Positron-Emission Tomography and Personality Disorders

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This study used positron-emission tomography to examine cerebral metabolic rates of glucose (CMRG) in 17 patients with DSM III-R diagnoses of personality disorder. Within the group of 17 personality disorder patients, there was a significant inverse correlation between a life history of aggressive impulse difficulties and regional CMRG in the frontal cortex of the transaxial plane approximately 40 mm above the canthomeatal line (CML) (r = -.56, p = 0.17). Diagnostic groups included antisocial (n = 6), borderline (n = 6), dependent (n = 2), and narcissistic (n = 3).

Regional CMRG in the six antisocial patients and in the six borderline patients was compared to a control group of 43 subjects using an analysis of covariance with age and sex as covariates. In the borderline personality disorder group, there was a significant decrease in frontal cortex metabolism in the transaxial plane approximately 81 mm above the CML and a significant increase in the transaxial plane approximately 53 mm above the CML (F[1,45] = 8.65, p = .005; and F[1,45] = 7.68, p = .008, respectively). [Neuropsychopharmacology 10:21–28, 1994]

KEY WORDS: Positron-emission tomography; Cerebral glucose metabolism; Personality disorder; Borderline personality disorder; Antisocial personality disorder; Regional cerebral metabolic rate of glucose

Cerebrospinal fluid (CSF) 5-hydroxyindoleacetic acid (5-HIAA) has been shown to inversely correlate with a life history of aggressive impulse difficulties in patients with personality disorder diagnoses (Brown et al. 1979). This initial study examined 26 patients from nine different personality disorder diagnostic groups. A subse-

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quent study by the same group (Brown et al. 1982) focused on the relationship between aggressive impulse difficulty and CSF 5-HIAA in a single axis II diagnostic category, specifically, borderline personality disorder (BPD). A statistically significant inverse correlation between CSF 5-HIAA and a life history of aggressive impulse difficulty was found in both studies. Other researchers have expanded these findings (Linnoila et al. 1983; Lidberg et al. 1985; van Praag 1986; Virkkunen et al. 1987; Roy et al. 1988).

Consistent with animal and human postmortem studies documenting serotonin subtype-2 (5-HT) receptors in frontal cortex, Wong et al. (1984) documented in vivo 5-HT2 receptor uptake in human frontal cortex using positron-emission tomography (PET) and ¹¹C-N-methylspiperone. Using PET and 18-fluorodeoxyglucose, Benkelfat et al. (1989) found that the serotonergic drug, clomipramine, reduced abnormally high values of regional cerebral metabolic rate of glucose (rCMRG) in the orbital frontal cortex of patients with obsessive-compulsive disorder. It is thus possible that rCMRG in the frontal lobes would be related to other allegedly

serotonergic behaviors such as aggressive impulse difficulties.

Positron-emission tomography has also been used to examine rCMRG in specific nonpsychotic and nonsubstance abuse diagnostic groups, such as obsessivecompulsive disorder (Baxter et al. 1987, 1988, 1989; Benkelfat et al. 1989; Nordahl et al. 1989; Swedo et al. 1989) and panic disorder (Nordahl et al. 1990). For both diagnoses, there were statistically significant increases in rCMRG in the frontal lobes of patients compared to normalcontrol subjects. Some studies of rCMRG in the frontal lobes of patients with schizophrenia or affective disorders have found statistically significant decreases compared to normal controls (Buchsbaum et al. 1984; Baxter et al. 1985, 1989; Cohen et al. 1987, 1989; Martinot et al. 1990). Given the pervasive involvement of the frontal lobe in axis I disorders, the question arises as to whether rCMRG differs in the frontal lobes of axis II disorders compared to normal controls.

The present study was undertaken to investigate the hypotheses that there will be a significant relationship between frontal lobe rCMRG and a life history of aggressive impulse difficulties and to compare frontal lobe rCMRG for normal controls and personality disorder patients.

METHODS

Subjects

Patients were selected over a 1-year period from male and female military personnel who were admitted to the inpatient ward at the National Naval Medical Center. All patients signed informed consent to voluntarily participate and none received remuneration. All pa-

tients were under the supervision of the same staff attending psychiatrist (AHC). To be included, patients had to have an axis II discharge diagnosis according to DSM III-R. All diagnoses were based on an extensive inpatient evaluation and represented the concurrence of the inpatient treatment team. A diagnosis of "personality disorder, not otherwise specified" with the features of a specific diagnosis was grouped under the specific diagnosis.

Exclusion criteria for both the patients and the normal controls included: no significant medical or neurological illnesses, medication-free for at least 6 weeks for normal controls and at least 2 weeks for the patients; no current alcohol or substance abuse; and, for the normal controls, no present or past psychiatric illness.

In the group of 17 personality disorder patients, there were four diagnostic subgroups: antisocial (n =6), borderline (n = 6), dependent (n = 2), and narcissistic (n = 3). All of the antisocial personality disorders were male. Their average age was 23.0 \pm 3.3 years. In the BPD group, there were four females and two males with an average age of 24.8 \pm 5.7 years. Their mean score on the Diagnostic Interview for Borderlines (DIB) was 3.7 ± 1.6 (Gunderson et al. 1981). In addition to their personality disorder diagnoses, 13 of 17 patients had an axis I diagnosis as listed in Table 1. Of these 13 patients, the axis I diagnosis in 10 of them (either major depression or adjustment disorder) was resolved at the time of the PET scan (Table 1). In the group of 17 character disorder patients, there were 12 males and five females. Their average age was 25.2 \pm 4.7 years. Seven of the 17 patients had a history of alcohol abuse; four of six in the antisocial subgroup, two of six in the borderline subgroup, and one of two in the narcissistic subgroup. Two of these 7 had a history of substance

Table 1. Demographic and Diagnostic Data

Subject	Age/Sex	MAS	Axis II	Axis I	Axis I (resolved at scan time)
1	28/M	12	Antisocial	Major depression	No
2	24/M	19	Antisocial	Major depression	Yes
3	25/M	9	Antisocial	Adjustment disorder	Yes
4	21/M	20	Antisocial	_ ′	_
5	19/M	30	Antisocial	_	_
6	21/M	13	Antisocial	Adjustment disorder	Yes
7	35/F	5	Borderline	Major depression	Yes
8	24/F	6	Borderline	Bipolar NOS	No
9	20/F	10	Borderline		_
10	27/F	8	Borderline	Major depression	Yes
11	21/M	4	Borderline	Major depression	Yes
12	21/M	9	Borderline	Adjustment disorder	Yes
13	28/M	18	Dependent	Adjustment disorder	Yes
14	18/M	18	Dependent	Major depression	Yes
15	29/M	21	Narcissistic	Major depression	Yes
16	27/M	14	Narcissistic		_
17	31/F	4	Narcissistic	Dysthmia	No

abuse, one in the antisocial subgroup and the other in the borderline subgroup. All the others denied any history of alcohol or substance abuse.

The normal control group consisted of paid volunteers who responded to advertisements at the National Institute of Mental Health. They were screened for psychiatric and other medical illness by (1) semistructured clinical interview with a psychiatrist (PFG, PJA, et al.), (2) physical examination, and (3) routine laboratory testing. There were 43 subjects in the normal control group; 21 were males and 22 were females. Their average age was 30.2 \pm 9.2 years. All normal control subjects signed informed consent to participate.

Procedure

Within 2 days of the PET scan, a modified version of a previously published life history of aggression rating scale was administered to the patients (Brown et al. 1979). This scale contained nine items that were scored from 0 to 4 based on personal interviews with either PFG or AHC. The overall concept of the scale was maintained; but the distinctions between temper tantrums (item 1), nonspecific fighting (item 2), and specific assaults (item 3) were modified. As previously published, item 3, "specific assaults on people or property" included specific aggressive behavior directed toward another person, toward animals, toward inanimate objects, or toward self (provided it was defined by the patient as a suicidal gesture and not as an attempt). In this study, all aggressive behavior toward other humans was rated as a single entity in item 2, which had previously included some but not all human-directed aggression. All aggressive behavior toward inanimate objects was scored in item 1, which had previously included some (temper tantrums) but not all inanimately directed aggression. Item 3 was then scored solely on the basis of its two remaining categories, aggressive behavior directed toward self or toward animals. Tantrums with self-harm or animal harm were scored under item 3. Furthermore, patients were not asked to distinguish between a suicide gesture and a suicide attempt; either behavior was counted as self-directed aggression. Items 4 through 9 remained unchanged. The items in this modified aggression scale (MAS) are listed in Table 2.

The auditory cortical activation procedure and PET scan methodology have been previously described by several authors including Goyer et al. (1992). Subjects were imaged using a Scanditronix PC-1024 with 256 detectors in each of four rings. Each scan yielded seven transaxial slices with an in-plane FWHM resolution of approximately 6 mm. Four scans were obtained at a separation of 3.8 mm for a total of 28 slices. Images were reconstructed by converting pixel count values to glucose metabolic rate in units of milligrams of glucose/ 100 g of tissue/minute (Brooks 1982; Huang et al. 1980;

Table 2. Modified Aggression Scale (MAS)

Item Number	Behavior		
1	Aggressive behavior directed toward inanimate objects		
2	Aggressive behavior directed toward other humans		
3	Aggressive behavior directed toward self or animals		
4	School discipline		
5	Relationship with civilian superiors		
6	Antisocial behavior not involving the police		
7	Antisocial behavior involving the police		
8	Military disciplinary problems not involving the military judicial system		
9	Military disciplinary problems involving the military judicial system		

Phelps et al. 1979; Sokoloff et al. 1977). Attenuation correction was based on a transmission scan obtained prior to the emission scan. A tracer input curve was calculated from arterial blood sample data.

Image Analysis

The image analysis method has also been previously described by several authors including Goyer et al. (1992), and some material from those publications is repeated below. Following reconstruction, images of the five transaxial planes that most closely resembled a PET template based on the atlas of Matsui and Hirano (1978) were selected from the 28 available slices. A schematic of these five planes is given in Figure 1. Their approximate distance from the canthomeatal line (CML) beginning with plane A and continuing through plane E is 94 mm, 81 mm, 67 mm, 53 mm, and 40 mm. For the extraction of rCMRG, 60 rectangular regions of interest (ROIs) were measured in the five atlas-matched planes of which 25 were in the frontal lobes. The plane selection and ROI selections were done according to the previously published methods (Clark et al. 1985; Cohen et al. 1987) and were performed independently by two raters who were unaware of the identity and diagnosis of the individual being evaluated. There was high reliability between the two independent raters with an average intraclass correlation coefficient of .95 across the regions. The standardized atlas levels and ROIs set have been used successfully in a series of previous studies that have defined localized cortical activation during the attention task and that have described localized brain abnormalities in mentally ill patient groups (Cohen et al. 1987, 1988a, 1988b).

For each rater the rCMRG in all cortical ROIs for all five planes were summed and then divided by the total number of cortical ROIs (n = 49) to obtain an estimate of the average cortical metabolic rate for each sub-

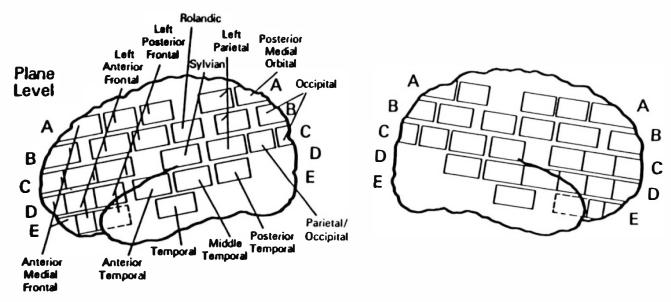


Figure 1. Schematic of ROIs in the left and right hemispheres. Boxes with dashed lines are in frontal cortex with their surface projections on temporal cortex.

ject. This average cortical metabolic rate was used as a denominator for the normalization of rCMRG at each ROI. Intraclass correlation coefficient for average cortical metabolic rate was .99. The mean of the normalized rCMRG from the two raters was then used in the data analysis.

Statistical Analysis

Spearman rank ordering statistics were used for correlation coefficients to examine the relationship between aggressive impulse difficulties and rCMRG within the group of 17 personality disorder patients. Except for whole-brain metabolic rate, normalized ROI data were used for all correlation, according to methods previously published (Cohen et al. 1987; Goyer et al. 1992).

Two separate analyses of variance were used to compare personality disorder patients with normal controls for rCMRG in the frontal cortex. Each analysis was a 2 (diagnosis) \times 5 (plane) \times (ROI within each plane) analysis of covariance (ANOVA) with age and sex serving as covariates to correct for age and sex differences between personality disorder patients and controls. Diagnosis served as a between subjects factor; plane and region served as within subject factors in the analysis. The normal control group was compared with the six antisocial personality disorder patients in the first analysis and with the six BPD patients in the second analysis. The dependent personality disorder group and the narcissistic personality disorder group were not separately analyzed due to the small number of patients in each group, n = 2 and n = 3, respectively.

In addition to these analyses of frontal cortex

metabolism, a series of exploratory ANCOVAs were performed to test for differences between normals and patients for metabolism in 35 additional brain regions. These 35 additional regions were examined individually.

RESULTS

All correlations within the group of 17 personality disorder patients were Spearman rank ordered. There was a weak inverse correlation between whole-brain cortical metabolic rate and group rank on the MAS (r = -.29, p = not significant). Of the five planes evaluated, this inverse correlation was statistically significant in the E plane (r = -.56, p = .017). When all contiguous ROIs of the E plane frontal lobe were grouped, there was a significant inverse correlation with absolute scores on the MAS (r = -.54, p = .025). Within the frontal lobe of the E plane, two individual ROIs significantly correlated with absolute scores on the MAS, anterior medial frontal (r = -.63, p = .006) and left anterior frontal (r = -.56, p = .017). These ROIs are approximately 40 mm above the CML and overlap the superior frontal gyrus and middle frontal gyrus, respectively. The only other ROI in the E plane to show a significant inverse correlation was the right temporal (r = -.49, p = .04). These correlation findings are summarized in Table 3.

No differences were found in frontal cortex metabolism between normals and patients with antisocial personality disorder (F[1,44] < 1), and there was no interaction between diagnosis and plane (F[4,176] < 1).

For the comparison of BPD patients with normals, there was no main effect of diagnosis (F[1,45] < 1)

Table 3. Statistically Significant Spearman Rank Order Correlation Coefficients for Aggressive Impulse Ratings and rCMRG in 17 Patients with Character Disorder Diagnoses

Location	r	p Value
E plane		
Orbital frontal cortex	-0.54	< 0.03
Anterior medial frontal	-0.63	< 0.01
Left anterior frontal	-0.56	< 0.02
Right temporal	-0.49	< 0.04

but a significant interaction of diagnosis with plane (F[4,180] = 2.88, p = .024) indicated the effect of diagnosis should be evaluated separately for each plane using a 2 (diagnosis) by 5 (ROI) ANCOVA.

These analyses revealed significant decreases in frontal cortex metabolism at the B plane (F[1,45] = 8.65,p = .005) in the BPD group, as well as significant increases in frontal cortex metabolism at the D plane (F[1,45] = 7.68, p = .008) in the BPD group compared to normals. There were no interactions between diagnosis and regions within the B plane (F[4,180] < 1) or the D plane (F[1,180] < 1). Normalized frontal cortex glucose metabolic rate at the B plane was 1.077 ± 0.061 for normals and 1.004 \pm 0.048 for patients with BPD. Normalized CMRG in the frontal cortex at the D plane was 1.013 ± 0.055 for normals and 1.084 ± 0.052 for patients with BPD. Mean metabolic rates at individual regions in the frontal cortex are listed in Table 3 for both normals and BPD patients. Statistically significant differences were found in the anterior and posterior ROIs of the B plane and in the anterior medial and posterior ROIs of the prefrontal cortex in the D plane. In the B plane, ROIs are approximately 81 mm above the CML and overlap the middle frontal/inferior frontal gyri and the inferior frontal/precentral gyri, respectively. In the D plane, these ROIs are approximately 53 mm above the CML and overlap the superior frontal gyrus and inferior frontal gyrus, respectively. None of the statistically significant differences listed in Table 4 are in regions salient for the CPT.

When exploratory ANCOVAs were performed for nonfrontal cortical regions in all five planes, two regions in the B plane were significantly decreased in the BPD group compared with the normal controls. Mean normalized rCMRG was lower in the posterior cingulate ROI in BPD patients than in normals [.930 \pm 0.046 [SEM] and 1.03 \pm .016 [SEM], respectively, p < .05] and also lower in the left parietal ROI [.933 \pm 0.028 [SEM] and 1.03 \pm 0.010 [SEM], respectively, p < .02]. An exploratory ANCOVA was also performed for subcortical ROIs in the basal ganglia and thalamus. No statistically significant differences were found. There were no statistically significant differences in normalized

Table 4. Mean Normalized Glucose Metabolic Rates in Frontal Cortex Regions for Normal Volunteers and Patients with BPD*

$(n = 45) \qquad (n = 6)$	
Mean SEM Mean SEM	p Value
Plane A	
Anterior medial 1.003 0.015 0.967 0.043	NS
Left anterior 1.044 0.016 0.997 0.045	NS
Right anterior 1.035 0.014 1.006 0.039	NS
Left posterior 1.035 0.015 0.986 0.043	NS
Right posterior 1.018 0.012 1.003 0.035	NS
Plane B	
Anterior medial 1.030 0.011 0.966 0.030	0.054
Left anterior 1.069 0.011 1.011 0.030	0.074
Right anterior 1.042 0.010 0.974 0.028	0.030†
Left posterior 1.121 0.013 1.035 0.037	0.039†
Right posterior 1.129 0.014 1.010 0.040	0.008^{\dagger}
Plane C	
Anterior medial 0.994 0.012 1.029 0.034	NS
Left anterior 1.056 0.014 1.056 0.040	NS
Right anterior 1.059 0.013 1.091 0.036	NS
Left posterior 1.083 0.014 1.126 0.040	NS
Right posterior 1.102 0.014 1.093 0.038	NS
Plane D	
Anterior medial 0.975 0.009 1.044 0.026	0.019†
Left anterior 1.050 0.011 1.109 0.030	0.072
Right anterior 1.064 0.011 1.128 0.031	0.067
Left posterior 0.992 0.013 1.077 0.036	0.035†
Right posterior 0.986 0.011 1.057 0.030	0.038^{\dagger}
Plane E	
Anterior medial 0.919 0.011 0.952 0.030	NS
Left anterior 0.940 0.014 0.964 0.040	NS
Right anterior 0.963 0.017 0.998 0.048	NS
Left posterior 0.952 0.011 0.994 0.032	NS
Right posterior 0.962 0.014 0.992 0.040	NS

^{*} Individual regions were analyzed using ANCOVA, with sex and age as covariates. p < .05.

rCMRG in cortical or subcortical ROIs when the antisocial group was compared with the normal controls.

Personality disorder subjects did not differ significantly from normals for CPT performance. The average values for hits on the CPT were 185.10 ± 31.44 for the normals and 170.75 \pm 25.99 for the personality disorder patients (p > .10, two-tailed t-test). The average values for false alarms on the CPT were 10.83 \pm 21.54 for the normals and 7.37 \pm 6.65 for the personality disorder patients (p > .35, two-tailed t-test). Performance on the CPT was unrelated to any differences between patients and controls in rCMRG: specifically, there were no significant correlations between CPT hits or CPT false alarms and metabolism at any ROI that differed between groups. Furthermore, there was no relationship between CPT performance and life history of aggressive behavior: specifically, there were no significant correlations between CPT hits or CPT false alarms and the MAS. These findings relative to CPT

are expected because the region of localization of the CPT is in the right frontal ROI in the C plane (Cohen et al. 1988).

DISCUSSION

Aggressive Behavior and rCMRG

A number of authors have reported increased metabolic rates of glucose in regions of the orbital frontal and prefrontal cortex in patients with obsessive-compulsive disorder compared with normal control groups (Baxter et al. 1987, 1988, 1989; Benkelfat et al. 1989; Nordahl et al. 1989; Swedo et al. 1989). In this study, higher normalized rCMRG in the orbital frontal cortex correlates with a history of fewer aggressive behavior impulse difficulties, and a lower normalized rCMRG correlates with a history of more aggressive behavior impulse difficulties. In a separate study, Raine et al. (1992) compared 22 convicted murderers with 22 age and sexmatched controls and found decreased rCMRG in the orbital frontal and prefrontal cortex of the murderers. These findings would be consistent with our findings of an inverse correlation between aggressive impulse difficulties and orbital frontal rCMRG. It is thus possible that there is both a diagnostic and a symptom inhibition relationship to increased rCMRG in orbital frontal cortex. The potential significance of the inverse correlation in the right temporal region requires additional investigation.

Antisocial Personality Disorder and rCMRG

Although there was a within group (n = 17) correlation of aggressive impulse difficulties with normalized rCMRG in the anterior orbital frontal cortex, there were no statistically significant group mean differences between the subgroup of antisocial personality disorder patients (n = 6) and the normal control group (n = 43). Because four of the six patients in the antisocial subgroup had a history of alcohol abuse, there is an unlikely possibility that chronic changes in rCMRG from alcohol abuse could have caused sufficient variability in the data to promote a false negative finding. Because there were only six male antisocial personality disorder patients, it is also possible that the statistical analysis that covaried for sex (and age) did not have sufficient power with this small n to achieve statistical significance.

Borderline Personality Disorder and Frontal Lobe rCMRG

Patients with BPD demonstrated significant differences between normalized rCMRG in two planes of the frontal lobes compared with normal controls, an increase

in the D plane and a decrease in the B plane. As outlined in the beginning of our paper, numerous studies have documented frontal lobe increases in rCMRG for other nonpsychotic and nonsubstance abuse diagnostic groups; these findings, however, have been localized predominantly in the E plane orbital frontal cortex or a combination of orbital frontal and D plane prefrontal cortex. In contrast, the rCMRG increase in the BPD patients is localized in the prefrontal regions of the D plane only. Positron-emission tomography studies in schizophrenia or affective disorder have found statistically significant differences in rCMRG in the prefrontal cortex of the D plane but these were decreases. Significant decreases in normalized rCMRG in the BPD group were found only in the B plane of the frontal lobes. In a PET study of adults with hyperactivity of childhood onset, Zametkin et al. (1990) reported statistically significant bilateral decreases in absolute rCMRG in the frontal lobes of the B plane. Normalized rCMRG was significantly decreased only on the left. With regard to frontal lobe findings, therefore, this group of BPD patients shares some findings with other diagnostic groups but appears to exhibit a unique combination of an increase in CMRG in the D plane frontal lobes and a decrease in the B plane frontal lobes.

Kavoussi et al. (1990) have pointed out discrepancies between various rating scales and BPD as a DSM III diagnosis, so the relatively low average score on the DIB for patients in this study group is not inconsistent with their diagnosis. It does, however, suggest that this group may not be as severely ill as other groups of patients with BPD. This description is further supported by the absence of any axis I diagnosis in five of the six BPD patients at the time of the scan. Also, the scans themselves show no evidence of the C and D plane hypofrontality in patients with schizophrenia or primary affective disorder and no evidence of decreased cortical metabolic rate reported for depressed patients with primary affective disorder (Buchsbaum et al. 1984; Baxter et al. 1985, 1989; Cohen et al. 1987, 1989; Martinot et al. 1990). Consequently, glucose metabolic findings that tend to distinguish this group of BPD patients may differ in a more severely ill group.

Borderline Personality Disorder and Nonfrontal Lobe rCMRG

In areas other than the frontal lobes, the significance of the B plane cingulate decrease in BPD patients is unclear. The significant decrease in normalized rCMRG in the left posterior parietal ROI of the B plane has, however, been reported by Nordahl et al. (1990) for panic disorder and Goyer et al. (1992) for summer seasonal affective disorder. A trend for a decrease in normalized rCMRG (p = .06) was also reported by Nordahl et al. (1989) in a study of patients with obsessive-compulsive disorder. Other parietal lobe findings in that study included decreases in the B plane right posterior parietal ROI (p = .04) and in the C plane left parietal occipital ROI (p = .01). A biologic correlate for a particular symptom, such as anxiety, may thus exist in the posterior parietal area for several nonpsychotic diagnostic groups.

SUMMARY

To the authors knowledge, this is the first report of a statistically significant symptom correlation between a life history of aggressive impulse difficulties and rCMRG in the orbital frontal cortex, and the first report of a unique frontal lobe pattern with significant rCMRG decreases in the B plane and significant rCMRG increases in the D plane of patients with BPD. Because of the small number of subjects in this and other preliminary PET studies, replication is essential. Hopefully, this initial study, in combination with other PET studies of personality disorder patients, will contribute to our understanding of BPD and aggressive impulse difficulties.

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