

# Pimavanserin, a Serotonin<sub>2A</sub> Receptor Inverse Agonist, for the Treatment of Parkinson's Disease Psychosis

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Psychotic symptoms occur in up to 40% of patients with Parkinson's disease (PD). Clozapine and quetiapine, two atypical antipsychotic drugs, at doses markedly lower than those effective in schizophrenia, which, nevertheless, still cause sedation, hypotension, and other side effects, are widely used to treat psychotic symptoms in patients with PD psychosis (PDP), although quetiapine has never been shown to be effective in a placebo-controlled study. The demonstrated efficacy of clozapine in PDP has been attributed to serotonin (5-HT<sub>2A</sub>) receptor blockade. We postulated that pimavanserin (ACP-103), a highly selective 5-HT<sub>2A</sub> inverse agonist, would attenuate psychosis in patients with PDP, but avoid motoric worsening and non-motoric side effects. In this double-blind, randomized multicenter 28-day study, the tolerability and efficacy of pimavanserin was compared with placebo in 60 patients with L-DOPA or dopamine (DA) agonist-induced PDP. Motor function was evaluated using the Unified Parkinson's Disease Rating Scale (UPDRS) Parts II and III. Antipsychotic efficacy was evaluated using multiple measures from the Scale for the Assessment of Positive Symptoms (SAPS) and a UPDRS Part I psychosis-relevant item. Pimavanserin did not differentiate from placebo with regard to motor impairment, sedation, hypotension, or other side effects. The principal measures of efficacy of antipsychotic response to pimavanserin, the SAPS total domain score, only showed a trend. However, the pimavanserin-treated patients showed significantly greater improvement in some but not all measures of psychosis, including SAPS global measures of hallucinations and delusions, persecutory delusions, and the UPDRS measure of delusions and hallucinations. Pimavanserin showed significantly greater improvement in psychosis in patients with PDP at a dose which did not impair motor function, or cause sedation or hypotension. Thus, pimavanserin may represent a novel treatment for PDP. Furthermore, these results support the hypothesis that attenuation of psychosis secondary to DA receptor stimulation in PDP may be achieved through selective 5-HT<sub>2A</sub> receptor antagonism.

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## INTRODUCTION

Psychotic symptoms, particularly visual hallucinations and paranoid delusions, occur in up to 40% of patients with Parkinson's disease (PD) who receive dopamine (DA) replacement therapy (Chou *et al*, 2007; Fenelon *et al*, 2000; Fernandez *et al*, 2003; Friedman, 1991; Goetz *et al*, 2001; Weintraub and Stern, 2005; Ravina *et al*, 2007; Diederich *et al*, 2009). Psychotic symptoms in PD psychosis (PDP) are a significant cause of distress to patients and their caregivers, and are associated with greater functional

impairment, caregiver burden, nursing home placement, and increased mortality (Weintraub and Stern, 2005; Factor *et al*, 2003). As a result, antipsychotic drug treatment is often used to manage persistent and troublesome psychotic symptoms in PD patients (Chou *et al*, 2007; Fenelon *et al*, 2000; Fernandez *et al*, 2003). It has been suggested that psychotic symptoms in PD result from stimulation of limbic system DA D<sub>2</sub> receptors (Romrell *et al*, 2003), as is believed to be the case in schizophrenia (Meltzer and Stahl, 1976). Typical antipsychotic drugs are intolerable as a treatment for PDP because, at the doses needed to block limbic D<sub>2</sub> receptors, they also block dorsal striatal D<sub>2</sub> receptors, reducing the ameliorative effects of L-DOPA or direct acting DA agonist treatment on motor symptoms (Chou *et al*, 2007; Romrell *et al*, 2003). Low doses of risperidone and olanzapine, two atypical antipsychotic drugs with diminished liability to cause EPS, also worsen motor symptoms in PDP, even at the minimal doses needed

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to treat psychotic symptoms (Molho and Factor, 1999; Weintraub and Stern, 2005).

Clozapine, an atypical antipsychotic drug, is the only antipsychotic that has shown efficacy and tolerability in placebo-controlled studies in patients with PDP (Parkinson Study Group, 1999). It has been hypothesized that clozapine, at doses which are effective in PDP (6.25–75 mg/day), 3–10% of the doses usually used to treat schizophrenia, does not sufficiently block limbic DA  $D_2$  receptors to achieve an antipsychotic effect through DA  $D_2$  receptor blockade, and that a more likely basis for its antipsychotic activity in PDP is serotonin (5-HT) $_{2A}$  receptor blockade (Meltzer *et al*, 1995). This is consistent with abundant evidence that hallucinations can result from the stimulation of cortical 5-HT $_{2A}$  receptors and can be blocked by 5-HT $_{2A}$  inverse agonists or antagonists (Nichols, 2004), and that 5-HT $_{2A}$  antagonism is integral to the antipsychotic properties of multireceptor acting atypical antipsychotic drugs, such as clozapine and quetiapine (Meltzer *et al*, 2003).

Despite its demonstrated effectiveness, the use of clozapine in PDP is limited because of sedation, hypotension, hypersalivation, and the risk of agranulocytosis (Chou *et al*, 2007; Fernandez *et al*, 2003; Weintraub and Stern, 2005). Quetiapine, another atypical antipsychotic drug, has been reported in a number of open-label studies to reduce psychosis in PD without worsening motor symptoms, but sedative and hypotensive effects also limit its tolerability (Fernandez *et al*, 1999, 2003; Reddy *et al*, 2002; Mancini *et al*, 2004; Morgante *et al*, 2004; Merims *et al*, 2006). Furthermore, in three placebo-controlled, double-blind, randomized trials, quetiapine failed to show superiority to placebo on measures of psychosis in PDP (Ondo *et al*, 2005; Rabey *et al*, 2006; Shotbolt *et al*, 2009). Nevertheless, it remains widely used as the initial drug treatment for PDP, at doses from 25 to 300 mg/day, and is the drug of choice for PDP according to the American Academy of Neurology Practice Parameters Task Force on the treatment of PD (Miyasaki *et al*, 2006); clozapine is recommended only after quetiapine fails to manage psychotic symptoms (Chou *et al*, 2007; Reddy *et al*, 2002; Mancini *et al*, 2004). Recent reports of increased mortality in elderly demented patients treated with various antipsychotic drugs (Wang *et al*, 2005; Ballard *et al*, 2009) raises additional concerns about the safety of these drugs in patients with PDP and supports the need for the development of safer treatments.

The sedative and hypotensive effects of clozapine and quetiapine likely result from histamine  $H_1$  receptor and  $\alpha_1$  adrenoceptor blockade (Arana, 2000). Pimavanserin is a novel selective 5-HT $_{2A}$  receptor inverse agonist, which has shown antipsychotic activity in animal models, including blockade of amphetamine- or the *N*-methyl-*D*-aspartate receptor noncompetitive antagonist 5H-dibenzo[*a,d*]cyclohepten-5,10-imine (dizocilpine maleate; MK-801)-induced locomotor activity, conditioned avoidance response, head twitch, and the deficit in prepulse inhibition produced by the 5-HT $_{2A}$  agonist (+/–)-2,5-dimethoxy-4-iodoamphetamine hydrochloride (DOI) in rats (Vanover *et al*, 2006). Pimavanserin has approximately 40-fold lower affinity for the 5-HT $_{2C}$  than the 5-HT $_{2A}$  receptor, and there is no measurable activity at other G-protein-coupled receptors (Vanover *et al*, 2006). On the basis of its specificity for the

5-HT $_{2A}$  receptor, it was, therefore, of interest to test pimavanserin as a treatment for PDP and to evaluate its safety and tolerability profile in this population. The results reported here indicate that pimavanserin has a benign profile on motor function and other safety parameters, and was more effective than placebo in attenuating hallucinations and delusions in patients with PDP.

## PATIENTS AND METHODS

### Study Patients

Patients who met criteria for PDP, consistent with the criteria developed by Ravina *et al* (2007), including the presence of visual and/or auditory hallucinations, and/or delusions, of moderate-to-severe frequency, and severity, for at least 4 weeks, were screened for eligibility. The minimum requirement for entry was a psychosis severity score of  $\geq 4$ , as assessed by the hallucinations and delusions sections of the Neuropsychiatric Inventory (NPI) (Cummings *et al*, 1994). Patients were required to be on stable antiparkinsonian drugs for at least 1 week before study entry and through the 4-week treatment period. Fifteen patients in the pimavanserin arm and 15 in the placebo arm received L-DOPA only; 11 in the pimavanserin arm and 19 in the placebo arm received L-DOPA plus a direct acting DA agonist. No other antipsychotic medications were permitted. Exclusion criteria included pregnancy, presence of any other medical condition contributing to psychosis, significant pre-morbid psychiatric condition other than a history of major depression before the diagnosis of PD, dementia, or myocardial infarction within the past 3 months. Medications required for stable medical conditions were permitted and did not change during the course of the study. Written informed consent was obtained from all patients and/or their caretakers before performing study procedures.

### Study Design

This Institutional Review Board approved phase 2 multicenter study was a randomized, placebo-controlled, double-blind trial of 4 weeks duration, with a 4-week follow-up period. Patients were randomly assigned to receive pimavanserin or placebo at a ratio of 1:1 after completion of the screening and baseline evaluations, according to a center-specific, computer-generated randomization code. Thirteen sites enrolled at least two patients; two sites enrolled only one. Patients received study drug daily for 4 weeks, starting at 20 mg on study day 1, with possible increases to 40 or 60 mg daily doses on study days 8 and 15, respectively, depending on individual clinical response.

Patients were evaluated at screening/baseline (up to 14 days before study day 1) and on study days 1, 8, 15, 28, and 57 (safety data only). Physical exams, vital signs, and laboratory tests were completed during each clinic visit. Adverse events were recorded and categorized for severity and relationship to study treatment from day 1 to 57. Study day 57 or early termination was included as a safety follow-up visit. Later, patients were given the option of extended open-label treatment with pimavanserin under a separate protocol.

## Safety Measures

The modified Hoehn and Yahr (UPDRS Part V) staging of PD was completed at screening. The primary measures used to assess motor symptoms were the Unified Parkinson's Disease Rating Scale (UPDRS) Parts II (Activities in Daily Living) and III (Motor Examination) (Goetz *et al*, 2007). Additional safety assessments included an adverse events check list, vital signs, laboratory tests (hematology, clinical chemistry, and urinalysis), physical examinations, and electrocardiograms (ECG).

## Efficacy Measures

Psychosis was assessed by the Scale for the Assessment of Positive Symptoms (SAPS; Andreasen, 1984), the Parkinson's Psychosis Rating Scale (PPRS; Friedberg *et al*, 1998), and the Clinical Global Impression-Severity (CGI-S; Guy, 1976). In addition, the UPDRS Parts I, IV, and VI were used to evaluate the effects of treatment on mentation, behavior, and mood; complications of therapy; and activities of daily living, respectively (Goetz *et al*, 2004), Daytime sleepiness was measured by the Epworth Sleepiness Scale (ESS Johns, 1991). Rater training was provided before study initiation.

A specified analysis of the SAPS domain scores for hallucinations and delusions and their combination was conducted. The domain score is the sum of the individual ratings for each type of symptom within the domain, and includes the global rating for that domain (Andreasen, 1984). The SAPS total domain score was chosen as principal outcome measure for efficacy because it was the one used in the study of the efficacy of clozapine in PDP (Parkinson's Study Group, 1999). Other planned analyses of the SAPS data included comparisons of the change from baseline to day 28 in each individual item and the global ratings of hallucinations and delusions. The global ratings of hallucinations and delusions were also combined to generate a total global score.

Other planned analyses for the secondary efficacy measures: PPRS, CGI-S, Epworth Daytime Sleepiness Scale, and UPDRS Parts I, IV, and VI were also conducted. An exploratory analysis of the UPDRS Part I items (intellectual impairment, thought disorder (a measure of psychosis), depression, and motivation) was also completed.

All of the efficacy measures described above were treated as continuous variables and were analyzed using analysis of covariance (ANCOVA). The change from baseline to day 28 scores was examined by ANCOVA with treatment and center as main effects and the baseline score of the respective measure included as the covariate in the model. Higher scores indicate greater severity of symptoms with the exception of UPDRS Part VI, in which higher scores indicate improved functioning in activities of daily living. Negative difference scores (day 28 to baseline) indicate improvement.

## Statistical Analysis

This study was 95% powered to detect a relative difference of five points in the UPDRS Part II and III scores between the treatment groups in the absolute change from baseline to the last observation completed. This analysis was based on prior clinical trials that have documented the motoric

effects of clozapine (Parkinson's Study Group, 1999; The French Clozapine Parkinson's Study Group, 2003) and olanzapine (Goetz *et al*, 2000) in PDP. On the basis of this assumption, 54 patients (27 per arm) were required to complete the study. To adjust for a 10% dropout rate, ~60 patients were to be enrolled and randomized (~30 per arm). The primary safety analysis was the change from baseline to day 28 in the UPDRS Part II and III total score using last observation carried forward (LOCF) with an analysis of covariance (ANCOVA) model, with treatment and center as main effects, performed to compare the treatment groups. The per-protocol (PP) population was used for this analysis.

The ITT population included 59 of the 60 enrolled patients (28 receiving pimavanserin and 31 receiving placebo) who had met entry criteria, had taken at least one dose of trial medication, and had at least one post-baseline efficacy outcome measure. One patient (patient 11-003) was dropped from the ITT population as he did not meet the entry criteria (lung disorder), which was not determined until after dosing. In addition to the primary ITT-LOCF analysis, a similar analysis was carried out at study day 28 using observed cases (OCs) in the PP population, which included 52 patients (24 patients receiving pimavanserin and 28 patients receiving placebo) from the ITT population who had met entry criteria, and recorded no major deviations from protocol, including completion of the study day 28 visit with at least 70% overall treatment compliance. Patients in the PP population were classified according to the treatment they received, two patients accidentally received treatment not according to the randomization list. The PP-OC analysis was considered the most appropriate for analysis of the primary safety measure, UPDRS, which is a non-inferiority assessment (Lewis, 1999). The PP-OC analysis was used on all secondary end points because these were considered to be exploratory in nature. Therefore, no adjustments for multiple comparisons were made in the reporting of *p*-values. Results of the ITT-LOCF analysis of secondary end points were generally similar to those of the PP-OC analysis. Standardized effect size, defined as the difference in adjusted treatment means divided by the pooled standard deviation, was calculated concurrently with *p*-values.

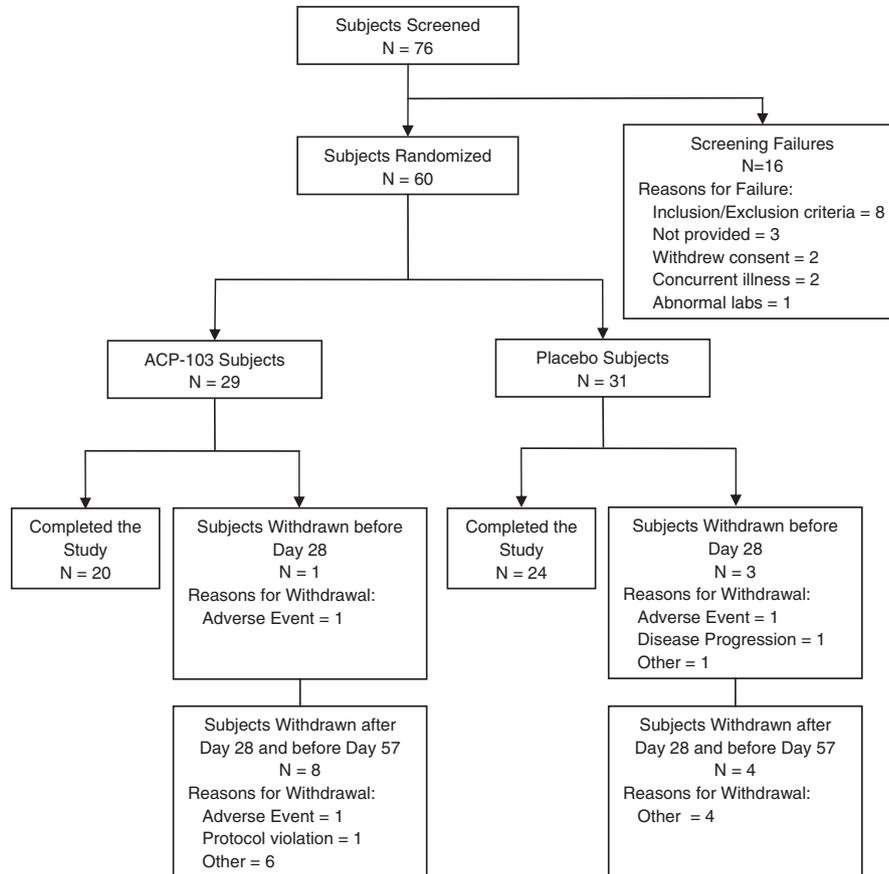
All available safety data from the 60 enrolled patients receiving at least one dose of study medication (29 receiving pimavanserin and 31 receiving placebo) were included in the safety analysis. Patients in the safety population were classified according to the treatment they received.

Descriptive statistics (*N*, mean, SD, coefficient of variance (CV%), median, minimum, and maximum) of values and change from baseline values were used to summarize laboratory, vital sign, and ECG data over time. Homogeneity of baseline characteristics was assessed by *t*-test for continuous variables, Fisher's exact test for categorical variables.

## RESULTS

### Patients

As shown in Figure 1, 60 patients were enrolled; of them, 28 of 29 patients in the pimavanserin group and 28 of 31



**Figure 1** Flowchart of the study population.

patients in the placebo group completed 28-day study. The mean (SD) final dose of pimavanserin and placebo equivalent administered was 44.8 (16) and 52.9 (12) mg, respectively. Of the 28 pimavanserin subjects reaching day 28, 20 remained in the study for safety follow-up through day 57, one was terminated because of an adverse event, one for a protocol violation, and four for other reasons. Of the 28 placebo subjects reaching day 28, 24 completed the safety follow-up visit at day 57. All remaining four placebo subjects dropped out because of 'other reasons.' Ratings of psychotic symptoms were not conducted during this period.

Demographic and baseline characteristics are summarized in Table 1. Age, ethnicity, and race were similar in both study groups. There were fewer females ( $N = 3$ , 10.3%) and more males ( $N = 26$ , 89.7%) in the pimavanserin group than in the placebo group (11, 35.5%, and 20, 64.5%, respectively,  $p = 0.03$ ). At entry, the mean NPI total scores of delusions and hallucinations and the Modified Hoehn and Yahr Staging scores were not statistically different ( $p = 0.35$  and 1.0, respectively).

### Effects on Motor Function

At day 28, there was a small nonsignificant improvement in both treatment groups in the combined score of UPDRS, Parts II (Activities of Daily Living) and III (Motor Function): adjusted mean changes of  $-3.05$  for pimavan-

serin and  $-3.86$  for placebo (Table 2). No statistically significant differences were observed in treatment effect ( $p = 0.74$ , 95% CI:  $-4.18$ , 5.80). Similar results were observed for the UPDRS Parts II and III scores analyzed as separate scores (Table 2).

### Effects on Psychosis

Table 3 provides the baseline and day 28 scores for the SAPS hallucination and delusion individual item scores, global, and total domain measures in the PP (OCs) population. The results of the statistical analysis for these measures are also presented in Table 3.

As expected, visual, followed by auditory hallucinations, was the most severe form of hallucinations at baseline (Table 3). There was a statistically significant improvement in the global rating of hallucinations in the pimavanserin-treated patients ( $p = 0.02$ , effect size = 0.58). Although the hallucinations domain score for the pimavanserin-treated patients showed improvement, it was not significant ( $p = 0.16$ ).

At baseline, persecutory delusions were the most frequent and severe form of delusions, followed by delusions of jealousy (Table 3). There was significantly greater improvement in the pimavanserin-treated patients in the following SAPS delusion domain measures: persecutory delusions ( $p = 0.009$ , effect size = 0.41), ideas and delusions of

**Table 1** Demographic and Baseline Characteristics—Safety Population

		Pimavanserin (N = 29)	Placebo (N = 31)	Total (N = 60)	p-value <sup>a</sup>
Age (years)	Mean (SE)	72.3 (1.43)	69.6 (1.68)	70.9 (1.12)	0.23
Gender, n (%)	Female	3 (10.3)	11 (35.5)	14 (23.3)	0.03
	Male	26 (89.7)	20 (64.5)	46 (76.7)	
Ethnicity, n (%)	Hispanic or Latino	0 (0.0)	1 (3.2)	1 (1.7)	1.00
	Not Hispanic or Latino	29 (100.0)	30 (96.8)	59 (98.3)	
Race, n (%)	Black, of African heritage	1 (3.4)	0 (0.0)	1 (1.7)	0.48
	White	28 (96.6)	31 (100.0)	59 (98.3)	
NPI total score of delusions and hallucinations	N	28	31	59	0.35
	Mean (SE)	10.2 (1.05)	11.6 (1.07)	10.9 (0.75)	
Modified Hoehn and Yahr staging	N	29	31	60	1.00
	Mean (SE)	3.0 (0.17)	3.0 (0.13)	3.0 (0.10)	

<sup>a</sup>p-value is based on t-test for continuous variables and Fisher's exact test for categorical variables.

p-values are based on non-missing data.

Percentages are based on the number of patients in the Safety population.

**Table 2** UPDRS Scores: Change from Baseline to Day 28 and Estimated Treatment Effects for the PP-OC Patient Population

Outcome	Pimavanserin		Placebo		Estimated treatment effect		
	LSM*	95% CI	LSM*	95% CI	Difference in LSM	95% CI	p-value
Part II	-1.68	-3.38, 0.02	-1.42	-3.05, 0.20	-0.26	-2.68, 2.17	0.83
Part III	-0.99	-3.72, 1.74	-2.64	-5.29, 0.01	1.65	-2.25, 5.55	0.40
Parts II and III	-3.05	-6.56, 0.46	-3.86	-7.20, -0.53	-0.81	-4.18, 5.80	0.74

Abbreviations: CI, confidence interval; LSM, least square mean; OC, observed case; PP, per protocol; UPDRS, Unified Parkinson's Disease Rating Scale. Negative numbers represent improvement.

reference ( $p = 0.05$ , effect size = 0.36), and global ratings of delusions ( $p = 0.03$ , effect size = 0.53). There was a trend for the SAPS delusion domain score to show improvement in the pimavanserin arm ( $p = 0.06$ , effect size = 0.56). The total global rating, a more specific measure of functional improvement in delusions (Andreassen, 1984), showed significantly greater improvement with pimavanserin treatment ( $p = 0.02$ , effect size = 0.66). There was also a trend for the pimavanserin-treated patients to show greater improvement in the SAPS total domain score ( $p = 0.09$ , effect size = 0.52). This reflected a 40% improvement in this measure in the pimavanserin-treated patients compared with a 11% improvement in the placebo-treated group.

The UPDRS Part I total score, similar to the SAPS global scores, showed significantly greater improvement in the pimavanserin-treated patients at day 28 (Table 4,  $p = 0.05$ , effect size = 0.43). In particular, the thought disorder item showed significantly greater improvement in the pimavanserin-treated patients ( $p = 0.05$ , effect size = 0.40). The anchor point description of the thought disorder rating item specifically denotes delusions and hallucinations as the key behaviors to be assessed in rating this item. In support

of this, the UPDRS thought disorder rating was significantly correlated with both the SAPS global hallucinations and global delusions ratings at baseline ( $r = 0.38$  and  $0.38$ ,  $p = 0.005$  and  $0.006$ , respectively) and at day 28 ( $r = 0.68$  and  $0.44$ ,  $p = <0.001$  and  $0.001$ , respectively), as were the change from baseline to day 28 ( $r = 0.48$  and  $0.43$ ,  $p = <0.001$  and  $0.002$ , respectively). The other UPDRS Part I items, which do not measure psychotic symptoms, also favored pimavanserin, but were not significantly different from placebo.

Other measures of psychosis, PPRS and CGI-S, showed improvements in pimavanserin-treated patients compared with placebo (Table 4); however, these comparisons were not statistically significant. After completion of the study, it was determined that there were inconsistencies in the way the CGI-S scale was administered at different sites, with some assessing changes in psychosis and other changes in overall condition. Improvements in measures of daytime sleepiness (Epworth Sleepiness Scale), complications with PD therapy (UPDRS Part IV), and activities of daily living (UPDRS Part VI) were also observed in pimavanserin-treated patients compared with placebo (Table 4), although none of the comparisons achieved statistical significance.

**Table 3** ACP-103-006: Mean (SD) Baseline and Day 28 SAPS Scores; Change from Baseline (Screening Visit) to Day 28: Analysis of Covariance (ANCOVA); Per-Protocol Population (Observed Case)

Parameter	Pimavanserin (n = 24)		Placebo (n = 28)		LS mean difference <sup>a</sup>	95% CI	p-value <sup>b</sup>	Effect size <sup>c</sup>
	Base	Day 28	Base	Day 28				
<i>SAPS hallucinations items and domain score</i>								
Auditory hallucinations	1.4 (1.56)	1.1 (1.61)	1.6 (1.95)	1.4 (2.03)	-0.36	-1.30, 0.58	0.44	0.23
Voices commenting	0.5 (1.18)	0.5 (1.32)	0.7 (1.49)	0.8 (1.71)	-0.41	-1.35, 0.52	0.38	0.24
Voices conversing	0.7 (1.31)	0.5 (1.10)	1.3 (1.96)	1.1 (1.92)	-0.57	-1.51, 0.36	0.22	0.33
Somatic or tactile hallucinations	0.8 (1.26)	0.3 (0.82)	0.7 (1.49)	0.8 (1.79)	-0.59	-1.37, 0.18	0.13	0.43
Olfactory hallucinations	0.1 (0.45)	0.2 (0.83)	0.3 (0.90)	0.5 (1.14)	-0.25	-0.81, 0.30	0.36	0.29
Visual hallucinations	3.8 (0.87)	2.7 (1.97)	3.8 (1.39)	2.9 (1.92)	-0.68	-1.75, 0.39	0.21	0.40
Global rating of hallucinations	3.5 (0.72)	2.4 (1.79)	3.2 (1.32)	2.9 (1.66)	-1.00	-1.83, -0.16	0.02	0.71
SAPS hallucination (H) score <sup>d</sup>	10.8 (4.09)	7.6 (6.70)	11.6 (6.59)	10.5 (9.19)	-2.8	-6.8, 1.2	0.16	0.52
<i>SAPS delusions items and domain score</i>								
Persecutory delusions	1.3 (1.65)	0.7 (1.12)	1.5 (1.73)	1.5 (1.75)	-1.00	-1.74, -0.26	0.009	0.69
Delusions of jealousy	0.8 (1.28)	0.4 (0.93)	0.6 (1.13)	0.6 (1.03)	-0.25	-0.75, 0.24	0.31	0.23
Delusions of sin or guilt	0.3 (1.01)	0.2 (0.48)	0.5 (1.14)	0.3 (0.77)	-0.17	-0.55, 0.21	0.36	0.15
Grandiose delusions	0.2 (0.59)	0.1 (0.61)	0.0 (0.00)	0.0 (0.00)	0.04	-0.16, 0.24	0.68	0.11
Religious delusions	0.5 (1.38)	0.2 (0.64)	0.3 (1.00)	0.3 (1.00)	-0.11	-0.52, 0.30	0.59	0.12
Somatic delusions	0.2 (0.72)	0.2 (0.64)	0.2 (0.61)	0.3 (1.01)	0.06	-0.44, 0.57	0.80	0.07
Ideas and delusions of reference	0.3 (0.62)	0.3 (0.74)	0.4 (0.92)	0.8 (1.37)	-0.62	-1.25, 0.00	0.05	0.56
Delusions of being controlled	0.2 (0.56)	0.1 (0.45)	0.1 (0.52)	0.2 (0.61)	-0.11	-0.46, 0.23	0.50	0.15
Delusions of mind reading	0.2 (0.48)	0.1 (0.41)	0.2 (0.63)	0.0 (0.19)	0.14	-0.04, 0.33	0.12	0.23
Thought broadcasting	0.0 (0.20)	0.0 (0.20)	0.1 (0.38)	0.0 (0.00)	0.07	-0.01, 0.15	0.09	0.20
Thought insertion	0.0 (0.00)	0.0 (0.00)	0.3 (0.75)	0.1 (0.42)	-0.05	-0.21, 0.11	0.55	0.12
Thought withdrawal	0.0 (0.00)	0.0 (0.20)	0.0 (0.19)	0.0 (0.00)	0.07	-0.01, 0.15	0.09	0.35
Global rating of delusions	1.9 (1.72)	1.2 (1.49)	2.1 (1.83)	2.2 (1.75)	-1.00	-1.89, -0.11	0.03	0.58
SAPS delusion (D) score <sup>e</sup>	5.9 (5.85)	3.5 (5.66)	6.3 (6.84)	6.3 (6.21)	-2.3	-4.7, 0.1	0.06	0.46
<i>Sums of SAPS hallucinations and delusions global and domain scores</i>								
SAPS global ratings total (H+D) score <sup>f</sup>	5.4 (1.76)	3.5 (2.84)	5.3 (2.54)	5.1 (3.06)	-1.89	-3.39, -0.39	0.02	0.66
SAPS total (H+D) domain score <sup>g</sup>	16.7 (7.45)	11.0 (11.09)	17.9 (11.79)	16.8 (14.35)	-4.6	-10.0, 0.7	0.09	0.56

Abbreviation: SAPS, Scale for the Assessment of Positive Symptoms.

<sup>a</sup>Difference in LS means (pimavanserin-placebo).

<sup>b</sup>Test for treatment effect from ANCOVA on the change from baseline score with fixed effects for treatment and center. Baseline score is the covariate. p-values are not adjusted for multiple comparisons.

<sup>c</sup>Estimated as the absolute value of the difference in the adjusted mean change from baseline (pimavanserin-placebo) divided by the pooled standard deviation of the mean change from baseline score.

<sup>d</sup>Sum of all seven items for hallucinations.

<sup>e</sup>Sum of all 13 delusion items.

<sup>f</sup>Sum of global ratings for hallucinations and delusions.

<sup>g</sup>Sum of Domain scores for hallucinations and delusions; primary measure of efficacy.

\*Negative numbers indicate greater improvement in the pimavanserin-treated group.

## Safety

Table 5 provides a summary of adverse events. Overall, there was no significant difference in the incidence of adverse events in the placebo- and pimavanserin-treated patients. In total, 133 treatment-emergent adverse events were reported in 21 (72.4%) patients receiving pimavanserin and 24 (77.4%) patients receiving placebo. Fifty-one of the treatment-emergent adverse events were considered to be treatment-related.

In the pimavanserin arm, the most commonly occurring adverse events (present in  $\geq 10\%$  of patients) were somnolence, edema, and increase in blood urea nitrogen, each of which were reported in three (10.3%) patients. Somnolence resolved in one case despite continuing pimavanserin. In another, it occurred 10 days after the last dose of pimavanserin. One patient treated with pimavanserin discontinued the study because of worsening of hallucinations.

In the placebo arm, the most commonly occurring adverse events (present in  $\geq 10\%$  of patients) were

**Table 4** ACP-103-006: Change from Baseline (Screening Visit) to Day 28: Analysis of Covariance (ANCOVA); Per-Protocol Population (Observed Case)

Parameter	LS mean difference <sup>a,b</sup>	95% CI	p-value <sup>c</sup>	Effect size <sup>d</sup>
UPDRS Part I	-1.45	-2.89, -0.01	0.05	0.43
Intellectual impairment	-0.30	-0.81, 0.22	0.25	0.34
Thought disorder	-0.57	-1.15, 0.01	0.05	0.58
Depression	-0.31	-0.77, 0.15	0.18	0.41
Motivation	-0.30	-0.78, 0.19	0.22	0.34
PPRS	-1.2	-2.6, 0.3	0.11	0.48
CGI-S	-0.4	-1.1, 0.2	0.20	0.58
Epworth sleepiness scale	-0.9	-3.3, 1.5	0.46	0.22
UPDRS IV (Complications of therapy)	-1.0	-2.0, 0.0	0.06	0.55
UPDRS VI (Schwab and England ADL)	3.2	-1.9, 78.3	0.22	0.41

<sup>a</sup>Difference in LS means (pimavanserin-placebo).

<sup>b</sup>Negative numbers indicate greater improvement in the pimavanserin-treated group.

<sup>c</sup>Test for treatment effect from ANCOVA on the change from baseline score with fixed effects for treatment and center. Baseline score is the covariate. *p*-values are not adjusted for multiple comparisons.

<sup>d</sup>Estimated as the absolute value of the difference in the adjusted mean change from baseline (pimavanserin-placebo) divided by the pooled standard deviation of the mean change from baseline score.

hallucinations (five patients, 16.1%), dizziness (four patients, 12.9%), and fall, headache, confusional state and hypotension each occurring in three (9.7%) patients.

Treatment-emergent motor function adverse events occurred infrequently in both treatment arms, were mild, and did not lead to drug discontinuation. They included balance disorder (two pimavanserin-treated patients, 6.9%), bradykinesia (two placebo-treated patient, 3.2%), freezing phenomenon (two pimavanserin-treated patients, 6.9%), on and off phenomenon (one pimavanserin-treated patient, 3.4%), tremor (one placebo-treated patient, 3.2%), and walking disability (one placebo-treated patient, 3.2%).

With regard to serious adverse events, there were four events reported in four patients who were not considered to be related to study drug (volvulus (placebo), organic delusional psychosis with paranoia (placebo), fractured ankle (pimavanserin), and junctional rhythm (pimavanserin)). There were no trends or clinically meaningful changes in clinical laboratories, vital signs, 12-lead ECG measurements, or neurological measurements.

## DISCUSSION

The major findings of this placebo-controlled study are that pimavanserin, a selective 5-HT<sub>2A</sub> inverse agonist, was tolerable and efficacious, by some, but not all measures, for the treatment of PDP. There were no significant differences in the change from baseline in Parts II and III of the UPDRS score and the adverse event profiles in the two treatment groups. Most importantly, pimavanserin showed advantages in antipsychotic efficacy over placebo in four key measures. Statistically significant improvements were observed in the SAPS global hallucinations and delusions scores, persecutory delusions, and UPDRS-PART I thought disorder item in pimavanserin-treated compared with placebo-treated patients. However, the principal

measures of efficacy of antipsychotic response to pimavanserin, the SAPS domain scores, only showed a trend.

## Effects on Motor Function and Tolerability

Clinical trials of drugs to treat PDP customarily make motor symptoms the secondary end point. Tolerability measures were designated as the primary end point in this initial study of pimavanserin in patients with PDP because it is essential to further interest in any putative novel treatment for PDP, given the intolerability of most antipsychotic drugs. This study was adequately powered to show motoric worsening if it had occurred to the extent that has been reported with olanzapine (Goetz *et al*, 2000). For example, Molho and Factor (1999) have reported marked worsening of motor symptoms with olanzapine in 6 of 12 PDP patients treated with low-dose olanzapine. The duration of this study, 4 weeks, was the same as that in the initial randomized study of the tolerability and efficacy of clozapine in PDP (Parkinson Study Group, 1999).

Motor function and activities of daily living were not adversely affected by pimavanserin, as shown by an absence of a meaningful difference between pimavanserin and placebo on the UPDRS Parts II and III score change from baseline scores. These results are supported by adverse event data and are consistent with a recent report that pimavanserin reduced tremor in an animal model of parkinsonian tremor and reduced levodopa-induced dyskinesias in monkeys rendered parkinsonian with MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine) (Vanover *et al*, 2008).

As previously discussed, the antipsychotics commonly used in PDP, clozapine, and quetiapine, have tolerability problems, which limit their acceptability. This was not seen with pimavanserin, whose adverse event profile was found to be clinically similar to placebo. Only occasional mild sedation, no hypotension, and no hypersalivation, the most

**Table 5** Summary of Adverse Events  $\geq 5\%$  by System Organ Class and Preferred Term in Study ACP-103-006

System organ class preferred term	Pimavanserin (N = 29)		Placebo (N = 31)		Total (N = 60)	
	Number (%) of subjects	Number of events	Number (%) of subjects	Number of events	Number (%) of subjects	Number of events
General disorders and administration site conditions	4 (13.8)	7	4 (12.9)	5	8 (13.3)	12
Asthenia	2 (6.9)	3	0 (0.0)	0	2 (3.3)	3
Fatigue	1 (3.4)	1	2 (6.5)	2	3 (5.0)	3
Edema peripheral	3 (10.3)	3	2 (6.5)	2	5 (8.3)	5
Injury, poisoning and procedural complications	5 (17.2)	5	3 (9.7)	9	8 (13.3)	14
Fall	2 (6.9)	2	3 (9.7)	6	5 (8.3)	8
Ear and labyrinth disorders	0 (0.0)	0	2 (6.5)	2	2 (3.3)	2
Vertigo	0 (0.0)	0	2 (6.5)	2	2 (3.3)	2
Investigations	5 (17.2)	12	5 (16.1)	6	10 (16.7)	18
Blood urea increased	3 (10.3)	3	1 (3.2)	1	4 (6.7)	4
WBC count decreased	0 (0.0)	0	2 (6.5)	2	2 (3.3)	2
Musculoskeletal and connective tissue disorders	3 (10.3)	3	3 (9.7)	3	6 (10.0)	6
Back pain	1 (3.4)	1	2 (6.5)	2	3 (5.0)	3
Nervous system disorders	7 (24.1)	14	10 (32.3)	15	17 (28.3)	29
Balance disorder	2 (6.9)	2	0 (0.0)	0	2 (3.3)	2
Cognitive disorder	0 (0.0)	0	2 (6.5)	2	2 (3.3)	2
Dizziness	2 (6.9)	4	4 (12.9)	5	6 (10.0)	9
Freezing phenomenon	2 (6.9)	2	0 (0.0)	0	2 (3.3)	2
Headache	1 (3.4)	1	3 (9.7)	3	4 (6.7)	4
Somnolence	3 (10.3)	3	1 (3.2)	1	4 (6.7)	4
Psychiatric disorders	4 (13.8)	4	7 (22.6)	17	11 (18.3)	21
Confusional state	1 (3.4)	1	3 (9.7)	3	4 (6.7)	4
Depression	0 (0.0)	0	2 (6.5)	2	2 (3.3)	2
Hallucination	1 (3.4)	1	5 (16.1)	5	6 (10.0)	6
Vascular disorders	1 (3.4)	1	3 (9.7)	3	4 (6.7)	4
Orthostatic hypotension	1 (3.4)	1	3 (9.7)	3	4 (6.7)	4

Subjects with more than one event coded to the same system organ class or preferred term were counted only once. Percentages are based on the number of subjects in the Safety population.

common side effects of clozapine, were noted. Thus, its safety and tolerability profile may confer significant therapeutic advantage over other antipsychotics in the treatment of this fragile patient population.

### Effects on Delusions and Hallucinations

In exploratory analyses of this randomized, placebo-controlled, double-blind trial, pimavanserin showed superiority to placebo in some measures for alleviating delusions and hallucinations in patients with PDP. The SAPS global hallucinations and delusions scores, and the UPDRS Part I item assessing delusions and hallucinations, showed statistically significant advantages for pimavanserin over placebo, despite the small sample size. The global item represents the rater's determination of the overall severity of the symptoms which comprise that domain. The global ratings are the most commonly used SAPS measure for assessing overall severity of psychosis in schizophrenia and other types of psychotic disorders (see Andreasen, 1984; Crespo-Facorro *et al*, 2004; Pressler *et al*, 2005). In this study, pimavanserin showed a moderate standardized effect size

(0.4–0.6) across these measures of psychosis, suggesting that pimavanserin may confer a meaningful treatment benefit. This is comparable in magnitude with the effect of clozapine over placebo (Parkinson's Disease Study Group, 1999). The SAPS was chosen as the primary measure to assess antipsychotic efficacy for this study because of its extensive use in neuropsychiatric studies for evaluating hallucinations and delusions (Andreasen, 1984). The SAPS is one of four recommended scales by the Movement Disorder Society Task Force on Rating Scales for PD (Fernandez *et al*, 2008) and has shown sensitivity to assess change in psychosis in previous PDP clinical trials (Parkinson's Study Group, 1999; Goetz *et al*, 2000).

Examination of the data in Table 3 shows that auditory and visual hallucinations were the most common type of psychotic symptoms in these PDP patients. Although there were improvements in most of the individual hallucination items with pimavanserin, particularly visual and auditory hallucinations, only the global rating for hallucinations reached statistical significance. Paranoid delusions are a leading cause of the need for institutionalization (Wolters, 2006). In this study, delusions of persecution (paranoid

delusions) were the most prevalent form of delusions, and showed statistically significant improvement in the pimavanserin-treated patients ( $p=0.009$ ). Similarly, the global delusions rating also showed a statistically significant advantage for pimavanserin ( $p=0.02$ ).

As in a prior trial of clozapine in PDP (Parkinson's Study Group, 1999), the SAPS domain total, which combines individual item scores with the global rating, was pre-specified in the analysis plan. The pimavanserin-placebo difference was greater for delusions than hallucinations, but, in contrast with the global ratings, which also assess severity and disruptiveness, not just frequency, did not reach statistical significance. The difference may have also been due to the variance introduced from assessing specific rare and infrequent subtypes of hallucinations and delusions in the domain analysis, as opposed to the overall clinical judgment provided by the global ratings. Treatment with pimavanserin produced 31.4 and 36.8% decreases from baseline to day 28 in global hallucinations and delusions, respectively, compared with a 9.4% decrease, and a 4.8% increase in the placebo-treated patients, respectively. The slight difference in results between the domain and global scores supports the recommendation of Fernandez *et al* (2008) that the SAPS global hallucinations and delusions items are the most sensitive measure from the SAPS for clinical trials in PDP, where there has been a history of inability to detect differences in small randomized, blinded trials.

In addition to the SAPS, a number of other measures were used to assess antipsychotic efficacy, including the UPDRS Part I, PPRS, and CGI-S. Improvements in these measures, including statistically significant improvement in UPDRS Part I, and the item which is specified to assess delusions and hallucinations, labeled thought disorder, provide additional support for the SAPS analysis.

### Strengths and Weaknesses

Weaknesses of this study include small sample size and relatively rapid dose escalation. The small sample size had the potential to underestimate efficacy. As it takes between 10 and 14 days to reach steady state with pimavanserin, escalating the dose after 1 week of treatment may have led to some patients having their dose increased before the 20-mg dose had sufficient time to achieve full efficacy. A strength of this study is the exclusion of patients with previous psychiatric disorders and dementia, thereby minimizing the heterogeneity of the study population. This study met all the criteria used by Frieling *et al* (2007) for inclusion in their meta-analysis of PDP treatment studies: double-blind, randomized, and placebo-controlled. However, we did not assess the time of onset of the delusions or hallucinations in relation to the duration of treatment with L-DOPA. There is evidence that patients with PDP who develop visual hallucinations within the first 3 months of L-DOPA treatment may have an underlying psychiatric disorder, early Lewy body disease, or Alzheimer's disease (Goetz *et al*, 1998). We did not assess the efficacy of pimavanserin in these patients or those not receiving dopaminomimetic drugs.

### Mechanism of Action: 5-HT<sub>2A</sub> Receptor Blockade and Diminished Effect of Limbic DA Receptor Stimulation

The ability of pimavanserin to significantly improve hallucinations and delusions in patients with PDP supports the hypothesis that blocking 5-HT<sub>2A</sub> receptors is an effective means of diminishing positive symptoms in this condition (Meltzer *et al*, 1995). This hypothesis was based on the supposition that at the low plasma levels of clozapine needed to treat PDP, effective striatal and cortical DA D<sub>2</sub> receptor blockade was not possible but adequate blockade of 5-HT<sub>2A</sub> receptors could be achieved (Meltzer *et al*, 1989). Subsequent PET studies with clozapine in patients with schizophrenia are consistent with these conclusions (Wiesel *et al*, 1990; Nordström *et al*, 1993, 1995; Kessler *et al*, 2006).

Enhanced stimulation of DA D<sub>2</sub> receptors in the nucleus accumbens and other limbic nuclei has been proposed as the basis for psychosis in schizophrenia (for review, see Meltzer and Stahl, 1976) and PDP (for review, see Wint *et al*, 2004; Papapetropoulos and Mash, 2005). Microdialysis studies have shown that DOI, a predominantly 5-HT<sub>2A</sub>-receptor-acting agonist, can enhance the ability of amphetamine to increase DA efflux in the nucleus accumbens, an effect blocked by ritanserin, which has 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> blocking properties (O'Neill *et al*, 1999; Kuroki *et al*, 2003). This effect of 5-HT<sub>2A</sub> receptor stimulation should also be blocked by pimavanserin. Infusion of DOI itself into the nucleus accumbens enhances accumbens DA efflux, an effect blocked by the simultaneous infusion of the selective 5-HT<sub>2A</sub> antagonist, M100907 (Yan, 2000; Schmidt *et al*, 1992). It is also possible that blockade of 5-HT<sub>2A</sub> receptors located on ventral tegmental DA neurons, which project to limbic and cortical regions (Nocjar *et al*, 2002), may also contribute to the antipsychotic action of pimavanserin by diminishing the activity of these neurons, which leads to decreased limbic and cortical DA efflux (Pessia *et al*, 1994; Prisco *et al*, 1994). Blockade of cortical 5-HT<sub>2A</sub> receptors might also mediate some of the effects of pimavanserin to attenuate the effects of L-DOPA based on the evidence that cortical 5-HT<sub>2A</sub> receptor stimulation may increase the activity of ventral tegmental DA neurons through cortico-ventral projections (Pehek *et al*, 2001).

The ability of pimavanserin to attenuate delusions and hallucinations, as reported here, is consistent with other pharmacological (Nichols, 2004) and genetic evidence that delusions and hallucinations may result from increased 5-HT<sub>2A</sub> receptor stimulation. (Holmes *et al*, 1998; Assalt *et al*, 2004; Lam *et al*, 2004; Pritchard *et al*, 2008). It should be noted that there is extensive evidence for the loss of 5-HT neurons in PD (D'Amato *et al*, 1987), including the loss of 5-HT nerve terminals and diminished levels of 5-HT transporter binding sites in the striatum. Loss of presynaptic 5-HT neurons would be expected to cause supersensitivity of post-synaptic 5-HT<sub>2A</sub> receptors, whose density has been reported in one study to be reduced by 50% (Chen *et al*, 1998), whereas the 5-HT<sub>1B</sub> (Castro *et al*, 1998; Waeber and Palacios, 1989) and 5-HT<sub>4</sub> (Reynolds *et al*, 1995) receptor density has not been found to differ in post-mortem studies of PD patients from those of comparison groups. However, the number of subjects in these studies is quite small.

Additional clinical evidence in support of the antipsychotic activity of pimavanserin is provided by a randomized, double-blind, multicenter study of patients with chronic schizophrenia in an acute exacerbation, which found that hallucinations and delusions, as well as total psychopathology, were significantly more rapidly alleviated by the combination of 20 mg/day of pimavanserin and subeffective doses of risperidone, 2 mg/day, than by a full dose of risperidone, 6 mg/day (NCT00136616; HY Meltzer *et al*, in preparation). The ability of SR43468B, another selective 5-HT<sub>2A</sub> antagonist, to decrease positive symptoms in acutely psychotic schizophrenia patients provides further support that selective 5-HT<sub>2A</sub> antagonists may be effective as antipsychotic agents in schizophrenia (Meltzer *et al*, 2004).

The findings of this study, if replicated, would suggest that 5-HT<sub>2A</sub> receptor stimulation may be causally related to psychotic symptoms in PDP and that blockade of 5-HT<sub>2A</sub> receptors may be sufficient, in some, but not all cases, to attenuate these symptoms.

## CONCLUSIONS

In conclusion, this small study found that pimavanserin, a selective 5HT<sub>2A</sub> inverse agonist, was safe and well tolerated in patients with PDP. In this patient population, pimavanserin was significantly more effective than placebo, in improving both hallucinations and delusions, as measured by the SAPS global hallucinations and delusions items, and the UPDRS thought disorder measure. These results require further confirmation because there was only a trend in the primary measure preselected to assess antipsychotic efficacy. The findings in this study, if confirmed in two ongoing pivotal studies of pimavanserin in PDP (NCT00658567, NCT00477672), may provide an important new treatment option for treating psychosis in patients with PD, as well as adding new evidence for the ability of 5-HT<sub>2A</sub> receptor blockade to attenuate psychosis in PD.

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