

Cacna1c in the Prefrontal Cortex Regulates Depression-Related Behaviors via REDD1

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The *CACNA1C* gene that encodes the L-type Ca²⁺ channel (LTCC) Ca_v1.2 subunit has emerged as a candidate risk gene for multiple neuropsychiatric disorders including bipolar disorder, major depressive disorder, and schizophrenia, all marked with depression-related symptoms. Although *cacna1c* heterozygous (*HET*) mice have been previously reported to exhibit an antidepressant-like phenotype, the molecular and circuit-level dysfunction remains unknown. Here we report that viral vector-mediated deletion of *cacna1c* in the adult prefrontal cortex (PFC) of mice recapitulates the antidepressant-like effect observed in *cacna1c* *HET* mice using the sucrose preference test (SPT), forced swim test (FST), and tail suspension test (TST). Molecular studies identified lower levels of REDD1, a protein previously linked to depression, in the PFC of *HET* mice, and viral-mediated REDD1 overexpression in the PFC of these *HET* mice reversed the antidepressant-like effect in SPT and TST. Examination of downstream REDD1 targets found lower levels of active/phosphorylated Akt (S473) with no change in mTORC1 phosphorylation. Examination of the transcription factor FoxO3a, previously linked to depression-related behavior and shown to be regulated in other systems by Akt, revealed higher nuclear levels in the PFC of *cacna1c* *HET* mice that was further increased following REDD1-mediated reversal of the antidepressant-like phenotype. Collectively, these findings suggest that REDD1 in *cacna1c* *HET* mice may influence depression-related behavior via regulation of the FoxO3a pathway. *Cacna1c* *HET* mice thus serve as a useful mouse model to further study *cacna1c*-associated molecular signaling and depression-related behaviors relevant to human *CACNA1C* genetic variants.

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INTRODUCTION

Depression is the most prevalent lifetime psychiatric disorder (Kessler *et al*, 2005). Despite its rising prevalence, our understanding of the underlying biological mechanisms, particularly those resulting from genetic factors, remains largely unknown.

Genome-wide association studies have linked several intronic single-nucleotide polymorphisms (SNPs) within the *CACNA1C* gene with multiple neuropsychiatric disorders, including major depressive disorder (MDD), bipolar disorder (BD), and schizophrenia (SCZ), which manifest with depressive symptoms (Heyes *et al*, 2015). To date, one rodent study has reported that constitutive *cacna1c* heterozygous (*HET*) mice with global 50% knockdown of the

Ca_v1.2 protein display an anti-depressive phenotype (Dao *et al*, 2010), demonstrating that loss of Ca_v1.2 can regulate depressive-like behaviors in rodent models. However, the molecular pathways by which altered Ca_v1.2 channel function influence depression-related behavior remains unknown.

Evidence from animal studies supports the significant contribution of altered transcriptional regulation in the pathophysiology of depression (Bagot *et al*, 2014; Vialou *et al*, 2013). Ca_v1.2 channels are critical modulators of several cellular processes that have been implicated in the development of depression (Bhat *et al*, 2012; Kabir *et al*, 2016). These include activity-dependent gene expression related to neuronal plasticity, dendritic growth, and the establishment of transcriptional networks (Ebert and Greenberg, 2013; Krey *et al*, 2013), suggesting that perturbations in Ca_v1.2 signaling can lead to depressive phenotypes.

Recently, we reported higher levels of the protein REDD1 (regulated in development and DNA damage responses 1; also known as DDIT4 or RTP801) in the prefrontal cortex (PFC) of depressed patients (Ota *et al*, 2014). Furthermore, in rodents, using the chronic unpredictable stress (CUS)-

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induced model of depression, REDD1 was found to regulate depressive-like behavior via inhibition of the Akt/mTOR (mammalian target of rapamycin) pathway (Ota *et al*, 2014). Although the transcriptional regulation of REDD1 in the brain is unknown, there is evidence of control of REDD1 expression by CREB (Lee *et al*, 2015), a transcription factor activated by LTCCs (Rajadhyaksha *et al*, 1999). Another candidate protein linked to human depression and rodent depressive-like behaviors (Mao *et al*, 2007; Polter *et al*, 2009; Wang *et al*, 2015) along with REDD1 activity (Hulmi *et al*, 2012) is FoxO3a, family member of the forkhead Box, Class O transcription factors (FoxO).

Using a combination of behavioral, molecular, and viral vector-mediated gene transfer strategies, we examined the role of *cacna1c* ($Ca_v1.2$) in depression-related behaviors. Given evidence that the PFC is implicated in clinical depression (Kang *et al*, 2012; Rajkowska *et al*, 1999) and rodent depressive-like behavior (Covington *et al*, 2010), and data showing that depressed *CACNA1C* SNP carriers show altered neural processing within the PFC (Backes *et al*, 2014), we investigated *cacna1c* pathways in the PFC. We demonstrate that reducing levels of *cacna1c* ($Ca_v1.2$) in the PFC of mice has an antidepressant-like effect, and overexpression of REDD1 in the PFC of *cacna1c* *HET* mice reverses this effect by regulating levels of Akt and modulating the phosphorylation and subcellular distribution of FoxO3a.

MATERIALS AND METHODS

Animals

Constitutive *cacna1c* ($Ca_v1.2$) heterozygous (*HET*) mice, their wild-type (WT) littermates and *cacna1c* floxed homozygous (*cacna1c*^{fl/fl}) mice (Moosmang *et al*, 2005), all on the C57BL/6J background, were used for all the experiments. *HET* and control WT mice were generated by crossing male $Ca_v1.2^{+/-}$ *HET* mice with female store-bought C57BL/6J mice (Jackson Laboratories). *HET* mice were indistinguishable from respective WT littermates in weight, development, and general health. The animals were maintained on a 12-h light/dark cycle (from 6 AM to 6 PM) and provided food and water *ad libitum*. All the procedures were conducted in accordance with the Weill Cornell Medicine Institutional Animal Care and Use Committee rules.

Surgeries

Region-specific deletion of *cacna1c* was achieved by stereotaxic surgery as previously described (Lee *et al*, 2012). Adeno-associated viral vector (AAV2/2; Vector BioLabs) expressing either GFP (AAV-GFP) or Cre recombinase (AAV-Cre-GFP) was delivered into the PFC of *cacna1c*^{fl/fl} mice with a 2.5 μ l, 30-gauge Hamilton syringe at a rate of 0.1 μ l/min. A volume of 0.75 μ l/hemisphere was injected using the coordinates: +2.3 AP, -2.8 DV, \pm 1.7 ML at a 30° angle. To overexpress REDD1 in the PFC of *cacna1c* *HET* mice, 1.2 μ l/hemisphere of AAV2-REDD1-IRES-eYFP (AAV-REDD1), generated as described previously (Ota *et al*, 2014), was injected using the coordinates mentioned above. Adeno-associated viral vector lacking REDD1 (AAV-eYFP) was used as a control. The mice were allowed to recover for 3 weeks before behavioral testing. For

confirmation of injection placement, GFP immunohistochemistry was performed as previously described (Lee *et al*, 2012). The mice used for molecular studies were decapitated, brains dissected and sectioned on a 1 mm brain block. GFP staining was visualized using GFP goggles (BLS-Ltd.com) for placement. The animals with improper bilateral injection placement were excluded from behavioral data analysis.

Basal Locomotion

Horizontal locomotor activity was assessed by computer-assisted activity monitoring software (Med Associates Inc.) for 30 min as previously described (Lee *et al*, 2012).

Sucrose Preference Test (SPT)

In SPT, mice were given a choice between two bottles containing either drinking water or 5% sucrose. Preliminary studies with 1% sucrose yielded variable results in the mouse lines used here, and 5% sucrose has been shown to produce robust sucrose preference in C57BL/6J mice (Pothion *et al*, 2004). Twenty-four hours before the start of the behavior (day 0), the mice were single housed and remained individually housed for the duration of the behavior. The mice were then habituated to the presence of two drinking bottles (containing 5% sucrose or water) in their home cage for the next 24 h (day 1, habituation). Sucrose solution was prepared in drinking water and provided at room temperature. Following this, the mice were given access to both bottles of drinking water and sucrose for a total of 24 h (day 2, test). The data reported reflect the amount consumed during this 24 h test period. To prevent possible effects of side preference in drinking behavior, the positions of the two bottles were switched after habituation and randomized across groups. The body weight of each mouse and mass of water and sucrose consumed were measured for three consecutive days with all measurements recorded at 1500 h. Every mouse tested in SPT underwent the 3-day behavioral paradigm only once. Sucrose preference was calculated as sucrose consumed (g)/(sucrose consumed (g)+water consumed (g)) \times 100. Sucrose consumption was calculated as sucrose consumed (g)/water consumed (g), and liquid intake was calculated as liquid (sucrose, water, or total liquid) consumed (g)/body weight (g).

Forced Swim Test (FST)

FST was performed in a 2 liter beaker containing 1800 ml of 26 °C water, for 10 min. Each mouse was video recorded using a camera directed to the front of the beaker, and time spent immobile was scored during the entire 10 min period. 'Immobility' was defined as when a mouse ceased all movements other than those necessary for staying afloat, such as minor tail flicks and one hind-paw flicks. Videos were scored by an experimenter blinded to the conditions using the computer-assisted software ButtonBox v5.1 (Behavioral Research Solutions). Each experiment was scored by the same experimenter for consistency.

Tail Suspension Test (TST)

TST was performed for 6 min by suspending a mouse 30 cm from the floor using a 17 cm-long adhesive tape (0.75" wide, Fisherbrand) that was secured to the tail 2 cm from the tip. A clear hollow climbstopper cylinder (4 cm length, 1.5 cm diameter, 1.3 g) was placed around the tail to prevent tail-climbing behavior. Each mouse was video recorded and time spent immobile was scored by an experimenter blinded to the genotypes or treatments using the computer-assisted software ButtonBox v5.1. 'Immobility' was described as lack of body movement except for minor front leg movements and oscillating swings owing to the momentum of previous mobility. Each experiment was scored by the same experimenter for consistency.

Subfractionation and Immunoblotting

For all the molecular experiments, mice were killed by rapid decapitation, brains were isolated, and bilateral PFC was dissected using a 17-gauge stainless-steel stylet. Total protein lysates were isolated as previously described (Tropea *et al*, 2011). Nuclear (P1), cytoplasmic (S1), and synaptosomal (P2) fractions were generated as previously published (Knackstedt *et al*, 2010). Tissue was homogenized in 0.01 M sucrose/0.4 mM HEPES buffer containing protease and phosphatase inhibitors and centrifuged at 1000 × g. The supernatant was processed for S1 and P2 fractions and the pellet (P1) was processed for nuclear fraction. For S1 and P2 fractions, the supernatant was spun at 1000 × g. The obtained supernatant was spun again at 12 000 × g to obtain the S1 (supernatant) and P2 (pellet resuspended in 4 mM HEPES/1 mM EDTA buffer) fractions. For the nuclear fraction, P1 was resuspended in sucrose buffer (10 mM Tris-HCl, 0.32 M sucrose, 1 mM EDTA, 1 mM EGTA, 5 mM DTT), incubated on ice for 10 min and spun at 1500 × g. The resulting pellet was resuspended in sucrose buffer (50 mM Tris-HCl, 0.32 M sucrose, 10% glycerol, 400 mM NaCl, 1% NP-40), incubated on ice for 30 min and spun at 25 000 × g. The supernatant was collected as the nuclear fraction.

The protein concentration was determined using the BCA assay (Thermo Fisher Scientific). Twenty micrograms of protein lysate was separated on a 10% SDS protein gel with a Kaleidoscope-prestained protein standard (Bio-Rad). The blots were blocked in 5% non-fat dry milk and incubated in primary antibody (Table S1) for 12–48 h at 4 °C. The blots were incubated in horseradish peroxidase-linked IgG conjugated secondary antibody for 1 h. The protein bands were visualized by chemiluminescence solution (Western Lightning, Perkin Elmer Life Sciences) and quantified at the molecular weights indicated in Supplementary Table 1. GAPDH was used as a loading control.

BDNF ELISA Assay

Tissue was homogenized in lysis buffer (150 mM NaCl, 1% Triton X-100, 25 mM HEPES, 2 mM NaF) and incubated on a rotisserie shaker at 4 °C for 1 h. Homogenized tissue was centrifuged at 20 000 × g and the supernatant containing total protein was quantified using BCA protein assay. Mature BDNF protein was measured using the BDNF Emax

ImmunoAssay (ELISA) system (Promega) as previously published (Kabir *et al*, 2012).

Quantitative Real-Time PCR (QPCR)

RNA was isolated and QPCR was performed as previously described (Schierberl *et al*, 2011). *Redd1*, *gapdh*, and *foxo3a* mRNA levels were measured using mRNA-specific primers (Supplementary Table 2). Cycle threshold (Ct) values for target genes were normalized to the housekeeping gene *gapdh* (QuantiTect Primer assay QT01658692; Qiagen). Each experiment was performed in triplicate, and the values were averaged.

Statistics

For all the experiments, the data were first analyzed for normality using the D'Agostino-Pearson test. For data normally distributed, a parametric independent-samples Student's *t*-test was used, and if the variances were significantly different, a Welch's correction was performed. For data that was not normally distributed, a nonparametric independent-samples Mann-Whitney *U*-test was used. For all REDD1 overexpressing behavioral data, a two-way ANOVA was performed followed by Bonferroni *post hoc* analysis for all variables displaying main effects. A value of $p \leq 0.05$ was considered to be statistically significant, and all analyses were performed using GraphPad Prism 5.0 (GraphPad Software).

RESULTS

Focal Knockdown of *Cacnalc* in the PFC has an Antidepressant-Like Effect

As homozygous deletion of *cacnalc* results in embryonic lethality (Seisenberger *et al*, 2000), we utilized *cacnalc* *HET* mice and WT littermate controls. To confirm previous findings that heterozygous *cacnalc*-deficient mice exhibit antidepressant-like behavior (Dao *et al*, 2010), *HET* and WT mice were tested in SPT (Pothion *et al*, 2004), FST (Porsolt *et al*, 2001), and TST (Can *et al*, 2012), common behavioral tests that measure depression-related behavior. In SPT, *HET* mice displayed increased sucrose preference (Figure 1a; $t(11) = 2.65$; $p = 0.0226$) and sucrose consumption (Figure 1b; Mann-Whitney $U = 26$; $p = 0.0086$), and demonstrated higher, though not significant, sucrose intake (Supplementary Figure 1a), and lower water intake (Supplementary Figure 1a; Mann-Whitney $U = 35$; $p = 0.0343$). No difference in total liquid intake was observed between *HET* and WT mice (Supplementary Figure 1c). In both FST (Figure 1c) and TST (Figure 1d), *HET* mice exhibited significantly lower immobility time compared with WT mice (FST: $t(21) = 2.143$; $p = 0.044$; TST: $t(15) = 3.802$; $p = 0.0017$). No difference in basal locomotion was observed between the groups (Figure 1e). These results confirmed previous findings (Dao *et al*, 2010) that loss of *cacnalc* results in an antidepressant-like effect as demonstrated by higher sucrose preference and lower immobility.

To more precisely investigate the brain substrate modulating the antidepressant-like effect, we generated focal knockdown of *cacnalc* in the PFC by bilateral delivery of AAV2/2-

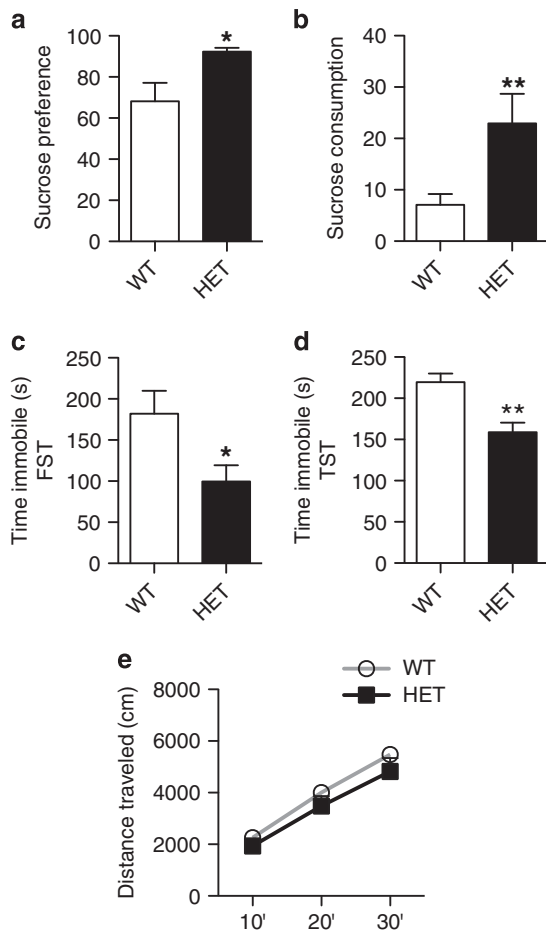


Figure 1 *Cacna1c* heterozygous mice display antidepressant-like behavior. (a, b) *Cacna1c* HET mice show significantly higher sucrose preference (a) and sucrose consumption (b) compared with WT mice in the sucrose preference test (WT $n = 12$, HET $n = 12$). (c, d) HET mice spend significantly less time immobile than WT mice in the forced swim test (c) and the tail suspension test (d) (FST: WT $n = 14$, HET $n = 9$; TST: WT $n = 8$, HET $n = 9$). (e) HET mice travel similar distance as WT mice in the basal locomotor activity test (WT $n = 12$, HET $n = 10$). * $p < 0.05$, ** $p < 0.01$ vs WT. Error bars are mean \pm SEM.

Cre in adult *cacna1c*^{fl/fl} mice (Figures 2a and b). This resulted in significantly lower levels of *cacna1c* mRNA compared with control AAV2/2-GFP injected mice (mRNA fold change compared with controls: AAV2/2-GFP ($n = 6$), 1 ± 0.04 vs AAV2/2-Cre ($n = 6$), 0.46 ± 0.10 ; $t(10) = 4.824$, $p < 0.001$). Knockdown of *cacna1c* in the PFC (AAV-Cre) had no effect on basal locomotion (Figure 2c). However, AAV-Cre mice displayed increased sucrose consumption (Figure 2d; $t(15) = 2.483$; $p = 0.0253$) and, though not significant, had increased sucrose intake (Supplementary Figure 1d) and decreased water intake (Supplementary Figure 1e; $t(15) = 2.292$; $p = 0.0368$). No difference in total liquid intake was observed between the groups (Supplementary Figure 1f). Similarly, in FST, AAV-Cre mice exhibited decreased time spent immobile compared with control mice (Figure 2e; $t(10) = 2.853$; $p = 0.0172$). These results demonstrated that focal knockdown of *cacna1c* in the PFC induces a similar antidepressant-like effect as observed in *cacna1c* HET mice.

Lower Levels of REDD1 mRNA and Protein in the PFC of *Cacna1c* Heterozygous Mice

$Ca_v1.2$ has a key role in regulating activity-dependent gene expression essential for neuronal structure and function (Ebert and Greenberg, 2013). We have recently shown that REDD1 is elevated in the PFC of depressed patients and regulates depression-related behavior in a rodent model of depression (Ota et al, 2014). Thus, to begin to investigate the molecular mechanisms underlying the antidepressant-like phenotype observed in HET mice (Figure 1), we examined REDD1 mRNA and protein levels in the PFC. We found significantly lower levels of both REDD1 mRNA (Figure 3a; $t(18) = 2.468$; $p = 0.0238$) and protein (Figure 3b; $t(11) = 5.913$; $p = 0.0001$) in HET mice compared with WT mice, demonstrating that loss of *cacna1c* downregulates REDD1 expression in the PFC.

Lower Levels of Akt But Not mTOR Phosphorylation in the PFC of *Cacna1c* Heterozygous Mice

In multiple systems, including the brain, REDD1 has been shown to stabilize the TSC1-TSC2 complex and regulate the Akt/mTORC1 pathway (Maiese et al, 2013; Ota et al, 2014; Figure 3c). Examination of total protein levels (Figure 3d) of TSC2, mTOR, and its downstream target p70S6Kinase1 (p70S6K1), and Akt revealed no differences between HET and WT mice indicating that reduced *cacna1c* did not alter protein expression of these molecules. Next, we examined changes in phosphorylation levels of the target proteins, as a marker of altered activity. No difference in phospho(P)-TSC2 T1462, P-mTOR S2448 (mTORC1) or P-p70S6K1 T389 was observed between HET and WT mice (Figure 3d). However, lower levels of P-Akt S473 ($t(11) = 2.282$; $p = 0.0164$) but not P-Akt T308 were observed (Figure 3d). P-Akt S473 is also regulated by mTORC2, a protein activated by mTOR phosphorylation at S2481 (Huang et al, 2013; Figure 3c). No difference in P-mTOR S2481 was observed between HET and WT mice (Figure 3d). Taken together, the above findings established that reduced $Ca_v1.2$ in the PFC of *cacna1c* HET mice downregulates the Akt pathway via phosphorylation of S473 but not mTOR signaling.

Dysregulated FoxO3a in the PFC of *Cacna1c* Heterozygous Mice

As we observed no change in the mTOR pathway, a downstream target of REDD1 (Ota et al, 2014), we next examined the FoxO family of transcription factors that have been previously linked to Akt (Wang et al, 2014) and REDD1 in other systems (Hulmi et al, 2012) and shown to regulate depression-related behaviors (Mao et al, 2007; Polter et al, 2009). FoxO1 and FoxO3a have been characterized in the adult rodent brain with FoxO3a being the predominant isoform expressed in the adult cortex (Hoekman et al, 2006). In the mouse PFC, we detected no FoxO1 protein (data not shown) and therefore focused on FoxO3a. No difference in FoxO3a mRNA (Supplementary Figure 2a) and total protein (Supplementary Figure 2b) levels was observed in the PFC of HET and WT mice. However, nuclear FoxO3a levels were significantly higher in the PFC of HET mice compared with WT mice ($t(10) = 4.892$; $p = 0.0006$) with no change in the

cytoplasmic FoxO3a levels (Figure 3e). As phosphorylation in part, has been shown to be regulated by antidepressants (Polter *et al*, 2009) and in other systems to regulate FoxO3a's transcriptional activity (Tzivion *et al*, 2011), we next examined levels of FoxO3a phosphorylation (at S253 and T32). We found significantly higher levels of P-FoxO3a S253 but not T32 in the nucleus of *HET* mice compared with WT mice (Figure 3f; $t(10) = 2.536$; $p = 0.0296$), paralleling higher total nuclear FoxO3a levels (Figure 3e).

FoxO3a activity is additionally regulated by the neurotrophic factor, BDNF (Zhu *et al*, 2004), a protein linked to the pathogenesis of depression (Martinowich *et al*, 2007). As LTCCs are key regulators of activity-dependent BDNF expression (Tao *et al*, 1998), we measured BDNF protein levels and found no difference in the PFC of *HET* mice compared with WT mice (Figure 3g).

Overexpression of REDD1 in the PFC Reverses the Antidepressant-Like Effect in *Cacna1c* Heterozygous Mice

To directly test whether REDD1 in the PFC has a causal role for the antidepressant-like effect observed in *cacna1c* *HET* mice (Figure 1), we utilized a REDD1-expressing viral vector (AAV-REDD1; Ota *et al*, 2014; Figure 4a). AAV-REDD1 or control AAV-eYFP was injected bilaterally into the PFC of *HET* and WT mice (Figure 4b). AAV-REDD1 had no effect on basal locomotion in *HET* or WT mice (Figure 4e). In SPT, overexpression of REDD1 in *HET* mice reversed the higher sucrose preference (Figure 4d) and sucrose intake (Supplementary Figure 3a) seen in control *HET* mice to that of control WT mice. AAV-eYFP *HET* mice exhibited significantly higher sucrose preference compared with

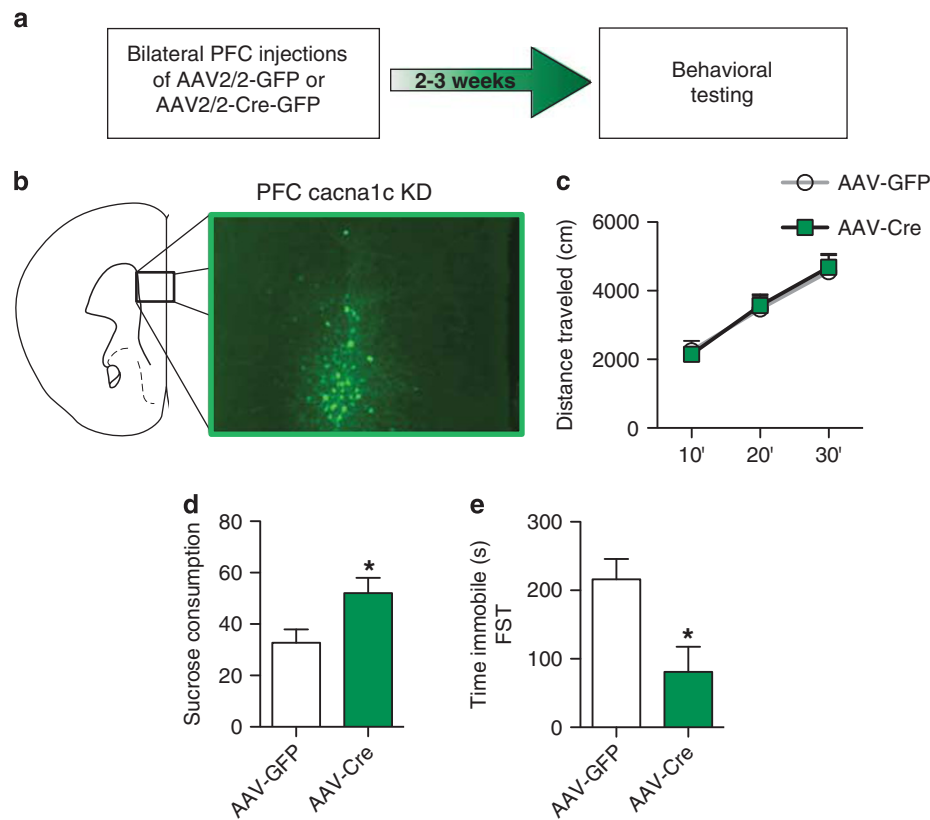
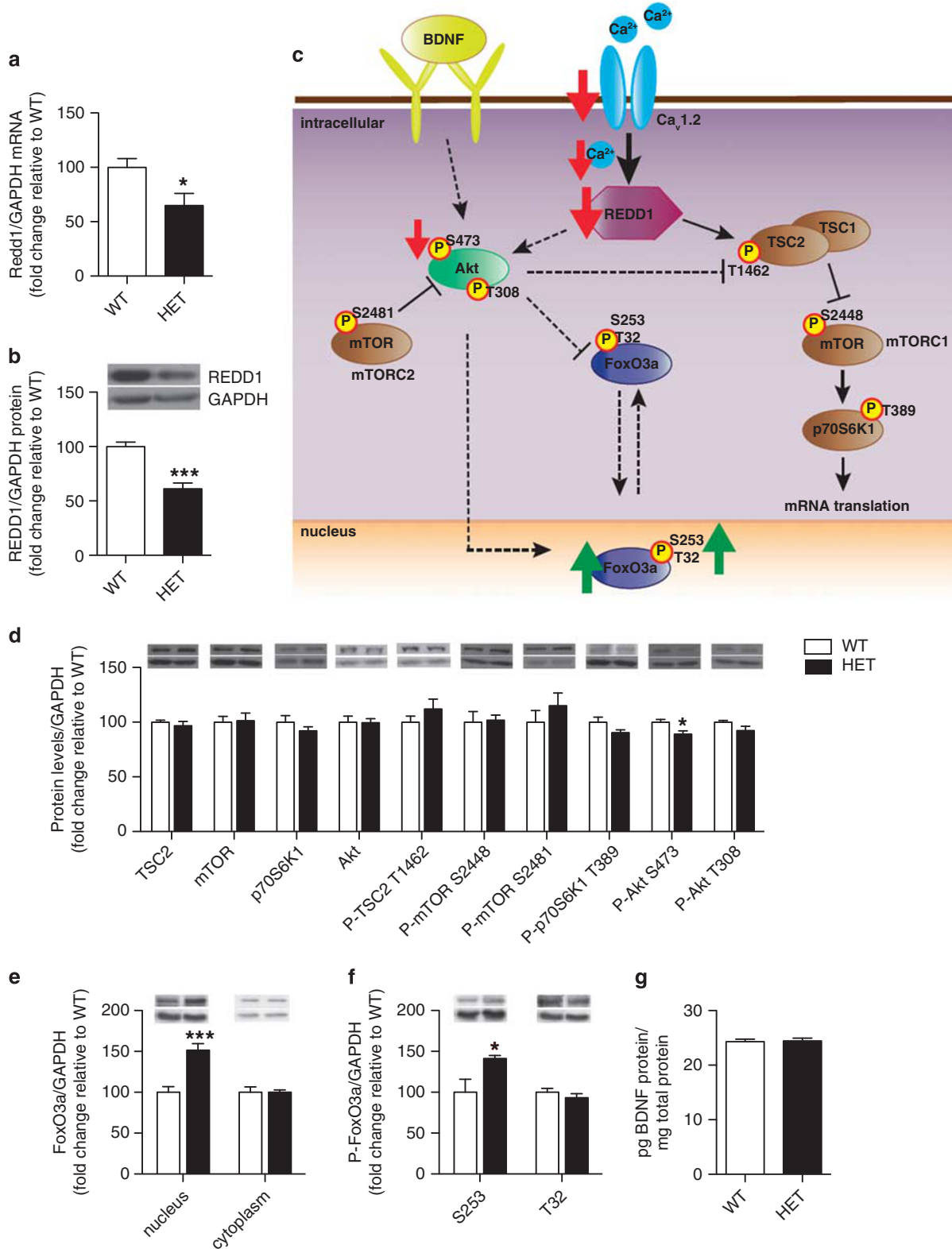


Figure 2 Knockdown of *cacna1c* in the PFC has an antidepressant-like effect in mice. (a) Experimental outline used. (b) Representative image of green fluorescent protein (GFP)-positive cells expressed by AAV-Cre stereotactically injected into the PFC of *cacna1c^{fl/fl}* mice. (c) AAV-Cre and AAV-GFP mice travel similar distances in the basal locomotor activity test (AAV-GFP $n = 8$, AAV-Cre $n = 8$). (d) AAV-Cre mice show significantly higher sucrose consumption than control AAV-GFP mice in the sucrose preference test (AAV-GFP $n = 9$, AAV-Cre $n = 8$). (e) AAV-Cre mice spend significantly less time immobile in the forced swim test compared with control AAV-GFP mice (AAV-GFP $n = 6$, AAV-Cre $n = 6$). * $p < 0.05$ vs AAV-GFP. Error bars are mean \pm SEM.

Figure 3 *Cacna1c* heterozygous mice have lower REDD1 mRNA and protein levels as well as altered Akt and FoxO3a protein in the PFC. (a, b) *Cacna1c* *HET* mice have significantly lower REDD1 mRNA (a) and protein (b) in the PFC compared with WT littermates (mRNA: WT $n = 9$, *HET* $n = 11$; protein: WT $n = 7$; *HET* $n = 6$). (c) Schematic representation of the altered signaling pathway in the PFC of *HET* mice. Red and green arrows depict changes seen basally in *cacna1c* *HET* mice. Solid arrows connecting signaling proteins represent pathways shown in the brain. Dashed arrows indicate pathways shown in other systems. (d) *Cacna1c* *HET* mice have decreased protein levels of phospho P-Akt S473 in the PFC compared with WT mice (WT $n = 7$, *HET* $n = 6$). (e) *Cacna1c* *HET* mice have significantly higher protein levels of FoxO3a in the nucleus but not the cytoplasm of the PFC, compared with WT mice (WT $n = 6$, *HET* $n = 6$). (f) *Cacna1c* *HET* mice have significantly higher levels of P-FoxO3a S253 but not T32 in the nucleus of the PFC compared to WT mice (WT $n = 6$, *HET* $n = 6$). (g) *Cacna1c* *HET* mice show no difference in BDNF protein levels in the PFC compared with WT mice (WT $n = 10$, *HET* $n = 10$). * $p < 0.05$, *** $p < 0.001$ vs WT. Error bars are mean \pm SEM.

AAV-eYFP WT mice, as expected, and AAV-REDD1 in *HET* mice lowered the preference (Figure 4d; Two-way ANOVA, genotype \times treatment, $F_{1,40} = 13.03$, $p = 0.0008$; Bonferroni $p < 0.05$). Similarly, AAV-eYFP *HET* mice exhibited significantly higher sucrose intake compared to AAV-eYFP WT mice, with AAV-REDD1 significantly reducing sucrose

intake (Supplementary Figure 3a; Two-way ANOVA, genotype \times treatment, $F_{1,40} = 11.58$, $p = 0.0015$; Bonferroni $p < 0.01$). Even though not significant, AAV-eYFP *HET* mice had lower water intake than AAV-eYFP WT mice that increased with AAV-REDD1 injection (Supplementary Figure 3b; Two-way ANOVA, genotype \times treatment,



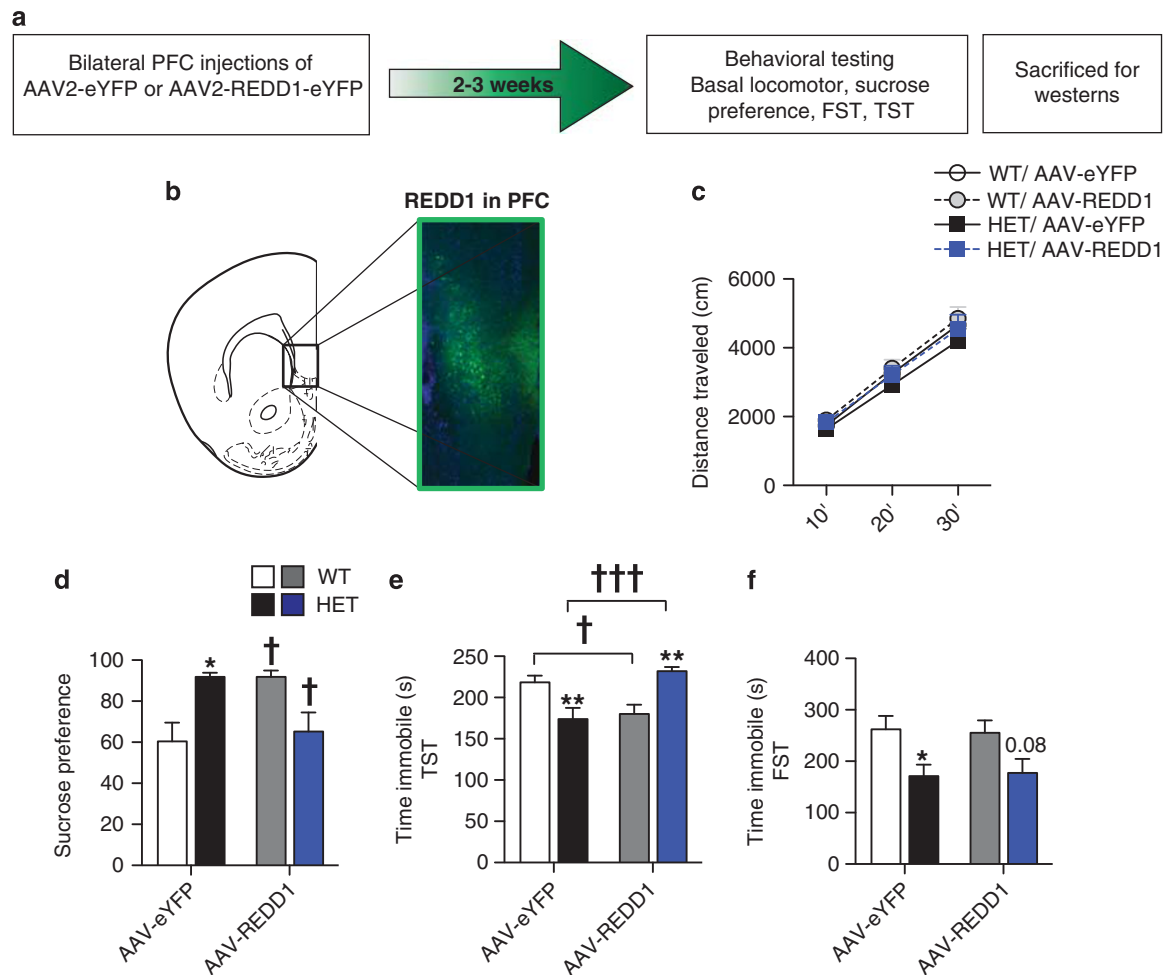


Figure 4 REDD1 over-expression in PFC of *cacnalc* heterozygous mice reverses the antidepressant-like effect. (a) Experimental outline used. (b) Representative image of green e-YFP-positive cells expressed by AAV-REDD1-eYFP stereotaxically delivered into the PFC of *cacnalc* HET mice. (c) AAV-REDD1 in the PFC does not alter total distance traveled in the basal locomotor activity test (WT: AAV-eYFP $n=11$, AAV-REDD1 $n=9$; HET: AAV-eYFP $n=7$, AAV-REDD1 $n=8$). (d) AAV-eYFP HET mice display significantly higher sucrose preference compared with AAV-eYFP WT mice. Sucrose preference of HET mice is significantly lowered by AAV-REDD1. In WT mice, AAV-REDD1 significantly increased sucrose preference compared with control AAV-eYFP mice (WT: AAV-eYFP $n=14$, AAV-REDD1 $n=8$; HET: AAV-eYFP $n=10$, AAV-REDD1 $n=12$). (e) AAV-eYFP HET mice display significantly lower immobility time in the tail suspension test compared with control AAV-eYFP WT mice. Immobility time of HET mice is significantly increased by AAV-REDD1 overexpression. In WT mice, AAV-REDD1 significantly decreased immobility time compared with control AAV-eYFP mice (WT: AAV-eYFP $n=11$, AAV-REDD1 $n=9$; HET: AAV-eYFP $n=7$, AAV-REDD1 $n=8$). (f) AAV-eYFP HET mice display significantly lower immobility time in the forced swim test compared with control AAV-eYFP WT mice that remained unchanged by AAV-REDD1 (WT: AAV-eYFP $n=10$, AAV-REDD1 $n=9$; HET: AAV-eYFP $n=10$, AAV-REDD1 $n=13$). * $p < 0.05$, ** $p < 0.01$ vs WT for the respective viral treatment; † $p < 0.05$, †† $p < 0.001$ vs AAV-eYFP of the respective genotype. Error bars are mean \pm SEM.

$F_{1,40} = 4.409$, $p = 0.0421$). AAV-REDD1 in HET mice also reduced overall liquid intake compared with AAV-eYFP HET mice (Supplementary Figure 3c; Two-way ANOVA, Main effect of treatment, $F_{1,40} = 4.762$, $p = 0.035$; Bonferroni $p < 0.05$). Surprisingly, in WT mice, AAV-REDD1 significantly increased sucrose preference (Figure 4d; Bonferroni $p < 0.05$) and although not significant, increased sucrose intake (Supplementary Figure 3a) and decreased water intake (Supplementary Figure 3b), with no effect on total liquid intake (Supplementary Figure 3c).

In TST, similar to our findings in Figure 1, AAV-eYFP HET mice spent significantly less time immobile compared with AAV-eYFP WT mice and REDD1 overexpression reversed this effect, with AAV-REDD1 HET mice exhibiting increased immobility time compared with AAV-eYFP HET

mice (Figure 4e; Two-way ANOVA, genotype \times treatment, $F_{1,31} = 24.33$, $p < 0.0001$; Bonferroni $p < 0.001$). However, similar to the phenotype observed in SPT, AAV-REDD1 in WT mice decreased immobility time to the level of the AAV-eYFP HET mice (Figure 4e; Bonferroni $p < 0.05$). Interestingly, in contrast to the effect of AAV-REDD1 in TST, REDD1 overexpression in HET or WT mice had no effect in FST. Similar to our previous findings (Figure 1c), AAV-eYFP HET mice spent significantly less time immobile in FST that remained unchanged with REDD1 overexpression (Figure 4f; Two-way ANOVA, main effect of genotype, $F_{1,38} = 10.31$, $p = 0.0027$; Bonferroni $p < 0.05$). Together, these results demonstrated that *cacnalc* and REDD1 are causally linked to the antidepressant-like effect as measured in SPT and TST.

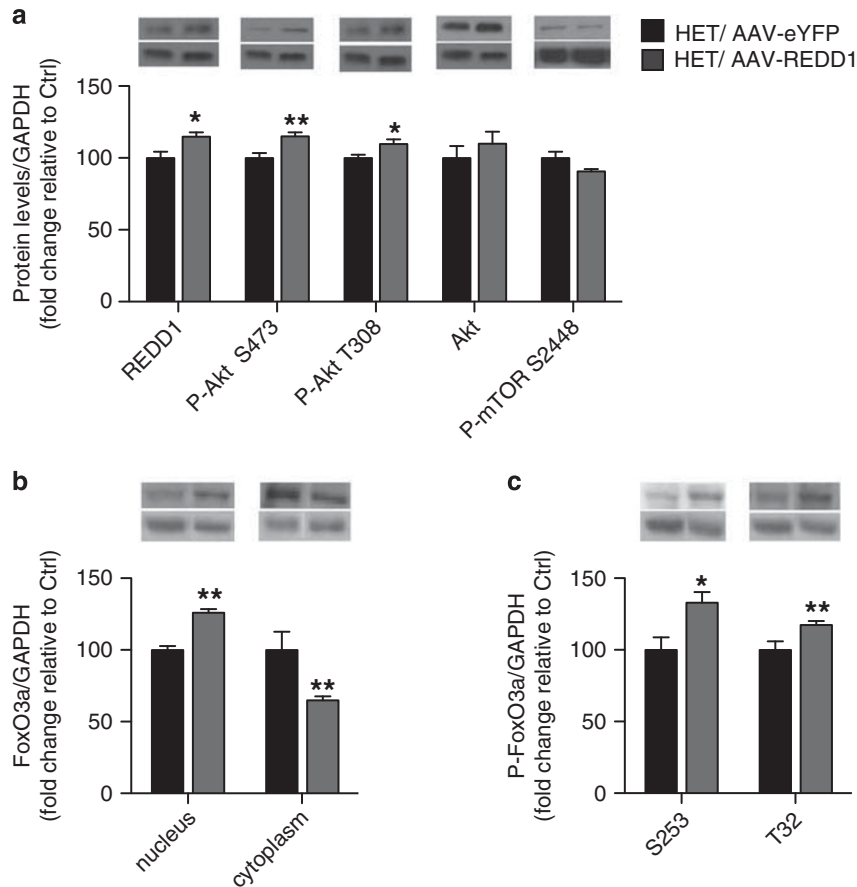


Figure 5 REDD1 over-expression in PFC of *cacna1c* heterozygous mice increases levels of phosphorylated Akt and nuclear FoxO3a in the PFC. (a) AAV-REDD1 in the PFC of *cacna1c* HET mice significantly increases protein levels of REDD1 and P-Akt at S473 and T308 compared with control AAV-eYFP HET mice. (b) AAV-REDD1 in the PFC of *cacna1c* HET mice significantly increased FoxO3a protein in the nucleus and decreased levels in the cytoplasm compared with control AAV-eYFP HET mice. (c) AAV-REDD1 in the PFC of *cacna1c* HET mice significantly increased levels of P-FoxO3a protein at S253 and T32 in the nucleus compared with control AAV-eYFP HET mice (HET/AAV-eYFP $n=4$, HET/AAV-REDD1 $n=5-7$). * $p < 0.05$, ** $p < 0.01$ vs HET/AAV-eYFP. Error bars are mean \pm SEM.

Next, we examined the effect of REDD1 overexpression on levels of phosphorylated Akt and FoxO3a in the PFC of HET mice. As expected, AAV-REDD1 in the PFC of HET mice increased REDD1 protein compared with AAV-eYFP HET mice (Figure 5a; $t(9) = 2.314$; $p = 0.045$). AAV-REDD1 in HET mice significantly increased P-Akt S473 (Figure 5a; $t(9) = 3.252$; $p = 0.01$), and further increased P-Akt T308 (Figure 5a; $t(9) = 3.012$, $p = 0.0147$) that was basally unaltered (Figure 3d). AAV-REDD1 had no effect on total Akt and P-mTOR S2448 levels (Figure 5a). Next, we examined the effect of REDD1 overexpression on nuclear levels of total and phosphorylated FoxO3a. Compared with control mice, AAV-REDD1 in HET mice further increased nuclear levels of total FoxO3a (Figure 5b; $t(11) = 6.209$; $p < 0.001$) over that already observed basally (Figure 3e), while significantly decreasing levels of FoxO3a in the cytoplasm (Figure 5b; $t(10) = 4.08$, $p = 0.0022$). Higher nuclear FoxO3a paralleled higher nuclear P-FoxO3a S253 (Figure 5c; $t(11) = 2.544$; $p = 0.0273$) in AAV-REDD1 HET mice compared with AAV-eYFP HET mice. In addition, AAV-REDD1 also elevated nuclear P-FoxO3a T32 (Figure 5c; $t(12) = 2.977$, $p = 0.0116$) that was unaltered basally in HET mice (Figure 3f).

DISCUSSION

This study describes a previously unidentified link between *cacna1c* ($Ca_v1.2$) and REDD1 in regulating depression-related behavior. We demonstrate that knockdown of *cacna1c* in the adult PFC has an antidepressant-like effect. This recapitulates and further extends the phenotype observed in *cacna1c* HET mice previously reported by Dao *et al* (2010) and supports previous studies that have demonstrated antidepressant-like effects of LTCC pharmacological blockers (Cohen *et al*, 1997; Mogilnicka *et al*, 1987, 1988). At the molecular level, we find lower levels of REDD1 mRNA and protein in the PFC of *cacna1c* HET mice and demonstrate that overexpression of REDD1 is sufficient to reverse the antidepressant-like effect as measured in SPT and TST. Examination of downstream molecular targets reveals altered regulation of Akt and FoxO3a as a consequence of loss of *cacna1c* and REDD1. Taken together, the findings that loss of *cacna1c* in the PFC alters levels of molecules (REDD1, Akt, and FoxO3a) that have previously been linked to either human depression, rodent depressive-like behavior, or to the effects of antidepressants (Ota *et al*, 2014; Wang *et al*, 2015), provides a novel anatomical and molecular framework to

study the role of *cacna1c* in modulating depression-related behavior.

The impact of noncoding CACNA1C SNPs on transcript levels, Ca_v1.2 function, and the subsequent contribution to disease symptoms is a key question in the field. Our finding that reduced Ca_v1.2 induces an antidepressant-like effect suggests that gain of Ca_v1.2 function could possibly contribute to depressive symptoms. This is supported by gain of Ca_v1.2 channel function observed in induced pluripotent stem cell (iPSC)-derived neurons generated from BD patients harboring the rs1006737 CACNA1C risk SNP (Yoshimizu *et al*, 2015). The only currently existing mouse model with a gain of Ca_v1.2 function is the Timothy syndrome (TS) mouse model of autism (Bader *et al*, 2011) in which depression-related behavioral tests have not been performed to date. Our hypothesis of the involvement of Ca_v1.2 in depression-related behavior is supported by the report of depression in a TS patient (Splawski *et al*, 2005) and a patient with TS developing BD in adulthood (Gershon *et al*, 2014). However, given the finding by Roussos *et al* (2014) that a SCZ-associated SNP results in decreased *cacna1c* mRNA, the impact of CACNA1C SNPs on depressive symptoms may be more complex and governed by genetic and cell-type environments (Koester and Insel, 2016), a topic that requires further investigation.

One key mechanism believed to underlie depressive symptoms is structural alterations of the PFC, such as neuronal atrophy and loss of synaptic connections, as observed in the PFC of human subjects with depression (Rajkowska *et al*, 1999). Consistent with this, brain imaging studies have revealed altered brain structure and connectivity in human CACNA1C SNP carriers with MDD, SCZ, or BD (Kabir *et al*, 2016). The effect of loss of Ca_v1.2 on PFC structure remains unknown, however, dendritic retraction has been reported in the TS Ca_v1.2 gain-of-function mouse model (Krey *et al*, 2013), suggesting that dysregulated Ca_v1.2 signaling may contribute to PFC structural changes. Our data suggest that one mechanism that could drive such changes involves Ca_v1.2-mediated transcriptional regulation of REDD1. Increase in REDD1 expression within the PFC of rodents is necessary and sufficient to cause loss of synapse number (Ota *et al*, 2014). Thus, it is plausible that REDD1 may mediate the effects of altered Ca_v1.2 function on PFC structure. Future structural studies in the PFC of *cacna1c* HET mice (with and without REDD1 overexpression) are needed to definitively link synaptic changes to depressive-like behavior. The precise mechanism by which Ca_v1.2 regulates REDD1 expression remains unknown. One potential route is via the transcription factor CREB, a downstream target of Ca_v1.2 (Rajadhyaksha *et al*, 1999; Zhang *et al*, 2006) and regulator of REDD1 gene expression as shown in other systems (Lee *et al*, 2015).

Consistent with Ota *et al* (2014), here we demonstrate that increasing levels of REDD1 in the PFC of *cacna1c* HET mice is capable of reversing the antidepressant-like behavior in SPT and TST. Interestingly though REDD1 overexpression had no effect in FST, possibly due to the lower sensitivity of FST as a behavioral assay in measuring subtle changes in immobility (Castagne *et al*, 2011). Surprisingly however, in WT mice overexpression of REDD1 in the PFC increased sucrose preference and decreased immobility time in the TST, inconsistent with our prior observation

(Ota *et al*, 2014). This discrepancy may be due to a number of factors, including the SPT protocol utilized (no deprivation and 24 h test in this study vs water deprivation with 1 h test), rodent species used (mouse in this study vs rat) or location of viral infusions (ventral PFC in this study vs dorsal PFC) that may have differential effects on depressive-like behavior because of different subcortical structures targeted by these two regions (Haber, 2011). Nevertheless, the results demonstrate that REDD1 overexpression reverses the antidepressant-like behaviors observed in *cacna1c* HET mice.

The mechanism of REDD1 action in the brain remains underexplored. We have reported that REDD1 mediates chronic stress-induced synaptic changes by downregulating the mTORC1 pathway involved in protein synthesis-dependent synaptic changes (Ota *et al*, 2014). However, despite the lower REDD1 levels in the PFC of *cacna1c* HET mice, which would predict greater activation of mTORC1, we observed no change in mTORC1 activity. Similarly, overexpression of REDD1 in *cacna1c* HET mice that reversed the antidepressant-like phenotype had no impact on the mTORC1 pathway. One possible explanation is that REDD1 may selectively regulate the mTORC1 pathway in the context of CUS-induced synaptic changes and depressive-like behavior whereas in the PFC of *cacna1c* HET mice, REDD1 may regulate depressive behavior by recruiting alternative pathways like the Akt and FoxO3a pathways. This is supported by the fact that several anti-depressants function in an mTOR-independent manner, such as imipramine, which may exert its antidepressant-associated changes on dendritic growth and synaptic proteins via Akt (Park *et al*, 2014). Similarly, chronic fluoxetine treatment induces changes in synaptic protein expression in the cortex independent of activation of mTOR (Liu *et al*, 2015). Thus, our findings suggest that Ca_v1.2 may modulate depressive behavior via REDD1 in an mTOR-independent manner. However, the precise link between REDD1, Akt, and FoxO3a and their regulation of depressive behavior needs further investigation.

The FoxO family of transcription factors is another class of molecules that has been linked to depression-related behavior (Wang *et al*, 2015) with SNPs within the FoxO3a gene linked to BD (Magno *et al*, 2011). Rodent studies find that the antidepressant imipramine (Polter *et al*, 2009), as well as the mood stabilizer lithium (Mao *et al*, 2007), act via decreasing levels of FoxO3a in the nucleus. In line with this, FoxO3a-deficient mice exhibit antidepressant-like behavior (Polter *et al*, 2009; Zhou *et al*, 2012), suggesting that increasing levels of nuclear FoxO3a potentially via increased trafficking from the cytoplasm to the nucleus could drive depressive behavior. Consistent with these findings, REDD1 overexpression in *cacna1c* HET mice that reversed the antidepressant-like behavior also increased nuclear FoxO3a while decreasing cytoplasmic FoxO3a, suggesting that REDD1-induced cytoplasmic-nuclear FoxO3a trafficking is associated with REDD1-induced reversal of the antidepressant-like effect in *cacna1c*-deficient mice. However, the higher basal nuclear levels of FoxO3a in *cacna1c* HET mice that exhibit antidepressant-like behavior contradicts the above finding and suggests that higher basal levels of nuclear FoxO3a in *cacna1c* HET mice may not be the primary mechanism underlying the antidepressant-like phenotype in HET mice. In addition, although there was

close to 50% higher basal levels of nuclear FoxO3a in *cacna1c HET* mice, this was not reflected by lower levels in the cytoplasmic fraction, further supporting that altered trafficking of FoxO3a may not be the cause of higher basal nuclear FoxO3a.

Phosphorylation of FoxO3a has been shown to limit its nuclear translocation from the cytoplasm, and thus its transcriptional effect, as shown in other systems (Brunet *et al*, 1999) and suggested, but not directly demonstrated, in the brain (Polter *et al*, 2009). Contrary to the expected lower levels of phospho-FoxO3a in the nucleus, we find higher S253 phospho-FoxO3a. Similarly, following overexpression of REDD1, both S253 and T32 phospho-FoxO3a are present at higher levels. Thus, regulation of FoxO3a by phosphorylation, at least in *cacna1c HET* mice, does not appear to follow the mechanisms identified in other systems. However, higher phospho-FoxO3a may still result in lower transcriptional activity as phosphorylation of FoxO3a has been shown to reduce its DNA binding to target genes (Tzivion *et al*, 2011). It is also plausible that in the brain FoxO3a activity is additionally regulated by other post-translational modifications such as acetylation, recently demonstrated to regulate cocaine (Ferguson *et al*, 2015) and social behavior (Nott *et al*, 2016). Thus, multiple posttranslational modifications may regulate FoxO3a activity, a question that will be addressed in future studies.

In summary, in this study, we have identified the PFC as a key brain region in which *cacna1c* mechanisms through previously unidentified, novel molecular pathways contribute to depression-related behavior. The above findings establish *cacna1c HET* mice as a useful mouse model to further our understanding of the molecular mechanisms underlying depression.

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