

Epigenetic Mechanisms in Learned Fear: Implications for PTSD

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One of the most exciting discoveries in the learning and memory field in the past two decades is the observation that active regulation of gene expression is necessary for experience to trigger lasting functional and behavioral change, in a wide variety of species, including humans. Thus, as opposed to the traditional view of ‘nature’ (genes) being separate from ‘nurture’ (environment and experience), it is now clear that experience actively drives alterations in central nervous system (CNS) gene expression in an ongoing fashion, and that the resulting transcriptional changes are necessary for experience to trigger altered long-term behavior. In parallel over the past decade, epigenetic mechanisms, including regulation of chromatin structure and DNA methylation, have been shown to be potent regulators of gene transcription in the CNS. In this review, we describe data supporting the hypothesis that epigenetic molecular mechanisms, especially DNA methylation and demethylation, drive long-term behavioral change through active regulation of gene transcription in the CNS. Specifically, we propose that epigenetic molecular mechanisms underlie the formation and stabilization of context- and cue-triggered fear conditioning based in the hippocampus and amygdala, a conclusion reached in a wide variety of studies using laboratory animals. Given the relevance of cued and contextual fear conditioning to post-traumatic stress, by extension we propose that these mechanisms may contribute to post-traumatic stress disorder (PTSD) in humans. Moreover, we speculate that epigenetically based pharmacotherapy may provide a new avenue of drug treatment for PTSD-related cognitive and behavioral function.

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INTRODUCTION

A long-standing aim in the study of learning and memory has been to uncover the biological mechanisms that support the maintenance of memories over a lifetime despite of the constant turnover of the molecules that underlie memory storage (Day and Sweatt, 2010). In recent years, the discovery that epigenetic processes regulate experience-induced plasticity in the neural networks that support memory formation and maintenance has produced a fundamental shift in our understanding of the molecular mechanisms that underlie information storage in the central nervous system (CNS). Epigenetic mechanisms have classically been studied for their role in the regulation of cellular differentiation during development and in the maintenance of cellular identity over the lifespan. In the present

discussion, we use the term epigenetics to refer to chromatin-regulating molecular mechanisms in non-dividing neuronal cells, rather than the traditional role of epigenetic mechanisms in driving the transmission of heritable changes in gene expression during cell division (reviewed in Levenson and Sweatt, 2005; Sweatt, 2009; and Wood *et al*, 2006). This review addresses the broad hypothesis that epigenetic marking of chromatin is modified in response to an organism’s experience, and has a role in dynamically regulating the gene transcription that supports synaptic plasticity and long-term behavioral change (Graff and Mansuy, 2008; Jiang *et al*, 2008; Sweatt, 2009). Much of the work devoted to testing the idea that epigenetic mechanisms regulate gene transcription necessary for memory function has focused on post-translational histone modifications, especially histone acetylation (Barrett and Wood, 2008; Graff and Mansuy, 2008; Jiang *et al*, 2008; Sweatt, 2009), and this topic was discussed by Ted Abel in another review in this special issue of *Neuropsychopharmacology Reviews*. DNA methylation is another epigenetic mechanism potentially important for synaptic plasticity and fear memory, and in this review, we will discuss this mechanism in detail. Further, we extend

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our discussion to the emerging hypothesis that the same mechanisms that underlie normal fear learning also operate in the establishment and persistence of the intense pathological fear that is characteristic of post-traumatic stress disorder (PTSD).

DNA METHYLATION AS A CANDIDATE STABLE MOLECULAR MARK IN CELLS

DNA methylation and histone modifications are the two most extensively investigated epigenetic mechanisms (Figure 1). Until recently, it was thought that once laid down, DNA methylation remained unchanged for the lifetime of the organism, although recent studies have challenged this view (discussed below). DNA methylation is an epigenetic mark that is most often associated with transcriptional silencing, although there are instances in which DNA methylation can have an activating role (Chahrour *et al*, 2008). DNA methylation occurs in regions of the genome rich in cytosine-guanine (CpG) dinucleotides (ie, CpG islands), which are often found in the promoter region of genes. The family of enzymes that carry out DNA methylation, the DNMTs, come in two variants, maintenance DNMTs, including DNMT1, and *de novo* DNMTs, including DNMT3a and 3b. The function of *de novo* DNMTs is to methylate previously unmethylated CpG sites, whereas the maintenance DNMTs methylate hemimethylated DNA.

DNA methylation and attendant changes in chromatin structure are capable of self-regeneration and self-perpetuation. Self-perpetuation of DNA methylation is accomplished, in part, by the action of maintenance DNMTs. DNMTs can recognize a hemi-methylated CpG dinucleotide (ie, methylated on only one strand of the DNA) and convert the complementary CpG on the opposite strand into a methylated CpG. Through this mechanism, DNA can be perpetually methylated in the face of ongoing turnover of molecular marks, making it an ideal candidate for the

perpetuation of memory. Griffith and Mahler (1969) were the first to suggest that DNA methylation or demethylation may be involved in memory formation through a ‘ticketing’ mechanism that has since been proven inaccurate in its details. Years later, Crick (1984) proposed that a self-perpetuating biochemical autoconversion of methylated DNA might serve as a memory mechanism at the molecular level. Crick’s idea has lain largely unaddressed since then, with the exception of one further paper discussing the theoretical concept published by Holliday (1999), one of the founding fathers of the epigenetics field, and a more recent treatment by Tollefsbol (Liu *et al*, 2009). These earlier discussions lay the foundation for our studies that provided the first empirical evidence in support of a role for DNA methylation in the formation and stabilization of fear memories.

FEAR LEARNING AND MEMORY IN RODENTS

Memory formation is not a unitary process. In order for newly acquired information to develop into a stable memory, it must be consolidated through a complex set of molecular changes in distinct brain regions that support discrete forms of associative learning. Fear conditioning is a commonly used model of associative learning in rodents, in which memory for a context-shock association is stabilized via hippocampal-dependent consolidation processes, and memory for a cue-shock association is stabilized through amygdala-dependent consolidation processes (see Box 1 and Johnson *et al*, 2012). Previously consolidated memories can become labile upon recall, wherein an additional set of molecular changes are required for the recalled memory to become updated or re-stabilized in a process that has been termed reconsolidation (McKenzie and Eichenbaum, 2011). Over time, recently acquired contextual fear memories are downloaded from the temporary storage site in the hippocampus to the permanent site of remote memory

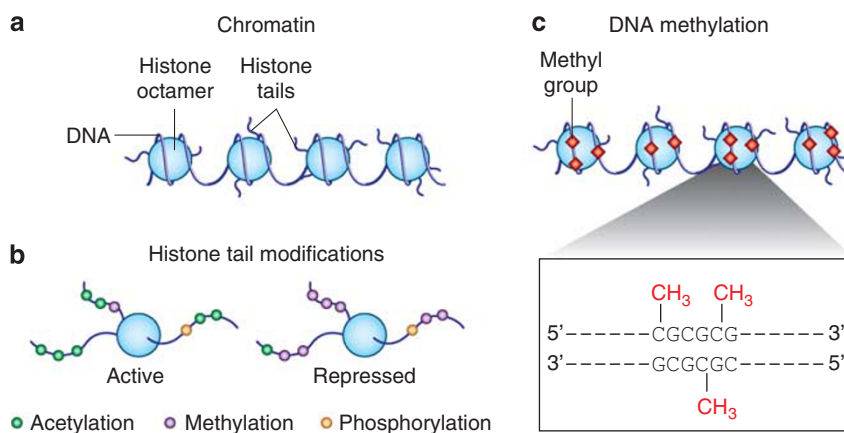


Figure 1. Schematic representation of epigenetic marks. (a) DNA is condensed within the nucleus through interactions with histones. The DNA–protein complex is referred to as chromatin. (b) The N-terminal tail of a histone has several sites for epigenetic marking that can promote or repress gene transcription. (c) Methylation of DNA in which a methyl group (red diamonds) is transferred to cytosines in genomic regions in and around gene promoters rich in cytosine-guanine nucleotides (CpG islands). From Jiang *et al* (2008).

Box 1 Assessments of fear learning and memory behavior

Several different fear-based memory model systems have been used to investigate the role of histone acetylation and DNA methylation in the CNS, and to probe the consequences of altered epigenetic marking on learning and memory. The effects of region-specific epigenetic processes during consolidation, reconsolidation, maintenance, and extinction phases on fear memory formation and erasure can be investigated through the use of distinct fear-learning paradigms and different post-training time points. In order to assess learning and memory, rats are evaluated using a battery of behavioral tests that assess baseline behaviors, sensory responses, and various forms of hippocampus-, prefrontal cortex-, and amygdala-dependent fear memory formation. Different fear-learning paradigms and time points can be used to assess behavioral output associated with molecular changes in specific neural regions.

Conditioned fear. The fear conditioning test is routinely used to study fear/emotional-based learning and memory in rats. In a typical experiment, the rodent is placed in a fear conditioning apparatus and allowed ~2–3 min to become familiarized with the novel environment. After the initial familiarization, an aversive stimulus (eg, a mild foot shock) is paired either once or several times with an auditory conditioned stimulus (CS, white noise) within the novel environment. When tested at various time points after training, rats exhibit marked fear, measured by freezing behavior, in response to re-presentation of either the context (contextual fear conditioning) or the auditory CS delivered in a different context (cued fear conditioning). It also is useful to evaluate contextual fear conditioning by itself without presenting the auditory cue during training in the novel context, and cued fear conditioning in isolation by pre-habituating the animals to the conditioning context. Cued fear conditioning allows for assessment of amygdala-dependent memory processes, whereas contextual fear conditioning relies on both the amygdala and the hippocampus. Fear conditioning in rodents results in a memory that persists for many months, even a lifetime, during which time the associative memory transitions from 'recent' to 'remote' through consolidation processes that involve downloading of the memory from the hippocampus to the anterior cingulate cortex. Varying the amount of time between training and the memory test allows for the assessment of regionally and temporally specific epigenetic mechanisms that underlie the transition from recent (~24 h) to remote (>7 days) memory.

To assess baseline behavior, animals are monitored during the training phase. It is typical to assess both baseline freezing behavior before presentation of the foot shock on the training day (minutes 1–3), and the freezing of the animal in response to foot shock. Display of freezing behavior after and not before shock presentation is a crude indicator of shock intensity and is indicative of the animal's ability to exhibit freezing behavior. These control measurements are important for ruling out deficits that are not specific to memory when interpreting impairments on the subsequent memory test.

Avoidance learning. This procedure utilizes the passive avoidance and active avoidance test paradigms, which assay different forms of fear-based conditioned avoidance learning. Passive avoidance paradigms involve training the animal to avoid entry into a shock-associated compartment, using one of the two variations of the task. The step-through version of the task utilizes a chamber with an aversive well-lit side and a naturally preferred dark side. During habituation, the rodent is placed into the aversive well-lit side of the chamber and the latency to enter the preferred dark side is measured. On the training day, the procedure is repeated, except that a mild foot shock is delivered upon entry to the dark side of the chamber. Memory of learned avoidance is assessed at different time points after training and is indexed by increased latency to enter the dark side. In the step-down version of the task, a similar training procedure is applied, except that the rodent is trained to associate shock delivery with stepping off an elevated platform.

In contrast to passive avoidance, active avoidance involves the expression of an operant behavioral response after learning. During training, the rodent is placed into a shuttlebox, consisting of two well-lit chambers, and is trained to move to the opposite side of the box in response to a cue that signals an incoming shock. Movement to the opposite side of the chamber in response to the presentation of the shock-predictive cue alone is considered to be active avoidance, whereas movement to the opposite side of the chamber in the presence of the predictive cue and the shock is considered to be an escape response. The passive and active avoidance chambers are automated and computer controlled to reduce experimenter error and facilitate throughput. Memory for the passive avoidance task is disrupted by hippocampal lesions, whereas memory for active avoidance is enhanced by hippocampal lesions. Thus, these tasks can be used to investigate distinct processes and epigenetic mechanisms associated with different forms of fear learning.

Fear extinction. Extinction involves training the animal that the conditioned stimulus no longer predicts the occurrence of the aversive stimulus. Although extinction can be thought of as a reversal of previous learning, it is not equivalent to memory erasure or forgetting, as the extinguished response is subject to spontaneous or shock-induced reinstatement. Extinction is evaluated using repetitive presentations of the CS in the absence of the unconditioned stimulus (ie, shock) after the animals have already been conditioned to associate the CS with shock delivery. In our hands, extinction of contextual fear conditioning typically requires approximately five presentations of CS alone over 5 days, while extinction of cued auditory fear conditioning requires around 90 CS alone presentations over several days. These extinction training protocols model re-learning of the normal non-fear-motivated response to the cue or context, such as is utilized in habituation/extinction therapy clinically.

Application of fear-based learning in assessment of memory. Fear-based learning paradigms are utilized as a stimulus for the induction and measurement of the molecular changes associated with learning and memory, as well as a behavioral read out used to assess the efficacy of various interventions at the molecular level. Specifically, fear conditioning can be used as an associative learning stimulus that reliably induces the cellular and molecular changes associated with memory-relevant processes, such that distinct stages of learning and memory can be investigated by collecting neural tissue at specific points after training. For example, tissue collected shortly after fear conditioning can be used to investigate the epigenetic processes that underlie consolidation or stabilization of newly acquired information, whereas tissue collected at later time points can be used to assess temporally distinct mechanisms that underlie maintenance of recent and remote memories. In addition, use of learning paradigms that differentially rely on the amygdala, hippocampus, and the mPFC can be used to investigate regionally specific epigenetic changes at different stages of memory formation and maintenance. Moreover, tissue collected with or without a recall test can be used to investigate changes associated with memory reconsolidation or maintenance.

Fear-based tests of learning and memory can also be used to investigate the functional relevance of identified molecular changes for memory formation and maintenance. That is, if the expression of a particular molecule or the presence of a specific epigenetic modification is found to occur at a specific time point after training, then pharmacological or genetic-based approaches that interfere with or enhance the molecular event can be used to investigate the relevance of the specific change for behavior. This approach has been critical for developing an understanding of the molecular events that enhance or inhibit associative learning and is critical for the identification of new targets for treatment of memory disorders.

storage in the cortex (Frankland *et al*, 2004). There is now evidence to suggest that DNA methylation is involved in all stages (ie, consolidation, reconsolidation and maintenance) of memory formation for distinct associations (ie, cue or context), indicating that DNA methylation is an essential and a broadly utilized mechanism for memory formation and maintenance.

EPIGENETIC MECHANISMS IN HIPPOCAMPUS-DEPENDENT FEAR CONSOLIDATION

As our first step in pursuing the idea that epigenetic molecular mechanisms might be involved in memory, we investigated whether mechanisms regulating chromatin

structure were activated during the early stages of long-term memory formation in the hippocampus (Levenson *et al*, 2004b). Specifically, we investigated hippocampal histone acetylation during the initial stages of consolidation of long-term association memories using a contextual fear conditioning paradigm (see Box 1). We found that acetylation of histone H3 in the area CA1 of the hippocampus was regulated in contextual fear conditioning and that pharmacological enhancement of histone acetylation with histone deacetylase (HDAC) inhibitors improved memory formation in normal adult animals (Levenson *et al*, 2004b). This finding has been replicated and greatly extended in a variety of laboratories, using various behavioral paradigms such as cued fear conditioning and contextual fear conditioning and extinction (eg, Bredy and Barad, 2008; Lattal *et al*, 2007; Lubin *et al*, 2008; Maddox and Schafe, 2011; Miller *et al*, 2008; Monsey *et al*, 2011; Stafford *et al*, 2012).

Several pieces of evidence are now available that support the idea that DNA methylation is also critically involved in hippocampal memory function in the adult CNS. Levenson *et al*. (2006) demonstrated that inhibitors of DNMT activity reduced DNA methylation of the plasticity-promoting genes *reelin* and *BDNF* (brain-derived neurotrophic factor) in hippocampal slices. Additional studies demonstrated that hippocampal DNMT 3a and 3b expression was upregulated in response to contextual fear conditioning (Miller and Sweatt, 2007). This upregulation is functionally relevant, as blocking hippocampal DNMT activity pharmacologically (Lubin *et al*, 2008; Miller and Sweatt, 2007; Figure 2), or through a forebrain-specific DNMT1 and 3a knockout (Feng *et al*, 2010), impaired contextual fear conditioning. Moreover, contextual fear conditioning is associated with rapid (within 1 h of training) methylation and transcriptional silencing of the memory suppressor gene *protein phosphatase 1* and demethylation and transcriptional activation of the plasticity gene *reelin* (Miller and Sweatt, 2007). These findings suggest that DNA methylation is an important regulator of experience-induced changes in gene expression and behavior. DNA methylation and mRNA expression levels of these genes returned to baseline levels within 24 h of fear conditioning, indicating that the covalent modification of DNA in response to associative learning is both rapid and transient. These findings have the surprising implication that both active DNA methylation and active demethylation might be involved in long-term memory consolidation in the adult CNS.

A recent series of studies found that the brain-derived neurotrophic factor *BDNF* gene locus, which is associated with learning and memory in general and with fear memory in particular (Alonso *et al*, 2005; Bekinschtein *et al*, 2007; Bekinschtein *et al*, 2008a; Bekinschtein *et al*, 2008b), is also subject to memory-associated changes in DNA methylation. Specifically, contextual fear conditioning was associated with decreased methylation and increased expression of *BDNF* exon IV, and this effect was regulated by the NMDA receptor (Lubin *et al*, 2008 and Figure 3). Further, we demonstrated dynamic regulation of DNA methylation at

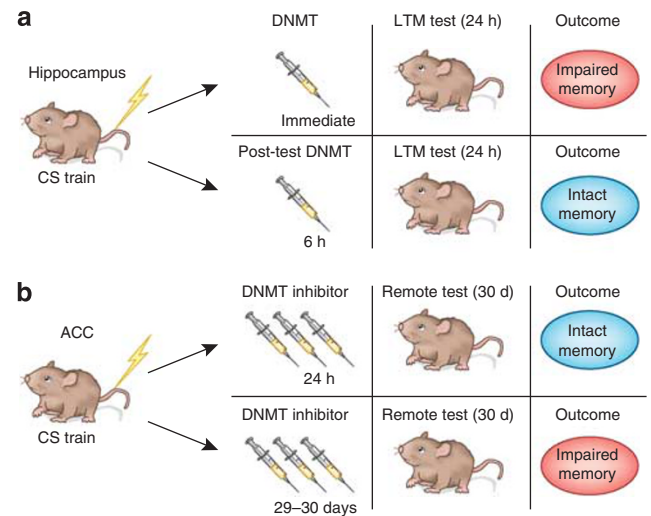


Figure 2. DNMT inhibition blocks long-term memory formation. (a) DNMT inhibitors infused bilaterally into the hippocampus immediately after contextual fear conditioning impaired the consolidation of long-term memory, as assessed by freezing behavior 24 h later. DNMT inhibitors were not effective when given outside of the initial consolidation window, 6 h after training. (b) DNMT inhibitors (three injections, 12 h apart) administered into the anterior cingulate cortex (ACC) 24 h after fear conditioning had no effect on remote memory recall, as assessed by freezing behavior 30 days after training. The same injection protocol administered 29 days after training impaired freezing behavior at 30 days, indicating that DNA methylation in the ACC is critical for memory maintenance, but not for memory formation.

specific CpG islands around the transcription initiation site of *BDNF* exon IV in rats exposed to contextual fear conditioning (Lubin *et al*, 2008). We also found that a change in DNA methylation at specific *BDNF* promoters could affect exon-specific *BDNF* gene mRNA levels in the hippocampus. These results suggest differential epigenetic regulation (ie, DNA methylation) of specific *BDNF* gene promoters in the adult CNS and implicate DNA methylation as a potential mechanism for influencing alternative splicing in response to experience.

Additional work has also begun to investigate the mechanistic interplay between histone acetylation and DNA methylation (Miller and Sweatt, 2007). Specifically, contextual fear conditioning results in increased levels of acetylated histone H3 at specific *BDNF* promoters (Lubin *et al*, 2008) and inhibition of DNMT activity blocked global increases in learning-induced histone acetylation, along with memory consolidation, as previously reported (Levenson *et al*, 2006; Miller and Sweatt, 2007). These deficits, however, were rescued by pharmacologically increasing histone acetylation before DNMT inhibition, indicating that these modifications interact to regulate memory formation. Together, these findings lend strong support to the idea that DNMT activity is not only necessary for the establishment of fear memory, but that DNA methylation and histone modifications work together to regulate memory formation in the adult

a

Hippocampus



Gene of interest	1–2 h post training		24 h post training	
	Methylation	Expression	Methylation	Expression
PP1	↑	↓	=	=
Reelin	↓	↑	=	=

CS train

b

ACC



Gene of interest	1 h post training		24 h post training		30 d post training	
	Methylation	Expression	Methylation	Expression	Methylation	Expression
Calcineurin	=	=	↑	↓	↑	↓
Reelin	↑	↓	↑	↓	=	=

CS train

Figure 3. Alterations in DNA methylation and gene transcription with contextual fear conditioning. (a) Fear conditioning induces rapid and reversible gene-specific changes in DNA methylation and transcription in the hippocampus. (b) Fear conditioning induces delayed and persistent changes in DNA methylation and expression of the calcineurin gene in the medial prefrontal cortex (mPFC). This effect is gene specific, as reelin methylation in the mPFC is rapidly induced and returns to baseline by 30 days.

hippocampus. It is important to note that these findings suggest that memory formation involves both *increased* methylation at memory-suppressor genes and *decreased* methylation at memory-promoting genes. Thus, long-term functional change might be driven by either hypermethylation or hypomethylation.

EPIGENETICS IN AMYGDALA-DEPENDENT FEAR LEARNING

Recent studies of cued fear conditioning (see Box 1) have begun to implicate DNA methylation and histone acetylation in amygdala-dependent learning and memory. Specifically, Glen Schafe's group has shown that cued fear conditioning is associated with increased expression of DNMT3A and acetylated histone H3 in the lateral amygdala (Monsey *et al*, 2011). As in the hippocampus, administration of DNMT inhibitors into the amygdala impaired the formation of a cue-shock association and this effect was rescued by pretreatment with an intra-amygdala injection of an HDAC inhibitor after training (Monsey *et al*, 2011). In a separate set of experiments, the same group showed that DNMT inhibitors administered into the lateral amygdala impaired memory reconsolidation and that this deficit was reversed by pre-treatment with an HDAC inhibitor immediately after recall (Maddox and Schafe, 2011). These findings are in conflict with the typically observed synergistic effect of DNMT and HDAC inhibitors in studies of cancer, which are attributed to the repressive effects of both DNMTs and HDACs on transcription (eg, Arzenani *et al*, 2011). A number of explanations are possible for this discrepancy. First, HDAC inhibitors can induce activation of methylated gene promoters without causing changes in DNA methylation (Zhou *et al*, 2007), indicating that altered DNA methylation may not be the solitary mechanism of memory disruption. Alternatively, although DNA methylation is predominantly associated with transcriptional repression, DNA methylation can also activate transcription through association with the methyl-CpG-binding protein 2

(MeCP2) and CREB (Chahrour *et al*, 2008). These findings suggest that inhibition of DNA methylation may actually reduce the expression of specific genes, which may explain reduced H3 acetylation that Glen Schafe's group observed after DNMT inhibition (Monsey *et al*, 2011). In addition, DNA methylation-independent effects of DNMTs have been noted (Milutinovic *et al*, 2004), as have direct interactions between DNMTs and HDACs (Robertson, 2002). Thus, further studies of gene-specific changes in DNA methylation are required to strengthen the conclusion that memory impairment caused by DNMT inhibitors can be attributed to changes in DNA methylation.

Maddox and Schafe (2011) further demonstrated that DNMT inhibition impaired memory rather than simply enhancing extinction, indicating that DNA methylation is required for the persistence of amygdala-dependent memory for cued fear conditioning. These studies did not examine changes in DNA methylation at specific genes and the duration of epigenetic changes in the amygdala remains to be determined. Specifically, the upregulation of histone acetylation and DNMT expression was evident only at the latest time point tested (90 min), thus it is not clear whether these changes are transient in the amygdala as they are in the hippocampus. This point is of particular relevance in the amygdala because this region has been implicated in the initial memory formation and in the maintenance of remote memories for cued fear. The specific nature of epigenetic marks established after cued fear conditioning, and the potential persistence of these marks at remote time points remains to be determined.

EPIGENETICS IN CORTEX-DEPENDENT MEMORY MAINTENANCE

Although the time course of epigenetic changes in the amygdala is not clear, methylation of plasticity-associated genes in the hippocampus is rapidly induced and reversed to baseline levels within 24 h (Miller and Sweatt, 2007). This transience challenged our initial hypothesis that

self-perpetuation of DNA methylation in the hippocampus would support memory maintenance over prolonged time periods. However, the rapid reversal of epigenetic changes in the hippocampus is consistent with the systems consolidation proposition that recently consolidated memories are downloaded from the hippocampus to the cortex for long-term storage and maintenance (Ding *et al*, 2008; Frankland and Bontempi, 2005; Frankland *et al*, 2004). Based on evidence in support of a temporal and regional shift in memory storage (Frankland and Bontempi, 2005), we reasoned that persistent, self-perpetuating DNA methylation in the cortex, and not in the hippocampus, supports the storage and maintenance of remote memory.

Indeed, Miller *et al* (2010) found that contextual fear conditioning induced a delayed and long-lasting change in methylation of the memory-suppressor gene *calcineurin* in the medial prefrontal cortex (mPFC) that emerged 1 day after fear conditioning and persisted for at least 30 days. Increased DNA methylation was associated with decreased calcineurin expression at 30 days and this effect was reversed by intra-cortical injections of DNMT inhibitors. The persisting change in methylation was gene-specific, in that the learning-induced effect on methylation and expression of the *reelin* gene was evident 1 h after training and gradually returned to baseline levels by 30 days. Moreover, three intra-cortical injections of DNMT inhibitors, starting 29 days after training, blocked the recall of remote memory at 30 days, whereas a similar injection protocol at the recent time point (starting 1 day after fear conditioning) had no effect on memory recall at 30 days. The temporal specificity of DNMT inhibitor effects on remote memory indicates that DNA methylation has temporal- and region-specific effects on memory formation, although the mechanistic basis for these differences is not clear. In contrast to the transient induction in the hippocampus, persistent cortical methylation is more closely aligned with the traditional view of DNA methylation as a long-lasting epigenetic mark. Moreover, these findings suggest that non-dividing neuronal cells have adapted traditional epigenetic processes such that they can be utilized for distinct purposes in brain regions with temporally specific roles in memory formation and maintenance.

Fear extinction is an additional memory process that is largely dependent on the mPFC. Extinction results in the reversal, but not the erasure (see Box 1) of a previously learned association for contextual and cued fear conditioning, through repeated exposure to the conditioning cue in the absence of shock (Quirk *et al*, 2006). Currently, no study has investigated a potential role for DNA methylation in fear extinction. However, a number of studies have shown that extinction learning is associated with increased levels of histone acetylation, and that pharmacological enhancement of histone acetylation with HDAC inhibitors promotes the extinction of conditioned fear (Bredy *et al*, 2007; Lattal *et al*, 2007; Stafford *et al*, 2012). Specifically, extinction training enhanced histone acetylation in the

hippocampus and the mPFC (Bredy *et al*, 2007; Stafford *et al*, 2012) and post-training treatment with an HDAC inhibitor increased *c-fos* expression in the hippocampus and the infralimbic mPFC (Stafford *et al*, 2012). Treatment with HDAC inhibitors systemically, into the hippocampus or into the infralimbic mPFC, enhanced extinction learning for up to 14 days (Itzhak *et al*, 2012; Lattal *et al*, 2007; Stafford *et al*, 2012), whereas HDAC inhibitors administered into the prelimbic cortex were without effect (Stafford *et al*, 2012). These data suggest that histone acetylation has persistent and brain-region specific effects on extinction of fear learning. Interestingly, one study found that blocking histone acetylation through interference with the histone acetyltransferase enzyme p300 in fact enhanced extinction learning (Marek *et al*, 2011). One possible explanation for this paradoxical finding is the observation that p300 co-occurs in a protein complex that contains HDAC 1 (Simone *et al*, 2004), such that interference with p300 may have disrupted the entire complex, including HDAC 1.

Overall, the parallel findings with contextual and cued fear conditioning in the hippocampus, the amygdala, and the cortex suggest that DNA methylation, through interactions with histone acetylation, is an essential and broadly utilized mechanism for all stages of memory formation, including consolidation, reconsolidation, maintenance, and possibly extinction. Importantly, although we limit our discussion to fear learning and memory, these mechanisms have been identified as critical regulators of memory in other learning paradigms that are unrelated to fear (eg, novel object recognition and conditioned place preference; Barros *et al*, 2011; Munoz *et al*, 2010), and in mediating long-lasting changes in gene expression and behavior produced by stress and early-life environment (Meaney and Szyf, 2005a,b; Roth *et al*, 2009). These examples illustrate the pervasive role of DNA methylation in the regulation of gene transcription that supports long-term behavioral change in response to a broad set of experiences.

REGULATION OF DNA DEMETHYLATION

The studies presented thus far challenge the view that DNA methylation is laid down early in life and remains unchanged thereafter, and suggest that DNA methylation can be actively removed in the process of memory consolidation (Lubin *et al*, 2008; Lubin and Sweatt, 2007; Miller *et al*, 2008; Miller and Sweatt, 2007; Roth *et al*, 2009; Roth *et al*, 2011). The idea of the occurrence of *active* DNA demethylation has been contentious. Traditional epigenetic mechanisms and studies have posited only passive DNA demethylation as a result of cell division and failure to replicate DNA methylation marks. Active demethylation through direct chemical removal of methyl groups on cytosines (or methylcytosines themselves) has been proposed by several groups, including those of Szyf and

Meaney (Meaney and Szyf, 2005a, b; Weaver *et al*, 2005) and Sweatt and co-workers (Day and Sweatt, 2010; Miller and Sweatt, 2007), but this concept has been controversial (Ooi and Bestor, 2008).

However, in addition to our experiments, other recent publications (Kangaspeska *et al*, 2008; Metivier *et al*, 2008) have demonstrated rapid DNA demethylation and re-methylation, referred to as ‘cycling’ of 5-methyl-cytosine (5mC) in cultured cells and skeletal muscle. This demethylation occurs too rapidly to be explained by passive demethylation through cell division and must therefore be due to an active demethylation process. These investigators have also proposed a specific demethylation mechanism: cytosine (C) to thymine (T) conversion of 5mC, followed by base-excision repair of the resulting nucleotide mismatch. Most recently, exciting work from Song and colleagues supported this idea (Engel *et al*, 2009; Gehring *et al*, 2009; Ma *et al*, 2009a; Ma *et al*, 2009b; Niehrs and Schafer, 2012; Schmitz *et al*, 2009; Wu and Sun, 2009). These investigators have demonstrated that DNA repair mechanisms are used to demethylate DNA in non-dividing neurons, specifically through base-excision repair mechanisms controlled by the growth and DNA damage 45 (GADD45-beta) regulatory system. This finding demonstrates that demethylation can occur independently of DNA replication, and in a terminally differentiated neuron. Moreover, two new studies (Kriaucionis and Heintz, 2009; Tahiliani *et al*, 2009) have shown that a novel DNA base, hydroxymethyl-cytosine (5hmC), may uniquely occur in the CNS and serve as a precursor nucleoside for active demethylation through base-excision repair. The conversion of 5mC to 5hmC is catalyzed by TET (ten-eleven-translocation) enzymes, which promote activity-induced demethylation in the mouse dentate gyrus (Guo *et al*, 2011a; Guo *et al*, 2011b). In addition, work from Pene de Ortiz’s lab indicates that the disruption of DNA recombination and repair processes in the brain interferes with contextual fear conditioning and conditioned taste aversion (Colon-Cesario *et al*, 2006; Saavedra-Rodriguez *et al*, 2009). Thus, there is now a substantial body of evidence supporting the idea that active DNA demethylation can occur in non-dividing neurons, findings which make viable the idea that active control of DNA methylation may have a role in activity-dependent processes in the CNS. Thus, it is intriguing to consider the idea of a role for acute regulation of DNA methylation and demethylation in learned fear responses by testing the capacity of DNA methylation to control experience-dependent cortical, hippocampal, and amygdalar gene transcription.

EPIGENETIC MECHANISMS IN PTSD

PTSD is a debilitating anxiety disorder that develops in a subset of people who experience psychological trauma (Yehuda and LeDoux, 2007). PTSD patients are commonly plagued by recurrent frightening thoughts and memories of

the aversive experience and suffer from a host of persistent physiological and behavioral sequelae that include altered sympathetic and hypothalamic-pituitary-adrenal (HPA) axis responsivity, chronic anxiety, exaggerated startle response, and cognitive dysfunction (Johnsen and Asbjornsen, 2008; Mittal *et al*, 2001; Moore, 2009; Nemeroff *et al*, 2006; Yehuda and LeDoux, 2007). Recent studies have implicated epigenetic mechanisms in the psychopathology of PTSD. For example, Kerry Ressler’s lab has shown that PTSD patients manifest altered DNA methylation in peripheral blood immune cells and identified several immune system-relevant genes that exhibit persisting epigenetic modification (Smith *et al*, 2011).

The presence of individual differences in the risk for developing PTSD points to at least two interacting factors that determine outcomes associated with adult trauma. The first involves the presence of a pre-existing source of vulnerability, particularly of negative early-life experiences, that ultimately shape the way in which individuals respond to, and cope with, stress and trauma later in life (Perkonig *et al*, 2000; Yehuda and Bierer, 2009). The second involves exacerbated negative adaptations in response to the traumatic event that is the proximal cause of PTSD psychopathology (Yehuda and Bierer, 2009). Implicit in these factors is the idea that some biological mechanism must bridge a salient experience (ie, early-life environment or adult trauma) with a persistent behavioral and physiological outcome, particularly with symptoms that involve pathological fear, anxiety, and cognitive impairment. Epigenetic modifications offer an attractive mechanism for explaining the persistent nature of outcomes associated with environmental events that occur during development and in the mature CNS. This idea is supported by a growing literature pointing to an epigenetic bridge between early environment, which in rodents is shaped largely by maternal behavior during the first week of life, and altered reactivity to stress-inducing stimuli in adult rodents (Meaney and Szyf, 2005a, b). Moreover, a role for epigenetic mechanisms in the consolidation and the persistence of traumatic memories experienced in adulthood is supported by evidence for epigenetic regulation of memory in cued and contextual fear conditioning, which are often used as animal models of PTSD (see Box 2). Evidence for a role of epigenetic mechanisms in each of these processes is discussed below.

Work from Michael Meaney’s lab has provided evidence demonstrating a role for epigenetic mechanisms in regulating the effects of early environment on adult outcomes through altered expression of stress-related genes. This work has received attention among PTSD researchers because of the parallel findings in humans, which link hypothalamic-pituitary-adrenal axis dysregulation and childhood maltreatment with predisposition to PTSD (Binder *et al*, 2008; Shea *et al*, 2005; Yehuda and LeDoux, 2007). Specifically, Meaney’s lab has shown that natural variations in maternal licking and grooming alter DNA methylation and the expression of the glucocorticoid

Box 2 Animal models of post-traumatic stress disorder (PTSD)

Development of appropriate animal models is critical for studying the cellular, molecular, and behavioral mechanisms that underlie the formation and persistence of PTSD psychopathology. A useful animal model of PTSD must meet a number of criteria that relate to its validity for studying the human disorder (Van der Staay *et al.*, 2009). Specifically, features relating to the induction and the symptoms of PTSD must be similar in humans and in the animal model; the animal model must lead to testable predictions regarding the mechanisms and etiology of PTSD in humans; and finally, the modeled symptoms must share similar neural mechanisms in humans and in rodents to allow for the development and testing of therapeutic interventions. A main advantage of animal models is the experimental and temporal control required for studying the mechanisms associated with progression of the disorder in humans. For example, neural changes immediately after the traumatic event provide insights into the brain regions, processes, and mechanisms through which the traumatic event is consolidated, whereas changes at later time points provide insights into the processes involved in the expression and the maintenance of persistent fear. Finally, altered responses to novel challenges and stressors in rodents with different history of trauma exposure allow for investigation of the broader symptoms of PTSD that transcend the initial traumatic event.

Pavlovian fear conditioning models of PTSD. A number of animal models have been developed to mimic the traumatic events that induce the symptoms of intense and recurrent fear characteristic of patients with PTSD. One approach has used Pavlovian fear conditioning, in which rodents are trained to associate shock delivery with either a cue or a context and memory for the association is later tested by measuring freezing behavior upon re-exposure to the conditioned cue in the absence of shock (see Box 1). Fear conditioning has a number of advantages as a model of PTSD. First, the rodents are exposed to an obvious traumatic event (shock) and memory for the traumatic event persists for prolonged periods of time. Second, the recurrent memory of the traumatic event in PTSD can be modeled by re-exposure to the cue or the context associated with shock delivery, without the need for re-exposure to the traumatic stimulus itself. Finally, the brain regions involved in fear conditioning, particularly the amygdala, hippocampus, and the prefrontal cortex, have also been implicated in the psychopathology of PTSD. Thus, studies of fear conditioning can begin to define the essential genes, cells, and circuits that contribute to normal and pathological fear. Finally, the straight forward read out of fear memory (ie, freezing behavior) allows for the establishment of easily testable hypotheses regarding the manipulations that enhance, diminish, or eliminate the pathological fear memory. For example, the severity of the traumatic event can be easily modified by altering the number and the intensity of shock delivery, which can be used to evaluate the relationship between trauma severity and the strength and persistence of the fear memory, as well as the efficacy of treatments for difficult-to-treat cases. Progress in understanding the neurobiology of Pavlovian fear conditioning has enhanced our understanding of disorders such as PTSD and has provided an *in vivo* model for investigating new drug-based treatment strategies.

Predator-exposure models of PTSD. A second commonly used category of animal models of PTSD includes exposing rodents to a predator with various degrees of contact, including direct exposure to a cat attack, exposure to a cat without physical contact, or exposure to a cat odor. Models in this category typically use one or two predator exposures to mimic the initial traumatic event. The occurrence of PTSD symptoms in response to the traumatic event is typically evaluated after a delayed, stress-free period to mimic the long-lasting nature of PTSD psychopathology in humans. PTSD symptoms are frequently modeled with the use of behavioral tests that correspond to a specific feature of PTSD. For example, the symptoms of behavioral avoidance and anxiety in humans can be modeled by using the elevated plus maze (EPM), which measures the extent to which rodents avoid the two anxiogenic open arms compared with the two anxiolytic closed arms. In addition, the hyper-arousal observed in PTSD patients can be modeled by comparing the amplitude and habituation of startle produced in response to a loud noise in rodents with history of exposure to trauma compared with controls. In addition, numerous tests of learning and memory can be used to model the cognitive deficits, which are often observed in patients who suffer from PTSD.

One well-validated variation of the predator-exposure model, developed by Zoladz *et al.* (2008) includes a combination of predator exposure and social instability. In this model, the rodents are immobilized during a cat exposure to maximize the expression of an intense fear response and the helplessness that is characteristic of the traumatic event that initiates PTSD symptoms in humans. A second exposure in the opposite phase of the light cycle occurs 10 days later to mimic the unpredictability of the trauma and the recurrent flash-backs of the traumatic event observed in PTSD patients. Finally, the lack of social support and the constant levels of chronic mild stress are achieved through social instability that involves pairing each rodent with a novel cage partner daily for 31 days. The addition of social instability increases the likelihood that the traumatic event will indeed produce symptoms of PTSD in rodents. This paradigm reliably induces growth impairment, increased adrenal weight, heightened anxiety, exaggerated startle response, memory deficits, increased arousal, and dysregulation of the HPA axis.

Another notable variation of the predator-exposure model of PTSD, developed by Cohen and Zohar (2004), takes into consideration the vast individual differences in susceptibility to PTSD in response to trauma. In this model, rodents with a history of predator exposure are classified as exhibiting adaptive or maladaptive responses according to a specific set of cutoff criteria, based on behavior on the EPM and the startle response. Using these outcome criteria, Cohen and Zohar identified only 22% of the exposed rats as meeting PTSD-like physiological and behavioral symptoms. Rats that met the PTSD criteria also exhibited greater dysregulation of the HPA axis and increased activation of the sympathetic nervous system. This model illustrates the importance of taking individual differences into consideration and emphasizes the need to understand the distinct cellular and molecular processes that distinguish individuals who are susceptible and resilient to PTSD. This model does not consider the source of individual differences in susceptibility to PTSD, but clinical evidence suggests that exposure to negative experience in early life alone, as well as interactions between early environment and specific genes, place some individuals at greater risk compared with others. Consideration of the lasting ways in which development is altered by early environment will be an important component of a complete model of PTSD etiology and treatment.

receptor gene, and produce altered patterns of hypothalamic-pituitary-adrenal axis reactivity, anxiety, and cognitive function in adulthood (Francis and Meaney, 1999; Liu *et al.*, 2000; Meaney and Szyf, 2005a; Weaver *et al.*, 2002a; Weaver *et al.*, 2002b; Zaharia *et al.*, 1996). These studies implicate epigenetic mechanisms in providing a critical link between early-life environment and sensitivity to stressors in later life, which is critical for developing a complete model of PTSD that integrates pre-existing risk factors with an exaggerated response to trauma in adulthood.

ROLE OF *BDNF* IN PTSD

Much of the current work on mechanisms of PTSD is shifting toward identifying candidate genes that can explain the basis for individual differences in susceptibility to PTSD, for the initial formation of an intense fear memory in response to trauma, and finally, for the maintenance of that memory over a prolonged period of time. *BDNF* is quickly emerging as a gene that meets all of required criteria. *BDNF* gene product is altered by early-life experiences though epigenetic changes (Roth *et al.*, 2009), is regulated by

proximal fear-inducing stimuli in various paradigms of aversive learning (Lubin *et al*, 2008; Ou and Gean, 2007), and altered levels of the gene product are evident long after the fear-inducing stimulus has passed (Bredy *et al*, 2007; Takei *et al*, 2011). Each of these points are discussed in greater detail below.

A recent study from the Sweatt lab has found evidence for long-lasting epigenetic alterations of the *BDNF* gene in response to early maternal maltreatment, which may predispose individuals to later risk for PTSD. Specifically, Roth *et al*. (2009) found that exposure to an abusive dam in the first 7 days of life resulted in increased methylation and decreased expression of *BDNF* exon IV in the prefrontal cortex of adult offspring. This effect was partly reversed by cross-fostering and fully reversed by the administration of DNMT inhibitors in adulthood (Roth *et al*, 2009). Given the established role for *BDNF* in fear learning and cognitive function in general (Alonso *et al*, 2005; Bekinschtein *et al*, 2008a; Bekinschtein *et al*, 2008b; Lubin *et al*, 2008), these results indicate that early-life experiences may increase the susceptibility to PTSD at least in part through epigenetic regulation of the *BDNF* gene, but additional studies are required to test this hypothesis directly. Overall, these findings, as well as those from Michael Meaney's lab, are consistent with the emerging unifying hypothesis that the accumulation of aberrant epigenetic marks over the lifespan may be a driver of PTSD-related cellular, cognitive, and physiologic changes.

In addition to potentially linking early-life experience to later risk for PTSD, there is now evidence to suggest that epigenetic mechanisms also have a role in the establishment and persistence of PTSD symptoms produced by proximal traumatic triggers in adulthood. As discussed earlier, epigenetic regulation of the *BDNF* gene has been implicated in the establishment of contextual fear memories in the hippocampus (Lubin *et al*, 2008) and changes in *BDNF* transcript levels have been described in the amygdala during consolidation of cued fear memory (Ou and Gean, 2007). Altered histone acetylation at specific loci on the *BDNF* gene has also been noted with stress-based models of PTSD (Bredy *et al*, 2007; Takei *et al*, 2011; see Box 2), indicating that epigenetic regulation of *BDNF* expression occurs in response to a range of aversive stimuli. Studies of predator-stress based models of PTSD have also found that *BDNF* expression is regulated by exposure to traumatic stimuli long after the fear-inducing stimulus has passed (Kozlovsky *et al*, 2007; Ou and Gean, 2007), indicating that *BDNF* regulation may be important for the persistence of pathological fear. Indeed, extinction of conditioned fear involves exon-specific regulation of *BDNF* gene expression in the prefrontal cortex (Bredy *et al*, 2007) and deletion of the *BDNF* gene specifically in the hippocampus impaired fear learning and impaired the extinction of conditioned fear (Heldt *et al*, 2007), implicating *BDNF* as a potential target for regulating the erasure of maladaptive fear responses.

Given the evidence for altered *BDNF* expression in animal models of PTSD, a recent study from the Sweatt and

Diamond labs evaluated the idea that DNA methylation of the *BDNF* gene might contribute to learned fear, using an established model of exceptional stress (the rodent-cat exposure model; see Box 2) and PTSD (Zoladz *et al*, 2008). Adult male Sprague-Dawley rats were given psychosocial stress composed of two acute cat exposures in conjunction with 31 days of daily social instability. In the earlier studies, the Diamond group found these manipulations to produce physiological and behavioral sequelae in rats that are comparable with symptoms observed in traumatized people with PTSD (Zoladz *et al*, 2008). The cat exposure combined with the psychosocial stress regimen significantly increased methylation of the *BDNF* gene in the dorsal hippocampus, with the most robust hypermethylation detected in the dorsal CA1 subregion (Roth *et al*, 2011). Conversely, the psychosocial stress regimen significantly decreased methylation in the ventral hippocampus (CA3). In addition, there were decreased levels of *BDNF* mRNA in both the dorsal and ventral CA1. These results provide evidence that traumatic stress occurring in adulthood can induce CNS gene methylation, and specifically, support the hypothesis that epigenetic marking of the *BDNF* gene may underlie hippocampal dysfunction produced by exposure to traumatic events. A recent study has identified another candidate gene, encoding the post-synaptic density-protein disks large-associated protein (*Dlgap2*), which may be involved in PTSD psychopathology. *Dlgap2* mRNA expression was associated with behavioral expression of fear in a predator-stress model of PTSD and gene expression was associated with altered DNA methylation in the hippocampus (Chertkow-Deutsher *et al*, 2010). Overall, this work provides support for the speculative notion that altered hippocampal DNA methylation is a cellular mechanism underlying the persistent cognitive deficits that are the prominent features of the pathophysiology of PTSD.

ROLE OF THE AMYGDALA IN PERSISTING PTSD SYMPTOMS

The biological basis for the development of PTSD is not yet known, but studies of Pavlovian fear conditioning suggest that persistent traumatic memories are likely established through multiple phases that involve a transition from recent to remote memories. Although PTSD has been associated with molecular changes in the hippocampus and the prefrontal cortex, the persistent symptoms of PTSD are most closely associated with alterations in the amygdala (Johnson *et al*, 2012), a region that has been implicated in the storage of remote memories for cued fear (Dudai, 2004; Gale *et al*, 2004; Medina *et al*, 2008). The transition of memories to a stable form is important for the persistence of PTSD and it is thus critical to understand the molecular mechanisms that underlie such memory stability in order to identify potential targets for pharmacological treatment. A variety of recent studies have used fear conditioning to explore the hypothesis that changes in the amygdala

support long-lasting memories (Debiec *et al*, 2011; Gale *et al*, 2004; Monsey *et al*, 2011). These findings imply that a tangible persisting molecular mark in the amygdala must underlie the preservation of remote fear memory. There is now evidence for epigenetic changes in the amygdala during consolidation and reconsolidation of cued fear (Maddox and Schafe, 2011; Monsey *et al*, 2011), but no studies have examined the maintenance phase of cued fear memory. The potential involvement of DNA methylation in the maintenance of remote memory in the amygdala is an important directive for future research.

The advent of sophisticated molecular, genetic, and cellular techniques has lent itself to a relatively deep understanding of how memories are initially formed. By stark contrast, however, is our limited understanding of how these same memories are maintained by the brain (Dudai, 2004; Medina *et al*, 2008; Sacktor, 2008). While epigenetic blockade can lead to changes in fear learning, future studies need to focus on the mechanisms through which already learned fear responses are stored in order to understand the basis for the persistent fear observed in PTSD. We need to shift toward an understanding of the mechanisms involved in maintaining the pathological fear memory over time.

CANDIDATE GENES IN AMYGDALA-BASED FEAR LEARNING AND PTSD

Most of the available evidence linking epigenetic regulation of specific genes to the establishment and persistence of fear memories has come from studies of contextual fear conditioning-related changes in the hippocampus and the prefrontal cortex (eg, Levenson *et al*, 2004b; Lubin *et al*, 2008; Miller *et al*, 2008; Miller *et al*, 2010; Miller and Sweatt, 2007). Although recent pharmacologically based evidence implicates DNA methylation in the amygdala in the consolidation and reconsolidation of cued fear (Maddox and Schafe, 2011; Monsey *et al*, 2011), epigenetic regulation at specific genes in this region is not clear. An advantage of established fear conditioning paradigms is that many of the genes that are critically involved in the establishment and maintenance of fear memories have been identified, which allows for the investigation of epigenetic regulation of relevant candidate genes. The candidate genes need to meet specific criteria. First, the products of selected genes must be altered during memory formation. Second, the transcription of selected genes should be altered in specific fear memory paradigms, such as contextual fear conditioning, in part through epigenetic mechanisms. Using contextual fear conditioning, we have identified a critical role for epigenetic regulation of genes encoding *reelin*, *BDNF*, *calcineurin*, and *protein phosphatase 1* in driving memory consolidation and maintenance in the hippocampus and the prefrontal cortex. A report of altered expression of the *BDNF* gene in the amygdala in response to fear learning (Ou and Gean, 2007) indicates that similar genes may be modified in the

amygdala in response to fear conditioning. Moreover, we already provided evidence for epigenetic regulation of the *BDNF* gene in the prefrontal cortex in response to maternal maltreatment (Roth *et al*, 2009) and in the hippocampus of adult rodents using a predator-stress model of PTSD (Roth *et al*, 2011), suggesting that *BDNF* may be especially relevant for understanding the development of pathological fear. It is also worthwhile to examine potential changes in DNA methylation of DNMT1, DNMT3A, and DNMT3B genes themselves. Epigenetic changes in DNMT genes would provide important insights because an epigenetic alteration at any of the DNMT genes might itself be a mechanism for driving further alterations in DNA methylation in conditioned fear and PTSD. Existing studies in the amygdala have shown that DNA methylation is required for consolidation and reconsolidation of cued fear conditioning, but no study thus far has examined changes in DNA methylation at specific genes.

EPIGENETIC REGULATION OF SYNAPTIC PLASTICITY IN PTSD

PTSD has been associated with persisting changes in the amygdala's capacity for long-term potentiation (LTP) (Koshibu *et al*, 2011; Paul *et al*, 2007; Post *et al*, 1998), and LTP itself serves as a mediator of learned fear (Rogan *et al*, 1997). DNMT inhibitors disrupt hippocampal LTP (Levenson *et al*, 2006; Monsey *et al*, 2011), and recent studies confirmed the ability of DNMT inhibitors to affect synaptic function in the lateral amygdala (Monsey *et al*, 2011). In addition, these studies demonstrated that DNMT-inhibitor induced deficits in LTP can be reversed by pretreatment with HDAC inhibitors. The reversal of LTP deficits with HDAC inhibitors indicates that a complete understanding of epigenetic mechanisms of PTSD would involve studies of the cross talk between DNA methylation and histone acetylation.

CLINICAL IMPLICATIONS: MANIPULATING THE EPIGENOME TO IMPROVE FUNCTIONAL RECOVERY

Understanding the role of epigenetic molecular mechanisms in triggering and maintaining persisting behavioral change will have broad relevance in neuroscience and biomedicine. Although behavioral therapy can help alleviate the symptoms of PTSD, no truly effective drug-based adjunct therapy is currently available. We propose that the epigenetic mechanisms that are involved in rodent models of normal fear learning and memory also extend to the development of persistent and pathological fear characteristic of PTSD and that an understanding of these mechanisms will guide new routes of drug development for PTSD. Such an understanding will ultimately lead to the development of new pharmacological agents that will allow for the treatment of PTSD-associated cognitive dysfunction, facilitate

therapeutic re-learning, and enhance extinction of conditioned and contextual fear.

EPIGENETIC TREATMENT IN THE CNS

Epigenetically based therapies investigated thus far have shown promise for the treatment of various CNS and peripheral disorders, primarily through manipulations of histone acetylation and DNA methylation (eg, Langley *et al*, 2005). Histone acetylation is catalyzed by histone acetyltransferase enzymes, including the CREB-binding protein, whereas deacetylation is catalyzed by HDAC enzymes (Alarcon *et al*, 2004; Levenson *et al*, 2004a; Lubin *et al*, 2005; Roberson *et al*, 1999; Shalin *et al*, 2006; Vecsey *et al*, 2007). In an important breakthrough in the past few years, several groups discovered that HDAC inhibitors enhance LTP *in vitro* and augment memory formation *in vivo* (eg, Alarcon *et al*, 2004; Chwang *et al*, 2007; Chwang *et al*, 2006; Fischer *et al*, 2007; Korzus *et al*, 2004; Vecsey *et al*, 2007), thereby implicating these agents as potential therapeutic targets for CNS disorders. HDACs are recruited to methylated gene promoters by binding to MeCP2, which results in the reversal of histone acetylation and the repression of gene transcription (Jones *et al*, 1998). MeCP2 has also been associated with transcriptional activation and histone acetylation through association with CREB and CREB-binding protein (Chahrour *et al*, 2008). These complexes are the primary targets of epigenetic therapies.

The broad utility of epigenetically based interventions represents the greatest strength and challenge for the development of effective and targeted therapies. That is, the broad treatment potential also poses a risk for producing effects on unintended genetic and cellular targets, as well as non-specific effects on cognitive function (Hirsch and Bonham, 2004; Sandor *et al*, 2000; Vrana *et al*, 1999). These concerns emphasize the importance of improving the specificity of epigenetically-based drugs. One way to achieve greater specificity is to develop drugs that target distinct HDAC or DNMT isoforms. There are four classes of HDACs, each containing 18 isoforms. Class I consists of HDACs 1–3, and 8; Class II consists of HDACs 4–7, 9, and 10; Class III consists of sirtuins (Sirt) 1–7; and class IV consists of HDAC 11 (Narayan and Dragunow, 2010). Specificity for sirtuins can be easily attained because of reliance on the cofactor nicotinamide adenine dinucleotide (NAD⁺) for their activity, whereas all other classes exhibit zinc (Zn²⁺) containing active sites (Narayan and Dragunow, 2010). For this reason, most HDAC inhibitors do not target sirtuins (Michan and Sinclair, 2007). However, Sirt1 and Sirt2 selective inhibitors have been identified, including Sirt1 selective inhibitors EX-S27, HR73, 2-Anilincbenzamide, and suramin derivatives; Sirt2 selective inhibitors AGK2 and adenosine mimetics; as well as the Sirt1 and 2 inhibitor cambinol (Alcain and Villalba, 2009a; Itoh *et al*, 2008). In addition to inhibitors, resveratrol has been identified as a Sirt1 activator (Alcain and Villalba, 2009b; Shakibaei *et al*, 2011; Villalba *et al*, 2012), and the development of addi-

tional activators is in progress (Villalba *et al*, 2012). The most commonly studied pan-HDAC inhibitors target class I and II HDACs and include trichostatin A, panobinostat, sodium butyrate, and suberoylanilide hydroxamic acid (SAHA; Varinostat) (Khan *et al*, 2008), although there is ongoing development of class- and isoform-specific inhibitors. For example, some identified selective inhibitors include the HDAC 1 inhibitors SB429201, bis pyridinium dine, and MS-275; HDAC 1 and 2 inhibitors MGCD0103 and biaryl benzamides; HDAC 6 inhibitors tubacin and thiolate; the HDAC 8 inhibitor SB379278A; Class I selective inhibitors R304634 and valproic acid; and Class II selective inhibitors (aryloxopropenyl) pyrrolyl hydroxamides (Itoh *et al*, 2008; Khan *et al*, 2008).

Similar issues face DNMT inhibitors, as most current inhibitors, including 5-AZA, Zebularine, and RG-108 are not isoform specific, although there is a push for the development of specific DNMT inhibitors (Milutinovic *et al*, 2004). Some effort has been made to identify DNMT-inhibiting properties of known compounds, which has led to the identification of procainamide as a DNMT1 specific inhibitor (Lee *et al*, 2005). The progress in epigenetic drug development is occurring rapidly, and it must be met by an improved understanding of the role that specific isoforms play in different CNS conditions.

There is growing evidence that distinct HDAC isoforms are associated with specific cognitive functions and disorders. For example, a protective role of Sirt1 and its activator, resveratrol, has been identified in cell and animal models of Alzheimer's disease (AD) (Kim *et al*, 2007) and mice lacking Sirt1 exhibit impaired fear conditioning and LTP in the hippocampus (Gao *et al*, 2010). Moreover, inhibition of Class I HDACs has been associated with the reversal of AD-associated memory deficits (Kilgore *et al*, 2010) and inhibition of HDAC 2 in particular reversed cognitive, structural, and transcriptional deficits in a mouse model of AD (Graff *et al*, 2012). In addition, studies in rats have shown that hippocampal DNMT 3a and 3b were selectively upregulated in response to contextual fear conditioning, whereas DNMT1 levels did not change (Miller and Sweatt, 2007). By contrast, only DNMT 3a was upregulated in response to cued fear conditioning in the amygdala (Monsey *et al*, 2011), indicating that isoform-specific targeting of DNMTs may be useful in manipulating different types of learned fear. A growing understanding of isoform-specific involvement of HDAC and DNMT inhibitors in distinct behavioral outcomes will facilitate the development of more specific therapies.

One promising approach for improving treatment specificity for a particular cognitive function or disorder may be to utilize distinct drug cocktails in combination with specific behavioral therapies. For example, the ability of HDAC inhibitors to enhance object recognition memory is dependent on upstream signaling that includes glucocorticoid receptor-induced activation of PKA (Roosendaal *et al*, 2010). That is, the ability of HDAC inhibitors to improve memory only in the presence of glucocorticoid receptor

activation indicates that histone acetylation must co-occur with a stimulus-specific signaling cascade, which implicates drug co-administration as a potential tool for improving the treatment specificity of HDAC inhibitors. This finding fits with evidence that HDAC inhibition induces specific effects on memory, in that HDAC inhibitors were only effective at influencing memory when administered close in time to the learning or the recall event (eg, Maddox and Schafe, 2011; Monsey *et al*, 2011). Further efforts to improve target specificity must also take advantage of the growing evidence that DNA methylation is regulated by transcription-factor binding to specific sites on DNA (Brenner *et al*, 2005), which can be exploited for the development of gene-specific drug targets.

Efforts have also been directed toward developing drugs that can easily cross the blood–brain barrier and that exhibit good biological activity and oral bioavailability for use in humans. Butyrates in particular readily cross the blood–brain barrier (Egorin *et al*, 1999; Narayan and Dragunow, 2010) and SAHA has been shown to have positive effects on neuronal atrophy and rotarod performance when administered through drinking water in mice (Ferrante *et al*, 2003; Hockly *et al*, 2003). The efficacy of existing drugs in the treatment of CNS disorders is evident from studies of Huntington’s disease, Rett syndrome, and AD (Fischer *et al*, 2007; Kilgore *et al*, 2010; Langley *et al*, 2005; Vecsler *et al*, 2010). Huntington’s disease is associated with inhibition of the histone acetyltransferase CREB-binding protein, reduced histone acetylation, and cell death (Langley *et al*, 2005). Cell culture (Steffan *et al*, 2001), mouse (Ferrante *et al*, 2003; Hockly *et al*, 2003), and drosophila (Steffan *et al*, 2001) models of Huntington’s disease have shown that treatment with HDAC inhibitors TSA, SAHA, or sodium butyrate can increase histone acetylation and promote neuronal survival. In Rett syndrome, reduced MeCP2 expression is associated with impaired transcriptional repression and behavioral deficits that include impaired fear conditioning and elevated anxiety (Adachi *et al*, 2009). Interestingly, knockdown of MeCP2 in human neuroblastoma (SK-NSH) cells resulted in reduced histone acetylation and reduced expression of *BDNF* and HDACs 2, 5, and 8 (Vecsler *et al*, 2010). Application of the HDAC inhibitor velproic acid reversed MeCP2 downregulation, as well as the impairment in *BDNF* expression (Vecsler *et al*, 2010). The restorative effect of HDAC inhibitors on MeCP2 was specific to the cells with impaired MeCP2 expression, indicating that the baseline levels of MeCP2 may allow for some degree of cell specificity in response to broad HDAC treatment.

In addition, targeted HDAC 2 inhibition (Graff *et al*, 2012), systemic inhibition of Class I HDACs (Kilgore *et al*, 2010), and upregulation of Sirt1 (Gao *et al*, 2010), improved cognitive deficits in mouse models of AD. Studies in humans have also reported improved cognitive and psychiatric symptoms in AD patients by partially reversing DNA hypomethylation through supplementation with methylation-promoting agents (eg, folate, SAM, and vitamin

B6) (Narayan and Dragunow, 2010). Overall, these studies suggest that epigenetically based therapies hold promise for the treatment of various CNS disorders and the development of appropriate behavioral, epigenetic, and neural targets is needed to facilitate the development of epigenetic treatments in PTSD.

EPIGENETIC TARGETS IN PTSD

Studies of neural circuits and molecular mechanisms that underlie fear formation, maintenance, expression, and extinction are critical for understanding how these processes are deregulated in PTSD and for identifying ‘drugable’ treatment targets for intervention. Based on their roles in fear conditioning, we suggest that HDAC inhibitors would be useful for facilitating extinction of conditioned fear, whereas DNMT inhibitors can be used to erase a conditioned fear response. There are three basic processes through which HDAC inhibitors or manipulations of the epigenome might prove therapeutically useful in PTSD treatment: (1) through the erasure of fear memory; (2) by promoting extinction of the traumatic memory; and finally, (3) by facilitating learning of a modified response to an earlier fear stimulus. Preclinical proof-of-principle studies are necessary to evaluate the efficacy of HDAC and DNMT inhibitors on fear responses in conditioned animals.

Memory Erasure

Strategies aimed at erasing a previously formed fear memory must focus primarily on targeting memories during the reconsolidation phase. Memories for fear-associated cues and context are reconsolidated in the amygdala and in the hippocampus, respectively (Lee, 2010; Maddox and Schafe, 2011). A recalled memory becomes highly labile during reconsolidation, when it is subject to qualitative modification in response to new information, or to quantitative modification that can weaken or strengthen the memory trace (McKenzie and Eichenbaum, 2011). An advantage of interventions aimed at reconsolidation is that the outcome tends to be specific to the recalled memory and does not affect memories outside of the reconsolidation window (Maddox and Schafe, 2011). Recent evidence has identified DNA methylation and histone acetylation as critical regulators of amygdala- (Maddox and Schafe, 2011) and hippocampus- (Lubin and Sweatt, 2007) dependent reconsolidation, implicating these modifications as promising targets for pharmacological intervention. The reconsolidation process is particularly relevant for PTSD, in which persistent and recurring recall of the traumatic event may strengthen the traumatic memory over time, while also providing an opportunity for recall-based pharmacological intervention. Indeed, evidence from studies of rodents indicates that enhanced noradrenergic signaling, such as that observed in PTSD patients (Geraciotti *et al*, 2001), is associated with enhancement of fear memory during reconsolidation and a

subsequent increase in resistance to fear extinction (Debiec *et al*, 2011).

Most available preclinical studies have focused on identifying strategies that modify the strength of recalled memories through manipulations of cellular processes in the amygdala (for cued memory) and the hippocampus (for contextual memory). However, a caveat to available studies of epigenetic involvement in reconsolidation is that the amygdala and the hippocampus have been identified as the sites of reconsolidation at time points shortly after the initial learning event. Thus, it is not clear how the role of these brain regions might change as memories transition from recent to remote over time, particularly because the hippocampus is thought to have a particularly transient role in the formation of recent memories (Frankland and Bontempi, 2005; Kim and Jung, 2006). The issue of memory redistribution through systems consolidation is particularly relevant to PTSD, given that negative outcomes are associated with the persistence of previously established traumatic memories. Thus, suitable interventions must target the neural systems involved in maintenance of memories over prolonged periods of time. We have found that DNA methylation in the anterior cingulate cortex is critical for the maintenance of remote fear memory and that blocking DNA methylation impaired memory recall (Miller *et al*, 2010). These results suggest that epigenetic interventions targeted at the anterior cingulate cortex may be beneficial for the erasure of persistent fear memories. Moreover, the excessive fear response in PTSD in humans is associated with impaired ability of the mPFC to regulate and dampen the amygdala-driven fear response (Etkin and Wager, 2007). Chronic stress is associated with decreased dendritic complexity in the mPFC and increased complexity in the amygdala in rodents (Radley *et al*, 2004; Vyas *et al*, 2002), indicating that treatments aimed at the mPFC may facilitate the learning of a modified response to the fearful stimulus.

Enhanced Extinction

Fear extinction can also be used as a model for behavioral/experiential clinical treatment for abnormal fear responsiveness. Fear extinction is the decrease in conditioned fear responses that normally occurs when a conditioned stimulus is repeatedly presented in the absence of the aversive unconditioned stimulus. Extinction does not erase the initial conditioned–unconditioned stimulus association, but is thought to form a new memory. After extinction training, extinction memory competes with the conditioned memory for control of fear expression and deficits in fear extinction are thought to contribute to PTSD (Bremner, 2002; Debiec *et al*, 2011; Rauch *et al*, 2006; Ursano *et al*, 2009; Yehuda and LeDoux, 2007). The extinction deficit in PTSD may be associated with the enhanced strength of the traumatic memory that increases its resistance to extinction (Debiec *et al*, 2011) and with a functional deficit in the mPFC (Bremner, 2002; Debiec *et al*, 2011; Rauch *et al*, 2006), a region that is strongly implicated in extinction

learning and memory (Quirk *et al*, 2006). Histone acetylation has been implicated in the extinction of conditioned fear, and pharmacological intervention with HDAC inhibitors delivered to the mPFC or the hippocampus enhances extinction learning (Bredy and Barad, 2008; Bredy *et al*, 2007; Lattal *et al*, 2007). Of particular therapeutic relevance is the finding that oral administration of HDAC inhibitors in mice enhances histone acetylation and promotes extinction learning in response to weak extinction protocols that are ineffective when administered on their own (Stafford *et al*, 2012). The effect of HDACs on extinction persisted for at least 14 days in that study, indicating that this approach may produce lasting alleviation of fear responses in patients with PTSD when HDAC inhibition is combined with exposure therapy to promote extinction learning. A commonly used form of exposure therapy in clinical populations with phobias includes the use of virtual-reality–based simulation of the feared stimulus, such as visualization of a cliff for treatment of acrophobia (fear of heights) (Davis *et al*, 2006). Previous studies have shown that combining pharmacological approaches with virtual reality produces improvements in phobia symptoms for at least 3 months after exposure (Davis *et al*, 2006). Thus, a promising avenue for treatment of PTSD is to combine epigenetically based pharmacological intervention with controlled exposure therapy, such as virtual reality. Although more work is needed in this area, the available studies suggest that epigenetically based pharmacological interventions may promote the extinction of conditioned fear, thereby providing a promising intervention strategy for treatment of PTSD.

FUTURE DIRECTIONS

A limitation of the currently available studies is the lack of direct evidence for the mechanism through which DNA methylation and histone modifications at the cellular level get translated into altered circuit and behavioral function. However, the reviewed studies give us mechanistic insights, such as evidence that DNA methylation controls fear memory stability and that changes in DNA methylation in the adult CNS regulate the expression of known fear conditioning-related genes. Future studies need to address the question of the potential involvement of epigenetic mechanisms in controlling remote fear memory storage in the amygdala, given the relevance of this region to fear expression and PTSD.

The reviewed studies serve as a foundation for formulating future specific hypotheses concerning how DNA methylation might control persisting changes in synaptic and neural circuit function at the molecular level, and ultimately understanding how transient or persisting changes in DNA methylation manifest themselves in altered neuronal function. For instance, is methylation altering a neuron's basal state, thus altering its response to future stimuli? An example of this might be the lowering of a

neuron's firing threshold, which may be accomplished through transcriptional repression of *calcineurin*, given its importance in long-term depression and interference with LTP (Day and Sweatt, 2010). An additional possibility is that synaptic proteins and signaling pathways downstream of neuronal activation utilize methylation as a mechanism to self-perpetuate through the regulation of their own transcription rate. For example, methylation may support the perpetuation of glutamate receptor exchange at synapses tagged by potentiation (Day and Sweatt, 2010). These possibilities need not be mutually exclusive. Regardless of the specific answers to these questions, the reviewed studies represent first steps toward demonstrating that DNA methylation is a self-perpetuating signal used by the brain to preserve aversive memories, a finding which has important implications for the question of how resulting behavioral changes are maintained.

CONCLUSIONS

Overall, studies of chromatin-regulating/epigenetic mechanisms suggest that DNMT activity is necessary for experience-driven long-term behavioral change, and that DNA methylation may work in concert with histone modifications to regulate memory formation and storage in the adult CNS. The studies presented in this review exhibit a recurring theme, specifically that the stabilization of normal and pathological fear memories involves distinct phases that are dependent on regionally and temporally distinct epigenetic mechanisms. The initial consolidation and reconsolidation of memory are associated with the establishment of rapidly induced and reversible epigenetic changes, whereas memory maintenance involves delayed and enduring changes in DNA methylation. These findings not only implicate epigenetic mechanisms in different phases of memory formation and maintenance, but they also suggest that the functional and mechanistic outcomes of epigenetic marks differ according to the brain region and the stage of memory formation. Further research is required to investigate the mechanistic basis for these distinct effects.

To date, the hypothesis of a role for epigenetic mechanisms in PTSD and amygdala-dependent fear responses has only begun to be tested (Monsey *et al*, 2011; Roth *et al*, 2011; Smith *et al*, 2011). Thus, it is important to begin to assess the role of epigenetics in the amygdala and in PTSD, and the potential therapeutic efficacy of epigenetic drugs in alleviating PTSD.

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DISCLOSURE

The authors declare no conflict of interest.

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