

Chemogenetic Inactivation of Ventral Hippocampal Glutamatergic Neurons Disrupts Consolidation of Contextual Fear Memory

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Synaptic consolidation is a process thought to consolidate memory in the brain. Although lesion studies have mainly implicated the hippocampus (HPC) in this process, it is unknown which cell type(s) or regions of the HPC might be essential for synaptic consolidation. To selectively and reversibly suppress hippocampal neuronal activity during this process, we developed a new Gi-DREADD (hM4Di) transgenic mouse for *in vivo* manipulation of neuronal activity in freely moving animals. We found that CA1 pyramidal neurons could be dose-dependently inactivated by clozapine-n-oxide (CNO). Inactivation of hippocampal neurons within 6 h immediately after conditioned fear training successfully impaired the consolidation of contextual memory, without disturbing cued memory. To anatomically define the brain subregion critical for the behavioral effects, hM4Di viral vectors were transduced and selectively expressed in the glutamatergic neurons in either the dorsal or ventral HPC. Significantly, we found that selective inactivation of ventral but not dorsal glutamatergic hippocampal neurons suppressed the synaptic consolidation of contextual memory.

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INTRODUCTION

Memory consolidation is a process whereby newly acquired information is thought to be stabilized gradually from an initial labile to a permanent state. In pioneering studies in 1900, Müller and Pilzecker (1900) found that newly learned memories can be disrupted by competing learning tasks conducted shortly after the original learning episode, suggesting that new memories are initially in a fragile state and gradually consolidate over time. Since then, a large number of studies have confirmed that new memories are susceptible to a variety of amnesic agents applied immediately after original learning, including electroconvulsive shock (Duncan, 1949), systemic or local infusion of protein synthesis inhibitor (Agranoff *et al*, 1965), and the genetic manipulation of signaling molecules (for example, cAMP response element-binding protein (CREB; Kida *et al*,

2002), N-methyl-D-aspartate receptor (NMDAR; Shimizu *et al*, 2000), or calcium/calmodulin-dependent protein kinase type ii subunit alpha (α -CaMKII; Lisman *et al*, 2002)). The susceptibility of newly learned memories to amnesic agents occurs only within a few hours after initial learning, and dramatically decreases thereafter (Bourtchouladze *et al*, 1998; Freeman *et al*, 1995; Grecksch and Matthies, 1980; Igaz *et al*, 2002). This relatively short time window reflects ongoing changes of intracellular signal transduction pathways and subsequent modulation of gene expression and protein synthesis in local neural circuits, which are all apparently vulnerable to amnesic agents.

The molecular and cellular changes occurring in the hippocampus (HPC) a few hours after learning, which are critical for memory consolidation, have been intensively investigated during the last two decades (Kandel, 2012; Lisman and Grace, 2005; McGaugh, 2000). Many molecules have been implicated in this process, including the NMDA receptor (Shimizu *et al*, 2000; Tsien *et al*, 1996), α -CaMKII (Frankland *et al*, 2001), protein kinase A (PKA; Abel *et al*, 1997), protein kinase C (PKC; Sweatt, 1999) and extracellular signal-regulated kinases (ERKs; Chen *et al*, 2005) to name just a few. These signal transduction cascades trigger the activation of transcription factors (CREB, c-fos, and

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Early growth response protein 1 (Egr1)) and subsequent gene expression. These molecular changes are thought to lead to structural and morphological alterations and ultimately the modification of existing synapses in the local neural circuit (Frankland and Bontempi, 2005; McGaugh, 2000).

The HPC is not a unitary structure, and it is anatomically and functionally differentiated along its dorsoventral (septotemporal) axis (Fanselow and Dong, 2010; Moser and Moser, 1998). Both the dorsal and ventral HPC has been implicated in the process of memory consolidation (Bast *et al*, 2001; Donley *et al*, 2005; Frankland *et al*, 1998; Maren *et al*, 1997; McNish *et al*, 2000; Trivedi and Coover, 2004), although the respective role(s) of each division and cell types within each division are unknown. To address the time window and the regional specificity of the HPC in memory consolidation, pharmacological, or genetic lesion methods have been applied to disrupt hippocampal function after the initial learning (Bast *et al*, 2001; Donley *et al*, 2005; Frankland *et al*, 1998; Maren *et al*, 1997; McNish *et al*, 2000; Trivedi and Coover, 2004). However, such overt alterations of hippocampal function could non-specifically disrupt other neuronal processes, which could then directly or indirectly modulate subsequent performance in memory tasks. In order to achieve a high degree of temporal, reversible, and noninvasive hippocampal neuron inactivation, we utilized both transgenic and virally transduced hM4Di DREADD (Designer Receptor Exclusively Activated by Designer Drug) technology. We found that chemogenetic inactivation of only the ventral hippocampal principal neurons by hM4Di within 6 h immediately after training impaired the consolidation of contextual memory. Our results demonstrate a striking and exquisite cell-type-specific control of a distinct phase and form of memory.

MATERIALS AND METHODS

Generation of TRE-HA-hM4Di Mice

Mice used in the present study were housed in ventilated cages, with free access to water and standard chow diet. The housing room had a 12-h light/dark cycle. All procedures were conducted in strict compliance with the 'Guide for the Care and Use of Laboratory Animals' and approved by the Institutional Animal Care and Use Committee of the University of North Carolina. Transgenic mice were produced by the UNC transgenic core facility. The HA-tagged hM4Di sequence was cloned into pTRE-Tight plasmid and after digestion by *XhoI/PvuI*, 2.0 kb DNA fragments of TRE-HA-hM4Di were injected into pronucleus of C57BL/DBA2 hybrid mouse oocytes. Genotyping was performed by PCR of genomic DNA extracted from tail clips using the following primers: forward, 5'-ACCGTCAGATC GCCTGGAGA-3'; reverse, 5'-GACTGTCTGCAGCTGCCTG TTG-3'.

Upon identification, founder TRE-HA-hM4Di mice were crossed with mice carrying the tetracycline-controlled transactivator protein (tTA) driven by the CaMKII α promoter on a B6/CBA background (The Jackson Laboratory, Bar Harbor, ME, USA). These CaMKII α -tTA mice have been described previously (Mayford *et al*, 1996). Double transgenic mice carrying both the TRE-HA-hM4Di and

CaMKII α -tTA transgenes were identified as described above, with the addition of the primers: forward, 5'-CGCT GTGGGCATTTTACTTTAG-3' and Reverse, 5'-CATGTCC AGATCGAAATCGTC-3'.

Immunohistochemistry

Immunohistochemistry was performed to verify expression of the HA-hM4Di protein as previously described (Abbas *et al*, 2009). Briefly, adult mice were anesthetized and perfused with 4% paraformaldehyde (PFA) in 1X PBS. Mouse brains were harvested, post-fixed with in 1X PBS/4% PFA overnight, and then dehydrated in 1X PBS/30% sucrose until they sank. Brain sections (40 μ m) were cut and mounted onto the coated microscope slides. Brain slides were permeabilized with 1X PBS/0.4% Triton X-100 for 1 h, and then blocked with 1X PBS/0.4% Triton X-100 solution containing 1% bovine serum albumin and 5% normal goat serum at room temperature for 1 h. Rabbit monoclonal anti-HA antibody (1 : 500, Cell Signaling) diluted in the blocking solution was applied to the slides and incubated at 4 °C overnight. Following the wash with 1X PBS/0.4% Triton X-100, slides were incubated with either AlexaFluor 488 or AlexaFluor 594 goat anti-rabbit antibody (1 : 250, Invitrogen) diluted in the blocking solution at room temperature for 2 h. Fluorescent images were collected on a Nikon 80i Research Upright Microscope (Nikon, Tokyo, Japan) equipped with the Surveyor Software with TurboScan (Objective Imaging, Kansasville, WI). Tiled images were collected with a Qimaging Retiga-EXi camera (Qimaging, Surrey, BC, Canada).

Immunoprecipitation and Western Blot

Immunoprecipitation (IP) and western blot were performed to confirm the expression of HA-hM4Di in the brain of CaMKII α -hM4Di double transgenic mice. Mice were deeply anesthetized and their brains were harvested. The brain tissues were homogenized in ice-cold standard binding buffer (SBB, 50 mM Tris-HCl, pH 7.4; 10 mM MgCl₂; 0.1 mM EDTA; plus protease and phosphatase inhibitor cocktails (Roche Diagnostics)) and centrifuged 20 000 g for 30 min at 4 °C. The pellets were re-suspended in 1 ml RIPA buffer (50 mM Tris-Cl, pH 8.0; 150 mM NaCl, 0.1% SDS, 1% NP-40, 0.5% Na-deoxycholate, plus protease inhibitor cocktail) and allowed to lyse on ice for 30 min. After centrifuging at maximum speed for 30 min at 4 °C, detergent-soluble proteins (4 mg) were pre-cleared with 50 μ l sepharose 4B beads (Sigma), and then incubated with 50 μ l wheat germ agglutinin (WGA)-conjugated agarose beads (Vector Technology) overnight at 4 °C on a rotary mixer. After five washes with 1 ml of RIPA buffer, WGA-bound proteins were eluted by incubating in 50 μ l 2X SDS sample buffer at 55 °C for 10 min. Whole WGA-bound proteins were separated using SDS-PAGE gel, transferred to PVDF membrane, and probed with rabbit monoclonal anti-HA antibody (1 : 500, Cell Signaling) and mouse monoclonal anti-transferrin antibody (1 : 1000, Invitrogen). The blot was incubated with chemiluminescent substrate (Thermo Scientific) and imaged in a Kodak Imager.

Electrophysiology

Mice were decapitated under isoflurane anesthesia. Coronal slices (300 μm) containing the dorsal HPC were prepared using a Leica VT1200 vibratome. Slices were transferred to a submerged recording chamber (Warner Instruments, Hamden, CT), where they were perfused with heated, oxygenated ACSF at a rate of ~ 2 ml/min and allowed to equilibrate for 30 min before electrophysiological recordings. Pyramidal neurons in the CA1 subregion of the HPC were recorded under the infrared video microscopy (Olympus). Recording electrodes (3–5 M Ω) filled with (in mM) 135 K⁺ gluconate, 5 NaCl, 2 MgCl₂, 10 HEPES, 0.6 EGTA, 4 Na ATP, 0.4 Na GTP, pH 7.3, 290–295 mOsmol were used to patch neurons. Electrical signals from neurons were acquired via a Multi-clamp 700B amplifier, digitized at 10 kHz, and analyzed using the Clampfit 10.2 software (Molecular Devices, Sunnyvale, CA). Voltage-clamp configuration was used to access neurons at the onset of experiments to assess basal membrane properties. Several measures were taken to determine the effect of CNO on intrinsic excitability and current-evoked excitability of hippocampal neurons in control and double transgenic mice. First, current-injected firing was measured using a ramp protocol to determine the baseline rheobase of the neuron. Next, current-injected firing was assessed using a step protocol to examine the relationship between the magnitude of current injected and the number of action potentials fired, with each step increasing from the previous by 10 pA. Subsequently, baseline RMP was re-established, and CNO was bath-applied for 5 min to determine whether the concentration of CNO was sufficient to hyperpolarize the neuron. Another 5 min after CNO application, current injection protocols were performed again to determine whether application of CNO altered the ability of current injection to induce firing.

Statistical analyses were performed after first assessing the normality of distributions of data sets. Changes from baseline for RMP and rheobase were analyzed using paired *t*-tests between baseline and post-CNO values, and comparisons between groups were made using unpaired *t*-tests with Bonferroni's correction for multiple comparisons when appropriate. Welch's corrections were utilized when variances between groups were unequal. Effects of CNO on the relationship between the magnitude of current injection and number of action potentials were assessed using repeated measures ANOVA.

Virus Production and Stereotaxic Injection

The adeno-associated virus (AAV) CaMKII α ::HA-hM4Di-IRES-mCitrine was constructed by cloning HA-hM4Di-IRES-mCitrine into an AAV backbone carrying CaMKII α promoter using *NheI* and *AscI* restriction sites. The recombinant AAV vectors were stereotyped with AAV8 coat proteins and packaged by the Vector Core of the University of North Carolina at Chapel Hill. The titer was about 1×10^{12} particles/ml. C57BL/6 mice were anesthetized by ketamine/xylazine solution and secured in a stereotaxic apparatus (Kopf Instruments, Tujunga, CA), holes were drilled into the skull, and viruses were delivered bilaterally using a 10- μl syringe and metal needle (Hamilton, Reno, NV). The injection volume and flow rate were controlled by a syringe

pump (Harvard apparatus, Holliston, MA). A pulse microinjection (40 nl/injection, 80 nl/s rate, 15 injections/site) was performed to broaden the spread of the viral vector in the HPC. The needle was left in place for an additional 5 min after each injection to minimize upward flow of viral solution. Virus was microinjected bilaterally into dorsal HPC, ventral HPC, or both, using the following coordinates: dorsal HPC (anteroposterior (AP) – 2.2 mm from bregma, mediolateral (ML) ± 2.0 mm, dorsoventral (DV) – 1.7 mm), ventral HPC (AP – 3.2 mm from bregma, ML ± 3.5 mm, DV – 3.0 mm).

Basal Behavioral Tests

CaMKII α -hM4Di double transgenic mice and single transgenic littermate control mice underwent an extensive regimen of behavioral testing, to verify that DREADD expression had no intrinsic effects in the absence of CNO. Mice were ~ 2 months old when testing began. Tests were given in the following order, with one or two procedures per week: elevated plus maze, open field activity, light/dark preference, accelerating rotarod, social approach test, prepulse inhibition of acoustic startle responses, and spatial learning and memory in the Morris water maze. A separate set of wild-type mice with AAV viral microinjection into ventral HPC were tested for open field activity. Groups were AAV-CaMKII::EGFP and AAV-CaMKII::HA-hM4Di. All measures were taken by an observer blind to mouse genotype. Data were analyzed using one-way or repeated measures analysis of variance (ANOVA). Fisher's protected least significant difference (PLSD) tests were used to compare group means when a significant F value was determined. For all comparisons, significance was set at $P < 0.05$. The detailed protocols of basal behavioral tests were shown in the Supplementary Data.

Fear Conditioning

The conditioned fear was evaluated using a Near-Infrared Video Fear Conditioning system (MED Associates, St Albans, VT). In the training phase, mice receive three pairings of a 30-s, 90 dB, 5 kHz tone (conditioned stimulus, CS) and a 2-s, 0.6 mA foot shock (unconditioned stimulus, US). Contextual memory was evaluated in the original training chamber 24 h following the training phase. Cued memory was evaluated in the different context 48 h following the training phase. Levels of freezing (no movement for 0.5 s) were automatically measured by the image tracking software (Med Associates, St Albans, VT).

The effects of hippocampal inactivation on conditioned fear in CaMKII α -hM4Di double transgenic mice and control mice were investigated in three separate experiments. In the first study, saline or CNO (2 mg/kg) was administered at 0, 2, and 4 h immediately after training, either given standard mouse chow, or following a 4-week regimen of DOX-containing chow (to inhibit expression of HA-hM4Di). In a second study, the window for CNO administration was shifted, so that CNO (2 mg/kg) was administered at 6, 8, and 10 h following training. In a third study, CNO (2 mg/kg) was administered at 0, 2, and 4 h immediately after training and mice were tested for contextual memory 1 month later. The role of hippocampal subregions on memory consolidation was investigated using AAV8-CaMKII α ::HA-hM4Di-IRES-mCitrine

viruses to selectively express hM4Di in either dHPC, vHPC, or both hippocampal regions. In these studies, CNO (2 mg/kg) was administered at 0, 2, and 4 h immediately after training.

Statistical analyses were performed after first assessing the normality of distributions of data sets. Comparisons between groups were made using unpaired *t*-tests. Welch's corrections were utilized when variances between groups were unequal. Comparisons between groups during conditioning, contextual, and cued memory tests were assessed using repeated measures ANOVA.

RESULTS

Generation and Characterization of CaMKII α -hM4Di Double Transgenic Mice

In previous studies, we demonstrated that hM4Di DREADD, when activated by clozapine-*n*-oxide (CNO), can hyperpolarize and silence cultured hippocampal neurons by inducing a G protein-coupled inwardly-rectifying potassium channel (GIRK) response *in vitro* (Armbruster *et al*, 2007). To determine whether expression of hM4Di can modulate hippocampal principal cell neuronal activity *in vivo*, we developed a transgenic mouse line using the Tet-off system to achieve tissue-specific and tetracycline-controlled expression of HA-tagged hM4Di. Three founder lines were generated by pronuclear injection of C57BL/DBA2 hybrid mouse oocytes and crossed with mice carrying the tetracycline-controlled tTA under the control of forebrain-specific CaMKII α promoter (Figure 1a–c). The expression of HA-hM4Di protein was verified in double transgenic progeny by IP/western blot and immunostaining. The HA-hM4Di protein was revealed to migrate predominantly as a single 45 kD band in IP/western blot (Figure 1d). One line (line 2) with higher receptor expression was selected for further characterization. In this line, HA-hM4Di immunofluorescence was detected in the HPC, cortex, and striatum (Figure 1e–h). The most intense signals were found in the subiculum of the HPC, which receives inputs from CA1 and entorhinal cortical pyramidal neurons and is the main output of the HPC. Hereafter, we refer to the double transgenic mice from this line as CaMKII α -hM4Di mice and their single transgenic littermate mice as control mice.

In the Tet-off system, the tetracycline derivative, DOX, can bind tTA and render it incapable of binding to TRE sequences, thereby preventing transactivation of target genes. To examine DOX-controlled expression, double transgenic mice were fed with DOX-containing chow (200 mg/kg) for 4 weeks. IP/western blot and immunostaining revealed undetectable expression of the HA-hM4Di protein (Figure 1d and i). The results verified that HA-hM4Di expression can be temporally controlled by DOX.

Functional Inhibition of Hippocampal Neurons in CaMKII α -hM4Di Double Transgenic Mice by CNO Administration

To verify the functionality of hM4Di expressed in principal cells of the HPC, we first assessed the effects of 1 and 10 μ M CNO on the resting membrane potential (RMP) of hippocampal CA1 neurons in CaMKII α -hM4Di double

transgenic mice and control mice (Figure 2a–c). A comparison of baseline and post-CNO values revealed that 10 μ M CNO did not alter the RMP of hippocampal neurons in control mice ($p > 0.45$). In double transgenic mice, 1 μ M CNO produced a small but significant hyperpolarization of CA1 neurons ($t(3) = 3.38$, $p = 0.043$), whereas 10 μ M CNO produced a large hyperpolarization ($t(5) = 7.42$, $p < 0.001$), which was significantly larger than the 1 μ M dose ($t(8) = 4.05$, $p = 0.004$; see Figure 2a–c). DOX pretreatment for 4 weeks in CaMKII α -hM4Di double transgenic mice completely ablated the ability of 10 μ M CNO to hyperpolarize CA1 neurons ($p > 0.75$). Further, there were no differences in baseline RMP between CA1 neurons in control and double transgenic mice (control: -68.4 ± 4.0 pA, double transgenic: -63.3 ± 1.5 pA; $p > 0.15$), suggesting that the effects of CNO on the RMP of neurons in double transgenic mice were likely not due to differences in either cell health or the basal properties of the cells.

We also assessed the effects of CNO on current-injection firing in these hippocampal neurons (Figure 2d and e). We found that bath application of 10 μ M CNO significantly increased the rheobase (magnitude of current injection required to produce an action potential) in double transgenic mice ($t(4) = 3.87$, $p = 0.018$), although not in control mice ($p > 0.75$). Further, 1 μ M CNO was unable to alter the rheobase in double transgenic mice ($p > 0.90$), and 10 μ M CNO was unable to alter the rheobase in double transgenic mice pretreated with DOX ($p > 0.95$). A comparison between doses in CaMKII α -hM4Di mice showed that the effect of 10 μ M CNO was significantly greater than that of 1 μ M ($t(7) = 2.48$, $p = 0.042$, Figure 2d and e). However, there were no differences in the baseline rheobase between CA1 neurons between doses (unpaired *t*-test with Welch's correction; $p > 0.25$), suggesting that the effects of CNO on the rheobase of neurons in double transgenic mice were likely not due to differences in cell health or basal ability of neurons to fire.

An evaluation of the effect of CNO on the relationship between the magnitude of current injection and number of action potentials showed that in control mice 10 μ M CNO did not alter the number of action potentials per magnitude of current injection from baseline values in CA1 neurons, demonstrated by a main effect of time ($F(1,20) = 12.5$, $p < 0.001$) but lack of main effect of CNO and a lack of interaction between CNO and current magnitude (p 's > 0.95). A similar result was found with the 1 μ M dose in double transgenic mice (time: $F(1,20) = 26.6$, $p < 0.001$; other p 's > 0.95). On the other hand, 10 μ M CNO in double transgenic mice significantly decreased the number of action potentials per current magnitude, revealed by a significant interaction between time and CNO ($F(1,20) = 1.84$, $p = 0.020$), as well as a main effect of time ($F(1,20) = 53.9$, $p < 0.001$) and a trend toward a main effect of CNO ($F(1,20) = 4.07$, $p = 0.078$; Supplementary Figure S1). However, this effect was ablated in mice pretreated with DOX, indicated by a main effect of time ($F(1,20) = 14.7$, $p < 0.001$) but no effect of CNO or interaction (p 's > 0.65). Taken together, our results suggest that CNO dose-dependently decreases CA1 neuronal intrinsic excitability in CaMKII α -hM4Di mice, thus functionally inhibiting the firing of CA1 neurons. In control mice, however, CNO did not alter any measures of excitability, demonstrating that the effects of CNO in double transgenic mice were not due to nonspecific effects of CNO.

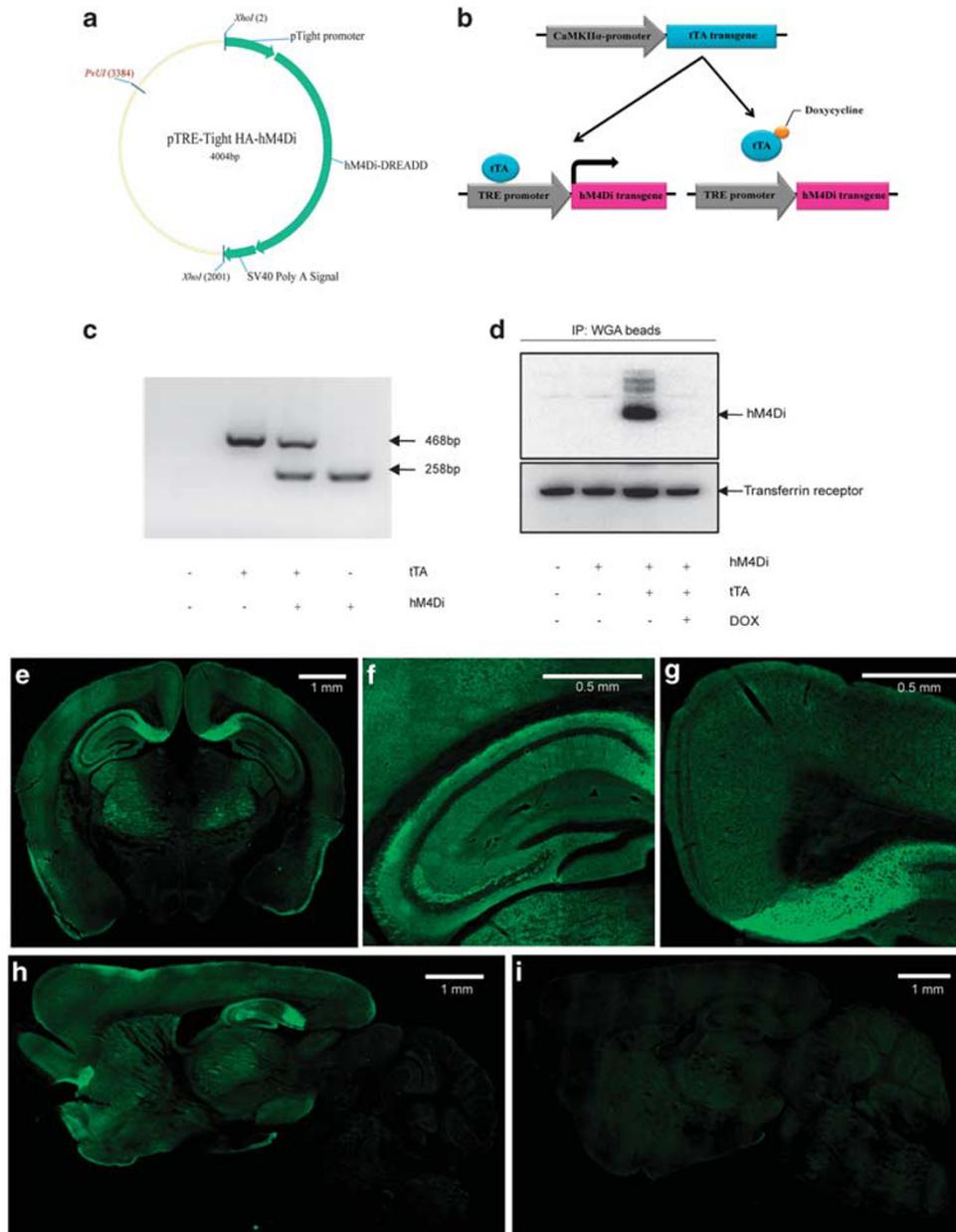


Figure 1 Generation and characterization of CaMKII α -hM4Di double transgenic mice. (a) The construct used for generation of TRE-hM4Di transgenic mice. The 2.0-kb *XhoI*-digested DNA fragments containing TRE-HA-hM4Di were injected into the pronucleus of C57BL/DBA2 hybrid mouse oocytes, and a transgenic mouse was produced. (b) Generation of CaMKII α -hM4Di mice. TRE-hM4Di transgenic mouse was crossed with a CaMKII α (tetracycline-controlled transactivator protein)-tTA driver line to produce double transgenic mice (CaMKII α -hM4Di). In double transgenic mice, tTA protein binds to the TRE promoter to activate the *HA-hM4Di* gene. In the presence of DOX, tTA protein cannot bind to TRE sequences and cannot transactivate *HA-hM4Di* gene. (c) Genotyping of CaMKII α -hM4Di double transgenic mice. Lower band (258 bp) represents the TRE-HA-hM4Di transgene, and upper band (468 bp) represents the CaMKII α -tTA transgene. (d) Detection of the HA-hM4Di protein in double transgenic mice by IP/western blot. Mouse forebrain membrane proteins were immunoprecipitated by WGA beads, and then blotted by anti-HA antibody. The HA-hM4Di protein was detected as a 45-kD protein band. The expression of HA-hM4Di was undetectable when double transgenic mice were maintained on DOX-containing food for 4 weeks. (e–i) Localization of the HA-hM4Di protein in double transgenic mice. Coronal sections (e–g) of CaMKII α -hM4Di mouse brain were stained with anti-HA antibody. The results showed that HA-hM4Di was expressed in pyramidal neurons of mouse forebrain, particularly in the hippocampus. Sagittal sections of double transgenic mouse brain were stained with anti-HA antibody with either normal chow (h) or DOX chow (i). The signals of HA-hM4Di were undetectable when double transgenic mice were maintained on DOX chow for 4 weeks.

Selective Disruption of Contextual Memory Consolidation in CaMKII α -hM4Di Double Transgenic Mice

To determine whether the CaMKII α -hM4Di mice have any intrinsic behavioral changes in the absence of CNO,

CaMKII α -hM4Di double transgenic mice and littermate control mice were examined in a battery of neurobehavioral tests in their naive state. CaMKII α -hM4Di mice showed normal growth, body weights, and mating behavior. Locomotion, anxiety, motor coordination, sociability, and sensorimotor gating were comparable between the double

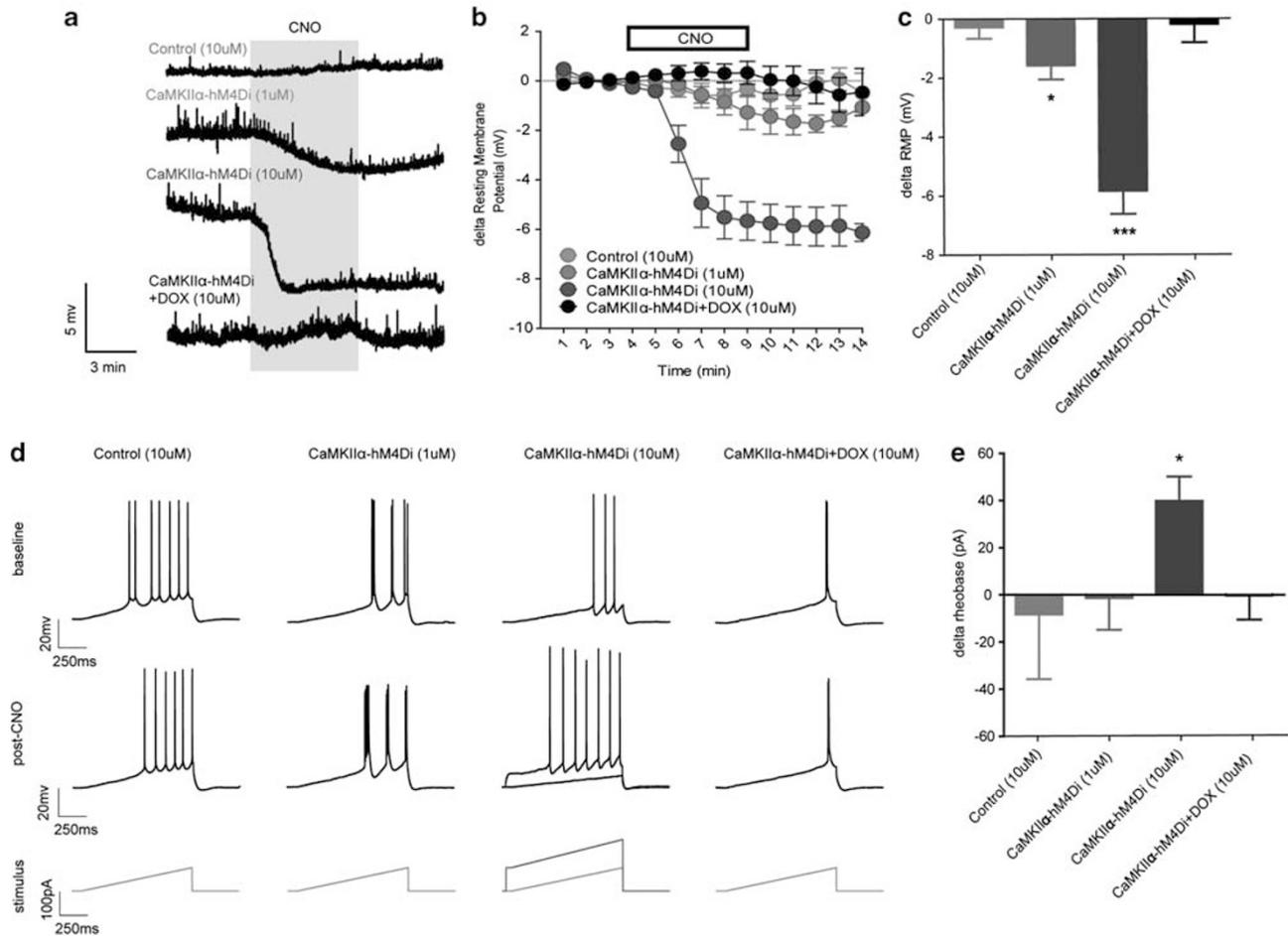


Figure 2 Clozapine-n-oxide (CNO) dose-dependently decreased CA1 neuronal intrinsic excitability in CaMKII α -hM4Di double transgenic mice. (a) Representative traces of resting membrane potential (RMP) from current-clamp electrophysiological recordings of CA1 pyramidal neurons in CaMKII α -hM4Di double transgenic and control mice. (b, c) Five minutes bath application of 10 μ M CNO hyperpolarized CA1 pyramidal neurons in double transgenic mice ($p < 0.001$, $n = 6$), which was greater than the 1 μ M dose ($p = 0.004$, $n = 4$), but 10 μ M CNO did not affect RMP in control mice or double transgenic mice pretreated with DOX (p 's < 0.45 , n 's = 5 and 6, respectively). (d) Representative traces of current-injected firing in CA1 pyramidal neurons of CaMKII α -hM4Di and control animals before (top) and after (middle) CNO administration, and stimulus waveform of current injection ramp used to determine the minimum current injection required to fire an action potential (rheobase; bottom). (e) The mean change from baseline in the rheobase after CNO administration, showing that bath application of 10 μ M, but not 1 μ M, CNO decreased the rheobase of CA1 neurons in double transgenic mice (10 μ M: $p = 0.018$, $n = 5$, 1 μ M: $p < 0.90$, $n = 4$) but not in controls or double transgenic mice pretreated with DOX (p 's < 0.75 , n 's = 5 and 5, respectively).

transgenic and littermate control mice, as demonstrated in open-field, elevated plus maze, light/dark preference, accelerating rotarod, social approach, and prepulse inhibition of acoustic startle responses (PPI) paradigms (Figure 3a–h, Supplementary Figure S2). These data showed that there are no baseline behavioral differences between double transgenic mice and control mice, in the absence of CNO.

To determine whether hippocampal expression of hM4Di has intrinsic effects on learning and memory, we evaluated the performance of double transgenic mice in a classical contextual and cued-fear conditioning paradigm. In the absence of CNO, CaMKII α -hM4Di and littermate control mice showed comparable learning in tests of contextual and cued memory (Figure 4e). We further examined spatial memory in these mice using the Morris water maze procedure. There were no significant differences between double transgenic and littermate control mice in spatial learning and memory in the water maze (Figure 3i and f). The results suggested that expression of hM4Di in principle

cells of HPC throughout development has no intrinsic effects on acquisition, consolidation, and retrieval of long-term memory.

Previous studies have shown that the synaptic consolidation of contextual memory develops within several hours after training (Freeman *et al*, 1995; Grecksch and Matthies, 1980; Igaz *et al*, 2002). Therefore, we hypothesized that inactivation of hippocampal neurons by CNO within 6 h after the initial training might block the synaptic consolidation of contextual memory. Double transgenic and control mice were presented with three pairings of tone (CS) and foot-shock (US), and then injected with three doses of CNO (2 mg/kg) at 0, 2, and 4 h after training. Contextual and cued memory was assessed 24 and 48 h later, respectively, using measures of freezing (immobility; Figure 4a). Contextual memory requires both HPC and amygdala to form and retrieve the context-fear association (HPC-dependent), whereas cued memory only requires amygdala to form and retrieve the discrete cue-fear association (HPC-independent; Maren and Fanselow, 1996). CaMKII α -hM4Di and

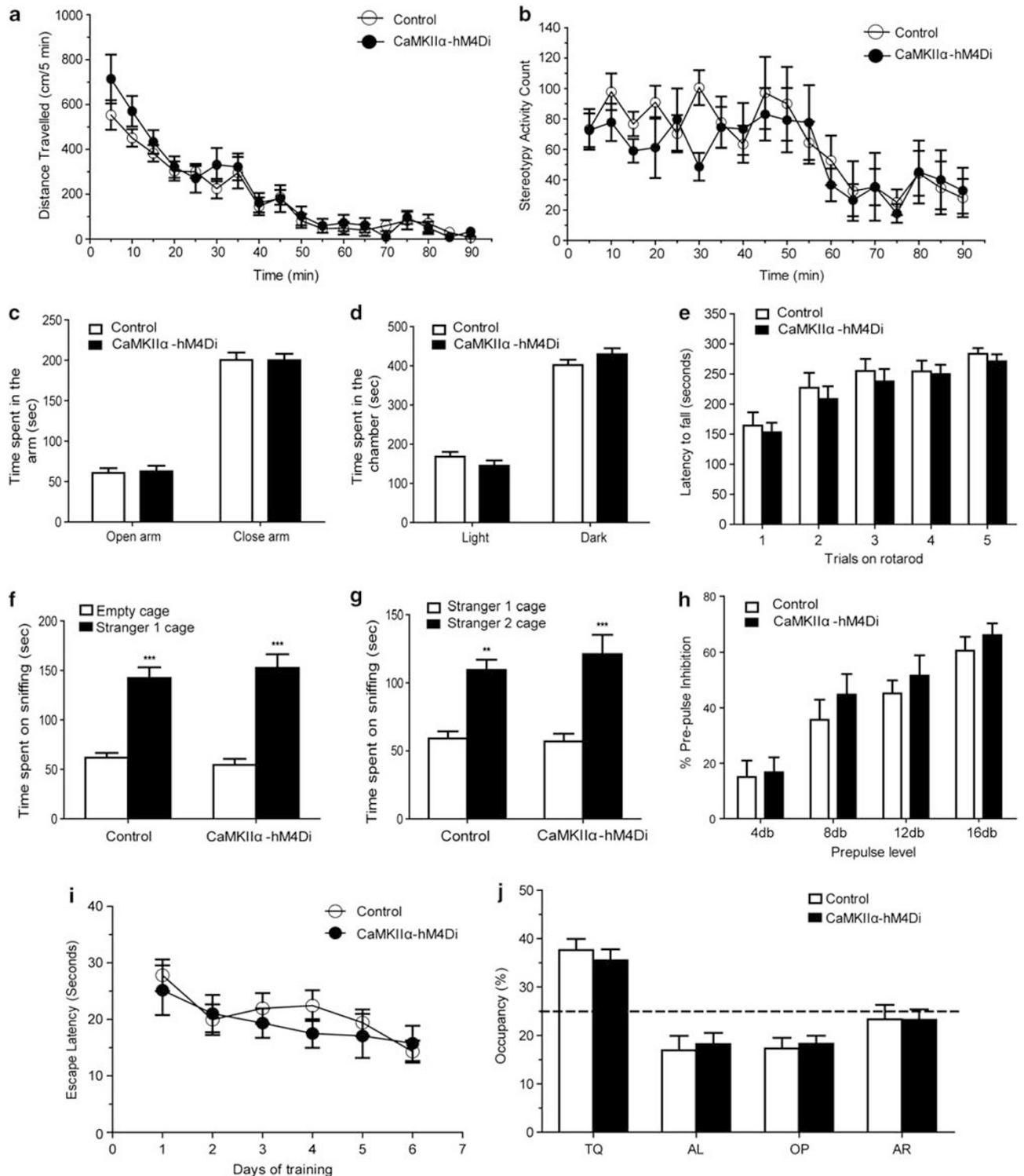


Figure 3 CaMKII α -hM4Di mice exhibited normal basal behaviors in the absence of clozapine-n-oxide (CNO). CaMKII α -hM4Di double transgenic mice and littermate control mice were examined in a battery of neurobehavioral tests in their naive state. CaMKII α -hM4Di double transgenic mice showed a comparable behavior in novelty induced locomotion (a, $p = 0.353$, $n = 11$) and stereotypy activity (b, $p = 0.336$, $n = 11$). Double transgenic mice showed a normal anxiety level in the elevated plus maze test (c, $p = 0.494$, $n = 9$) and light/dark preference test (d, $p = 0.359$, $n = 9$). In the accelerating rotarod test, hM4Di double transgenic mice showed a normal motor coordination (e, $p = 0.489$, $n = 9$). In the sociability and social novelty test, hM4Di double transgenic mice showed a comparable preference compared with the littermate control mice (sociability, (f) $p = 0.843$, $n = 9$; social novelty, (g) $p = 0.621$, $n = 9$). Double transgenic mice also showed a normal sensory-motor gating in prepulse inhibition test (h, $p = 0.279$, $n = 7$). In the Morris water maze test, hM4Di double transgenic mice showed a comparable escape latency during learning ((i) $p = 0.395$, $n = 7$) and preference to the target quadrant during the memory test ((j) $p = 0.934$, $n = 7$).

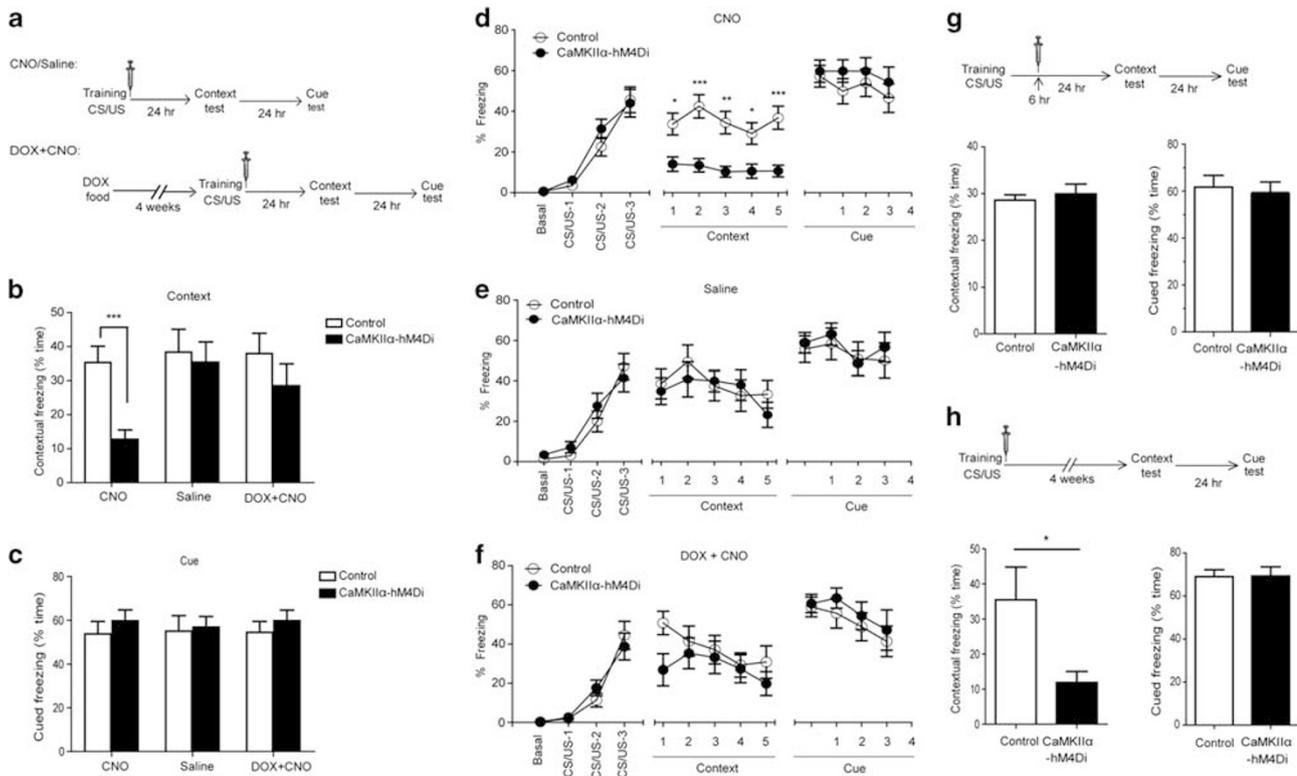


Figure 4 Consolidation of contextual memory was disrupted in CaMKII α -hM4Di mice when clozapine-n-oxide (CNO) was administered immediately after training. (a) Experimental time-line for the fear-conditioning paradigm (b–f). Three doses of CNO (2 mg/kg) or saline were administered at 0, 2, and 4 h after training. (b) Double transgenic mice showed a significant decrease in contextual freezing in CNO group ($p = 0.0003$, DTg, $n = 16$, control, $n = 17$) but not in saline group ($p = 0.744$, $n = 15$) or DOX + CNO group ($p = 0.294$, $n = 12$). (c) There was no significant difference in cued freezing between two genotypes in all groups. (d–f) There was a comparable performance during training between two genotypes in all three groups. Contextual memory was shown as the percentage of freezing time in a 1-min block during 5-min test. Only the CNO groups showed a significant difference between double transgenic and control mice ($p = 0.0003$). The cued memory was shown as the percentage of freezing time during the tone (CS). There was no significant difference between two genotypes in all groups. (g) Contextual memory was not impaired in double transgenic mice when CNO (2 mg/kg) was given 6, 8, and 10 h after training. Double transgenic mice showed no significant difference in contextual memory ($p = 0.555$ DTg, $n = 9$, control, $n = 11$). (h) Remote contextual memory was impaired in double transgenic mice when CNO (2 mg/kg) was given at 0, 2, and 4 h after training, and contextual learning tested 1 month later. CaMKII α -hM4Di mice exhibited a significant decrease in contextual memory ($p = 0.045$, DTg, $n = 8$, control, $n = 9$).

control mice exhibited similar levels of freezing behavior during the initial training phase (Figure 4d). However, there was a dramatic decrease of freezing in double transgenic mice during the retrieval of contextual memory ($t(31) = 4.059$, $p = 0.0003$; Figure 4b and d), without any concomitant group differences in the cued memory test ($t(31) = 0.7858$, $p = 0.438$; Figure 4c and d). The data indicate that inactivation of hippocampal neurons by CNO impairs the synaptic consolidation of HPC-dependent contextual memory but not HPC-independent cued memory. To exclude off-target effects of CNO, the expression of hM4Di transgene was attenuated in double transgenic mice using DOX food administration for 4 weeks, and then mice were tested in the fear-conditioning paradigm. There was no significant difference between double transgenic mice and control mice in the retrieval of contextual memory ($t(22) = 1.076$, $p = 0.294$; Figure 4b and f) after the suppression of hM4Di expression.

To determine whether the 6-h time window after training is the most critical period for synaptic consolidation, we injected mice with three doses of CNO (2 mg/kg) at later time points (6, 8, and 10 h after training), and then tested contextual fear memory 24 h later. The results showed that

there was no significant difference in freezing between double transgenic mice and control mice during the contextual memory test ($t(18) = 0.6011$, $p = 0.555$, Figure 4g), which suggested that initial synaptic consolidation had been completed by 6 h after training. To determine whether the disruption of initial synaptic consolidation within 6 h after training has persistent effects on contextual memory, double transgenic and control mice were given three doses of CNO (2 mg/kg) at 0, 2, and 4 h after training. Remote contextual memory was examined 1 month following the training phase. CaMKII α -hM4Di mice exhibited a significant decrease in freezing, compared with the control mice ($t(16) = 2.173$, $p = 0.045$; Figure 4h). These findings indicate that disruption of the initial synaptic consolidation phase leads to long-term impairment of contextual memory.

Ventral, but not Dorsal, Hippocampal Principal Cells are Involved in Synaptic Consolidation of Contextual Memory

Although the *hM4Di* gene was highly expressed in the HPC of double transgenic mice, expression also occurred in the

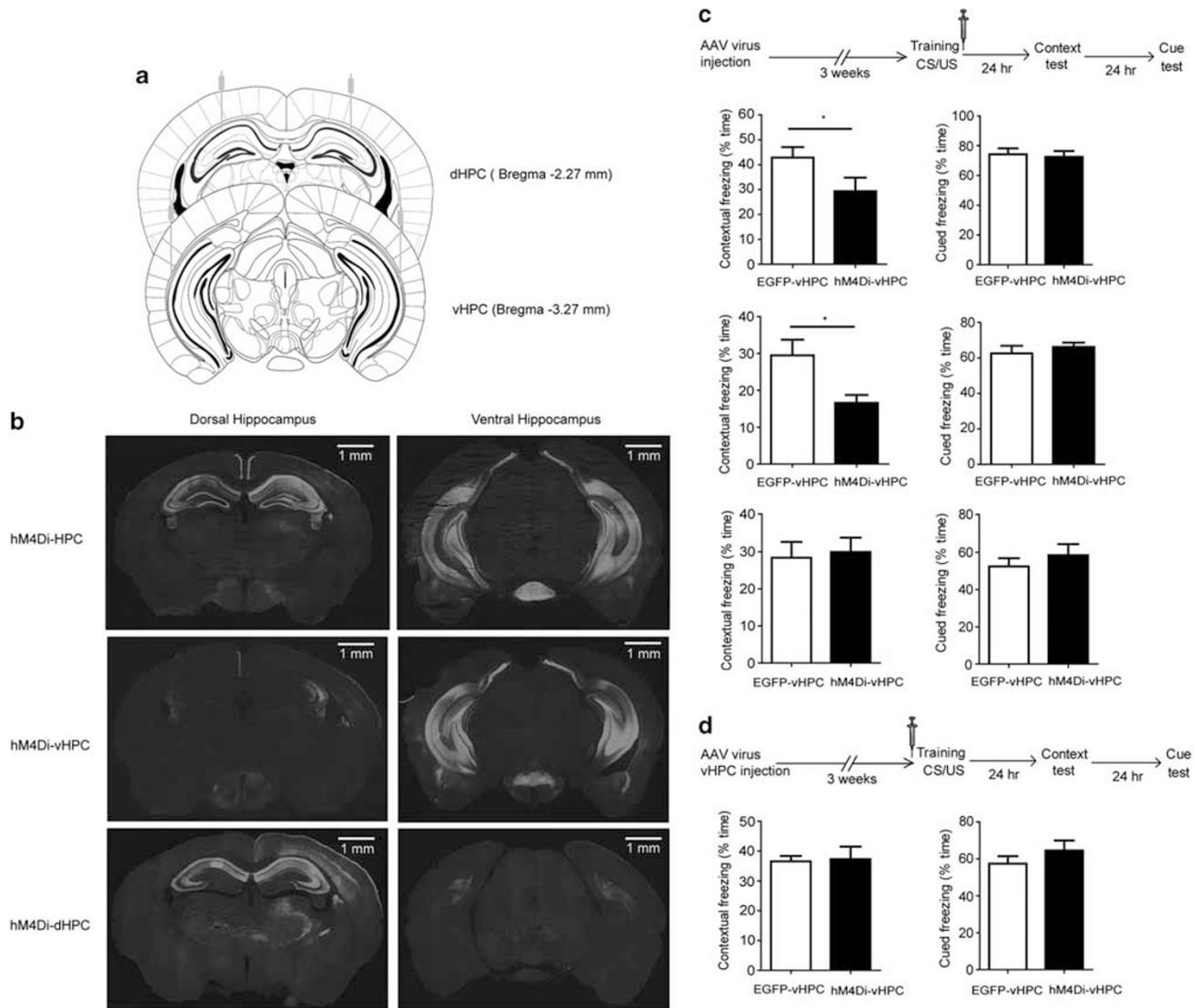


Figure 5 Ventral, but not dorsal, hippocampus was involved in synaptic consolidation of contextual memory. (a) Schematic representation of viral delivery to the dorsal hippocampus (dHPC), ventral hippocampus (vHPC), or both (HPC). CaMKII α ::HA-hM4Di-IRES-mCitrine or CaMKII α ::EGFP viral vectors were bilaterally injected into dHPC, vHPC, or both. (b) Immunostaining of mouse brain delivered with CaMKII α ::HA-hM4Di-IRES-mCitrine virus. HA-hM4Di was expressed in the dorsal hippocampus, ventral hippocampus, or both in hM4Di-dHPC, hM4Di-vHPC, or hM4Di-HPC mice, respectively. Scale bar: 1 mm. (c) Ventral, but not dorsal, hippocampus was involved in synaptic consolidation of contextual memory. Three weeks after viral delivery, animals were trained and administered with three doses of clozapine-n-oxide (CNO; 2 mg/kg) at 0, 2, and 4 h after training. Contextual and cued memories were tested 24 and 48 h later, respectively. Mice with HA-hM4Di viruses injected into both dHPC and vHPC showed a significant decrease in contextual memory compared with the control mice ($p=0.047$, $n=8$). Mice with HA-hM4Di viruses injected into only vHPC showed a significant decrease in contextual memory ($p=0.015$, $n=10$). However, mice with HA-hM4Di virus injected into only dHPC showed a similar freezing compared with the control mice in contextual memory ($p=0.795$, $n=7$). (d) Synaptic consolidation was not impaired by administration of CNO before training in hM4Di-vHPC mice. HA-hM4Di viruses were delivered to the vHPC. Three weeks later, mice were administered with CNO (2 mg/kg) 30 min before, instead of after training. There was no significant difference in contextual memory between hM4Di-vHPC and control mice ($p=0.87$, $n=9$).

cortex and striatum. To confirm the role of HPC in the synaptic consolidation of contextual memory, we initially stereotactically delivered the AAV encoding CaMKII α ::HA-hM4Di-IRES-mCitrine into the HPC. To cover the majority of the HPC, virus was bilaterally microinjected into two portions of HPC, dorsal (dHPC) and ventral HPC (vHPC; Figure 5a). A pulse injection technique was applied to broaden the spread of the viral vector in the HPC. As shown in Figure 5b, HA-hM4Di was highly expressed in both dHPC and vHPC, where it was expressed in the

pyramidal neurons, but not in the parvalbumin-positive neurons, in HPC (Supplementary Figure S4). Three weeks later, animals were trained and given three doses of CNO at 0, 2, and 4 h post training. Similar to the results obtained with the transgenic mouse line, the animals injected with HA-hM4Di virus showed a significant decrease in freezing during the retrieval of contextual memory ($t(14)=2.183$, $p=0.047$), but not the retrieval of cued memory ($t(14)=0.304$, $p=0.785$), compared with EGFP control mice (Figure 5c).

Prior anatomical studies indicated that the input and output connections of dHPC and vHPC are distinct, and that vHPC, but not dHPC, is involved in emotional behavior (Fanselow and Dong, 2010; Moser and Moser, 1998). To determine which portion of the HPC is involved in the synaptic consolidation of contextual memory, we stereotaxically delivered CaMKII α ::HA-hM4Di-IRES-mCitrine virus into dHPC or vHPC of mouse brain, and assessed their role in synaptic consolidation using the aforementioned fear-conditioning protocol. Following treatment with CNO, mice expressing HA-hM4Di virus in the vHPC showed a significant decrease in freezing during the retrieval of contextual memory ($t(18)=2.7$, $p=0.015$), although mice expressing HA-hM4Di virus in the dHPC were unaffected by CNO ($t(12)=0.266$, $p=0.795$), compared with EGFP control mice (Figure 5c). Our data demonstrate that suppressing glutamatergic neuronal activity in the vHPC, but not dHPC, selectively impairs synaptic consolidation of contextual memory.

We thus demonstrate that the time window immediately after training was critical for the synaptic consolidation in the HPC, and vHPC, but not in dHPC principal cells, had a major role during this process. To further confirm the time-specific effect of CNO on synaptic consolidation in vHPC, we stereotaxically delivered CaMKII α ::HA-hM4Di-IRES-mCitrine virus into the vHPC of a separate cohort of mice and administered 2 mg/kg CNO 30 min *before* training. We found that there was no significant difference in contextual freezing between HA-hM4Di viral-delivery mice and EGFP control mice ($t(16)=0.167$, $p=0.87$; Figure 5d). The results show that suppressing glutamatergic neurons in the vHPC immediately after, but not before, training impairs synaptic consolidation of contextual memory.

DISCUSSION

The main finding of this paper is that the acute chemogenetic inactivation of ventral hippocampal principal cells is sufficient to impair synaptic consolidation of memory using a contextual fear-conditioning paradigm. These findings demonstrate a novel and striking cell type and regionally selective modulation of synaptic consolidation of memory. We also report and characterize a Gi-DREADD (hM4Di) transgenic mouse line for *in vivo* manipulation of neuronal activity in freely moving mice. Finally, these findings serve to validate the use of chemogenetic technology such as hM4Di to reversibly and non-invasively modulate the activity of hippocampal neurons.

Synaptic consolidation is a process thought to be required to stabilize synaptic changes after learning and has been reported to involve gene expression, *de novo* protein synthesis, and synaptic restructuring (Dudai, 2004; Frankland and Bontempi, 2005). Previous studies (Bourtchouladze *et al*, 1998; Freeman *et al*, 1995; Igaz *et al*, 2002; Quevedo *et al*, 1999) have shown that there are two critical time windows for the synaptic consolidation of contextual memory: around the time of training and 3–6 h after training. In the present study, we found that inactivating hippocampal neurons by CNO administration in the initial 6 h immediately after training led to selective

impairment of contextual fear conditioning. However, CNO administration beyond this 6-h window or immediately before training was unable to block synaptic consolidation. The brief window of synaptic consolidation is not only important for recent contextual memory (Bourtchouladze *et al*, 1998; Freeman *et al*, 1995; Igaz *et al*, 2002; Quevedo *et al*, 1999) but also for 1-month-old remote contextual memory as shown in our paper, suggesting that the initial synaptic consolidation phase is critical for subsequent system consolidation.

In the current study, we selectively blocked the synaptic consolidation by chemogenetic inactivation of hippocampal neurons immediately after training. We posit that there are two possible cellular or molecular mechanisms for the Gi-DREADD-mediated disruption of synaptic consolidation. First, as the NMDA/ α CaMKII signaling pathway is crucial for the long-term potentiation (LTP) and memory consolidation (Mayford *et al*, 1995; Rampon *et al*, 2000; Silva *et al*, 1992; Tang *et al*, 1999; Tsien *et al*, 1996), hM4Di receptor could activate a GIRK, induce hyperpolarization, and block action potential firing in hippocampal neurons (Armbruster *et al*, 2007). As hyperpolarization of neurons prevents the activation of NMDA receptors, it could subsequently block LTP and memory consolidation (Malinow and Miller, 1986). Second, the cAMP/PKA/CREB signaling transduction pathway has been shown to be involved in the maintenance of LTP and synaptic consolidation (Abel *et al*, 1997; Kida *et al*, 2002; Pineda *et al*, 2004; Pittenger *et al*, 2002; Wang *et al*, 2004; Wong *et al*, 1999). As the Gi-DREADD receptor is coupled to the G $_{i/o}$ protein, when it is activated by CNO, the G $_{i/o}$ protein will be released and it will diminish the activity of adenylyl cyclase (AC). A decrease in intracellular cAMP concentration could thereby prevent the activation of PKA and subsequent phosphorylation of CREB. Ultimately, CREB-mediated gene expression, which is important for the memory consolidation (Kandel, 2001, 2012), is disrupted. Although the current studies do not directly address the mechanism(s) involved, it is possible that Gi-DREADD might compromise both signaling pathways and thereby attenuate synaptic consolidation.

The HPC has been shown to be functionally defined along its dorsoventral axis (Fanselow and Dong, 2010; Moser and Moser, 1998; Pitkanen *et al*, 2000; Richmond *et al*, 1999; Yoon and Otto, 2007). The dHPC receives a dense input from the lateral entorhinal cortex and is proposed to participate in processing exteroceptive sensory information, whereas the vHPC receives inputs from the medial entorhinal cortex and is proposed to assist in processing interoceptive sensory information (Amaral, 1995). Thus, the dHPC is thought to have a predominant role in spatial memory, whereas the vHPC is thought to be important for the consolidation of memories associated with anxiety and emotional behavior (Fanselow and Dong, 2010). We have built on and extended these prior studies by definitively showing, by selective chemogenetic inactivation of the dHPC or vHPC during synaptic consolidation, that vHPC, but not dHPC, was involved in the consolidation of contextual fear memory. Our findings clearly support the concept of a functional differentiation of the HPC along the dorsoventral axis and confirm the

role of the vHPC in the synaptic consolidation of contextual memory.

Further, while some prior reports in the literature suggested a role for the dHPC in the learning or/and memory for contextual fear (Debiec *et al*, 2002; Frankland *et al*, 1998; Maren *et al*, 1997; McNish *et al*, 2000), others found a role for the vHPC (Ambrogio Lorenzini *et al*, 1997; Bast *et al*, 2001; Donley *et al*, 2005; Hobin *et al*, 2006; Rudy and Matus-Amat, 2005; Trivedi and Coover, 2004; Zhang *et al*, 2001). Our results using chemogenetic technology clearly implicate the vHPC and not dHPC, in the consolidation of contextual fear memory. There are several lines of evidence from prior studies that lend support to these findings. First, as a component of context, olfactory information is believed to be processed in the vHPC but not in dHPC (Kent *et al*, 2007). In fMRI studies, there is a greater activation of ventral (rodent)/anterior (human) HPC when odor information is processed (Cerf-Ducastel and Murphy, 2001; Kent *et al*, 2007). Further, vHPC lesions have more pronounced effect on olfactory cues than visual or spatial cues, whereas the opposite is found for dHPC lesions (Blanchard *et al*, 2005; Hunsaker *et al*, 2008; Kesner *et al*, 2011; Levita and Muzzio, 2010). Therefore, inactivation of vHPC may impair the consolidation of olfactory memory, and reduced behavioral freezing during the subsequent contextual test. Second, the vHPC has direct and extensive connections with the amygdala, whereas the dHPC gains access to amygdala only through its connections with vHPC (Pitkanen *et al*, 2000). Stimulation of the efferents projecting from vHPC to amygdala regulates synaptic plasticity in the amygdala, and lesioning of these efferents prevents contextual fear conditioning (Maren and Fanselow, 1995). Therefore, chemogenetic inactivation of vHPC by Gi-DREADD may disrupt the normal communication between the vHPC and amygdala, block the converging contextual information from both vHPC and dHPC to amygdala, and impair the consolidation of contextual memory.

Previous studies (Bannerman *et al*, 2003; Kjelstrup *et al*, 2002; Yoon and Otto, 2007) have reported that lesions or pharmacological manipulation of vHPC can alter anxiety and locomotor activity that may non-specifically affect the performance of behavioral freezing during tests of conditioned fear. In the present study, it is highly unlikely that the deficit in consolidation of contextual memory was due to alterations of anxiety or locomotor activity as these were measured and found to be unaffected by CNO injection (Supplementary Figure S3). Thus, our findings clearly demonstrate that deficits in context-dependent freezing following selective chemogenetic inactivation of vHPC principal neurons reflect the impairment of synaptic consolidation, and not a non-specific alteration of anxiety or locomotor behavior.

In summary, we demonstrate that vHPC principle neurons are key drivers for synaptic consolidation of memory.

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