5-HT_{1A} Autoreceptors in the Dorsal Raphe Nucleus Convey Vulnerability to Compulsive Cocaine Seeking

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Cocaine addiction and depression are comorbid disorders. Although it is well recognized that 5-hydroxytryptamine (5-HT; serotonin) plays a central role in depression, our understanding of its role in addiction is notably lacking. The 5-HT system in the brain is carefully controlled by a combined process of regulating 5-HT neuron firing through 5-HT autoreceptors, neurotransmitter release, enzymatic degradation, and reuptake by transporters. This study tests the hypothesis that activation of 5-HT_{1A} autoreceptors, which would lessen 5-HT neuron firing, contributes to cocaine-seeking behaviors. Using 5-HT neuron-specific reduction of 5-HT_{1A} autoreceptor gene expression in mice, we demonstrate that 5-HT_{1A} autoreceptors are necessary for cocaine conditioned place preference. In addition, using designer receptors exclusively activated by designer drugs (DREADDs) technology, we found that stimulation of the serotonergic dorsal raphe nucleus (DRN) afferents to the nucleus accumbens (NAc) abolishes cocaine reward and promotes antidepressive-like behaviors. Finally, using a rat model of compulsive-like cocaine self-administration, we found that inhibition of dorsal raphe 5-HT_{1A} autoreceptors attenuates cocaine self-administration in rats with 6 h extended access, but not 1 h access to the drug. Therefore, our findings suggest an important role for 5-HT_{1A} autoreceptors, and thus DRN → NAc 5-HT neuronal activity, in the etiology and vulnerability to cocaine reward and addiction. Moreover, our findings support a strategy for antagonizing 5-HT_{1A} autoreceptors for treating cocaine addiction.

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INTRODUCTION

Cocaine ranks as the foremost cause of drug-related emergency department visits in the United States (Substance Abuse and Mental Health Services Administration, 2012) and ranks third in abuse, following marijuana and prescription drugs (Substance Abuse and Mental Health Services Administration, 2013). Drug abuse is highly comorbid with mental disorders, occurring three times more frequently with major depression (Substance Abuse and Mental Health Services Administration, 2013). Adults with depression abuse cocaine more than twice as often as healthy adults (Substance Abuse and Mental Health Services Administration, 2013). Moreover, comorbid depression is a significant risk factor for relapse in cocaine addiction (Hasin *et al*, 2002; Poling *et al*, 2007). These findings strongly suggest that cocaine addiction and depression may utilize similar brain circuitry, and this might explain the common comorbidity of these two conditions.

Identifying the factors that simultaneously predispose individuals to cocaine addiction and depression is critical for the development of effective therapies of addiction in patients with depression.

The neurotransmitter 5-hydroxytryptamine (5-HT; serotonin) plays a key role in depression (Bruchas *et al*, 2011; Robinson *et al*, 1989; Stockmeier *et al*, 1998; Valentino *et al*, 2010). Although the mechanism remains unclear, the clinical efficacy of major antidepressants is thought to depend on the adaptive response of 5-HT neuronal activity (Blier *et al*, 1998). Cocaine also acutely increases brain 5-HT by inhibiting serotonin reuptake. Most 5-HT neurons, which project to the forebrain, reside in the dorsal raphe nucleus (DRN) (Chang *et al*, 2011; Jacobs and Azmitia, 1992; Peyron *et al*, 1998; Waselus *et al*, 2011). Studies support an important role for raphe 5-HT neurons in depression, stress-related disorders, and drug addiction (Kirby *et al*, 2008; Land *et al*, 2009; Valentino *et al*, 2010). For example, the inhibition of 5-HT neurons by a κ -opioid receptor agonist was associated with stress-induced cocaine seeking (Land *et al*, 2009). Furthermore, the deletion of p38 α mitogen-activated protein kinase (MAPK) in 5-HT neurons abolished depressive and cocaine-seeking behavior in mice (Bruchas *et al*, 2011). The inhibition of raphe 5-HT neuron activity also increased ethanol drinking in rats (Tomkins *et al*, 1994). Wistar Kyoto rats, a genetic rodent model of

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depression, with high dynorphin activity, exhibit a decrease in 5-HT neuronal excitability in the DRN (Lemos *et al*, 2011). Thus, it is important to understand the regulatory determinants of 5-HT neuron activity in an effort to identify molecular targets for novel addiction and neuropsychiatric disorder therapies.

Among 5-HT receptors (Filip *et al*, 2005; Fink and Gothert, 2007), 5-HT_{1A} receptors directly control the cell firing of 5-HT neurons as somatic inhibitory autoreceptors (ie, 5-HT receptors on 5-HT neurons) (Haj-Dahmane *et al*, 1991; Sprouse and Aghajanian, 1987) and govern overall 5-HT tone in the brain. Consequently, the activity of 5-HT_{1A} autoreceptors greatly influences the actions of other 5-HT receptors (Popova and Naumenko, 2013). A polymorphism that leads to an increase in 5-HT_{1A} autoreceptors is linked to individual vulnerability to depression in humans (Kishi *et al*, 2009; Le Francois *et al*, 2008). In addition, the 5-HT_{1A} autoreceptors affect the expression of cocaine-produced open field behavioral responses (Carey *et al*, 2005). Despite the importance of 5-HT_{1A} autoreceptors in the 5-HT system, our understanding of the role of 5-HT_{1A} autoreceptors in addiction is lacking, most likely because 5-HT_{1A} receptors are also densely expressed as heteroreceptors (ie, 5-HT receptors on non-5-HT neurons) (Fink and Gothert, 2007), producing opposite actions on mood (Albert and Fiori, 2013). These two 5-HT_{1A} receptor populations are difficult to dissect pharmacologically.

Previous studies demonstrated a relationship between 5-HT_{1A} receptors and the acute reinforcing effects of cocaine (Czoty *et al*, 2005; Gold and Balster, 1992; Homberg *et al*, 2004; Peltier and Schenk, 1993). Systemic administration of buspirone, a 5-HT_{1A} receptor partial agonist, was shown to attenuate cocaine seeking-like responding in rats (Shelton *et al*, 2013). In the present study, we investigated the selective role of 5-HT_{1A} autoreceptors in cocaine reward using a genetic model of 5-HT_{1A} autoreceptor knockdown (5-HT_{1A} autoKD mice), designer receptors exclusively activated by designer drugs (DREADDs), and brain region-selective infusion of antagonists. In the first model, we tested the rewarding properties of cocaine in mice with selective knockdown of 5-HT_{1A} autoreceptors in the DRN from birth (Richardson-Jones *et al*, 2011). In the second model, we used DREADDs (Krashes *et al*, 2011) to determine the role of DRN serotonergic neuron inputs on cocaine conditioned place preference (CPP). In the last model, we tested the effects of DRN infusion of a 5-HT_{1A} antagonist on cocaine self-administration in a rat extended access cocaine self-administration (long access (LgA) rats) paradigm that allows for an escalating, compulsive-like pattern of cocaine intake. Rats with extended access show heightened motivation for cocaine, resistance to punishment, and dysphoric- and anxiety-like states during abstinence compared with rats with daily short access (ShA) to cocaine, providing face validity and construct validity for modeling compulsive-like responding compared with recreational drug use (Ahmed *et al*, 2002; Ahmed and Koob, 1998; Aujla *et al*, 2008; Wee *et al*, 2007a, b). Using these three rodent models, we provide empirical evidence that 5-HT_{1A} autoreceptors play an important role in the etiology of and vulnerability to cocaine addiction.

MATERIALS AND METHODS

Animals

All procedures were conducted in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals 8th edition in 2011 and were approved by the Institutional Animal Care and Use Committee of The Scripps Research Institute. Male 5-HT_{1A} autoKD mice (*Pet-1-tTS⁺Htr1a^{tetO/tetO}* mice) with a mixed 129S6/SvEvTac background were generated as described previously (Richardson-Jones *et al*, 2011). Briefly, *Pet-1-tTS⁺Htr1a^{tetO/tetO}* mice have suppressible 5-HT_{1A} autoreceptors in the DRN and possess two distinct engineered alleles, the tetracycline operator (*tetO*) and tetracycline-dependent transcriptional suppressor (*tTS*) under the control of the *Pet-1* promoter fragment (*Pet1-tTS⁺*) (Richardson-Jones *et al*, 2011). In the presence of doxycycline, tTS proteins do not bind their target sequence. However, in the absence of doxycycline, tTS proteins bind *tetO* sites, inhibiting the expression of 5-HT_{1A} autoreceptors. Therefore, 5-HT_{1A} autoKD were maintained on regular chow, whereas control mice (wild type) were maintained on chow that contained doxycycline (40 mg/kg). Male hemizygous *Fev* (fifth Ewing variant, *Pet-1* ETS oncogene family)-cre transgenic mice (B6. Cg-Tg (*Fev-cre*) 1Esd/J; Stock number: 012712; The Jackson Laboratory, Bar Harbor, ME) and wild-type (WT) littermates weighed 22–26 g at the beginning of the study. Male Wistar rats (Charles River, Hollister, CA) each weighing 225–250 g at the beginning of the study were used. All rats and mice were housed under a 12 h/12 h reverse light/dark cycle with lights off at 9:00 AM or 10:00 AM, respectively.

Stereotaxic AAV-DREADD-mCherry Injections

Fev-Cre and WT littermate controls were anesthetized with isoflurane and placed into a stereotaxic apparatus (KOPF 957, Tujunga, CA). After exposing the skull via incision, a small hole was drilled through the skull for injection. A 33-gauge plane microinjector was inserted into the brain and 200 nl bilateral injections were made in the NAc of mice (coordinates, bregma: anterior–posterior, +1.70 mm; lateral, ±1.2 mm; dorsal–ventral, –4.4 mm). AAV8-hSyn-DIO-hM3D(Gq)-mCherry (titer 4.7×10^{12} genomes copies per ml), AAV8-hSyn-DIO-hM4D(Gi)-mCherry (titer 5.3×10^{12} genomes copies per ml), or AAV8-hSyn-DIO-mCherry (titer 3.8×10^{12} genomes copies per ml) from the University of North Carolina Gene Therapy Center (Chapel Hill, NC) were injected bilaterally into the NAc of 6-week-old *Fev-Cre* and WT (non-cre-expressing littermates) mice at 25 nl/min. The microinjector was withdrawn 5 min after injection. For postoperative care, mice were injected orally with Metacam (0.2 mg/kg; Boehringer Ingelheim, Ridgefield, CT) and subcutaneously with Baytrill (0.2 mg/kg; Bayer HealthCare, Pittsburgh, PA). Mice were allowed 1 week to recover and then acclimated to handling for 1 week before the start of any behavioral studies. Briefly, designer receptors, mutated muscarinic G protein-coupled receptors (GPCRs), are unable to bind natural neurotransmitters, but can be activated by the pharmacologically inert ligand clozapine-N-oxide (CNO) (Wess *et al*, 2013). CNO activation of hM3Dq- or hM4Di-expressing neurons results in neuronal excitation via Gq proteins or inhibition via Gi proteins, respectively.

(Armbruster *et al*, 2007). Previous studies have reported that systemic injection of CNO activates DREADDs in the brain within 15 min and reaches the peak after ~30–40 min, with the effect of CNO lasting 9 h (Alexander *et al*, 2009; Rogan and Roth, 2011). Thus, animals received systemic injection of CNO (1 mg/kg) 20 min before cocaine or saline conditioning in the CPP, the elevated plus maze (EPM), the tail suspension test (TST), and the locomotor activity test. In addition, the DREADDs are fused to mCherry to monitor receptor expression. At the conclusion of the study, mice were perfused and decapitated for immunofluorescence.

Cocaine-Induced CPP

A three-chamber CPP apparatus (Med Associates, Inc., St Albans, VT) was used. Two different compartments were separated by a small gray chamber with guillotine doors. The procedure consisted of a pre-test, conditioning, and a post-test. In the pre- and post-test, the mice were given free access to both compartments, and the time spent in each compartment was recorded as described in Supplementary Methods.

Elevated Plus Maze

The EPM apparatus (Med Associates, Inc.) consisted of a center platform elevated 40 cm from the floor, with 4 arms attached: 2 open arms across from each other and 2 closed arms perpendicular to the open arms with a white floor insert. Lighting was 276 lux on open arms, 127 lux on closed arms, and 230 lux in the center. Mice were placed in the center platform facing a closed arm and allowed to freely explore the maze for 5 min. The location of the mice was tracked with an automated video-tracking system (EthoVision XT, Noldus Information Technology, Leesburg, VA). All experimental sessions were conducted under white light illumination and with a white noise filter. The arms were cleaned with 0.1% Micro-90 (International Products Corporation, Burlington, NJ) before and between trials. The number of entries and time spent in open arms were analyzed.

Tail Suspension Test

A black acrylic four-chamber TST apparatus (8 inch depth × 7 inch width × 12 inch height) with a white infrared (IR)-permeable acrylic back was constructed by the Scripps FL Behavior Core. The mouse tail was taped to a hook with white lab tape (VWR 89097-916, Wayne, PA). Movement was monitored for 6 min and the duration of mouse immobility during the last 4 min was scored and analyzed using a standard charge-coupled device (CCD) camera and an automated video-tracking system (EthoVision XT). All experimental sessions were conducted under two IR lights and a white noise filter in a dark room. The chambers were cleaned with 0.1% Micro-90 before and between trials.

Locomotor Activity

To test for baseline activity, mice were placed into an open field arena (17 inch length × 17 inch width × 12 inch height, created by the Scripps FL Behavior Core) and locomotor

behavior was measured for 30 min. The mice were then injected with CNO (1 mg/kg, i.p.) and returned to the arena for 20 min. Before testing, uniformity of light across the arena was confirmed using a light intensity meter. The chambers were cleaned with 0.1% Micro-90 (International Products corporation) before and between trials. All experimental sessions were conducted under red light illumination and with a white noise filter. The locomotor activity test was conducted and recorded by a computerized video-tracking software program (EthoVision XT). Data were analyzed in 10-min blocks.

Tissue Preparation and Immunofluorescence

After the CPP test, mice were perfused with 4% paraformaldehyde. The brains were removed and post-fixed in the same fixation solution for 4 h at 4 °C and were dehydrated in 30% sucrose. Sections (40 μm) were cut on a cryostat (Leica, Buffalo Grove, IL) and kept in phosphate-buffered saline (PBS) with 0.1% sodium azide at 4 °C before processing for immunofluorescence. The sections were incubated with 0.3% Triton-X in 1 × PBS for 3 min twice and nonspecific binding was then blocked with 2% bovine serum albumin (BSA) in 1 × PBS for 30 min and incubated for 2 days at 4 °C (0.1% Triton-X in 2% BSA) in goat anti-5-HT transporter antibody (1:800; Abcam), rabbit anti-DsRed antibody (1:2500; Clontech), and rabbit anti-c-fos antibody (1:400; Santa Cruz). Following washes with 1 × PBS, sections were blocked for 30 min with 2% donkey serum (Sigma) with 2% BSA in 1 × PBS. The sections were then incubated for 30 min with Alexa Fluor 488 anti-goat and Alexa Fluor 594 anti-rabbit secondary antibodies (1:1000; Invitrogen). The sections were coverslipped with Vectashield mounting media with DAPI for fluorescence (Vector Laboratories, Burlingame, CA). Fluorescently stained images were collected using confocal microscopy (Zeiss Thornwood, NY). The c-fos immunolabeled profiles were counted in the dorsomedial and ventromedial subregions of the DRN from at one slice per hM3Dq-expressing Cre+ mouse and Cre– mouse. The shape and the base of the aqueduct defined the same area of the dorsomedial and ventromedial subregions of the DRN (Figure 2d). In addition to the DRN, c-fos immunoreactive profiles were quantified in the NAc of one slice per hM3Dq-expressing Cre+ mouse and Cre– mouse. The shape of the forceps minor of the corpus callosum and the location of the anterior commissure defined the same area of the NAc (Figure 2g). For analysis of double immunolabels (DsRed and 5-HT transporter), and three immunolabels (c-fos, 5-HT transporter, and DAPI), individual high-magnification fields were digitally captured, merged, pseudocolored, and examined using ImageJ software (NIH, Bethesda, MD; rsb.info.nih.gov/ij). Because the c-fos immunolabeled nuclear profiles were surrounded by 5-HT transporter containing fibers, we determined the colocalization of c-fos with 5-HT transporter as the 5-HT transporter immunoreactive neurons contained c-fos immunolabeled nuclear profiles and merged with DAPI, a nuclear counterstain.

Cocaine Self-Administration

The drugs were tested in separate groups of animals. Eighteen male Wistar rats were intravenously catheterized

as previously described (Wee *et al.*, 2007b) and trained to self-administer cocaine (0.5 mg/kg/infusion) as described in Supplementary Methods. They were then divided into two groups. One group continued to self-administer cocaine with 1 h access (short-access, ShA, $n=9$), whereas the other group self-administered cocaine for 6 h per day (long-access, LgA, $n=9$). After 14–15 sessions of cocaine self-administration with short or long access, the effect of WAY100635, a selective 5-HT_{1A} receptor antagonist, on cocaine self-administration was examined under a progressive-ratio (PR) schedule as described in Supplementary Methods. All test sessions were separated by at least two fixed-ratio (FR) sessions with either 1 or 6 h access.

WAY100635 Microinjection in the DRN and Cocaine Self-Administration

Nineteen male Wistar rats were trained to self-administer cocaine as described above and implanted with intracranial cannulae that ended 2 mm dorsal to the DRN. After recovery, the rats again self-administered cocaine for 1 h/day and were then divided into two groups. One group of rats continued to self-administer cocaine with ShA ($n=10$), whereas the other group was allowed to self-administer cocaine with LgA ($n=9$). After 12 sessions of cocaine self-administration with either ShA or LgA, the effect of WAY100635 (75, 150 μ g) in the DRN on cocaine self-administration was determined under a PR schedule (Supplementary Methods).

Drugs

All systemic test drugs were dissolved in saline and administered in a volume of 10 ml/kg body weight for mouse or 1 ml/kg body weight for rat. For microinjections, WAY100635 was dissolved in $0.5 \times$ PBS (pH 7.4). The doses of all drugs were tested in a counterbalancing order across rats. CNO dissolved in 0.25% dimethyl sulfoxide and saline was synthesized by Theodore Kamenecka, Associate Scientific Director, at The Scripps Research Institute.

Data Analysis

The CPP data were expressed as the mean time spent in the CS+ (positive conditioned stimulus; cocaine-conditioned) compartment and CS- compartment (no conditioned stimulus; saline-conditioned) in each pre- and post-test and were analyzed using two-way repeated-measures analysis of variance (ANOVA) followed by the Bonferroni *post hoc* test (Stimulus $\times$ Test; Prism 5.0, GraphPad, San Diego, CA). The cocaine self-administration data are expressed as the mean number of injections for each group of rats and were analyzed using two-way repeated-measures ANOVA followed by the Bonferroni *post hoc* test (Access $\times$ Session for escalation data; Access $\times$ Test drug dose for the PR data; Prism 5.0, GraphPad). The TST and the EPM data were analyzed using *t*-tests. The locomotor activity data were expressed as the distance traveled and were analyzed using two-way repeated measures ANOVA followed by the Bonferroni *post hoc* test (Cre $\times$ Time; Prism 5.0, GraphPad).

When variances differed between two groups, the data were log-transformed and subjected to analysis.

RESULTS

5-HT_{1A} autoKD Mice Fail to Display CPP to Cocaine

We first examined the effect that decreased 5-HT_{1A} autoreceptor function in the DRN may have in cocaine-induced CPP using *Pet-1-tTS⁺Htr1a^{tetO/tetO}* mice. To evaluate the effect of doxycycline on cocaine-induced CPP, two groups of mice without the tTS allele (tTS⁻) that were on either regular or doxycycline chow were included in the study.

The timeline of the CPP experiment is shown in Figure 1a. Cocaine (7.5 mg/kg, i.p.) significantly conditioned a place preference in control mice (Figure 1b; Test $\times$ Stimulus interaction $F_{1,16}=25.63$, $P<0.001$; Stimulus $F_{1,16}=0.51$, $P=0.49$; Test $F_{1,16}=0.00$, $P=1.00$), whereas it failed to do so in 5-HT_{1A} autoKD mice (Figure 1c; Test $\times$ Stimulus interaction $F_{1,8}=5.21$, $P=0.05$; Stimulus $F_{1,8}=2.86$, $P=0.13$; Test $F_{1,8}=0.71$, $P=0.43$). According to our analysis, although there was a trend in Test $\times$ Stimulus interaction, it was not quite statistically significant. Doxycycline chow alone had no effect on cocaine-induced CPP, in which both groups of mice without the tTS allele (tTS⁻) with doxycycline and regular chow developed cocaine-induced CPP. Both groups of tTS⁻ mice with doxycycline chow and regular chow developed cocaine-induced CPP (Figure 1d; tTS⁻ DOX-ON Test $\times$ Stimulus interaction $F_{1,8}=7.89$, $P<0.05$; Stimulus $F_{1,8}=6.57$, $P<0.05$; Test $F_{1,8}=0.01$, $P=0.10$; Figure 1e; tTS⁻ DOX-OFF Test $\times$ Stimulus interaction $F_{1,8}=13.96$, $P<0.01$; Stimulus $F_{1,8}=2.08$, $P=0.19$; Test $F_{1,8}=1.58$, $P=0.24$).

Fev-Cre Transgenic Mice Exclusively Express G Protein-DREADDs in 5-HT Neurons

Reduced 5-HT_{1A} autoreceptor function increases activity of DRN serotonergic neurons, providing a potential mechanism for resistance to cocaine reward. To test the hypothesis that activation of 5-HT projection neurons from the DRN to the forebrain region including the NAc reduces cocaine reward, we used DREADDs to selectively excite or silence 5-HT neurons from the DRN to the NAc and examined its impact on cocaine CPP. We used a cre-dependent adeno-associated virus (AAV) serotype 8 to target hM3Dq and hM4Di specifically to DRN 5-HT neurons projecting to the NAc. This was accomplished by bilateral injection of AAV8-hM3Dq or -hM4Di-mCherry viral particles into the NAc of Fev-cre transgenic mice that express cre-recombinase in all mid-hindbrain 5-HT-synthesizing neurons and their post-mitotic precursors. At 6 weeks after infection, mCherry was detected exclusively in the DRN serotonergic cell bodies (Figure 2a) and the 5-HT neuron terminals innervating the NAc (Figure 2b) based on colocalization with the serotonergic marker, the serotonin transporter (SERT), confirming retrograde infection by AAV8 viral particles. Verifying the specificity of mCherry expression in 5-HT neurons in hM3Dq expressing Fev-cre (Cre+) mice, mCherry could not be detected in WT littermate mice (non-cre-expressing mice, Cre-) injected with the same virus (Figure 2a and b).

Figure 1 Rewarding effects of cocaine in conditional 5-HT_{1A} autoKD mice. (a) Scheme of the cocaine-induced conditioned place preference (CPP) test. Effects of 7.5 mg/kg cocaine on CPP in wild-type mice (b), 5-HT_{1A} autoKD mice (c), tTS⁻ DOX-ON mice (d), and tTS⁻ DOX-OFF mice (e). ** $P < 0.01$, CS⁻ of post-test vs CS⁺ of post-test. 5-HT_{1A} autoKD, 5-HT_{1A} autoreceptor knockdown; CS, conditioned stimulus (cocaine); DOX-OFF, mice fed regular chow; DOX-ON, mice fed doxycycline chow; tTS, tetracycline-dependent transcriptional suppressor.

To further address whether the injection of CNO activated the 5-HT neurons of hM3Dq-expressing mice, we measured c-fos immunoreactivity, a marker of cellular activation, in the NAc slices prepared from hM3Dq-expressing mice and non-cre-expressing littermates 90 min after injection of CNO (1 mg/kg). CNO injection significantly increased c-fos immunoreactivity in SERT-expressing neurons in the DRN (Figure 2c–e; $t = 2.75$, $df = 10$, $P < 0.05$) and the NAc (Figure 2f–h; $t = 2.32$, $df = 8$, $P < 0.05$) of the hM3Dq-expressing Cre⁺ mice compared with those in Cre⁻ mice. These findings confirm that Cre activity is required to enable hM3Dq and hM4Di expression in 5-HT neurons and CNO administration is directly linked to the stimulation of hM3Dq-expressing 5-HT neuronal activity.

Activation of 5-HT Neurons by DREADDs Abolishes Cocaine-Induced CPP

To test the hypothesis that DRN → NAc 5-HT neuron activity modulates cocaine reward, we activated or inhibited neurons with Gq or Gi DREADD via CNO before cocaine training. The timeline of the AAV injection and CPP, as well as EPM, TST, locomotor activity, and perfusion for immunoreactivity (described below), is shown in Figure 3a. In the CPP assay, mice received systemic injection of CNO (1 mg/kg) 20 min before cocaine or saline training. Stimulation of 5-HT neurons from the DRN → NAc via injection of CNO prevented CPP induced by cocaine (7.5 mg/kg i.p.) in

hM3Dq-expressing Cre⁺ mice (Figure 3b; Stimulus × Test interaction $F_{1,14} = 2.15$, $P = 0.17$; Stimulus $F_{1,14} = 1.13$, $P = 0.31$; Test $F_{1,14} = 0.63$, $P = 0.44$), whereas WT mice (Cre⁻) with CNO displayed CPP for cocaine (Figure 3c; Stimulus × Test interaction $F_{1,12} = 17.85$, $P < 0.01$; Stimulus $F_{1,12} = 2.87$, $P = 0.12$; Test $F_{1,12} = 0.03$, $P = 0.87$). Next, we examined whether inhibition of 5-HT neurons from the DRN → NAc potentiated a CPP induced by low-dose cocaine (4 mg/kg) in hM4Di-expressing mice. Cocaine (4 mg/kg) conditioned a low level of place preference in both Cre⁺ and Cre⁻ mice (Figure 3c; Stimulus × Test interaction $F_{1,12} = 31.94$, $P < 0.001$; Stimulus $F_{1,12} = 2.97$, $P = 0.11$; Test $F_{1,12} = 0.01$, $P = 0.92$; Figure 3f; Stimulus × Test interaction $F_{1,10} = 11.86$, $P < 0.01$; Stimulus $F_{1,10} = 3.82$, $P = 0.08$; Test $F_{1,10} = 0.30$, $P = 0.59$). The CPP induced by 4 mg/kg cocaine was neither potentiated nor reduced by the inhibition of 5-HT neurons from the DRN → NAc. Thus, inhibiting hM4Di-expressing 5-HT neurons with CNO had no effect on cocaine-induced CPP (Figure 3c). Both control virus (Blank) expressing Cre⁺ mice and Cre⁻ mice showed cocaine (7.5 mg/kg i.p.)-induced CPP (Figure 3d; Stimulus × Test interaction $F_{1,12} = 7.01$, $P < 0.05$; Stimulus $F_{1,12} = 9.31$, $P < 0.05$; Test $F_{1,12} = 0.00$, $P = 0.97$; Figure 3g; Stimulus × Test interaction $F_{1,12} = 19.72$, $P < 0.01$; Stimulus $F_{1,12} = 5.25$, $P < 0.05$; Test $F_{1,12} = 0.11$, $P = 0.75$). Together, these data indicate that increasing, but not decreasing, DRN → NAc serotonergic neuron activity reduces cocaine reward.

Figure 2 Expression of DREADDs in the DRN and NAc projections and c-fos immunoreactivity in the NAc by CNO in Fev-cre mice. Immunofluorescence labeling for AAV8-hSyn-DIO-hM3D(Gq)-mCherry (red) and serotonin transporter (green) in the DRN (a) and the NAc (b) in Cre⁺ mice and Cre⁻ mice. Immunofluorescence labeling for c-fos (red), serotonin transporter (green) and DAPI (blue) in the DRN (c) and the NAc (f) in the Cre⁺ mice and Cre⁻ mice. Schematic indicating the site of the imaged area in the DRN (d) and the NAc (g). Injection of CNO *in vivo* increases c-fos immunoreactivity in the DRN (e) and the NAc (h) of the Cre⁺ mice compared with Cre⁻ mice. * $P < 0.05$, Cre⁺ vs Cre⁻. Brains were obtained for c-fos analysis 90 min following injection of CNO (1 mg/kg of body weight, i.p.). Scale bars: 20 μ m. CNO, clozapine-N-oxide; Cre⁺, Fev-cre transgenic mice; Cre⁻, their littermates and non-cre-expressing mice; SERT, serotonin transporter.

Stimulation of 5-HT Neurons by DREADDs Leads to Antidepressive Behavior

At 1 week after CPP testing, we investigated whether activation of 5-HT neurons affects anxiety- and depression-like behaviors, comorbid with drug addiction (Araos *et al*, 2014), using the EPM and TST. In the EPM test, neither genotype nor CNO (1 mg/kg) injection had significant effects on the number of entries into the open arms and the time spent in the open arms (Supplementary Figure S1a; $t = 0.36$, $df = 13$, $P = 0.72$; Supplementary Figure S1b; $t = 1.41$, $df = 12$, $P = 0.18$; Supplementary Figure S1c; $t = 0.42$, $df = 12$, $P = 0.68$; Supplementary Figure S1d; $t = 1.04$, $df = 13$, $P = 0.32$; Supplementary Figure S1e; $t = 0.15$, $df = 12$, $P = 0.88$; Supplementary Figure S1f; $t = 1.13$, $df = 12$, $P = 0.28$). In the TST test, CNO (1 mg/kg) significantly decreased the immobility time in the Cre⁺ mice expressing stimulatory hM3Dq DREADDs in 5-HT neurons compared with Cre⁻ mice (Figure 3h; $t = 2.50$, $df = 13$, $P = 0.03$). CNO

did not significantly affect immobility time in Cre⁺ mice expressing hM4Di inhibitory DREADDs compared with control virus-expressing Cre⁺ mice in DRN $\rightarrow$ NAc 5-HT neurons (Figure 3i; $t = 0.57$, $df = 12$, $P = 0.58$; Figure 3j; $t = 0.35$, $df = 12$, $P = 0.74$). We also measured the time spent immobile for the first 2 min, because mice showed the first immobility between 80 and 100 s on average (Francis *et al*, 2012; Vollenweider *et al*, 2011). There was no significant difference between Cre⁺ and Cre⁻ mice expressing DREADDs in the time spent immobile for the first 2 min (Supplementary Figure S2a; $t = 1.67$, $df = 13$, $P = 0.12$; Supplementary Figure S2b; $t = 0.94$, $df = 11$, $P = 0.37$; Supplementary Figure S2c; $t = 1.14$, $df = 13$, $P = 0.28$). CNO injection (1 mg/kg, i.p.) had no effect on locomotor activity, as indicated by the total traveled distance in all groups (Supplementary Figure S3a; Cre $\times$ Time interaction $F_{4,56} = 0.69$, $P = 0.60$; Cre $F_{1,14} = 0.67$, $P = 0.43$; Time $F_{4,56} = 10.43$, $P < 0.001$; Supplementary Figure S3b; Cre $\times$ Time interaction $F_{4,52} = 1.78$, $P = 0.15$; Cre $F_{1,13} = 0.00$,

Figure 3 Excitation of the DRN → NAC 5-HT projection by DREADDs abolished cocaine-induced CPP and produced antidepressant-like behaviors. Gq- and blank-DREADD-expressing mice received 7.5 mg/kg cocaine, whereas Gi-DREADD-expressing mice received 4 mg/kg cocaine. (a) Timeline of AAV microinjection and behavioral tests. Effect of cocaine on CPP in Cre+ mice expressing Gq-DREADD (b), Gi-DREADD (c), and blank-DREADD (d). Effect of cocaine on CPP in Cre- mice expressing Gq-DREADD (e), Gi-DREADD (f), and blank-DREADD (g). ** $P < 0.01$, *** $P < 0.001$, CS- of post-test vs CS+ of post-test. Effect of CNO (1 mg/kg, i.p.) injection on TST in Cre+ and Cre- mice expressing Gq-DREADD (h), Gi-DREADD (i), and blank-DREADD (j). * $P < 0.05$, Cre+ vs Cre-. Cre+, Fev-cre transgenic mice; Cre-, their littermates and non-cre-expressing mice.

$P = 0.95$; Time $F_{4,52} = 20.10$, $P < 0.001$; Supplementary Figure S3c; Cre $\times$ Time interaction $F_{4,48} = 0.29$, $P = 0.89$; Cre $F_{1,12} = 1.61$, $P = 0.23$; Time $F_{4,48} = 34.54$, $P < 0.001$).

5-HT_{1A} Receptor Inhibition Attenuates Increased Motivation for Cocaine in LgA Rats

The timeline of the surgery, self-administration training, grouping for ShA and LgA, and test for drugs is shown in Figure 4a. The LgA rats exhibited an increase in cocaine intake with 6-h access under a fixed ratio (FR) schedule of reinforcement during an entire session as well as during the first hour of a session, whereas ShA rats maintained a constant level of intake (Figure 4b; Session intake, Session $\times$ Access interaction $F_{14,224} = 1.9$, $P < 0.05$; Session $F_{14,224} = 10.0$, $P < 0.001$; Access $F_{1,224} = 19.0$, $P < 0.001$; Figure 4c; First hour intake, Session $\times$ Access interaction $F_{14,224} = 8.2$, $P < 0.001$; Session $F_{14,224} = 16.8$, $P < 0.001$; Access $F_{1,224} = 22.1$, $P < 0.001$). LgA rats also self-administered more cocaine than ShA rats under a PR

schedule, achieving a higher response ratio per cocaine injection (Figure 4d and e). In the PR schedule, the response requirement per drug injection gradually increases within a session, measuring the amount of work that an animal is willing to exert for a drug injection. The ratio/reward on the right y axis was matched with injection number according to the following equation: responses/injection = $[5 \times e^{(\text{injection number} \times 0.2)}] - 5$ (Richardson and Roberts, 1996). The data suggest that LgA rats had higher motivation for cocaine self-administration than ShA rats. We tested the effects of a selective 5-HT_{1A} receptor antagonist, WAY100635, on cocaine self-administration under a PR schedule. Systemic injection of WAY100635 decreased cocaine self-administration only in LgA rats under a PR schedule (Figure 4d; WAY100635 Dose $\times$ Access interaction $F_{4,64} = 0.9$, $P > 0.05$; WAY100635 dose $F_{4,64} = 5.5$, $P < 0.001$; Access $F_{1,64} = 7.9$, $P = 0.01$).

To test the hypothesis that the effect of WAY100635 on cocaine intake is mediated through DRN 5-HT_{1A} receptors, we implanted cannulas targeting the DRN and microinfused

Figure 4 5-HT_{1A} autoreceptor inhibition attenuated the heightened motivation for cocaine self-administration in a rat model of cocaine addiction. (a) Scheme of testing the effect of WAY100635, a 5-HT_{1A} receptor antagonist, on cocaine self-administration under PR. (b) Entire-session cocaine self-administration with short access (ShA) and extended access (LgA) in rats that were tested with systemic injection of WAY100635. **P* < 0.05, ****P* < 0.001, compared with session 1. (c) The first hour of cocaine self-administration in ShA and LgA rats that received a systemic injection of WAY100635. **P* < 0.05, ****P* < 0.001, compared with session 1. (d) Systemic injection effect of various doses of WAY100,635 on cocaine self-administration under a PR schedule. **P* < 0.05, ****P* < 0.001, compared with 0.5X phosphate-buffered saline (PBS; zero dose); #*P* < 0.05, compared with ShA group. (e) Intradorsal raphe infusion effect of WAY100,635 on cocaine self-administration under a PR schedule. ***P* < 0.01, compared with zero dose.

the drug into the DRN during PR schedule responding in ShA and LgA rats. In cannulated rats, cocaine self-administration remained constant with daily 1 h access in ShA rats but increased with daily 6 h access in LgA rats (Supplementary Figure S4a; Session intake, Session $\times$ Access interaction $F_{11,187} = 5.91$, $P < 0.001$; Session $F_{11,187} = 11.48$, $P < 0.001$; Access $F_{1,17} = 63.92$, $P < 0.001$) similar to self-administration in noncannulated animals. Microinjection of WAY100635 into the DRN decreased cocaine self-administration under a PR schedule in LgA rats (Figure 4e; WAY100635 dose $\times$ Access interaction $F_{2,34} = 1.98$, $P = 0.1532$; WAY100635 dose $F_{2,34} = 5.17$, $P < 0.05$; Access $F_{1,17} = 1.06$, $P = 0.32$). WAY100635 (150 μ g) significantly decreased cocaine self-administration in LgA rats under a PR schedule compared with the vehicle treatment ($P < 0.01$). The drug did not alter cocaine self-administration at any dose in ShA rats.

DISCUSSION

In this study, we tested the hypothesis that increased activity of 5-HT_{1A} autoreceptors induced by chronic cocaine use is a key neural mechanism that contributes to transition to

cocaine addiction. We found that deletion of 5-HT_{1A} autoreceptors in the DRN from birth abolished the rewarding effect of cocaine in mice. Using DREADDs, we also showed that stimulation of DRN $\rightarrow$ NAc 5-HT projections, which essentially mimics the effect of 5-HT_{1A} autoreceptor knockdown, abolished cocaine-induced CPP. In addition, stimulation of DRN $\rightarrow$ NAc 5-HT neurons produced antidepressive-like behavior in the TST. Finally, we found that the inhibition of 5-HT_{1A} receptors specifically in the DRN selectively attenuated the increased motivation for cocaine in LgA rats, suggesting a positive relationship between the increase in 5-HT_{1A} receptor activity and the transition to compulsive-like cocaine self-administration.

Few selective pharmacological tools are available to differentiate 5-HT_{1A} auto- and heteroreceptors. Recently, conditional 5-HT_{1A} autoreceptor knockdown mice have been generated, serving as an invaluable tool to study 5-HT_{1A} autoreceptors (Richardson-Jones *et al*, 2011). Using these transgenic mice, we tested the role of 5-HT_{1A} autoreceptors in cocaine reward. Our data suggest that 5-HT_{1A} autoreceptors play a role in the development of cocaine addiction and that the high activity of 5-HT_{1A} autoreceptors, and consequent low serotonin neuron activity, may convey

vulnerability to cocaine addiction by increasing the sensitivity to cocaine reward.

In our experiments using 5-HT_{1A} autoKD and control mice, we used a biased subject assignment procedure such that animals were paired with a rewarding stimulus (unconditioned stimulus (US)) in the least-preferred compartment. This was done because this mouse line exhibited a significant chamber preference during the CPP pre-test (Figure 1) and previous studies suggest that although pairing the US with the least-preferred chamber elicits a CPP, a preference fails to develop when the US is paired with the preferred side in animals with a significant chamber bias during the pre-test (Calcagnetti and Schechter, 1994). Thus, randomly assigning compartments to be paired with rewarding stimuli without assessing baseline preferences may not result in a CPP (Prus *et al*, 2009). In contrast, we used an unbiased design for the Fev-cre mouse line because they did not show a significant difference between times spent in the two compartments during the pre-test. Although the cocaine (US) effects in a biased subject assignment procedure may be attributed to a reduction of the subject's initial unlearned preference, rather than a direct measure of reward, cocaine elicited a significant place preference in all control groups, making this possibility unlikely.

A previous study showed that the serotonergic system was activated when 5-HT_{1A} autoreceptor levels were reduced in adulthood using the 5-HT_{1A} autoKD mice. These mice exhibited a higher raphe firing rate compared with control mice, presumably because of their lower raphe firing rate via activation of 5-HT_{1A} autoreceptors (Richardson-Jones *et al*, 2010). The enhanced raphe firing rate by the reduction of 5-HT_{1A} autoreceptor function could increase resilience to chronic stress and addiction by increasing serotonergic tone in forebrain regions. Our data from stimulation of DRN → NAc 5-HT projections via DREADDs, which mimics the decrease of 5-HT_{1A} autoreceptor function on neuronal activity, provide direct evidence for this possibility. Using this model, activation of DRN → NAc 5-HT projections reduced cocaine reward and antidepressive-like behavior. Therefore, these data suggest that development of cocaine reward and depression are associated with increased 5-HT_{1A} autoreceptor function in the DRN, resulting in decreased 5-HT output to the NAc. Stimulation of DRN → NAc 5-HT neurons did not affect anxiety-like or locomotor behaviors. Because CNO had no effect on locomotor activity within 20 min, the time required for CNO to activate DREADDs in the brain after injection (Alexander *et al*, 2009; Rogan and Roth, 2011), it is unlikely that the decrease in immobility time in the TST and the abolishment of cocaine CPP is a secondary effect of DRN → NAc 5-HT neuron stimulation on locomotor activity.

Previous studies showed that intra-DRN 5-HT_{1A} receptor agonist injection conditioned a place preference (Fletcher *et al*, 1993) and caused a potentiation of acute cocaine-induced motor activity (Szumlinski *et al*, 2004). In contrast, our data on the effect of inhibition of DRN → NAc 5-HT neurons, which mimics the activation of 5-HT_{1A} autoreceptors in the DRN, showed no potentiating effect of reducing DRN 5-HT neuron activity on cocaine reward. This discrepancy can likely be explained by the specificity of our experimental design that selectively targets DRN 5-HT neurons themselves, whereas pharmacological manipulations

will yield contributions from 5-HT_{1A} receptor activation in both 5-HT and non-5-HT DRN neurons. Szumlinski *et al* (2004) also showed that microinjection of 5-HT_{1A} receptor agonist in the DRN enhanced the level of both extracellular dopamine and glutamate in the NAc. Thus, CPP and enhanced cocaine-induced hyperlocomotion via pharmacological activation of 5-HT_{1A} receptors in the DRN might involve the dopamine and glutamate systems. Indeed, recent studies have provided evidence that optogenetic stimulation of the DRN cell bodies reinforce behaviors in a 5-HT-independent manner with non-5-HT neurons in the DRN, providing glutamatergic input to ventral tegmental area dopamine neurons (McDevitt *et al*, 2014). These findings indicate that 5-HT_{1A} heteroreceptor expression in DRN non-5-HT neurons (Beck *et al*, 2004) might contribute to this circuit in cocaine reward. Our results suggest that exclusive inhibition of DRN → NAc 5-HT neurons via activation of 5-HT_{1A} autoreceptors may not be sufficient to affect cocaine reward. Although we did not perform CPP with a higher dose of cocaine in the Gi-DREADD mice, our data indicate that a low dose of cocaine (4 mg/kg) was neither potentiated nor decreased by inhibition of DRN 5-HT neurons. Thus, inhibiting hM4Di-expressing 5-HT neurons with CNO may have little effect on higher dose of cocaine-induced CPP.

Based on our findings, we tested the hypothesis that increased DRN → NAc 5-HT neuron activity via blockade of 5-HT_{1A} receptors may promote resiliency to cocaine addiction-related behavior by using a rodent model of self-administration with extended access to the drug. Rats with extended access to cocaine self-administration exhibited an increase in cocaine self-administration under both FR and PR schedules that mimics the development of tolerance to the drug and increased motivation to take the drug in humans who compulsively seek drugs (Ahmed and Koob, 1998; Wee *et al*, 2007a, b). Furthermore, previous studies demonstrated that LgA rats exhibited dysphoric- and anxiety-like withdrawal states compared with ShA rats (Ahmed *et al*, 2002; Aujla *et al*, 2008). One hypothesis for the development of addiction is that negative withdrawal symptoms, such as dysphoria and anxiety, drive compulsive drug seeking and relapse to drug use (Koob *et al*, 1997; Koob and Le Moal, 2008). Indeed, LgA rats were more sensitive to the reinstatement of extinguished responding for cocaine self-administration upon re-exposure to a priming cocaine injection than ShA rats (Knackstedt and Kalivas, 2007; Mantsch *et al*, 2004), suggesting an increase in the vulnerability to relapse. Therefore, this rodent model is a valuable tool to investigate the neural mechanisms of cocaine addiction.

A previous study reported that 0.5 mg/kg 8-OH-DPAT, a 5-HT_{1A} receptor agonist, decreased self-administration of a low unit dose of cocaine in rats with 2 h access (Peltier and Schenk, 1993). The literature suggests that 0.05 mg/kg or a higher dose of 8-OH-DPAT stimulates both 5-HT_{1A} auto- and heteroreceptors, based on microdialysis and physiological data (Allen *et al*, 1997; Chen and Reith, 1995). Moreover, the activation of 5-HT_{1A} heteroreceptors in the forebrain has been hypothesized to enhance mood (Blier and Ward, 2003; Gross *et al*, 2002; Le Francois *et al*, 2008). Thus, the activation of 5-HT_{1A} heteroreceptors by a high dose of 8-OH-DPAT via enhanced reward might be related

to the decreased motivation for cocaine self-administration in rats. However, our data showed that systemic inhibition of 5-HT_{1A} receptors with WAY100635 selectively attenuated the increased motivation for cocaine in LgA rats. The doses of WAY100635 (0.03–0.3 mg/kg) appeared to preferentially act on 5-HT_{1A} autoreceptors, in which 0.4 mg/kg of the drug was shown to augment the cocaine-induced increase in 5-HT levels in the NAc and hippocampus (Muller *et al*, 2002a, b). This suggests that 0.4 mg/kg WAY100635 blocked the inhibitory action of 5-HT_{1A} autoreceptors within the DRN, resulting in an increase in 5-HT release. To confirm this possibility, we microinjected WAY100635 into the DRN and found that it also decreased cocaine self-administration under a PR schedule in LgA rats but not in ShA rats, consistent with our data from a mouse model of cocaine reward. Previous electrophysiological studies have reported hypersensitivity of 5-HT_{1A} autoreceptors in mice during withdrawal from cocaine, ethanol, and 3,4-methylenedioxymethylamphetamine (Cunningham *et al*, 1992; Kelai *et al*, 2008; Renoir *et al*, 2008). Our data suggest a positive relationship between the increased sensitivity of 5-HT_{1A} autoreceptors and increased motivation for cocaine in addiction.

Cocaine (Mangiacavchi *et al*, 2001), amphetamine (Kuroki *et al*, 1996), methamphetamine (Ago *et al*, 2006), MDMA (ecstasy) (Baumann *et al*, 2008), morphine/heroin (Tao and Auerbach, 1995), and alcohol (Langen *et al*, 2002) elicit an acute increase of extracellular 5-HT levels in the brain. Experimental manipulations that reduced 5-HT levels enhanced the majority of behaviors associated with cocaine including hyperlocomotion (Herges and Taylor, 1998) and self-administration (Loh and Roberts, 1990), whereas the techniques to increase extracellular 5-HT activity acutely and chronically differed in their effects on 5-HT systems. Increasing extracellular 5-HT activity pharmacologically attenuated cocaine-induced locomotor response (Molina *et al*, 2001) and cocaine self-administration (McGregor *et al*, 1993). The selective serotonin reuptake inhibitor (SSRI), fluoxetine, reduced low doses of cocaine self-administration, but did not affect higher doses of cocaine self-administration (Peltier and Schenk, 1993). Chronic treatment with fluoxetine decreased ethanol self-administration with rapid onset in mice (Gulley *et al*, 1995) but had limited success in clinical trials for cocaine addiction because of neurobiological changes, including 5-HT_{2A} receptor desensitization, in the frontal cortex (Sawyer *et al*, 2012). These findings suggest that chronic exposure of abused drugs may induce a functional sequence of serotonergic adaptations (Muller and Homberg, 2015). Chronic exposure to cocaine reduced activity of the 5-HT system leading to an enhanced function of inhibitory 5-HT_{1A} (Cunningham *et al*, 1992) and 5-HT_{1B} autoreceptors (Neumaier *et al*, 2002). This neuroadaptation may be involved in a long-term reduction in basal 5-HT levels that may further potentiate the addictive effects of cocaine. The reduction in basal 5-HT levels might lead to an increased function of postsynaptic 5-HT_{2A} receptors (Herin *et al*, 2013) that mediate the reinstatement of cocaine-seeking behavior (Fletcher *et al*, 2002; Nic Dhonnchadha *et al*, 2009), and a decreased function of 5-HT_{2C} receptors that are involved in stress priming cocaine reinstatement (Fletcher *et al*, 2008) and anxiety produced by cocaine withdrawal (Craig *et al*,

2015). Our data from 5-HT_{1A} autoreceptor knockdown mice, which have higher basal cortical 5-HT levels compared with WT (Richardson-Jones *et al*, 2011), suggest one therapeutic strategy to reduce cocaine reward may be to increase extracellular 5-HT neuronal activity. As our data indicate that WAY100635 infusion into the DRN reduces cocaine self-administration in rats with long access to the drug, this strategy may be achieved by blocking 5-HT_{1A} autoreceptors with selective antagonists.

CONCLUSION

We present empirical evidence that increased 5-HT_{1A} autoreceptor activity with chronic cocaine use underlies the enhanced motivation for and sensitivity to compulsive cocaine seeking. Our data indicate that inhibiting or reducing expression of 5-HT_{1A} autoreceptors reduces sensitivity to the rewarding effects of cocaine via selectively increasing DRN → NAc 5-HT neuron activity. Our data implicate 5-HT_{1A} autoreceptors in the plasticity associated with compulsive-like cocaine seeking. Thus, 5-HT_{1A} autoreceptors may represent a molecular target for therapeutic interventions aimed at alleviating cocaine addiction.

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The authors declare no conflict of interest.

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