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# Prefrontal/Amygdalar System Determines Stress Coping Behavior Through 5-HT/GABA Connection

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Coping is defined as the behavioral and physiological effort made to master stressful situations. The ability to cope with stress leads either to healthy or to pathogenic outcomes. The medial prefrontal cortex (mpFC) and amygdala are acknowledged as having a major role in stress-related behaviors, and mpFC has a critical role in the regulation of amygdala-mediated arousal in response to emotionally salient stimuli. Prefrontal cortical serotonin (5-hydroxytryptamine (5-HT)) is involved in corticolimbic circuitry, and GABA has a major role in amygdala functioning. Here, using mice, it was assessed whether amygdalar GABA regulation by prefrontal 5-HT is involved in processing stressful experiences and in determining coping outcomes. First (experiment 1), bilateral selective 5-HT depletion in mpFC of mice reduced GABA release induced by stress in basolateral amygdala (BLA) and passive coping in the Forced Swimming Test (FST) (experiment 2). Moreover, prefrontal-amygdala disconnection procedure that combined a selective unilateral 5-HT depletion of mpFC and infusion of an inhibitor of GABA synthesis into the contralateral BLA, thereby to disrupt prefrontal-amygdalar serial connectivity bilaterally, showed that disconnection selectively decreases immobility in the FST. These results point to prefrontal/amygdala connectivity mediated by 5-HT and GABA transmission as a critical neural mechanism in stress-induced behavior.

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### INTRODUCTION

The impact of stressors is determined by the ability of the organism to cope with the situation (Ursin and Olff, 1995). Coping consists of the behavioral and physiological efforts to master the situation (Koolhaas et al, 2007; Wechsler, 1995; Lazarus, 1966). Indeed, a stressful experience cannot be sustained at length (McEwen, 2007), and the organism thus needs to develop effective coping strategies, which may prove to be either healthy or pathogenic (McEwen, 2007; Maier and Watkins, 2010; Taylor and Stanton, 2007). Successful coping depends largely on the controllability and predictability of the stressor (Cabib and Puglisi-Allegra, 2012). As shown by human and animal studies, whenever environmental stressors are too demanding and the individual cannot cope, health is endangered. Preclinical research using animal models aimed at gaining insight into stress-related disease has now started to exploit phenotypic characterization and differential response patterns under stressful experimental conditions. Stress-associated adaptive changes may serve to increase the resistance to pathogenic outcomes of subsequent stressful experiences, thus favoring resilience, or they may establish dysfunctional coping strategies that increase 'allostatic load' (Maier and Watkins, 2010; Maier and Seligman, 1976; Anisman *et al*, 1983; McEwen, 2001).

Because cortical and limbic systems have a major role in stress appraisal and adaptation, the identification of stress responses by these systems could lead to the discovery of the mechanisms responsible for healthy and pathogenic outcomes of stressful experiences. Various studies have highlighted a major role for medial prefrontal cortex (mpFC) and amygdala in stress-related behaviors (Wellman et al, 2007). The mpFC is thought to have a critical role in regulating amygdala-mediated arousal in response to emotionally salient stimuli, and the 5-hydroxytryptamine (5-HT) system within the mpFC represents a potential molecular mechanism through which the mpFC modulates corticolimbic circuitry (Fisher et al, 2009). Moreover, manipulation of GABAergic transmission within the basolateral amygdala (BLA) has a critical influence both on behavioral and emotional sequelae resulting from stress (Martijena et al, 2002; Ebner et al, 2005). This suggests that 5-HT transmission in the mpFC is likely to engage GABA in the amygdala in stressful situations in order to determine coping outcomes. Here, using mice, it was attempted to assess whether amygdalar GABA regulation by prefrontal cortical 5-HT is a common neural substrate involved in processing stressful

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experiences and in determining active or passive coping behavior during forced swimming (Ebner et al, 2005, 2008; Singewald et al, 2011). In particular, it was investigated whether 5-HT in prefrontal cortex is involved in brain response to uncontrollable stress through its modulating action on GABA in amygdala and whether these brain areas are part of a neural system necessary for the implementation of adaptive coping strategies. To this end, in experiment 1, the effects of acute stressor (restraint) on mpFC 5-HT and BLA GABA release by intracerebral microdialysis were first assessed. To determine whether 5-HT prefrontal transmission governs increased BLA GABA output induced by acute restraint, also the effects of selective 5-HT depletion in mpFC on GABA release in BLA were evaluated. Then, in experiment 2, to determine whether 5-HT prefrontal transmission and GABA transmission in the BLA modulates stress coping behavior, the effects of mpFC 5-HT depletion on behavior outcome in the Forced Swimming Test (FST) were evaluated. Moreover, to evaluate if mpFC 5-HT transmission modulates the activation of specific amygdala nuclei in response to stressful experience, c-fos activation was assessed in the central amygdala (CeA) and in BLA nuclei following FST as an indicator of neuronal activation (Hughes and Dragunow, 1995). In fact, induction of this immediate early gene is used extensively to assess neuronal activation following stressful stimuli (Cullinan et al, 1995; Chowdhury et al, 2000; Day et al, 2005). To assess the role of the prefrontal 5-HT/ amygdalar GABA system in controlling stress-induced behavior in FST, an asymmetric disconnection procedure was used to block the transmission of information within the specific pathways in each hemisphere.

## MATERIALS AND METHODS

## Animals

Male mice of the inbred C57BL/6JIco strain (Charles River Laboratories, Wilmington, MA), 8–9-week-old at the time of the experiments, were housed as previously described (Ventura *et al*, 2007). All experiments were conducted in accordance with Italian national law (Decreto Legislativo no. 116, 1992) governing the use of animals for research.

## Drugs

Chloral hydrate, 5,7-dihydroxytryptamine (5,7-DHT), desipramine hydrochloride (DMI), L-allylglycine, and tetrodotoxin (TTX) were purchased from Sigma–Aldrich (St Louis, MO). Chloral hydrate (350-450 mg/kg) and DMI (35 mg/kg) were dissolved in saline (0.9% NaCl) and injected i.p. in a volume of 10 ml/kg. The 5,7-DHT was dissolved in saline containing ascorbic acid (0.1%) and TTX ( $1\mu$ m), and L-allylglycine (1 mM) was dissolved in artificial cerebrospinal fluid (CSF).

# **Stress Protocols**

Restraint stress and FST were as described previously (Alcaro *et al*, 2002; Cabib and Puglisi-Allegra, 1991). Although FST is usually performed in two sessions, 24 h (or longer) apart, we tested animals in one testing session,

because the aim was to assess coping in a stressful condition.

# Microdialysis

Animals were anesthetized with chloral hydrate (450 mg/ kg), mounted in a stereotaxic frame (David Kopf Instruments, Tujunga, CA), equipped with a mouse adapter, and implanted unilaterally with a guide cannula (stainless steel, shaft outer diameter of 0.38 mm; Metalant AB, Stockholm, Sweden) in mpFC or BLA. The length of the guide cannula was 1 mm for mpFC and 4.5 mm for BLA. The guide cannula was fixed with epoxy glue, and dental cement was added for further stabilization. The coordinates from bregma (measured according to the atlas of Franklin and Paxinos, 1997; Mouse Brain Atlases 2004) were: 2.52 anteroposterior and 0.6 lateral for mpFC and -1.6 anteroposterior and -3.55 lateral. The probe (dialysis membrane length of 2 mm for mpFC and 1 mm for BLA and outer diameter of 0.24 mm, MAB 4 cuprophane microdialysis probe, Metalant AB), was introduced 24h after implantation of the guide cannula. The animals were lightly anaesthetized (350 mg/kg) to facilitate manual insertion of the microdialysis probe into the guide cannula. The day before use, the membranes were tested to verify in vitro 5-HT and GABA recovery. The microdialysis probe was connected to a CMA/100 pump (Carnegie Medicine, Stockholm, Sweden), through PE-20 tubing and an ultra-low torque dual channel liquid swivel (Model 375/D/22QM, Instech Laboratories, Plymouth Meeting, PA) to allow free movement. Artificial CSF was pumped through the dialysis probe at a constant flow rate of 2 µl/min. Experiments were carried out 22-24 h after probe placement as previously described (Pascucci et al, 2009). For reverse microdialysis experiments, TTX (1 µm) was perfused in the BLA for 60 min before restraint stress, and L-allylglycine (1 mM) was perfused in the BLA for 30 min before FST. In preliminary experiments, we found that L-allylglycine at this concentration is able to reduce the GABA release in BLA as does the selective 5-HT depletion in mpFC during FST, but leaving unchanged the GABA basal levels (Figure 1). Animals subjected to stress experience were put in the restraint apparatus or in the FS apparatus for 120 and 10 min, respectivily, during microdialysis. The asymmetric disconnection procedure is based on the assumption that information is transferred serially from one structure to an efferent region, on both sides of the brain in parallel (Setlow et al, 2000; Floresco and Ghods-Sharifi, 2007; Belin and Everitt, 2008). The following combinations of asymmetrical bilateral conditions were used: (1) a unilateral 5-HT depletion in mpFC in combination with a contralateral inhibitor of GABA biosynthesis administration (L-allylglycine) of the BLA (disconnection), (2) a unilateral Sham depletion in mpFC in combination with a contralateral L-allylglycine into BLA (3) a unilateral 5-HT depletion of the mpFC in combination with a CSF into the contralateral BLA, and (4) unilateral Sham depletion of the mpFC and CSF into controlateral BLA (Figure 2). In additional experiment, two different groups of mice implanted bilaterally in BLA and receiving either CSF or L-allylglycine were assessed in the FST. Dialysate was collected every 20 for 180 min for restraint condition and 10 min for FST. Twenty microlitres of the dialysate

samples were analyzed by HPLC. The HPLC analysis of 5-HT concentration in the dialysates was as previously described (Pascucci *et al*, 2009). GABA concentrations in the dialysates were determined as described by Rea *et al* (2005). The detection limit of the assay was 4.2 and 0.1 pg per 20  $\mu$ l (signal-to-noise ratio 2), for GABA and 5-HT, respectively.



**Figure I** Effects of bilateral medial prefrontal cortex (mpFC) 5-hydroxytryptamine (5-HT) depletion and L-allyglycine infusion in basolateral amygdala (BLA) on GABA output in BLA exposed to 10 min of Forced Swimming Test (FST). Results are expressed as percent changes (means  $\pm$  SE) from basal values preceding L-allyglycine infusion in BLA and FST. Statistical analyses were performed on raw data. \**P* < 0.05 from basal values. \$*P* < 0.05 in comparison with the corresponding time point of mpFC Sham groups.

#### 5-HT Depletion in the mpFC

Bilateral injection of 5,7-DHT (2.5 µg/0.2 µl/4 min for each side) was made into the mpFC (coordinates: +2.52 AP;  $\pm 0.6$ l; 2.0 V with respect to bregma (Franklin and Paxinos, 1997)), through a stainless steel cannula (0.15 mm outer diameter; UNIMED, Lausanne, Switzerland), connected to a 1 µl syringe by a polyethylene tube and driven by a CMA/100 pump. The cannula was left in place for an additional 2 min after the end of the infusion. Sham mice (Sham) were subjected to the same treatment but received intracerebral vehicle. 5-HT, norepinephrine (NE), and dopamine (DA) tissue levels in the mpFC (prelimbic (PL) or infralimbic (IL), cingulate cortex (CG), and motor cortex (Franklin and Paxinos, 1997)) were assessed to evaluate the amount and the extent of 5,7-DHT-induced depletion. Punches were obtained from brain slices (coronal sections) not thicker than 300 µm (Puglisi-Allegra et al, 2000). Stainless steel tubes of 0.5 or 1.0 mm inside diameter were used. The coordinates were measured according to the atlas of (Franklin and Paxinos, 1997). Monoamine tissue level analysis were as described previously (Ventura et al, 2007).

Note that intracortical injection of 5,7-DHT produced a profound reduction of 5-HT tissue levels, indicating, however, a partial depletion (see results below). We use the term depletion throughout to refer conventionally to a partial depletion of the amine.

#### Histology

*Probe placement.* At the end of the experiment, mice were killed by decapitation. Brains were post-fixed in 4% paraformaldehyde, and correct probe placements were checked by visual inspection of the probe tracks on Nissl-stained coronal sections ( $40 \mu m$ ). Only mice with correct probe placement in BLA and mpFC were considered in the results.



**Figure 2** Schematic representation of disconnection of medial prefrontal cortex (mpFC) and basolateral amygdala (BLA) (a–d). 'X' represents the location of the unilateral inactivation to the mpFC or BLA. Green arrows represent intact pathways. Red lines and X-symbols represent blocked, nonfunctional pathways. In unilateral mpFC-5-hydroxytryptamine (5-HT)-depleted mice (red-shaded area), the mpFC relay of the pathway is lost on one side of the brain. However, on the non-depleted side (green area and arrows), the circuitry is intact and functional. When L-allyglycine (red-shaded area) is infused in the BLA contralateral to depletion, it blocks GABA output, hence impairing BLA GABA functioning in the non-5-HT-depleted side of the brain. Therefore, after unilateral L-allyglycine infusions in the contralateral BLA of mpFC-depleted animals, functional activity within the mpFC/BLA system is disrupted bilaterally. (a) This group received unilateral toxin 5,7-dihydroxytryptamine (5,7-DHT) in mpFC to deplete 5-HT and CSF in the controlateral BLA during FST. (c) This group received unilateral vehicle in mpFC (Sham) and L-allyglycine (to block GABA synthesis) in the controlateral BLA during FST. (d) This group received unilateral vehicle in mpFC (Sham) and L-allyglycine (to block GABA synthesis) in the controlateral BLA during FST. (d) This group received unilateral vehicle in mpFC (Sham) and L-allyglycine (to block GABA synthesis) in the controlateral BLA during FST. (d) This group received unilateral toxin 5,7-DHT in mpFC to deplete 5-HT and L-allyglycine (to block GABA synthesis) in the controlateral BLA during FST. (d) This group received unilateral toxin 5,7-DHT in mpFC to deplete 5-HT and L-allyglycine (to block GABA synthesis) in the controlateral BLA during FST. (d) This group received unilateral toxin 5,7-DHT in mpFC to deplete 5-HT and L-allyglycine (to block GABA synthesis) in the controlateral BLA during FST. (d) This group received unilateral toxin 5,7-DHT in mpFC to deplete 5-HT and L-allyglycine (to block G

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Immunohistochemistry. One hour after the end of experiment, mice were killed by decapitation. Brains were fixed overnight in paraformaldeyde (4%), cryoprotected in sucrose (30%), frozen with dry ice, and cut in 40-µm transversal sections. Three sections, distant approximately -1.58, -1.70, and -1.82 mm from bregma, according to the above-mentioned atlas (Franklin and Paxinos, 1997), were immunostained for each mouse. Fos protein was detected with a rabbit polyclonal antiserum (Ab-5, oncogene, 1:20.000). Secondary immunodetection was performed with biotinylated antibodies (goat anti-rabbit, 1:1000; Vector Laboratories, Burlingame, CA). Peroxidase labeling was performed by avidin-biotin procedure (1:1000, Vectastain ABC elite kit; Vector Laboratories). Metal-enhanced diaminobenzidine (FastDAB; Sigma) was used as the chromogen. All sections were immunostained in a single batch.

*Microscopy and image analysis.* Brain sections were digitally imaged with a Nikon Eclipse 80i light-transmission microscope and a Nikon DS-5M CCD camera. Image analysis was performed with public domain software ImageJ (http://rsb.info.nih.gov/ij) on a Debian system as previously described (Conversi *et al*, 2010). Bilateral photomicrographs of amygdala were captured with the  $\times$  4 objective, stored as TIFF files and opened within ImageJ. Then, BLA and CeA were delineated with the polygon selection tool on the basis of landmarks provided by Nissl-stained adjacent sections according to the above-mentioned atlas (Franklin and Paxinos, 1997). The density (*n* of nuclei/0.01 mm<sup>2</sup>) of immunostained nuclei was measured using the maximum finder algorithm: (http://fiji.sc/cgibin/gitweb.cgi?p=imagej.-git;a=blob;f=ij/plugin/filter/MaximumFinder.java).

Bilateral measures were averaged for each section and then for each rostrocaudal level obtaining a single measure for each subject.

## Statistics

Forced swimming test. Statistical analyses for FST were run on the duration (second) of immobility behavior response along 10-min test. Data were analyzed by oneway analysis of variances (ANOVAs) (Sham vs bilateral 5-HT depleted; bilateral CSF in BLA vs bilateral L-allyglycine in BLA). Data of the disconnection experiment were analyzed using one-way ANOVAs for independent measures for each treatment (mpFC serotonin depleted (unilateral)/ L-allyglycine in BLA (controlateral), mpFC Sham (unilateral)/ L-allyglycine in BLA (controlateral), mPFC serotonin depleted (unilateral)/CSF in BLA (controlateral), and mPFC Sham (unilateral)/CSF in BLA (controlateral)). Duncan's test was used *post hoc*; in all cases, the level of significance was set at 0.049.

5-HT depletion in mpFC. The effects of prefrontal serotonin depletion on tissue levels of DA, NE, and 5-HT in mpFC were analyzed by three-way ANOVA. The factors were: treatment (two levels: Sham and 5-HT depleted) and experiment (three levels: FST experiment, microdialysis experiments, and disconnection experiment). Individual between-group comparisons were carried out when appropriate by *post hoc* test, Duncan's multiple-range test. Statistical analyses were carried out on data from FST, microdialysis, and disconnection experiment.

Microdialysis. The effect of restraint stress on 5-HT release in mpFC and GABA release in BLA was analyzed (Sham, 5-HT-depleted; CSF, CSF + TTX) by repeatedmeasures ANOVA (time, seven level 0, 20, 40, 60, 80, 100, and 120 of restraint). The effect of TTX on GABA release in BLA during the restraint stress was analyzed by repeatedmeasures ANOVA (time, seven level 0, 20, 40, 60, 80, 100, and 120 of restraint). The effect of FST on GABA release in BLA was analyzed (mpFC Sham, mpFC 5-HT-depleted, and BLA L-allyglycine groups) by repeated-measures ANOVA (time, five level 0, 10, 20, 30, and 10 of FST). Statistical analyses were performed on all cases on raw data (concentration of pg/20 µl), and data were presented in figures as percent change from baseline level. Simple effects were assessed by one-way ANOVA for each time point. Individual between-group comparisons were carried out when appropriate by *post hoc* test, Duncan's multiple-range test. The effects of selective 5-HT depletion in mpFC and on basal extracellular GABA levels in BLA were analyzed separately in each group (Sham, 5-HT depleted). Duncan's test was used *post hoc* also in this case.

*Immunohistochemistry*. Group (Sham, 5-HT depleted) differences in c-fos density were evaluated by independent one-way ANOVAs for each brain structure (CeA and BLA), followed by Duncan's tests when allowed.

# RESULTS

## Experiment 1

Selective 5-HT depletion in mpFC reduces stress-induced GABA outflow in the BLA. To assess if prefrontal cortical 5-HT affects GABA in the BLA during a stressful experience, intracerebral microdialysis was used to evaluate the effects of selective 5-HT depletion in mpFC on prefrontal 5-HT and GABA output in the BLA of mice subjected to restraint stress.

Prefrontal 5,7-DHT produced a significant decrease of 5-HT tissue levels in PL (about -84%) and IL (about -68%), whereas it spared NE and DA. No significant changes in amine levels were evident in CG and the motor cortex, two areas close to PL and IL, thus showing that the effects of depletion are limited to the two medial cortical areas (Table 1).

Restraint produced a time-dependent increase of 5-HT output in mpFC and of GABA output in the BLA. Extracellular 5-HT attained a maximal increase of ~150% (at 40 and 120 min), whereas GABA attained a maximal increase of ~200% (at 20 min). Selective 5-HT depletion in mpFC canceled out the increase of both 5-HT in mpFC and GABA in BLA at all time points (0–120 min) but the last one (120 min) as far as GABA in the BLA is concerned (Figure 3). Statistical analysis revealed a significant treatment × time interaction (5-HT:  $F_{6.72} = 2,39$ ; P < 0.05 Sham group (n = 7), 5-HT-depleted group (n = 7); GABA:  $F_{6.84} = 3,36$ ; P < 0.01 Sham group (n = 8), 5-HT-depleted group (n = 8). Note that no significant differences were

**Table I** Effects of 5,7-DHT Infusion in Medial Prefrontal Cortexon Serotonin (5-HT), Norepinephrine (NE), and Dopamine (DA)Tissue Levels (ng/g wet weight) in Different Prefrontal CortexAreas of Sham group and 5-HT-Depleted Group

	Sham	5-HT depleted
Prelimbic cortex		
5-HT	775±131	126±32**
NE	$660 \pm 58$	638±59
DA	117±20	105±9
Infralimbic cortex		
5-HT	470 ± 39	151±26*
NE	409 ± 32	427 ± 68
DA	110±26	24± 7
Cingulate cortex		
5-HT	404 ± 37	462 ± 44
NE	619±40	747 ± 76
DA	$100 \pm 5$	8± 6
Motor cortex		
5-HT	905±113	949 ± 93
NE	513±54	606 ± 32
DA	$64 \pm 6$	59±4

\*P<0.01; \*\*P<0.001.

found in mpFC 5-HT and BLA GABA basal levels between the two groups (5-HT: Sham group,  $0.89 \pm 0.07$  pg/20 µl; 5-HT-depleted group,  $1.02 \pm 0.03$  pg/20 µl; GABA: Sham group  $54.8 \pm 0.4$  pg/20 µl; and 5-HT-depleted group,  $66.7 \pm 0.9$  pg/20 µl). Moreover, TTX infused through the microdialysis probe canceled out the GABA output in BLA induced by restraint stress ((F<sub>6,72</sub>=3,83; P < 0.05, Sham group (n=7), TTX group (n=7)), thus indicating that GABA results from release. The present results show that intact 5-HT transmission in mpFC is a necessary condition for the stimulation of BLA GABA release induced by stress, indicating that mpFC 5-HT transmission modulates BLA GABA release, and suggesting a role for the prefrontalamygdala system in stress response.

#### Experiment 2

Selective 5-HT depletion in mpFC or L-allylglycine bilateral infusion in BLA reduced immobility in the FST. On the basis of results from experiment 1 showing a prefrontal 5-HT-amygdalar GABA circuitry in response to stress, it was investigated if this system is involved also in controlling coping behavior in the FST. First, the effect of bilateral prefrontal selective 5-HT depletion in mpFC and L-allylglycine (1 mM) bilateral infusion in BLA were assessed on behavioral outcomes in the FST, a well-known experimental condition commonly used to investigate stress-related behavior and to model depressive-like behavior in rodents (Porsolt *et al*, 1977; Valentino *et al*, 2010; Ebner *et al*, 2005). Selective bilateral 5-HT depletion in mpFC (Sham group (n=8) 293.18±6.6 s, 5-HT-depleted group (n=8) 122.19±13.3 s, F<sub>1.14</sub>=132.2, P<0.01) or bilateral BLA L-allylglycine infusion (bilateral BLA CSF (n=6) 307.5±16.1 s, bilateral BLA L-allylglycine group (n=7) 135.2±8.6 s, F<sub>1.11</sub>=95.79, P<0.01) significantly reduced immobility. These results show that both mpFC 5-HT and BLA GABAergic transmission control immobility in the FST.

It is worth noting that although resulting from two independent experiments, Sham group (depletion experiment) was non statistically different from Bilateral BLA CSF group, and 5-HT depleted were no significantly different from Bilateral L-allylglycine group.

To our knowledge, this is the first report of specific involvement of 5-HT transmission in mpFC in controlling immobility behavior in FST. Additional behavioral experiments showed that neither spontaneous locomotor activity nor emotional reactivity, measured through the plus maze and the open field test, were affected by selective 5-HT depletion (data not shown), thus ruling out a general motor impairment or an increase of anxiety-like behavior. Note that both control and 5-HT-depleted animals were unilaterally implanted with a microdialysis probe through which CSF was perfused. This was done to allow these experimental groups to be compared with those of subsequent experiments.

Selective 5-HT depletion in mpFC increases c-fos expression in BLA and reduces it in CeA following FST. To further confirm prefrontal 5-HT-amygdala connectivity, c-fos expression in ipsilateral amygdalar nuclei assessed following FST showed that depletion in mpFC significantly increased the c-fos expression in BLA ( $F_{1.14} = 11.25$ , P < 0.01), whereas c-fos expression in CeA was significantly reduced in depleted mice in comparison with Sham animals ( $F_{1.14} = 7.64$ , P < 0.05) (Sham-treated group (n = 8), 5-HT depleted group (n = 8)) (Figure 4).

A 5-HT prefrontal/GABA amygdala disconnection procedure reduced the immobility in FST. To assess the functional significance of this circuitry, a corticolimbic disconnection procedure was used that combined selective unilateral 5-HT depletion in the mpFC and infusion (by in vivo reverse microdialysis) of an inhibitor of GABA synthesis L-allylglycine in the controlateral BLA to produce functional GABA depletion, thereby disrupting prefrontalamygdalar serial connectivity bilaterally. Four groups of mice in the FST were tested: 1) unilateral mpFC 5-HTdepleted/controlateral BLA L-allylglycine; (2) unilateral mpFC 5-HT-depleted/controlateral BLA vehicle (CSF); (3) unilateral mpFC Sham/controlateral BLA L-allylglycine; and (4) unilateral mpFC Sham/controlateral BLA vehicle (CSF) (Figure 2). FST induced a clear-cut time-related increase of GABA output in BLA of mice receiving vehicle as shown by dialysate from mice having a probe in the BLA, whereas L-allylglycine caused GABA output to decrease dramatically throughout, as did selective 5-HT depletion in mpFC (see Figure 1). This response in mice receiving vehicle in BLA is consistent with that from restraint experiments showing a stress-induced GABA output increase. Unilateral selective mpFC 5-HT depletion in combination with a contralateral L-allylglycine infusion in BLA (disconnection)

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**Figure 3** Effects of selective prefrontal cortical 5-hydroxytryptamine (5-HT) depletion on 5-HT outflow in the medial prefrontal cortex (mpFC) (a) and GABA outflow in the basolateral amygdala (BLA) (b) of mice exposed to 120 min of restraint. Results are expressed as percent changes (means  $\pm$  SE) from basal values. Statistical analyses were performed on raw data. P < 0.05 from the basal values. \*P < 0.05 in comparison with the corresponding time point of sham group. Samples of chromatograms of basal and stress 5-HT outflow in the mpFC (c) and GABA outflow in the BLA (d). Representative positions of the probe in the mpFC (e) and BLA (f). The segment of the membrane probe is also shown.

caused a significant decrease in immobility behavior during the FST compared with three other control conditions (F3,28 = 14,9, P < 0.01; Figure 5). (Disconnection (n = 8) 112.95 ± 20.3 s; mpFC Sham unilateral/L-allylglycine in BLA controlateral (n=8) 268.2 ± 20.1 s; mpFC 5-HT-depleted unilateral/CSF in BLA controlateral (n=8) 263.8 ± 31.4 s; and mpFC Sham unilateral/CSF in BLA controlateral (n=8)297.9 ± 7.4 s.) Note that no significant differences were

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**Figure 4** (a) Effects of selective prefrontal cortical 5-hydroxytryptamine (5-HT) depletion on Forced Swimming Test (FST)-induced c-fos immunoreactive cell nuclei (mean number of nuclei/0.1 mm<sup>2</sup> ± SEM) in central amygdala (CeA) and basolateral amygdala (BLA). \*P < 0.05. (b) Representative NissI-stained section illustrating CeA and BLA boundaries as revealed by cytoarchitecture. Scale bar = 1 mm. Representative photomicrographs showing FST-induced c-fos immunoreactive cell nuclei in CeA and BLA of 5-HT-depleted group (c) and mpFC 5-HT sham group (d) (according to the atlas of Franklin and Paxinos, 1997). Scale bar = 100 µm.



**Figure 5** Effects of 5-hydroxytryptamine (5-HT) in medial prefrontal cortex (mpFC) and GABA in basolateral amygdala (BLA) disconnection on immobility in the Forced Swimming Test (FST). Results are expressed as mean  $\pm$  SE duration (second) of immobility. \**P*<0.05 in comparision with 5-HT sham + ally, 5-HT depl + cerebrospinal fluid (CSF), and 5-HT sham + CSF groups.

noted between the left and the right side of 5-HT depletion or L-allylglycine infusion treatment within each group on behavioral outcome. Moreover, immobility of animals having undergone unilateral mpFC 5-HT depletion and controlateral BLA L-allylglycine was not statistically different from immobility exhibited by mice 5-HT-depleted bilaterally in mpFC. These data show that 5-HT transmission, by controlling amygdala GABAergic transmission, modulates stress-related behavior in the FST.

#### DISCUSSION

Here evidence is reported of a brain system which, through modulation of GABA in the amygdala prefrontal cortical 5-HT, controls behavioral responses to stressful experiences sustaining immobility in the forced swimming paradigm that models depressive-like outcomes in rodents (Ebner *et al*, 2005, 2008; Singewald *et al*, 2011). It was first demonstrated that, in mice, a stressful experience such as restraint induces a time-dependent increase of 5-HT output in the mpFC and of GABA in the BLA, in agreement with previous reports (Reznikov *et al*, 2009; Pascucci *et al*, 2009), and that selective depletion of cortical 5-HT canceled out these stress-induced responses, thus pointing to a controlling role of GABAergic transmission in amygdala by prefrontal 5-HT during stress.

Electrophysiological studies indicated that previous stress and repeated administration of corticotrophin-releasing factor receptor agonist into the BLA reduces GABAergic neurotransmission in this structure, and this impairment is involved in the enhancement of conditioned fear behavior and anxiety (Rodríguez Manzanares *et al*, 2005; Rainnie *et al*, 2004). These results seems contrasting with ours showing that acute stress increases GABA release in BLA and that bilateral L-allylglycine infusion into the BLA reduces immobility during FST.

However, differences in experimental paradigms and procedures concerning pharmacological treatment, the time

of analysis after stress, and the behavioral phenotype considered could account for differences between the above-cited studies and ours. Note that our results are in agreement with other microdialysis study showing that acute stress elicits an increase in GABA outflow in the BLA, whereas CeA outflow remained unchanged, and that prior repeated stress cancels out this response in BLA (Reznikov *et al*, 2009).

Recent findings suggest that IF and PL regions have different targets within the amygdala (Vertes, 2004; Gabbott *et al*, 2005). Specifically, the PL region sends projections to the BLA, whereas IF region sends projection to a region of the amygdala called the intercalated cell region (ITC). In our experiment, 5,7-DHT infusion in mpFC, although did not allow to target selectively these subregions, produced an about 84% reduction of 5-HT tissue levels in PL region and about 68% reduction of 5-HT tissue levels in IL region, leaving unchanged amine levels in close areas. Thus, it is conceivable that the effect of 5-HT depletion in mpFC on GABA outflow in BLA involve principally the PL region.

To investigate if this neural connection in stress implies a brain system and to ascertain if such a system has a role in stress related behavior, animals were exposed to FST, which is a stressful condition allowing the individual to implement coping responses ranging from active to passive (depression-like). Moreover, we disrupted prefrontal/amygdalar serial connectivity bilaterally by means of a corticolimbic disconnection procedure that combined selective unilateral 5-HT depletion in the mpFC and pharmacological inactivation of GABA output through in vivo reverse microdialysis infusion of an inhibitor of GABA synthesis, L-allylglycine, in the controlateral BLA. Combining an otherwise ineffective unilateral infusion of L-allylglycine in the BLA of mice previously subjected to an ineffective unilateral 5-HT depletion resulted in a clear-cut decrease of immobility. Note that immobility was comparable to that exhibited by mice 5-HT depleted bilaterally in mpFC, and with that of mice receiving L-allylglycine bilaterally. These data indicate that the serial transfer of information between the mpFC and BLA guides behavioral response in the FST. Disconnection procedures involve unilateral manipulation of one structure in a neural system combined with manipulation of another intimately connected structure within the same system but on the opposite side of the brain, thereby disrupting the function of the serially interacting structures bilaterally. Thus, the disconnection manipulation should have behavioral effects very similar, if not identical, to those produced by bilateral manipulations of either structure alone within the system (Setlow et al, 2000; Floresco and Ghods-Sharifi, 2007; Belin and Everitt, 2008), but will have little or no effect if the structures are less directly, or serially, connected within a more diffuse neural system. The prefrontal-amygdala system envisaged here involves a parallel stress-induced increase of 5-HT and GABA transmission in the two brain regions, the former controlling the latter through neural pathways (circuitry) that can be validly hypothesized.

The critical role of prefrontal cortex-amygdala system in many behavioral phenotypes is acknowledged. The mpFC is involved in the integration and subsequent regulation of stimulus-driven amygdala response, via glutamatergic projections to population neurons within the amygdala (Likhtik *et al*, 2005; Quirk *et al*, 2003), and different studies have demonstrated that aberration in this regulation leads to abnormal processing of emotional stimuli (Drevets, 1999).

The expression of 5-HT receptors on mpFC glutamatergic neurons suggests that their functional interactions may mediate 5-HT effects on this circuit through top-down regulation of amygdala functioning (Amargos-Bosch *et al*, 2004).

Activation of 5-HT2a receptors in mpFC results in increased glutamatergic synaptic activity (Beique et al, 2007). Some authors have proposed that the excitatory action of this receptor 5-HT2a may be particularly prominent under conditions of high 5-HT release (Sharp et al, 2007), and a growing body of clinical psychopharmacological evidence is consistent with the hypothesis that blockade of 5-HT2a receptors might enhance the therapeutic effectiveness of SSRIs in depression patients (Marek et al, 2005). Thus, we speculate that high-level 5-HT release in mpFC under stress conditions could be able to activate the 5-HT2a receptor and consequently be responsible for expression of depressive-like behavior. The mpFC gates impulse transmission in amygdala from the BLA to CeA (Quirk et al, 2003). The CeA is a major output of the amygdala for the control of brain circuits involved in physiological and behavioral stress responses (Amaral et al, 1992). The activation of this nucleus was found to be associated to an increase in passive coping behavior (Stalnaker et al, 2009).

Thus, it can be assumed that stress-induced increase of 5-HT release in mpFC activates prefrontal cortical glutamatergic projection to the BLA, leading to increased GABA release within the BLA and to inhibition of CeA activity through the ITC (see below). This prefrontal-amygdala system may have a key role in depressive-like behavior.

Moreover, a neuronal circuit involving the projections from mpFC to the dorsal raphe nucleus may contribute to the regulation of amygdala reactivity through an indirect pathway. Indeed, it has been reported that the mpFC exerts an inhibitory influence on stress-induced activation of 5-HT neurons in the dorsal raphe nucleus (DRN) when stressful conditions are controllable (susceptible to coping efforts) by the organism (Amat et al, 2005), and 5-HT transmission in mpFC has a critical role in this control (Celada et al, 2002). Moreover, the DRN serotonergic system is a major modulator of the GABAergic system in the BLA, and dysregulation of this system has been envisaged in stress and mental disorders (Rainnie, 1999). Thus, it is likely that mpFC 5-HT regulates the amygdala GABAergic system through DRN 5-HT neurons (indirect pathway), which is consistent with a key role of the prefrontal-DRN system in coping and depressive-like behavior.

5-HT depletion in mpFC was found to significantly increase c-fos expression in the BLA and to reduce it in the CeA. These data indicate that 5-HT release in mpFC modulates amygdala activation during the FST and that decreased immobility is linked respectively to increased and decreased c-fos expression in BLA and CeA. Although these changes of c-fos expression in BLA do not provide direct evidence of a GABA-dependent mechanism, it is conceivable that the changes in c-fos activation in this area depend on changes in GABA release. Indeed, it has been showed that repeated stress decreased expression of c-fos in BLA

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interneuronal populations, and with specific regard to the GABA neuron population (Reznikov et al, 2008). Recent data from neuroimaging studies indicate that depression patients, who display a low-expressing HTT gene variant that has been seen to cause an increase of 5-HT release in mpFC (Mathews et al, 2004) in mice, are associated with functional abnormalities in the prefrontal cortex-amygdala circuit, specifically, amygdala hyperactivity coupled with hypofunction in the prefrontal cortex (Pezawas et al, 2005; Heinz et al, 2005). Moreover, it has been shown that active coping during an inescapable stress cause a decrease in c-fos in the CeA (Stalnaker et al, 2009). These results are consistent with those presented herein, because CeA activation was found to be associated to an increase of immobility, indicating that 5-HT transmission in mpFC has a role in this modulation, possibly by controlling BLA GABA release. Note that amygdalar ITC, which receive afferents from the BLA, could account for the present results. Indeed, ITC GABAergic neurons are an intraamygdala relay station exerting feed-forward inhibition of the CeA output (Pare et al, 2004). The CeA is a major output station in the amygdala, and its projections reach different structures in the brainstem, which have a role in generating behaviorally relevant outputs (LeDoux et al, 1988; Holstege et al, 1996). Finally, it has been demonstrated, that mpFC stimulation increases ITC c-Fos expression (Berretta et al, 2005) and produced an associated inhibition of CeA neurons (Quirk et al, 2003). Thus, we assume that 5-HT release in mpFC modulates BLA activation through stressinduced GABAergic transmission and consequently modulates the CeA activation through GABAergic ITC cell. In particular, reduced BLA GABA release, caused by 5-HT depletion in mpFC, leads to activation of BLA nuclei. This activation could produce a decrease of CeA activation, through BLA GABA ITC neurons. The present results show that prefrontal cortical 5-HT transmission, through the control of GABAergic transmission in BLA, modulates immobilty in the FST. Substantial evidence indicates that the amygdala is involved both in depression and in the action of antidepressants (Krystal et al, 2002). Although depression is seen largely as a dysfunction in monoamine neurotransmission and all antidepressant strategies focus largely on monoamines, strong clinical and preclinical evidence implicates dysfunction of the GABAergic system in depression (Krystal et al, 2002). In rodents, the amygdala has been shown to be involved in depressive-like behavior in the FST, at least in part through GABA release (Ebner et al, 2005; Briones-Aranda et al, 2005). Different studies described antidepressant effects of the GABAb receptor agonist baclofen in FST (Cryan and Kaupmann, 2005), conceivably through presynaptic GABAb receptor activation leading to decrease of GABA release (Rea et al, 2005). In support of this hypothesis, we found that reduction of GABA release in the BLA reduced immobility in the FST, pointing to antidepressive effects of presynaptic GABAb autoreceptor stimulation in BLA. Substantial clinical and preclinical evidence implicates dysfunction of the GABA system in depression (Krystal et al, 2002; Sanacora, 2010), suggesting a complementary role of GABA and monoamines in mood disorders, and pointing to unbalanced multiple neurotransmitter systems in its etiology. The data presented herein present an intriguing opportunity in this sense,

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suggesting a role of serotonin and GABA neurotransmission in the prefrontal cortex-amygdala system in stress response and behavioral outcomes related to depression. This brain system suggests a way for developing therapeutic approaches aimed at modulating integrated functional mechanisms underlying adaptive/maladaptive behavior.

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# DISCLOSURE

The authors declare no conflict of interest.

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