-like behaviour and gliosis at 6 months<sup>181</sup>.

As previously stated, exercise regimens that simulate physical rehabilitation might alleviate neuroinflammation and promote release of neurotrophic factors after TBI. In mice, a 4-week treatment regime involving voluntary exercise attenuated IL-1ß gene expression and chronic microglial activation, increased production of IL-10 and neurotrophic factors, improved behavioural outcomes, and reduced lesion volume<sup>130</sup>. Importantly, the authors compared two start dates for the exercise regimen: exercise was only effective if started 5 weeks after injury, and exercise initiated at 1 week after injury was potentially proinflammatory. Thus, accumulating evidence from preclinical research indicates that chronic neuroinflammation and related neurodegeneration can be treated weeks after TBI, which suggests exciting potential for clinical translation of delayed anti-inflammatory therapies.

#### Progressive neurodegeneration

Accelerated neurodegeneration and CTE have been reported after single and repetitive TBI in athletes<sup>182</sup> and military personnel<sup>3</sup>, who have a high incidence of head trauma and concussion<sup>183</sup>. Besides its association with CTE, TBI increases the risk of developing non-AD dementia years after the initial injury<sup>184</sup>.

Recently, the role of chronic inflammation in the pathophysiology of neurodegenerative disorders has attracted considerable attention<sup>185,186</sup>, leading investigators to speculate about the role of post-traumatic neuroinflammation in mediating neurodegeneration, non-AD dementias, and CTE. Autopsies from patients with CTE associated with repetitive mTBI have shown activated microglia in perivascular regions of subcortical white matter and, in advanced disease, throughout the brain<sup>3,187,188</sup>. Two recent neuroimaging studies of retired NFL players support a role for microglial neuroinflammation in the development of CTE following repetitive head injury<sup>189,190</sup>.

Early intervention with progesterone to prevent neurodegeneration after repetitive mTBI has been evaluated in one rat study<sup>191</sup>. The animals were administered three mild diffuse injuries, each separated by 5 days, and randomly assigned to vehicle or progesterone for 15 days after the first injury. At 12 weeks after the end of the treatment, a time point considered chronic in rodent TBI models, progesterone-treated rats exhibited improved neurocognitive outcomes, reduced brain atrophy, and attenuated neuroinflammation compared with vehicle-treated rats. These promising data warrant further preclinical studies.

It remains unclear whether persistent inflammation initiates the characteristic neuropathology in CTE and should be targeted by anti-inflammatory treatments. It is also possible that the accumulation of these abnormal proteins triggers the inflammatory response, which may be aberrant and ill-suited to restore normal function. Further research is required to understand the mechanisms that underlie the chronic pathologies of TBI,



Figure 4 | Effects of chronic neuroinflammation. Neuroinflammation and microglial activation are key mediators of repair and recovery after traumatic brain injury (TBI). However, recent clinical and laboratory data have shown that TBI can cause persistent neuroinflammation and microglial activation, in some cases lasting many years, and can lead to chronic neurodegeneration, dementia and encephalopathy. Prospective studies of TBI biomarkers in adults with severe TBI have shown that serum levels of IL-1 $\beta$ , IL-6, CXCL8, IL-10, and tumour necrosis factor (TNF) are chronically increased. Experiments in animal models have demonstrated persistently increased numbers of microglia expressing MHC class II (MHC II), CD68 and NOX2 at the margins of the lesion and in the thalamus at 1 year post-injury associated with oxidative stress and white matter disruption. These inflammatory findings correlate with chronic neurodegeneration, the development of dementia, and encephalopathies (which may subsequently cause additional inflammation in a self-perpetuating deleterious feedback mechanism).

including chronic neuroinflammation, and their relationship to development of neurodegenerative disease (FIG. 4). Advances in clinical neuroimaging of TBI, including the use of selective PET ligands to reflect amyloid deposition<sup>192</sup>, tau deposits<sup>193</sup> and neuroinflammation<sup>164,167</sup>, could clarify the mechanisms driving chronic neurodegeneration after TBI, and provide opportunities to develop targeted therapies for the long-term sequelae.

#### Conclusions

Advances in understanding TBI-responsive neuroinflammation have raised exciting new questions (BOX 2), identified new therapeutic targets, and expanded the time window for treatment. Clinical trials of therapies that modulate inflammation after TBI — even in severe TBI — are still in their infancy,

and therapies targeting neuroinflammation after mTBI in patients remain completely unexplored. The approach of treating all patients with TBI using a broad-acting anti-inflammatory agent has so far not shown benefit in RCTs. Clearly, there is a need to define the inflammatory phenotypes of our patients on the basis of injury characteristics such as patient age, sex, genetic predisposition, presence or absence of secondary insults, and serum, CSF and/or imaging biomarkers. An initial approach towards a more comprehensive inflammatory phenotyping of patients by principle component analysis - incorporating classic inflammatory markers as well as steroid hormones, neurotrophic factors, and brain-derived TBI biomarkers in serum and CSF - was recently reported by Kumar et al.<sup>194</sup>. Such an approach will allow us to

#### Box 2 | Outstanding research questions and unmet needs

- Define the level of acute inflammation needed for clearance of debris, and how it can be determined
- Assess whether the M1-like/M2-like paradigm of microglial polarization translates to human brain injury. Do injury severity-related or regional differences in microglial phenotype exist?
- Investigate the potential of harnessing autoreactive adaptive immune response for the benefit of patients with traumatic brain injury (TBI), and whether  $T_{\rm H}17/IL-17$  adaptive responses contribute to neurodegeneration in TBI
- Evaluate whether the severity of damage to the CNS lymphatic drainage systems after TBI has a role in defining the magnitude of long-term neuroinflammation
- Investigate the mechanisms that prime reactivity of glia acutely after TBI and sustain their immune activation for weeks, months and years after the initial injury, and the potential of delayed interventions that modulate chronic microglial activation
- Find out how to determine when the reparative processes are no longer beneficial, and how we should facilitate return of the inflammatory process to a normal state
- Could therapeutic trials be stratified to target specific inflammatory phenotypes, reflected by biomarkers?
- New stable and selective PET ligands and/or MRI-based methods to image neuroinflammation are urgently needed
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answer questions posited in our initial framework, including how to target inflammation for clearance of debris, which patients will benefit from therapies to promote reparative aspects of inflammation, and when therapies targeting chronic inflammation should be initiated. This approach should be combined with improved preclinical trials, which incorporate different injury types and severities, and include secondary insults. In addition, preclinical trials should be expanded to define clinically relevant therapeutic window(s) and treatment durations, and include outcomes to examine both harmful and protective aspects of inflammation at acute and chronic end points. Coupled with important new clinical trial design strategies, such as adaptive design, this approach will enable trials to be conducted that target individual patients with personalized immunomodulatory treatments. We hope that this patient-tailored approach to harness inflammation after TBI will reduce secondary injury, enhance repair, and improve patient outcomes.

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#### Author contributions

The authors contributed equally to all aspects of the manuscript.

Competing interests statement

The authors declare no competing interests.

#### Review criteria

To identify human data on neuroinflammation associated with traumatic brain injury (TBI), we searched PubMed for articles published in English from January 1950 to March 2016 using the following query: "traumatic brain injury" or "closed head injury" or "closed-head injury" or "head trauma" AND ((Case Reports[ptyp] OR Clinical Study[ptyp] OR Clinical Trial[ptyp] OR Clinical Trial, phase II[ptyp] OR Clinical Trial[ptyp] OR Clinical Trial, phase II[ptyp] OR Clinical Trial[ptyp] OR Clinical Trial, phase II[ptyp] OR Clinical Trial[ptyp] OR Comparative Study[ptyp] OR Neta-Analysis[ptyp] OR Multicenter Study[ptyp] OR Randomized Controlled Trial[ptyp]) AND Humans[Mesh]). We selected articles reporting clinical findings of neuroinflammation in human TBI. Reference lists and the authors' expertise were used for inclusion of additional relevant studies.

#### ERRATUM

## The far-reaching scope of neuroinflammation after traumatic brain injury

Dennis W. Simon, Mandy J. McGeachy, Hülya Bayır, Robert S. B. Clark, David J. Loane and Patrick M. Kochanek

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In the initially published version of this article, reference 194 was incorrectly cited as reference 193 in the Conclusions section. This error has been corrected in the HTML and PDF versions of the article.