

# Corticotropin-releasing Factor in the Rat Dorsal Raphe Nucleus Promotes Different Forms of Behavioral Flexibility Depending on Social Stress History

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The stress-related neuropeptide, corticotropin-releasing factor (CRF) regulates the dorsal raphe nucleus–serotonin (DRN–5-HT) system during stress and this may underlie affective and cognitive dysfunctions that characterize stress-related psychiatric disorders. CRF acts on both CRF<sub>1</sub> and CRF<sub>2</sub> receptor subtypes in the DRN that exert opposing inhibitory and excitatory effects on DRN–5-HT neuronal activity and 5-HT forebrain release, respectively. The current study first assessed the cognitive effects of intra-DRN microinfusion of CRF or the selective CRF<sub>2</sub> agonist, urocortin II in stress-naïve rats on performance of an operant strategy set-shifting task that is mediated by the medial prefrontal cortex (mPFC). CRF (30 ng) facilitated strategy set-shifting performance, whereas higher doses of CRF and urocortin II that would interact with CRF<sub>2</sub> were without effect, consistent with a CRF<sub>1</sub>-mediated action. This dose decreased 5-HT extracellular levels in the mPFC, further supporting a role for CRF<sub>1</sub>. The effects of CRF were then assessed in rats exposed to repeated social stress using the resident–intruder model. Repeated social stress shifted the CRF effect from facilitation of strategy set shifting to facilitation of reversal learning and this was most prominent in a subpopulation of rats that resist defeat. Notably, in this subpopulation of rats 5-HT neuronal responses to CRF have been demonstrated to shift from CRF<sub>1</sub>-mediated inhibition to CRF<sub>2</sub>-mediated excitation. Because 5-HT facilitates reversal learning, the present results suggest that stress-induced changes in the cellular effects of CRF in the DRN translate to changes in cognitive effects of CRF. Together, the results underscore the potential for stress history to shift cognitive processing through changes in CRF neurotransmission in the DRN and the association of this effect with coping strategy.

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## INTRODUCTION

Stress is associated with psychiatric disorders that are characterized by alterations of mood and cognition (Gold and Chrousos, 2002; Kessler, 1997; McEwen, 2003). This association is based in part on the ability of stress to modulate monoamine neurotransmitter systems, such as the locus coeruleus (LC)–norepinephrine (NE) system and the dorsal raphe nucleus (DRN)–serotonin (5-HT) system. These systems project to higher order limbic and forebrain regions that regulate mood and cognition (Fox and Lowry, 2013; Maier and Watkins, 2005; Valentino and Van Bockstaele, 2008).

Stress alters activity of the LC–NE and DRN–5-HT systems in part through the stress-related neuropeptide, corticotropin-releasing factor (CRF) (Fox and Lowry, 2013; Maier and Watkins, 2005; Valentino and Commons, 2005;

Valentino and Van Bockstaele, 2008). Through CRF actions on monoamine-forebrain projecting neurons, stressors can modulate activity in forebrain structures that regulate cognitive functions. Stressors and CRF have been reported to exert both excitatory and inhibitory effects on the DRN–5-HT system (Kirby *et al*, 2000, 1997; Valentino and Commons, 2005). This complexity derives in part from the expression of both CRF<sub>1</sub> and CRF<sub>2</sub> receptor subtypes in the DRN that exert opposing inhibitory and excitatory effects on DRN–5-HT neuronal activity and 5-HT forebrain release, respectively (Lukkes *et al*, 2008; Pernar *et al*, 2004; Valentino and Commons, 2005). Relatively low CRF concentrations engage CRF<sub>1</sub> resulting in neuronal inhibition and decreased 5-HT release, whereas higher CRF concentrations activate CRF<sub>2</sub> to excite 5-HT neurons and increase 5-HT release (Kirby *et al*, 2000; Lukkes *et al*, 2008; Price and Lucki, 2001). Certain behavioral consequences of acutely activating different CRF receptors in the DRN have been examined and related to affective symptoms of psychiatric disorders (Hammack *et al*, 2003a, 2002; Meloni *et al*, 2008). However, the cognitive consequences have been less well studied.

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CRF effects on DRN-5-HT neurons are also determined by stress history, which influences the cellular distribution of CRF<sub>1</sub> and CRF<sub>2</sub>. For example, following swim stress CRF<sub>2</sub> is recruited to the plasma membrane and CRF<sub>1</sub> becomes internalized, resulting in a qualitative shift in neuronal responses to CRF from inhibition to excitation (Wasselus *et al*, 2009). Repeated social stress produces a similar redistribution of CRF receptors and shift in neuronal response to CRF selectively in a subpopulation of rats that resist defeat (Wood *et al*, 2013). Stress-induced changes in CRF receptor cellular localization and neuronal responses could translate to qualitative changes in behavioral or cognitive responses to CRF.

The current study first assessed the cognitive impact of activating DRN CRF<sub>1</sub> and CRF<sub>2</sub> receptors on performance of a PFC-dependent operant strategy set-shifting task (OSST) (Floresco *et al*, 2008) in stress-naïve rats. This task assesses two different forms of cognitive flexibility, reversal learning and strategy shifting. Because social stress is a prevalent stressor for humans and has been demonstrated to shift the distribution of CRF<sub>1</sub> and CRF<sub>2</sub> receptors in DRN neurons in a subpopulation of rats that exhibit a particular coping strategy, the ability of this stressor to modify the cognitive effects of CRF in the DRN and the association of this effect with coping style were then examined (Wood *et al*, 2013).

## MATERIALS AND METHODS

### Animals

Male adult Sprague Dawley rats (275–300 g, Charles River, Wilmington, Massachusetts) were subjects of behavioral testing. Male Long Evans retired breeder rats (550–850 g, Charles River) were residents in the resident–intruder social stress. Rats were singly housed on a 12 h light/dark cycle with lights on at 0700 hours and given at least 4 days to acclimate to the colony before experimentation began. Care and use of animals was approved by the Institutional Animal Care and Use Committee of the Children's Hospital of Philadelphia.

### Surgery

Rats were anesthetized with isoflurane (2%) and positioned in a stereotaxic instrument for implantation of a cannula guide in the DRN (Price and Lucki, 2001). For microdialysis experiments, animals were also implanted with a cannula guide (20 gauge) in the medial prefrontal cortex (mPFC) with the following coordinates relative to bregma: AP +3.2 mm, ML +0.6 mm, and DV 2.5 mm below the skull surface. Rats were assigned to one of the three experimental protocols: (1) OSST, (2) social stress followed by OSST or (3) microdialysis. Rats that were trained and tested in the OSST began food restriction to maintain 85% of their weight 3 days prior to the start of training. For rats in the social stress experiment, food restriction began the day after the last stress or control manipulation.

### Operant Training and Testing

Training and testing of the OSST was identical to that previously described (Snyder *et al*, 2014) and modified from the original procedure described by Floresco *et al* (2008); see

Supplementary Information for details. Rats were first trained to press one of two levers for food reinforcement on the first training day and the opposite lever on the following day. On the third training day one of the two levers was randomly selected to deliver reward one, three, or five trials in a row, such that over many trials both levers were equally likely to deliver a reward. The following day was the test day and rats received an intra-DRN infusion of either ACSF, CRF (10–100 ng per 200 nl), or urocortin II (100 ng per 200 nl) over a 1 min period using tubing attached to a Hamilton syringe delivered by a syringe pump 10 min prior to behavioral testing. This concentration of urocortin II was chosen as one that has been shown to be selective for CRF<sub>2</sub> receptors in the DRN based on electrophysiological and microdialysis studies (Amat *et al*, 2004; Pernar *et al*, 2004). The OSST consisted of a series of three consecutive discriminations: an initial side discrimination (SD), a side reversal (SR) discrimination, and a shift to light discrimination (LD). Animals proceeded from one stage of the task to the next after achieving a criterion of 8 consecutive correct choices, providing that 30 trials had been attempted. The 30-trial minimum criterion was stipulated to ensure that each animal completed the same minimum number of trials in each stage of the task. On each trial only one stimulus light was illuminated. For every pair of trials, the left or right stimulus light was randomly selected to be illuminated on the first trial and the opposite stimulus light was illuminated on the following trial. During the SD stage, the lever on the side opposite the animal's side bias was designated to be the correct lever on every trial, regardless of the location of the stimulus light. During the SR stage, the correct lever on each trial was designated to be the lever opposite the correct lever during the initial SD. During the LD stage, the correct lever was designated as the lever underneath the illuminated stimulus light on each trial. After reaching criterion in the LD stage, the task ended and the animal was removed from the chamber.

Trials to criterion (TTC) were recorded during each stage of the OSST. Omitted trials were not included in the TTC measure. Error types within both the SR and LD stages of the OSST were characterized using logistic regression to determine whether treatments impacted perseveration of the previous rule or the acquisition and maintenance of the new rule (see Supplementary Information; Snyder *et al*, 2014).

### Social Stress

Social stress using the resident intruder model was identical to that previously described (Wood *et al*, 2013) and modified from the model developed by Miczek (1979). The stress or control manipulation was initiated at least 5 days after recovery from surgery. Briefly, intruder rats were placed into the cage of a resident rat and were allowed to interact until a defeat had occurred, as defined by the intruder assuming a submissive supine posture for at least 3 s, or 15 min had elapsed. The rats were then separated by a wire mesh barrier for the remainder of a 30-min session, after which rats were returned to the home cage. This was repeated for 5 consecutive days with the intruder rat being exposed to a different resident on each day. The average latency to defeat across all five sessions was calculated for each intruder and subjected to cluster analysis to identify subpopulations as

defined by different propensities to defeat. Control rats were placed in novel cages for 30 min for 5 consecutive days. Rats began food restriction to 85% free-feeding weight after the last session and behavioral training for the OSST began 3 days later.

### Microdialysis

Custom concentric-style dialysis probes were constructed as previously described (Kirby *et al*, 1997). Four hours before the experiment was conducted, rats were briefly anesthetized with isoflurane (2%) and a dialysis probe was inserted into the mPFC and secured with cranioplastic cement. Rats were then placed into one of the experimental chambers used for the OSST (described above) and the probe was connected to a liquid swivel and spring with a counterbalanced arm attached to allow free movement (Instech Laboratories, Plymouth Meeting, PA). Filtered artificial cerebrospinal fluid (ACSF) (147 mM NaCl, 1.7 mM CaCl<sub>2</sub>, 0.9 mM MgCl<sub>2</sub>, and 4 mM KCl, pH 6.3–6.5) was continuously perfused at a rate of 0.8  $\mu$ l/min using a syringe pump (KD Scientific, Holliston, MA). After 4 h of recovery time, baseline dialysate samples were collected every 20 min for 2 h prior to infusions.

After collecting baseline dialysate samples, each rat received a 200 nl intra-DRN infusion of either ACSF or CRF (30 ng) over a 1-min period using tubing attached to a Hamilton syringe and a syringe pump. Dialysate samples continued to be collected post-infusion every 20 min for 2 more hours into polypropylene microcentrifuge vials (Fisher Scientific, Pittsburgh, PA) and stored at  $-70^{\circ}\text{C}$  until analyzed via high-pressure liquid chromatography. The first two baseline sample collections were discarded from analysis. The first sample collected after infusion was also discarded from analysis to allow time for dialysate in the line to clear and not interfere with the post-infusion dialysis results. Analysis of dialysates for 5-HT and dopamine (DA) was performed as previously described (see Supplementary Information; Andrews and Lucki, 2001).

### Histology

At the end of each experiment, pontamine sky blue dye (200 nl) was infused into the DRN cannula of each rat and brains were removed, frozen in isopentane, and stored at  $-80^{\circ}\text{C}$ . Brains were sectioned (30  $\mu$ m-thick) on a cryostat and mounted on charged slides (Fisher Scientific). Sections were stained with neutral red and coverslipped for visualization of pontamine sky blue in the DRN. For microdialysis experiments, the dialysis probe tract was also localized. Only rats with accurate placement of the infusion cannulae and dialysis probe in the targeted neuronal structures were used in the data analysis.

### Statistical Analysis

Effects of treatment on TTC were assessed by means of a two-way ANOVA (treatment  $\times$  stage) with repeated measures across stage. Effects of treatment on error type during the SR and shift to light stages were analyzed by one-way ANOVAs.

Absolute values (picogram) of 5-HT and DA from each 20 min microdialysis collection were normalized by dividing each value by the average of the four baseline collection

values. Effects of ACSF vs CRF treatment were compared by two-way ANOVA (treatment  $\times$  time) with repeated measures across time. For comparison of ACSF or CRF treatment response to baseline, the baseline collection time points were averaged and normalized to a single data point of 100% and a one-way ANOVA was performed with repeated measures across time within each treatment group. Follow-up comparisons were conducted using Fisher's LSD test.

As previously described (Wood *et al*, 2013), a cluster analysis (JMP 9.0; SAS, Cary, North Carolina) of defeat latencies was used to categorize animals based on their stress-coping strategy. Two clusters were generated for each group, and animals were classified as either short (SL) or long latency (LL) animals. To examine the dependency of the effect CRF treatment on coping style, identical analyses of TTC and error type as described above were performed on these subpopulations. Where significant main effects or interactions were found, follow-up *post-hoc* comparisons were performed using the Student–Newman–Keuls method, unless otherwise noted.

### Drugs

Ovine CRF and urocortin II (American Peptide Company, Sunnyvale, CA) were dissolved in water and 10  $\mu$ g aliquots were concentrated and kept at  $-80^{\circ}\text{C}$  until dilution into ACSF on the day of the experiment. Ovine CRF was used because of its greater selectivity for CRF<sub>1</sub> compared with rat CRF (Hauger *et al*, 2003).

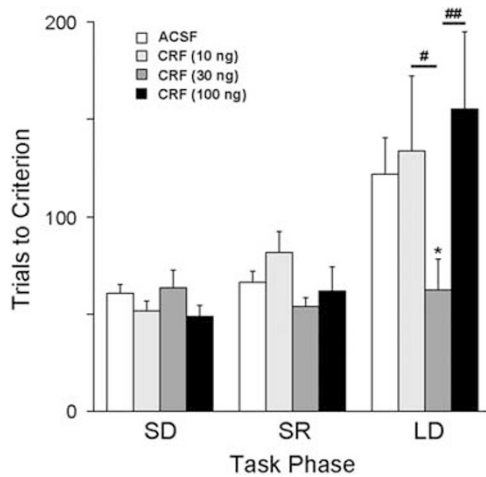
## RESULTS

### Effects of Intra-DRN CRF and Urocortin II on Cognitive Performance of Stress-Naive Rats

Of 19 rats administered ACSF into the DRN, 17 completed the entire task. As expected, ACSF-treated rats required more trials to reach criterion in the strategy set-shifting stage of the task compared with other components (Figure 1). A repeated measures ANOVA indicated a within-subject effect of stage ( $F(2,15) = 5.2$ ,  $p = 0.02$ ). Of 29 rats administered CRF into the DRN, 28 completed the entire task. The one rat that did not complete the task was administered a 100 ng dose of CRF.

Intra-DRN CRF produced biphasic dose-dependent effects on task performance (Figure 1). There was a trend toward a between-subject effect of treatment ( $F(3,40) = 2.7$ ,  $p = 0.06$ ) and a significant within-subject treatment  $\times$  stage interaction ( $F(6,80) = 2.4$ ,  $p < 0.05$ ). *Post-hoc* comparisons revealed that the 30 ng dose of CRF significantly improved strategy set-shifting performance (LD) as compared with ACSF (Figure 1). This effect was not apparent when the dose was increased to 100 ng. Analysis of error type revealed no significant effects of treatment during either reversal learning (SR) or strategy set-shifting (LD) (Supplementary Table S1).

The behavioral effects of CRF (30 ng) were regionally limited to the DRN (Figure 2). CRF (30 ng) injections that were located outside of the DRN had no effect on strategy set-shifting performance (Figure 2). A two-way repeated measures ANOVA showed a between-subject effect of injection ( $F(2,40) = 6.2$ ,  $p < 0.005$ ) and a within-subject injection  $\times$  stage interaction ( $F(4,78) = 2.62$ ,  $p < 0.05$ ).



**Figure 1** Intra-DRN-administered CRF (30 ng) facilitates strategy set-shifting performance. The ordinate indicates task performance as the number of trials to reach criterion. The abscissa indicates the task phase: side discrimination (SD), side reversal discrimination (SR), and shift to light discrimination (LD). The number of rats for each group was: ACSF ( $n = 17$ ), CRF 10 ng ( $n = 6$ ), CRF 30 ng ( $n = 13$ ), and CRF 100 ng ( $n = 8$ ). Vertical lines indicate SEM. \* $p < 0.05$ , compared with ACSF; # $p < 0.05$ , ## $p < 0.01$ , compared with other CRF doses. ACSF, artificial cerebrospinal fluid; CRF, corticotropin-releasing factor; DRN, dorsal raphe nucleus.

*Post-hoc* comparisons revealed that rats administered CRF (30 ng) outside of the DRN did not perform significantly differently than ACSF-treated rats and performed significantly worse in the strategy set-shifting phase (LD) than rats receiving CRF (30 ng) in the DRN (Figure 2c).

Administration of Urocortin II (100 ng), a selective CRF<sub>2</sub> agonist had no effect on performance in any phase of the task as indicated by a two-way repeated measures ANOVA (between subject effect of treatment:  $F(1,21) = 0.35$ ,  $p = 0.6$ ; within-subject treatment  $\times$  stage interaction:  $F(2,20) = 0.58$ ,  $p = 0.6$ ) (Figure 3). Moreover, analysis of error type during both the reversal learning and strategy set-shifting task phases did not reveal any significant treatment-related effects (Supplementary Table S1).

### Impact of Intra-DRN CRF on 5-HT and DA Release in the mPFC

The dose of CRF that improved strategy set-shifting performance produced an overall decrease in 5-HT extracellular levels in the mPFC, consistent with activation of CRF<sub>1</sub> in the DRN (Lukkes *et al*, 2008) (Figure 4a). A two-way repeated measures ANOVA revealed a trend for a significant between-subject effect of treatment ( $F(1,13) = 4.3$ ,  $p = 0.059$ ) and a within-subject treatment  $\times$  time interaction ( $F(8,6) = 6.5$ ,  $p < 0.02$ ). Comparison of post-infusion time points to baseline levels revealed significant deviations below baseline in CRF-treated rats at 40 ( $p < 0.05$ ), 80 ( $p < 0.05$ ), and 100 min ( $p < 0.01$ ) while no significant deviations from baseline levels were found in ACSF-treated rats. Notably, this decrease is most apparent during the approximate time that strategy set-shifting performance would be assessed. In contrast to its effects on 5-HT, CRF had no effect on mPFC DA release in the same subjects (between-subject effect of

treatment:  $F(1,13) = 0.06$ ,  $p = 0.8$ ; within-subject treatment  $\times$  time interaction:  $F(8,6) = 0.67$ ,  $p = 0.7$ ) (Figure 4b).

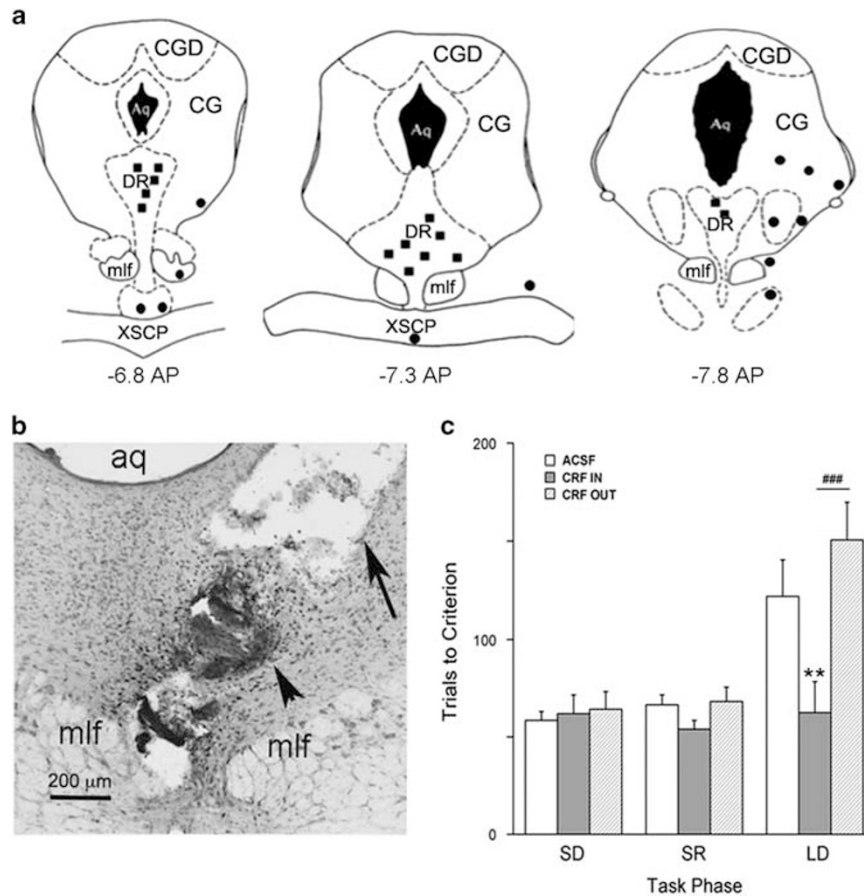
### Impact of Prior Social Stress Experience on the Cognitive Effects of Intra-DRN CRF

In rats with a history of social stress, CRF (30 ng) also altered OSST performance compared with ACSF. A repeated measures ANOVA revealed no between-subject effect of treatment ( $F(1,21) = 1.9$ ,  $p = 0.18$ ) but a treatment  $\times$  stage interaction ( $F(2,20) = 4.2$ ,  $p < 0.05$ ). Notably, in contrast to unstressed rats, CRF improved reversal learning performance rather than strategy set-shifting performance (Figure 5a). Analysis of error type during reversal learning revealed that CRF-treated rats made fewer regressive errors ( $11 \pm 2.5$ ) than ACSF-treated rats ( $20 \pm 3$ ,  $p < 0.05$ ). CRF did not affect the number of perseverative errors made during reversal learning. There was no effect of treatment on error type during strategy set-shifting (See Supplementary Table S1 for detailed statistics of error type analysis).

As previously reported (Wood *et al*, 2010), rats exposed to repeated social stress clustered into two populations based on their latency to assume the defeat posture, with a mean latency of  $305 \pm 24$  s for SL rats ( $n = 13$ ) and a mean latency of  $560 \pm 20$  s for LL rats ( $n = 14$ ) ( $p < 0.001$ ). Analyzing CRF effects with respect to coping style revealed that social stress shifted the CRF response from improvement of strategy shifting to improvement of reversal learning selectively in LL rats (Figure 5b). There was no between-subject effect of group ( $F(2,20) = 1.6$ ,  $p = 0.2$ ) but there was a group  $\times$  stage interaction ( $F(4,38) = 2.74$ ,  $p < 0.05$ ) and *post-hoc* tests showed a statistically significant effect of CRF to decrease TTC during the reversal stage for LL rats selectively ( $F(2,20) = 3.75$ ,  $p < 0.05$ ). ACSF SL and LL rats were pooled as the comparison between these subpopulations showed no effect of latency ( $F(1,7) = 0.15$ ,  $p = 0.7$ ) or latency  $\times$  stage interaction ( $F(2,6) = 0.7$ ,  $p = 0.5$ ). There was a trend for a decrease in regressive errors in the CRF-treated LL rats although this was not statistically significant ( $F(2,20) = 3.1$ ,  $p = 0.07$ ). Although it appeared that the CRF-related improvement of strategy shifting was selectively maintained in the SL rats, this was not statistically significant ( $F(2,20) = 1.6$ ,  $p = 0.2$ ).

## DISCUSSION

The current study identified a novel effect of CRF in the DRN of stress-naïve rats to facilitate cognitive flexibility as measured by strategy set-shifting. Convergent lines of evidence suggested that this effect was mediated by CRF<sub>1</sub> receptors because it was produced by a moderate dose of CRF that interacts with CRF<sub>1</sub> receptors, but not by a higher dose or by urocortin II, a peptide that is selective for CRF<sub>2</sub> receptors (Reyes *et al*, 2001). In addition, the behaviorally effective CRF dose decreased forebrain extracellular 5-HT levels, consistent with CRF<sub>1</sub>- and not CRF<sub>2</sub>-mediated responses (Forster *et al*, 2008; Lukkes *et al*, 2008; Price and Lucki, 2001). Importantly, in a subpopulation of rats in which social stress has been shown to redistribute CRF<sub>1</sub> and CRF<sub>2</sub> receptors so that CRF<sub>2</sub> is more prominent on the plasma membrane, the effects of CRF shifted from



**Figure 2** Facilitation of strategy set-shifting by intra-DRN CRF (30 ng) is regionally specific. (a) Location of 30 ng CRF infusions in (solid squares) and outside (solid circles) of the DRN. The location of infusions was reconstructed onto plates 47, 49, and 51 (left to right) from the Atlas of Paxinos and Watson, (1998). The distance posterior to Bregma is indicated under each plate. Aq, cerebral aqueduct; CG, central gray; CGD, central gray, dorsal; DR, dorsal raphe nucleus; mlf, medial longitudinal fasciculus; xscp, decussation of the superior cerebellar peduncle. (b) Photomicrograph showing the DRN of a representative animal that was injected with CRF. The cannula tract (arrow) and dye (arrowhead) show histological verification of the injection site in the DRN. aq = aqueduct, mlf = medial longitudinal fasciculus. (c) Task performance indicated by the number of trials to reach criterion for rats that received ACSF or CRF inside or outside of the DRN. The number of rats for each group was ACSF ( $n = 17$ ), CRF 30 ng in ( $n = 13$ ), and CRF 30 ng out ( $n = 13$ ). Vertical lines indicated SEM.  $**p < 0.02$  compared with ACSF,  $###p < 0.002$  compared with other CRF treatment group. ACSF, artificial cerebrospinal fluid; CRF, corticotropin-releasing factor; DRN, dorsal raphe nucleus.

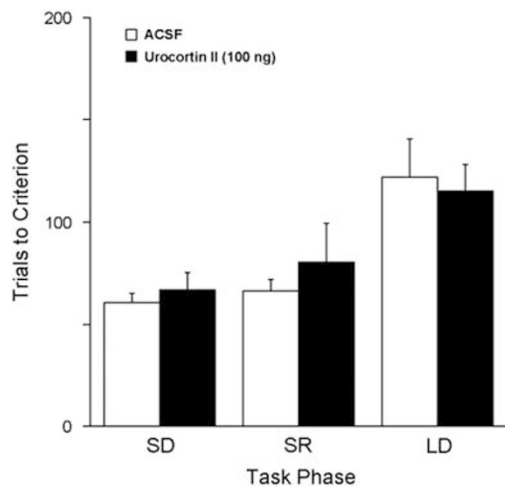
facilitation of strategy set-shifting to facilitation of reversal learning (Wood *et al*, 2013). This is consistent with CRF<sub>2</sub>-mediated increases in DRN-5-HT activity and the role of 5-HT in reversal learning (Clarke *et al*, 2004; Pernar *et al*, 2004). Together the results suggest that acute stress can have distinct cognitive consequences depending on social stress history and individual coping strategy as a result of CRF interactions with the DRN-5-HT system.

### Behavioral Effects of CRF in the DRN

The DRN is densely innervated by CRF and CRF axon terminals here synapse with both 5-HT and non-5-HT (eg, GABA) dendrites (Valentino *et al*, 2001; Waselus *et al*, 2005). *In situ* hybridization studies suggest that CRF<sub>2</sub> is the prominent CRF receptor subtype in the DRN (Day *et al*, 2004). However, studies using pharmacological manipulation of CRF receptors in the DRN to examine electrophysiological, microdialysis, and behavioral endpoints provided evidence for effects mediated by both CRF<sub>1</sub> and CRF<sub>2</sub>

receptors (Hammack *et al*, 2003a,b; Kirby *et al*, 2000, 2008; Lukkes *et al*, 2008; Pernar *et al*, 2004). In general, these studies suggest that low levels of CRF, as might be released with acute mild stress, activate CRF<sub>1</sub> receptors on GABAergic neurons to inhibit 5-HT neuronal activity and release in forebrain regions (Kirby *et al*, 2000, 2008; Price and Lucki, 2001; Roche *et al*, 2003; Waselus *et al*, 2005). In contrast, this inhibition is lost as the dose of CRF is increased to interact with CRF<sub>2</sub> receptors (Kirby *et al*, 2000; Price and Lucki, 2001). The behavioral effects of engaging CRF<sub>1</sub> and CRF<sub>2</sub> receptors in the DRN have been well characterized in the model of learned helplessness (Hammack *et al*, 2003a,b; Maier and Watkins, 2005). In this model, CRF<sub>2</sub>-induced activation of DRN-5-HT neurons and 5-HT forebrain release were associated with deficits in learning a shock escape task. In contrast, CRF<sub>1</sub>-mediated inhibition of the DRN-5HT system prevented the production of learned helplessness by a CRF<sub>2</sub> agonist or uncontrollable stress. Thus, the opposing neuronal effects associated with CRF<sub>1</sub> and CRF<sub>2</sub> translate to opposing behavioral responses.

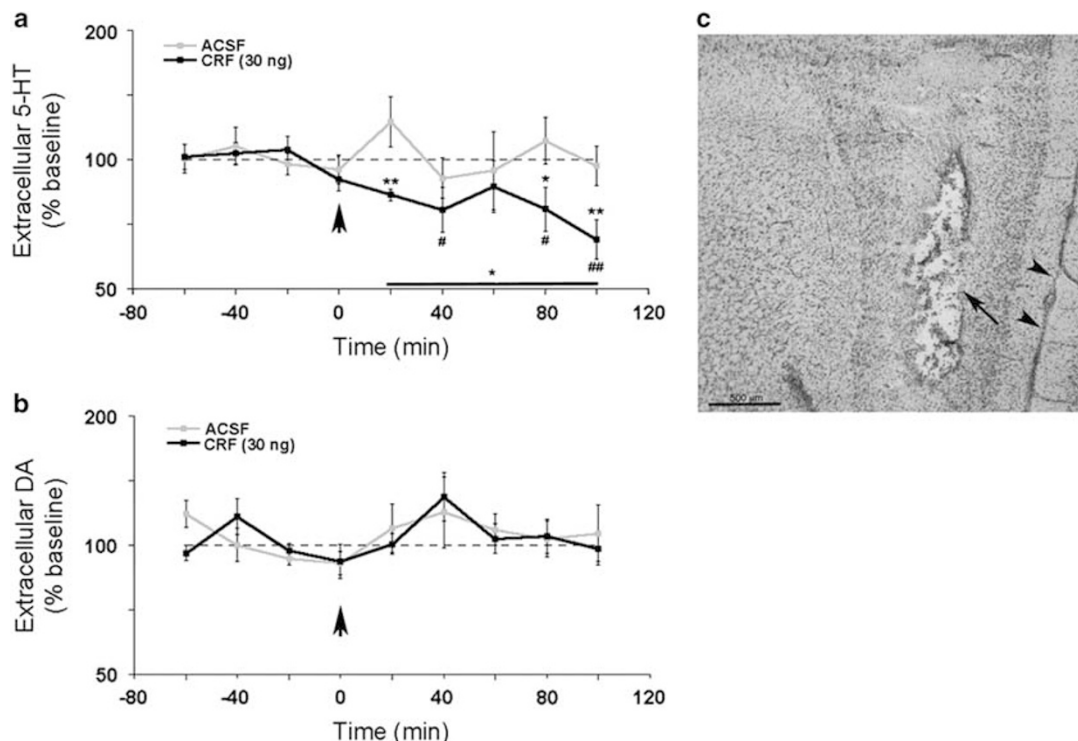
Although the role of CRF in the DRN in learned helplessness has been well characterized, its ability to regulate cognitive functions in the absence of fear-related stimuli through the DRN system have not been previously examined.



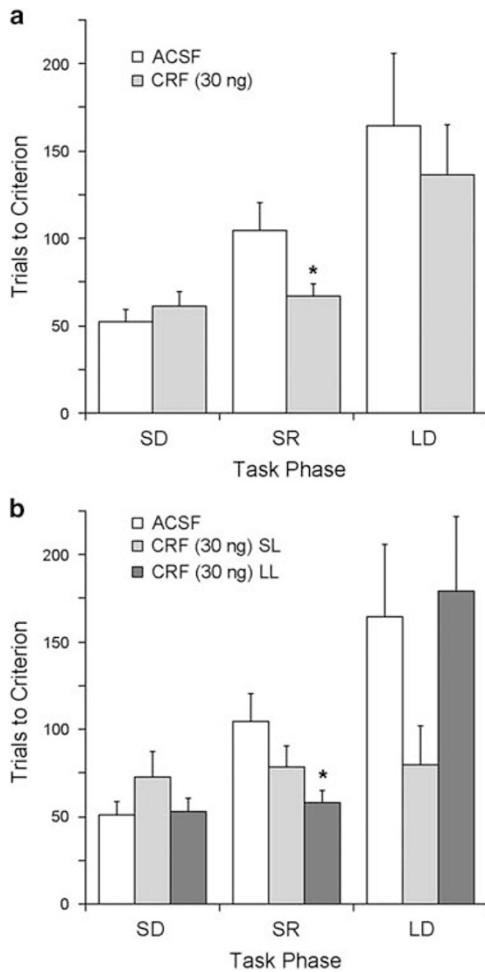
**Figure 3** Intra-DRN administration of the selective CRF<sub>2</sub> agonist Urocortin II did not affect task performance. Task performance indicated by the number of trials to reach criterion. Each bar is the mean of 17 ACSF-treated rats and 6 urocortin II-treated rats. Vertical lines indicated SEM. ACSF, artificial cerebrospinal fluid; CRF, corticotropin-releasing factor; DRN, dorsal raphe nucleus.

### Facilitation of Strategy Set-Shifting Performance Mediated by CRF<sub>1</sub> not CRF<sub>2</sub>

A major finding of this study was that CRF, regionally localized to the DRN, facilitated strategy set-shifting performance with an inverted U-shaped dose-response relationship. This resembles dose-response relationships for CRF effects on DRN-5-HT neuronal activity and 5-HT extracellular levels in forebrain measured by microdialysis (Kirby *et al*, 2000; Lukkes *et al*, 2008; Price and Lucki, 2001). The effective CRF dose (30 ng) for facilitation of strategy set-shifting was one that produces the characteristic CRF<sub>1</sub>-mediated inhibition of 5-HT DRN neurons in unstressed rats (Wood *et al*, 2013). The finding that raising the CRF dose to 100 ng or administering urocortin II failed to facilitate set-shifting performance is consistent with its mediation by CRF<sub>1</sub> and not CRF<sub>2</sub> receptors. Several studies have found that high doses of CRF in the DRN preferentially activate CRF<sub>2</sub> receptors and produce physiological effects that are opposite to those produced by lower doses acting on CRF<sub>1</sub> receptors (Fox and Lowry, 2013; Lukkes *et al*, 2008; Valentino and Commons, 2005). The microdialysis results indicated that the behaviorally active dose of CRF (30 ng) decreased 5-HT extracellular levels in the mPFC at a time when behavior would be measured. This result is consistent with the known inhibitory effects of CRF<sub>1</sub> receptor activation on 5-HT release in various forebrain regions and provides additional evidence that the facilitation of strategy set-shifting



**Figure 4** Intra-DRN CRF (30 ng) decreased mPFC 5-HT but not DA extracellular levels. (a) Effect of ACSF or CRF (30 ng) on mPFC 5-HT. The abscissa shows time (min) before and after the infusion, which occurred at 0. The ordinate indicates the extracellular level of 5-HT expressed as a percentage of baseline. The solid line above 20–100 min indicates a statistically significant effect of time for the CRF group based on the one-way ANOVA. (b) Effect of ACSF or CRF (30 ng) on mPFC DA in same rats as shown in a. The abscissa and ordinate are as described in a. Each point is the mean of six ACSF-treated rats and nine CRF-treated rats. Vertical lines indicate SEM. \* $p < 0.05$ , \*\* $p < 0.01$  compared with ACSF; # $p < 0.05$ , ## $p < 0.01$  compared with baseline. (c) Photomicrograph of a representative section through the mPFC showing the placement of the microdialysis probe. The arrow points to the tract and the arrowheads point to the medial longitudinal fissure. ACSF, artificial cerebrospinal fluid; ANOVA, analysis of variance; CRF, corticotropin-releasing factor; DA, dopamine; DRN, dorsal raphe nucleus; mPFC, medial prefrontal cortex.



**Figure 5** Prior social stress changes the impact of CRF on cognitive flexibility and this is dependent on coping style. (a) Comparison of task performance of rats exposed to social defeat that were administered ACSF ( $n = 9$ ) or CRF 30 ng ( $n = 14$ ) into the DRN. Task performance is indicated by the number of trials to reach criterion. Vertical lines indicated SEM. (b) CRF-treated rats were further analyzed by comparing effects with respect to whether they exhibited defeat within a short latency (SL,  $n = 6$ ) or long latency (LL,  $n = 8$ ). \* $p < 0.05$ . ACSF, artificial cerebrospinal fluid; CRF, corticotropin-releasing factor; DRN, dorsal raphe nucleus.

by CRF in the DRN is mediated by CRF<sub>1</sub> (Lukkes *et al*, 2008; Price and Lucki, 2001). The relatively long duration of CRF action is similar to that reported for other behaviors including burying behavior and potentiation of acoustic startle (Howard *et al*, 2008; Liang *et al*, 1992). This may be attributed to the engagement of signaling pathways that have enduring effects.

Whether the facilitation of strategy set-shifting is directly related to CRF<sub>1</sub>-induced changes in 5-HT in the mPFC is unknown. Lesion and pharmacological studies implicate 5-HT in reversal learning but not attentional set shifting (Boulougouris and Tsaltas, 2008; Homberg, 2012). For example, 5-HT depletion in the marmoset prefrontal cortex impaired reversal learning but not attentional set shifting (Clarke *et al*, 2005). CRF<sub>1</sub>-induced changes in 5-HT function in other brain regions could indirectly impact on processes underlying strategy set shifting. Importantly, the results suggest that an acute stress that would engage CRF<sub>1</sub> receptors

in the DRN can facilitate cognitive flexibility through enhanced strategy shifting. These CRF actions may play a role in the promotion of escape behavior and the ability of CRF<sub>1</sub> receptor activation in the DRN to inhibit learned helplessness (Hammack *et al*, 2003a).

### Social Stress Experience Alters the Cognitive Impact of Intra-DRN CRF: Relation to Coping Strategy

The response of DRN-5-HT neurons to CRF is qualitatively altered by a history of stress as a result of CRF receptor redistribution in DRN neurons. For example, prior swim stress induces the recruitment of CRF<sub>2</sub> receptors from the cytoplasm to the plasma membrane and tends to promote CRF<sub>1</sub> internalization (Waselus *et al*, 2009). This qualitatively changes the response to CRF from a CRF<sub>1</sub>-mediated inhibition to a CRF<sub>2</sub>-mediated excitation. The social stress used in the present study similarly induces CRF<sub>2</sub> recruitment to the plasma membrane and CRF<sub>1</sub> internalization, shifting DRN-5-HT neuronal responses to CRF from inhibition to CRF<sub>2</sub>-mediated excitation (Wood *et al*, 2013). Notably, these cellular changes were limited to the subpopulation of rats that exhibit a coping style characterized by a resistance to assume the defeat posture (LL rats). The present study provided evidence that social stress-induced changes in CRF function at the cellular level translate to changes in cognitive performance. Thus, in LL rats, CRF-induced facilitation of strategy set-shifting performance was replaced by an alternate form of cognitive flexibility, an improvement in reversal learning. This functional shift from enhanced strategy shifting to enhanced reversal learning is consistent with the cellular shift toward greater CRF<sub>2</sub> regulation of DRN-5-HT transmission and the positive role of 5-HT in reversal learning. Reversal learning is improved by manipulations that elevate extracellular 5-HT such as genetic or pharmacological inhibition of the 5-HT transporter (Brigman *et al*, 2010). Conversely, 5-HT deficits as a result of lesions or dietary tryptophan removal impairs reversal learning (Clarke *et al*, 2005; Park *et al*, 1994). In addition, 5-HT<sub>2A</sub> antagonists impair reversal learning, although antagonists of 5-HT<sub>2C</sub> and 5-HT<sub>6</sub> improve reversal learning (Boulougouris *et al*, 2008; Furr *et al*, 2012; Hatcher *et al*, 2005). Interesting, most of these manipulations affect the perseverative aspects of reversal learning, suggesting an effect on inhibition of a prior learned response. The improvement in reversal learning produced by CRF in stressed rats was associated with a decrease in regressive errors, implying enhanced learning and maintenance of a new rule rather than inhibition of a previously learned rule. Notably, swim stress, which alters DRN-5-HT neurotransmission through CRF (Price *et al*, 2002), also facilitates reversal learning and this improvement is apparent later in the task when errors would be regressive rather than perseverative (Graybeal *et al*, 2011).

The present study was designed as separate experiments in unstressed and stressed groups of rats because of the necessity to generate the CRF dose-response curve in stress-naïve rats. Although this may not be optimal to analyze the effects of stress, the treatment differences in stressed rats were robust and consistent with stress-induced cellular changes. Stress-related enhancement of reversal learning has been previously demonstrated with swim stress

and restraint as the stressors (Graybeal *et al*, 2011, 2014; Thai *et al*, 2013). It has been hypothesized that stress-induced deficits in ventromedial prefrontal cortical function allow for learning by alternate systems that are biased toward reversal learning as opposed to strategy shifting, although both are forms of cognitive flexibility (Graybeal *et al*, 2011). The present results suggest that social stress history and coping strategy interact with CRF in the DRN to direct this alternation in forms of cognitive flexibility.

### Clinical Implications

The ability of moderate levels of CRF in the DRN to facilitate strategy set-shifting in the present study is reminiscent of the improvement of attention set shifting by similar levels of CRF in the LC (Snyder *et al*, 2012). Together the results suggest that acute stress can facilitate this form of cognitive flexibility through parallel actions of CRF in the LC and DRN and this is an adaptive cognitive response to the stressor. Although chronic and repeated stressors are thought to impair cognitive flexibility, the present results, taken with other studies, suggest that they may promote alternative forms of cognitive flexibility such as reversal learning. CRF-related plasticity in the DRN may be one mechanism for this shift. The finding that this adaptation is most apparent in the LL subpopulation, the population that is relatively resistant to developing depression-related endocrine, behavioral and cardiovascular endpoints suggests links between coping style and the cognitive consequences of stress (Wood *et al*, 2010, 2012).

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