

## **CloVR-16S: Phylogenetic microbial community composition analysis based on 16S ribosomal RNA amplicon sequencing – standard operating procedure, version 1.0**

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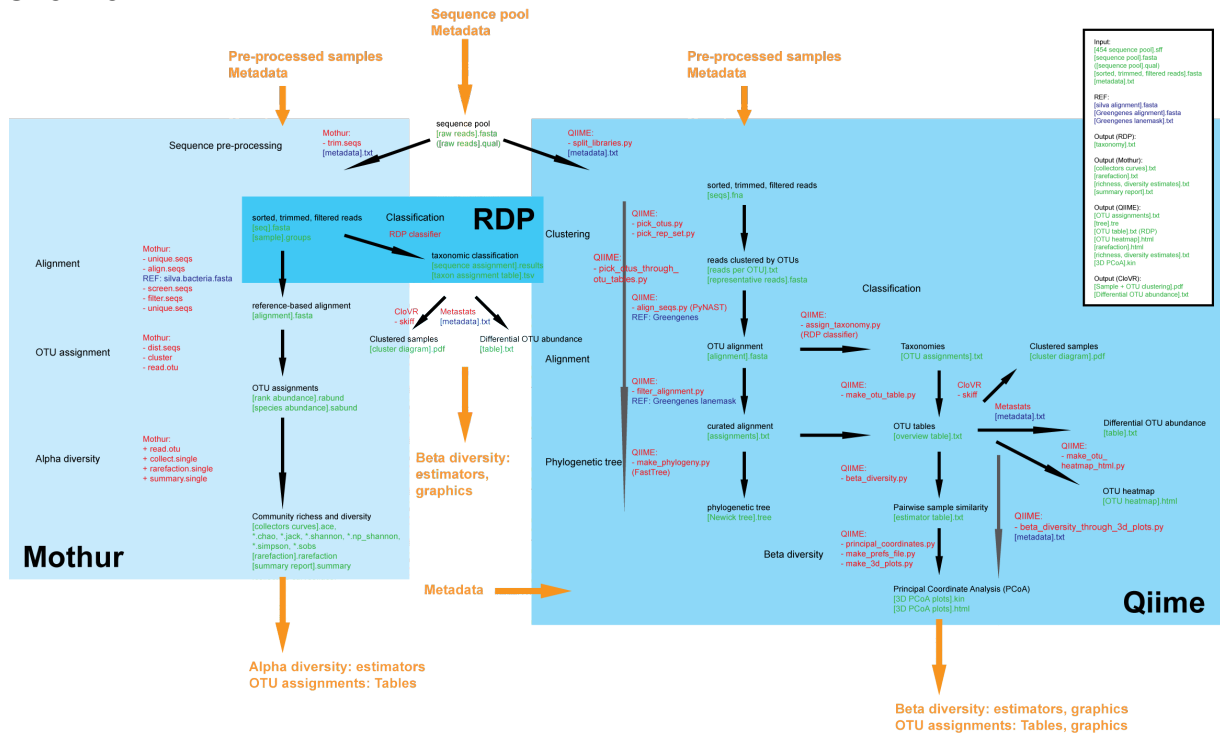
### **Abstract**

The CloVR-16S pipeline employs several well-known phylogenetic tools and protocols for the analysis of 16S rRNA sequence datasets:

- A) Mothur [1] – a C++-based software package used for clustering 16S rRNA sequences into operational taxonomic units (OTUs). Mothur creates OTUs using a matrix that describes pairwise distances between representative aligned sequences and subsequently estimates within-sample diversity (alpha diversity);
- B) The Ribosomal Database (RDP) naive Bayesian classifier [2] assigns each 16S sequence to a reference taxonomy with associated empirical probabilities based on oligonucleotide frequencies;
- C) Qiime [3] – a python-based workflow package, allowing for sequence processing and phylogenetic analysis using different methods including phylogenetic distance (UniFrac [4]) for within- (alpha diversity) and between- (beta diversity) sample analysis;
- D) Metastats [5] and custom R scripts used to generate additional statistical and graphical evaluations.

Though some of the different protocols used in CloVR-16S overlap in purpose (e.g. OTU clustering), the end-user benefits from their overall complementary nature as they focus on different aspects of the phylogenetic analysis. CloVR-16S accepts as input raw multiplex 454-pyrosequencer output, i.e. pooled pyrotagged sequences from multiple samples, or alternatively, pre-processed sequences from multiple samples in separate files. This protocol is available in CloVR beta versions 0.5 and 0.6.

## Overview



## Software

Step	Program	Version	Weblink	Reference
Distance-based OTU classification and phylogeny	Mothur	1.12.0	<a href="http://www.mothur.org/">http://www.mothur.org/</a>	[1]
Bayesian taxonomic classification	RDP classifier	2.0	<a href="http://rdp.cme.msu.edu/classifier/classifier.jsp">http://rdp.cme.msu.edu/classifier/classifier.jsp</a>	[2]
Phylogenetic distance-based sample comparison and phylogeny	Qiime	1.1.0	<a href="http://qiime.sourceforge.net/">http://qiime.sourceforge.net/</a>	[3]
Differential taxonomic prevalence calculation	Metastats	1.0	<a href="http://metastats.cbcb.umd.edu/">http://metastats.cbcb.umd.edu/</a>	[5]
Statistical and graphical evaluation	R	2.10.1-2	<a href="http://www.r-project.org/">http://www.r-project.org/</a>	

## Reference data

Database	Data	Version	Weblink	Reference
Silva	Curated 16S and 18S rRNA sequence alignment	102	<a href="http://www.arb-silva.de/">http://www.arb-silva.de/</a>	[6]
Greengenes	Curated 16S rRNA sequence alignment (core set imputed)		<a href="http://greengenes.lbl.gov/">http://greengenes.lbl.gov/</a>	[7]
	Lane mask		<a href="http://greengenes.lbl.gov/">http://greengenes.lbl.gov/</a>	[8]

### Pipeline input

Data	Suffix	Description
Multiple sequence pool	.fasta	Pool of un-trimmed, un-checked, un-binned pyrotagged 454 sequences
Multiple fasta files	.fasta	Trimmed and binned sequences, 1 file per sample
Metadata	.txt	Sample-associated feature table (tab-delimited)

### Pipeline output

Data	Suffix	Description
Taxonomic assignments	.tsv	Taxonomic classification of every read (RDP classifier/Qiime)
OTU assignments	.txt	Table showing OTU sample compositions (RDP classifier/Qiime)
Alpha diversity	.html	OTU heatmap (Qiime)
	.txt	Collectors curves (Mothur)
	.txt	Rarefaction curves (Mothur)
	.txt	Richness and diversity estimates (Mothur)
Beta diversity	.txt	Richness and diversity estimates (Qiime)
	.txt	Summary report (Mothur)
	.kin	Weighted and Unweighted UniFrac 3D PCoA plots (Qiime)
	.pdf	Taxonomic composition-based sample clustering (CloVR)
	.pdf	Taxonomic composition-based stacked histograms (R)
	.csv	Differentially abundant taxonomic groups (Metastats)

## A. Requirements for pipeline Input

To run the full CloVR-16S analysis track, at least two different input files have to be provided by the user: a sequence file in the FASTA format and a tab-delimited metadata file (.txt). Sequence data may consist of a single .fasta file that contains sequences from multiple samples, individually pyrotagged by sample-specific barcodes as commonly used in the *454 Amplicon Sequencing* protocol (<http://www.454.com/products-solutions/experimental-design-options/amplicon-sequencing.asp>). No two FASTA headers within any submitted file may be identical. The metadata file provides sample-associated information with the following formatting requirements, based on the Qiime mapping file.

### A.1. Metadata file requirements for runs on a single sequence pool

```
#SampleID      BarcodeSequence  LinkerPrimerSequence  Treatment  Description
Sample_1       AGCACGAGCCTA    CATGCTGCCTCCCGTAGGAGT  Control    mouse_ID_300
Sample_2       AGCACGAGCCTA    CATGCTGCCTCCCGTAGGAGT  Diabetic   mouse_ID_354
Sample_3       AACTCGTCGATG    CATGCTGCCTCCCGTAGGAGT  Control    mouse_ID_355
sample_4       ACAGACCACTCA    CATGCTGCCTCCCGTAGGAGT  Diabetic   mouse_ID_356
```

The following rules apply:

1. All entries are tab-delimited.
2. All entries in every column are defined (no empty fields).
3. The header line begins with the following fields:  
 “#SampleID<tab>BarcodeSequence<tab> LinkerPrimerSequence”.

4. The header line must end with the field "Description".
5. The BarcodeSequence and LinkerPrimerSequences fields have valid IUPAC DNA characters.
6. There are no duplicate header fields.
7. No header fields or corresponding entries contain invalid characters (only alphanumeric and underscore characters allowed).
8. There are no duplicates when the primer and barcodes are appended.

## A.2. Metadata file requirements for runs on multiple sequences

Multiple fasta files can be provided so that each file comprises sequences from different samples. In this case, the metadata file must meet the following requirements:

#File	SampleName	ph	Description
A.fasta	sampleA	high	control
B.fasta	sampleB	high	sick
C.fasta	sampleC	low	treated

where:

1. All entries are tab-delimited.
2. All entries in every column are defined (no empty fields).
3. The header line begins with: "#File<tab>".
4. There are no duplicate header fields or file names.
5. No header fields or corresponding entries contain invalid characters (only alphanumeric and underscores characters allowed).
6. The header line must end with the field "Description".

*Pairwise comparisons:* To utilize the Metastats statistical methodology, which detects differential abundances of taxa between two sample groups, the associated header field must end with "\_p", (e.g. "Treatment\_p", or "ph\_p"). If a header with the "\_p" ending exists, pairwise Metastats calculations will be carried out between all groups specified in the corresponding column (provided that a group contains at least three samples).

## B. Sequence Processing and analysis with Mothur

The Mothur component of CloVR-16S follows in large parts the pyrosequencing 16S rRNA sequence analysis example on the Mothur wiki page ([http://www.mothur.org/wiki/Costello\\_stool\\_analysis](http://www.mothur.org/wiki/Costello_stool_analysis)). Sequence pools are pre-processed (trimmed, sorted, filtered), aligned against a reference (the curated Silva 16S and 18S rRNA alignment [6]), further processed to remove redundancy and to filter the alignment, used to generate a distance matrix, clustered and assigned to OTUs. Based on the sample OTU classification, the within-sample community composition is analyzed using common richness and diversity estimators as well as collectors and rarefaction curves ( $\alpha$ -diversity).

### B.1. Sequence pre-processing

To check each read from the sequence pool for quality and to sort sequences based on the sample-specific barcodes, the "trim.seqs" program is used with the following parameters:

- "minlength=100" (minimum sequence length)
- "maxhomop=8" (maximum homopolymer length)

- "maxambig=0" (maximum number of ambiguous base calls)
- "flip=F" (do not use the reverse complement of the sequences -- *reverse complements are considered in the alignment step*).

All length parameters refer to base pairs (bp). This step generates trimmed .fasta and .groups files, which are used in the downstream analysis.

## **B.2 Alignment**

To speed up the downstream analysis and to facilitate the analysis of large data sets, identical sequences, which can constitute a significant fraction of the sequence data are removed, using the "unique.seqs" command. The non-redundant sequence dataset is then aligned against the Greengenes reference core imputed alignment, which is available from the Greengenes website ([http://greengenes.lbl.gov/Download/Sequence\\_Data/Fasta\\_data\\_files](http://greengenes.lbl.gov/Download/Sequence_Data/Fasta_data_files)), using the "align.seqs" command with the default parameters and "flip=t" (if the alignment of a sequence read falls below the default threshold [0.50], the reverse complement is tried). In order to keep only those sequence reads that produce alignments of a minimum length of 50 bp, the "screen.seqs" command is run with the "minlength=50" option. With the "filter.seqs" command in combination with the "vertical=T" option, any column, which only contains gaps, is removed from the alignment. Since the trimming of the alignment has created new duplicate sequences, identical sequences are removed again using the "unique.seqs" command.

## **B.3. Threshold for the maximum number of processed sequences**

Since the following steps of the Mothur pipeline can be computationally very demanding, the threshold for the number of unique sequence reads from the alignment of the previous step is set to 50,000. If the number of sequences exceeds this threshold, the dataset is not further processed with Mothur but instead analyzed through the remaining CloVR-16S components.

## **B.4. Clustering and OTU assignment**

In Mothur, sequence reads are assigned to OTUs based on uncorrected pairwise distances between all aligned sequences. With the "dist.seqs" command a column-formatted distance matrix is generated, using the "cutoff=0.10" option, which limits the distance matrix to keep only sequence reads with a distance smaller than 0.10 (at least 90% similar). Using the default "furthest neighbor" option, the "cluster" command assigns sequence reads to OTUs based on the distance matrix generated in the previous step.

## **B.5. Alpha diversity analysis**

To perform the alpha diversity analysis, the OTU clustering results are read into Mothur with the "read.otu" command and the "label=unique-0.03-0.05-0.10" option to output all OTU levels using 97%, 95% and 90% similarity thresholds, respectively. The command "collect.single" generates collectors curves that describe how comprehensively a microbial community has been assessed in the sample. This is done by calculating how community richness and diversity change as more individuals from the community are sampled. "collect.single" is performed with the "freq=5" option, which sets the frequency with which the richness and diversity are calculated to every 5 sequences. To generate intra-sample rarefaction curves, applying a re-sampling without replacement approach, the "rarefaction.single" command is used with the same "freq=5" option. Rarefaction curves provide a way of comparing the richness observed in different samples. The

"summary.single" command produces a summary file of various richness and diversity estimators for each sample.

### **C. RDP classification of all sequence reads**

The output of the pre-processing step (B.1. Mothur:trim.seqs), i.e. all sorted, trimmed and filtered sequence reads, are classified using the RDP classifier tool [2], as described on the Ribosomal Database Project website (<http://rdp.cme.msu.edu/classifier/classifier.jsp>). As output, a results file is created, which contains the taxonomic classification of each sequence read from all samples, including a confidence score (up to 1.0) assigned by the RDP classifier. In addition, tab-delimited table files (.tsv) are generated, which show the composition of each sample at different taxonomic levels, including phylum, class, order, family and genus. Assignments with confidence values below 0.8 (80%) are assigned as "unknown" for the generation of the .tsv files.

### **D. Sequence processing and analysis with Qiime**

The Qiime component of CloVR-16S follows the Overview Tutorial on the Qiime website (<http://qiime.sourceforge.net/tutorials/tutorial.html>). It uses the same unprocessed sequence pools as the Mothur component as input and takes advantage of three Qiime workflow scripts to combine related steps of the analysis pipeline: "pick\_otus\_through\_otu\_table.py" is used for sequence clustering, alignment, classification and phylogenetic tree prediction; and "beta\_diversity\_through\_3d\_plots.py" is used to calculate  $\beta$ -diversity estimators and to generate 3D Principal Coordinate Analysis (PCoA) plots for the graphical representation of differences in microbial community compositions between samples (beta diversity).

#### **D.1. Sequence pre-processing**

To assign multiplex reads from sequence pools to specific samples using sequence barcodes, as well as to remove low-quality reads and to filter reads by length, the "split\_libraries.py" script is used. This step removes all reads from the analysis that do not have the user-specified barcode sequence. The following options are used: "--min-seq-length 100" (sets the minimum sequence length to 100 bp), "--barcode-type variable\_length" (disables barcode corrections), and "--max-homopolymer 8" (sets the maximum homopolymer length to 8 bp).

#### **D.2. Sequence clustering, alignment, classification and phylogenetic tree prediction**

The "pick\_otus\_through\_otu\_table.py" workflow script calls the following Python scripts: 1) "pick\_otus.py" is used to cluster reads from all samples into OTUs based on nucleotide sequence identity. The clustering program for this step is "Uclust" [9] and the nucleotide sequence identity threshold for all reads within an OTU is 97%. 2) "assign\_taxonomy.py" uses the RDP classifier [2] with a confidence threshold of 0.8 to

assign each OTU-representing read to a known taxon based on the pre-built database from the RDP classifier program. A .txt file is created by this script, which shows the most specific classification of each read above the confidence threshold, i.e. the resolution of the classification varies between reads, showing taxonomic lineages of different lengths. 3) "make\_otu\_table.py" generates an OTU table from the classification results, together with the information about the number of reads that each OTU represents, which specifies the OTU counts that each sample contains for each taxonomic assignment. 4) "align\_seqs.py" uses the PyNAST tool [10] to align OTU-representing reads against the Greengenes reference alignment [7]. 5) "filter\_alignment.py" uses the Greengenes Lane mask [8] to defines those positions from the alignment that will be ignored when building the phylogenetic tree. 6) "make\_phylogeny.py" uses the "FastTree" program [11] to generate a phylogenetic tree in the Newick format.

### **D.3. Beta diversity sample analysis**

The "beta\_diversity\_through\_3d\_plots.py" workflow script calls the following Python scripts: 1) "beta\_diversity.py" takes the OTU table and phylogenetic tree as input to calculate beta diversity estimators, including phylogenetic distance as measured through weighted and unweighted UniFrac analysis [4], and to generate a distance matrix. 2) "principal\_coordinates.py" maps the multidimensional variation between samples from the distance matrix on three principal coordinates. 3) "make\_prefs\_file.py" sets the parameters for the PCoA display based on the user-provided metadata information. 4) "make\_3d\_plots.py" generates 3D PCoA plots in the .html and .kin format, which can be opened with a web browser or the free KING Display Software, which is available from <http://kinemage.biochem.duke.edu/software/king.php>.

### **E. Additional beta diversity analysis using Metastats and the R statistical package**

The output from the taxonomic classification of each sequence read from all samples by the RDP classification step (see section C) and of the RDP-based classification of the OTU-representing sequence reads from all samples by "Qiime:assign.taxonomy" is further analyzed and graphically represented using the "Metastats" program [5] and customized scripts in the R programming language.

#### **E.1. Detection of differentially abundant features**

The "Metastats" program uses count data from the taxonomic assignment of sequences with the RDP classifier to compare two groups containing at least three samples each in order to detect features with differential abundance in the two groups [5]. The results are calculated on different taxonomic levels (phylum, class, order, family, genus) and presented as a table in the .txt format, which shows the mean relative abundance of a feature, variance and standard error together with a p value and q value to describe significance of the detected variations. Note Metastats can run analyses of 1 sample vs. 1 sample, or  $N$  samples vs.  $M$  samples, where  $N$  and  $M$  are greater than 1. It cannot perform a comparison of 1 sample vs. 2 samples (see project website: <http://metastats.cbcb.umd.edu/>).

## **E.2. Stacked histogram generation**

Custom R scripts are used to normalize taxonomic group counts to relative abundances. Stacked histograms of the relative abundances are generated in the .pdf format, if there are at most 50 samples and at most 25 taxon groups. Beyond these limits a visualized histogram is not generated.

## **E.3. Unsupervised sample clustering**

Custom R scripts are used to normalize taxon counts and to calculate distance matrices for samples and taxonomic groups, using a Euclidean distance metric. Complete-linkage (furthest neighbor) clustering is employed to create dendrograms of samples and taxa in the .pdf format. The R packages *RColorBrewer* and *gplots* are utilized.



## References

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