Tunable Klein-like tunnelling of high-temperature superconducting pairs into graphene

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Superconductivity can be induced in a normal material via the ‘leakage’ of superconducting pairs of charge carriers from an adjacent superconductor. This so-called proximity effect is markedly influenced by graphene’s unique electronic structure, both in fundamental and technologically relevant ways. These include an unconventional form^{1,2} of the ‘leakage’ mechanism—the Andreev reflection³—and the potential of supercurrent modulation through electrical gating⁴. Despite the interest of high-temperature superconductors in that context^{5,6}, realizations have been exclusively based on low-temperature ones. Here we demonstrate a gate-tunable, high-temperature superconducting proximity effect in graphene. Notably, gating effects result from the perfect transmission of superconducting pairs across an energy barrier—a form of Klein tunnelling^{7,8}, up to now observed only for non-superconducting carriers^{9,10}—and quantum interferences controlled by graphene doping. Interestingly, we find that this type of interference becomes dominant without the need of ultraclean graphene, in stark contrast to the case of low-temperature superconductors¹¹. These results pave the way to a new class of tunable, high-temperature Josephson devices based on large-scale graphene.

Superconductivity is induced in a normal metal (N) in contact with a superconductor (S) via the Andreev reflection (AR)³: an electron entering S from N pairs to another electron to form a Cooper pair, leaving a hole-like quasiparticle that is transmitted back into N. Electron and hole coherently propagate with parallel opposite wavevectors, carrying superconducting correlations into N. This mechanism allows supercurrent flow and Josephson coupling across S–N–S junctions¹².

S–N proximity devices that can be greatly tuned by electrostatic doping are one of the main technological prospects of induced superconductivity in graphene^{4,13–16}. Several specific mechanisms allow for that. Besides the density-of-states narrowing at the Dirac point, around which the junction’s resistance increases, subtler effects may play a role. For example, the unusual possibility that the AR-involved electron and hole reside in different bands—conduction and valence—results in a specular Andreev reflection¹ (SAR) in which electron and hole wavevectors are mirror-like. SAR can occur if the graphene’s Fermi energy E_F is lower than the superconducting energy gap Δ , while for $E_F > \Delta$ the conventional (intra-band) AR takes place¹. Thus, an AR to SAR crossover can be driven by shifting E_F through a gate voltage, which dramatically changes the S–N interface conductance².

In the present experiments, tunability results from a different mechanism that involves Klein tunnelling—that is, the reflectionless transmission of electrons across a high energy barrier^{7–10}. Beyond single electrons, here we observe Klein-like tunnelling of Andreev electron–hole pairs that carry superconducting correlations from the high-temperature superconductor $\text{YBa}_2\text{Cu}_3\text{O}_7$ (YBCO) into graphene. That effect is associated with quantum interferences across the barrier, which modulate the overall penetration of superconducting pairs into graphene. Those interferences are gate-tunable because the wavevector of graphene’s massless charge carriers is proportional to E_F . Although extremely sensitive to E_F inhomogeneities comparable to Δ —which has confined their pristine observation to ultraclean exfoliated graphene¹¹—we find that the large Δ of YBCO makes interference effects robust in chemical vapour deposited (CVD) graphene. This increases the technological potential of the observed effects.

Fabricating YBCO/graphene devices with electron-transparent interfaces has remained challenging¹⁷. Contrary to low-temperature superconductors^{2,4,11,13–16,18–20}, YBCO cannot be grown on graphene due to its deposition conditions (hundreds of °C, oxygen-rich atmosphere). Conversely, the surface electronic properties of YBCO are easily degraded by standard graphene fabrication and lithography techniques. To circumvent those constraints, we used 50-nm-thick YBCO films grown on SrTiO_3 (STO) and covered *in situ* with an ultrathin 4 nm Au layer. This protects the YBCO surface and constitutes a high-transparency interface with graphene. Then we applied a masked ion irradiation technique (details in Fig. 1) that allowed us to fabricate planar devices as shown in Fig. 1e: four superconducting YBCO/Au electrodes (bright colour) defined within an insulating YBCO matrix (dark colour) are bridged by an overlaying single-layer CVD graphene sheet. With this device, we study the YBCO/Au/graphene junction conductance using a three-probe configuration, with the current I injected between electrodes 1 and 4 and the voltage V measured between 3 and 4 (sketch in Fig. 1e). In that configuration the contributions of the YBCO leads and the YBCO/Au interface to the measured conductance are negligible (see Supplementary Section 1). Thus, the measurement allows us to probe the Au/graphene conductance and, particularly, the proximity behaviour of that single interface. Notice also that the ultrathin Au layer is expected to sustain proximity-induced d-wave superconductivity (see Supplementary Section 1). We stress that here we do not investigate the Josephson effect across graphene, which is neither observed nor expected because the distance

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Figure 1 | Sample fabrication steps. **a**, Starting with an Au (4 nm)/YBCO (50 nm) film grown on SrTiO₃, photolithography is used to define a resist pattern through which the film is irradiated with 110 KeV O⁺ ions at high fluence ($5 \times 10^{14} \text{ cm}^{-2}$). This turns the unprotected YBCO electrically insulating. Conversely, the resist-covered YBCO maintains superconducting (S) properties. **b**, After subsequently removing Au (via Ar⁺ ion etching) and the resist mask, a planar device is obtained with S electrodes (bright colour) defined within an insulating YBCO matrix (dark). A CVD single-layer graphene sheet is then transferred as reported elsewhere²⁶. **c**, Graphene is patterned using photolithography and oxygen plasma to obtain a channel that bridges the S electrodes. **d**, Sketch of the final device. **e**, Microscope image of an actual device. The graphene layer has been outlined (red-dashed line) for clarity. In green, a sketch of the measurement geometry.

between YBCO/Au electrodes ($\sim 5 \mu\text{m}$) is much larger than the estimated coherence length in graphene $\xi_G = \sqrt{\hbar D/k_B T} \sim 270 \text{ nm}$ at 4 K. Back-gating through the dielectric STO substrate (scheme in Fig. 2c) is used to investigate the effects of doping graphene on the proximity behaviour.

Figure 2a shows the differential conductance $G = dI/dV$ versus V at several temperatures T in zero applied magnetic field. For T well above the critical temperature $T_C \sim 80 \text{ K}$, the V dependence is relatively weak and G decreases with increasing $|V|$. Upon decreasing T below T_C , a large conductance enhancement develops around zero bias, accompanied by two dips near $\pm 20 \text{ mV}$. Those features become more pronounced as T decreases. At the lowest T , the zero-bias conductance is nearly twice the conductance at

$V = 60 \text{ mV}$ (this is well above the YBCO superconducting gap²¹). Magnetic fields up to $H = 6 \text{ kOe}$ had no effect on $G(V)$ (data not shown). In contrast, $G(V)$ is strongly modulated by back-gating.

Following the scheme in Fig. 2c, the application of a gate voltage V_G leads to graphene doping due to the polarization of the substrate (STO) and the irradiated (insulating) YBCO. Fig. 2b illustrates the effects of V_G . For convenience, the normalized conductance $g(V) \equiv G(V)/G_N(V)$ is shown, with $G_N(V)$ the normal-state one at 120 K. The conductance under $V_G = -40 \text{ V}$ (bottom panel) is markedly different from that for $V_G = 0 \text{ V}$ (top panel): changes in the zero-bias peak width and height, in the dips' depth and in the conductance background are observed. Notice, for example, a conductance enhancement ($g > 1$) for $V_G = -40 \text{ V}$ (bottom) within the bias range in which dips and $g < 1$ are observed for $V_G = 0 \text{ V}$ (top). As discussed below, the curves in Fig. 2b constitute two behaviour types between which $g(V)$ periodically switches as a function of V_G .

Figure 3a displays $g(V, V_G)$ in a contour plot generated from a series of measurements such as those in Fig. 2b (data range is $|V| < 30 \text{ mV}$ (around 1.5Δ) and $|V_G| < 100 \text{ V}$ in this figure; the data for the whole experimental window is displayed in Supplementary Fig. 6). The zero-bias peak observed for all V_G (Fig. 2b) appears in the contour plot as a vertical 'band' (in red). The conductance dips observed around $\pm \sim 20 \text{ mV}$ in Fig. 2b show up in the plot as 'pockets' (in purple colour). The background enhancement ($g > 1$) seen in Fig. 2b for $V_G = -40 \text{ V}$ and $|V| < 40 \text{ mV}$ is shown in the plot as a horizontal feature (in green). Purple 'pockets' and green horizontal features alternate periodically along the y -axis—that is, as a function of V_G . This periodic modulation is highlighted in Fig. 3b, which displays $g(V_G)$ for fixed V —this corresponds to vertical 'cuts' of the contour plot, marked with the dashed lines Θ and Σ in Fig. 3a. Notice (Fig. 3b) that the periodic modulation is accompanied by a conductance background decrease for increasing V_G . Further analysis of the oscillatory behaviour can be found in Supplementary Fig. 7.

To interpret the experimental results, we start by considering the conductance across generic superconductor/normal (S/N) interfaces²². In this context, the zero-bias conductance doubling at low T (Fig. 2a) suggests a highly transparent S/N interface governed by AR²² (devices showing reduced transparency are discussed in Supplementary Section 2). The invariance of the conductance curves with respect to the magnetic field is consistent with that scenario. V_G effects imply that graphene is necessarily the 'N' part of the S/N junction, and rule out a possible role of the YBCO/Au interface²³. This is because the device geometry ensures that V_G solely affects graphene that lies on insulating YBCO (dark in Fig. 2c). The electric field is fully screened elsewhere by the superconducting YBCO (bright in Fig. 2c), whose properties are unaffected by V_G because its thickness is ~ 50 times the Thomas–Fermi screening length²⁴.

The overall experimental details are explained by the model sketched in Fig. 3g. This model is based on the expectation that graphene on top of superconducting YBCO/Au becomes superconducting (S) due to the proximity effect¹ and presents a fixed doping E_F' , while graphene on top of insulating YBCO is normal (N) and presents a gate-tunable doping $E_F(V_G)$. Then we assume that there is an intermediate region N' of width w in which graphene is normal but presents different doping than in S and N (see Supplementary Section 5). This scenario leads to a potential energy step U_0 for electrons at the boundary between S and N graphene⁶ (note that replacing S by normal graphene (N) results in a type of graphene N–N'–N junction in which regular—non-superconducting—Klein tunnelling is expected^{7–10}). The conductance across such an S–N'–N junction has been theoretically studied both for s-wave^{5,6,25} and d-wave superconductors^{5,6} (note that in those works exactly the same junction structure was referred to as S–I–N). The central effect arises due to interferences of electrons and holes travelling from the

Figure 2 | Temperature and gate-voltage dependent conductance. **a**, Differential conductance of the YBCO/Au/graphene junction in zero applied field and different temperatures (in K). **b**, Normalized conductance at zero magnetic field and $T = 4$ K for two gate voltages: $V_G = 0$ V (top) and $V_G = -40$ V (bottom). **c**, Scheme of the device cross-section. Graphene, in grey, is superconducting (S) above the superconducting YBCO (light colour), and normal (N' and N) elsewhere. The insulating (Ins) YBCO is depicted in dark colour. The boundary between superconducting and insulating YBCO has a width of a few tens of nanometres (graded colour). Gating is achieved across the dielectric STO substrate.

Figure 3 | Gate-voltage effects: experiment and simulations. **a**, Contour plot of the normalized conductance (colour scale) as a function of junction bias V and gate voltage V_G . This plot is constructed from a series of measurements as those shown in Fig. 2, with $\Delta V_G = 20$ V. **b**, Experimental conductance as a function of gate voltage V_G at fixed bias V , which correspond to vertical 'cuts' of the plot in **a** along the dashed lines labelled Θ and Σ (respectively for $V = 0 \pm 1$ mV and $V = 20 \pm 1$ mV). Various points per V_G exist which denote the measurement uncertainty (see Supplementary Section 7 for further details). The solid line behind the data is a guide to the eye. **c**, Experimental conductance versus bias V for fixed gate voltages V_G . Each panel corresponds to a horizontal 'cut' of the plot in **a** along the lines labelled A, B, C, D (respectively for $V_G = 60, 40, 0$ and -100 V). The arrow points to the conductance dip below $eV = \Delta$. **d-f**, Numerical simulations corresponding to the measurements in **a-c**. Notice that in the simulation the bias V is normalized by the superconducting gap Δ , and the experimental quantity V_G is replaced by the phase χ . **g**, Schematic of the theoretical model. A potential step of width w and height U_0 defines a region of normal graphene (N') that separates superconducting graphene with Fermi energy E_F^S from normal graphene (N) with gate-tunable Fermi energy $E_F(V_G)$.

N'-N to the S-N' interface (and vice versa after reflection at the S-N' interface). Depending on the phase $\chi = -wk_F$ picked up by electrons/holes across N' (with k_F the Fermi vector), constructive or

destructive interference occurs, which periodically modulates the junction conductance as a function of χ ⁶. Thus, due to graphene's linear dispersion, $\chi = w(E_F - U_0)/\hbar v_F$ (with v_F the Fermi velocity)

and a periodic conductance modulation as a function of E_F is expected. That can explain the gating effects observed in Fig. 3a,b. To support this, we used the existing theory⁶ to perform the numerical simulations shown in Fig. 3d–f.

Simulations of $g(eV/\Delta, \chi)$ require several input parameters: the native Fermi energy in N, $E_F(0)$; the Fermi energy in S, E_F' ; the proportionality factor between E_F variation and phase shift $\delta E_F/\delta \chi$; and the angle α between the d-wave nodes and the S–N' interface⁵. As discussed in Supplementary Section 4, most of these parameters are known from independent experiments, and only α and E_F' remain as fitting parameters. Figure 3d shows the best agreement between theory and experiments, obtained for $\alpha = \pi/4$, $E_F' = 20\Delta$, $E_F(0) = 17.5\Delta$, and $\delta E_F/\delta \chi = 5\Delta/3\pi$. Notably, all of the characteristic features seen in the experimental $g(V, V_G)$ (Fig. 3a) can be found in Fig. 3d: a vertical band (in red) that corresponds to a zero-bias conductance peak due to AR, and a periodic modulation along the y -axis in which horizontal features due to enhanced conductance (in green) alternate with 'pockets' (in purple). Figure 3e shows vertical 'cuts' of the theoretical plot along the lines Θ and Σ , which closely mimic the experimental ones (Fig. 3b). 'Cuts' along the x -axis (labelled A, B, C, D) are also shown for experiments and theory, respectively, in Fig. 3c,f. Their comparison show that the simulations not only successfully reproduce the periodic gating effects, but also the essential details of the experimental $g(V)$: a conductance enhancement around zero bias, 'dips' around $eV \sim \Delta$, and the evolution of these features with V_G (phase χ). The overall agreement between simulation and experiment demonstrates that the used model⁶ captures the physics of the studied system. Further improving the match between theory and experiment, particularly for $eV > \sim 1.5\Delta$ where discrepancies appear (see Supplementary Fig. 6), may require refining the model, for example, by considering different barrier profiles (for example, trapezoidal instead of square), smeared or rough S/N'/N interfaces, and so on (see discussion in Supplementary Section 5). We hope that the present experiments will motivate that work.

In summary, the periodic modulation of the conductance is explained by electron interferences within an energy barrier that separates normal and superconducting graphene. The barrier is essentially transparent to electron/hole superconducting pairs (as well as for normal electrons) when the phase picked across the barrier is $\chi = n\pi$, which corresponds to Klein tunnelling of superconducting pairs. Thus, the interferences allow for an electrostatic tuning of the superconducting proximity effect, since the fraction of the current carried by electron–hole superconducting pairs (created by AR) is modulated by $\chi(V_G)$ (ref. 6). These results open interesting perspectives. For instance, experiments aimed at selecting the pairing symmetry induced in graphene via the control of the orientation of the graphene and YBCO lattices²⁶, gate-tunable high-temperature Josephson devices using large-scale—not necessarily ultraclean—graphene, and possibly more elaborate devices in which those effects, the salient features of d-wave superconductivity and the directional nature of Klein tunnelling⁷ may be exploited together, for example, to create tunable 'pi' Josephson junctions²⁷.

Note added in proof: During the resubmission of the paper we learnt about the publication of related scanning tunnelling microscopy experiments of graphene on a different cuprate superconductor²⁸.

Methods

Methods, including statements of data availability and any associated accession codes and references, are available in the [online version of this paper](#).

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Author contributions

J.E.V. and P.S. conceived the experiments. R.B. fabricated the YBCO films. P.R.K., M.-B.M. and S.H. fabricated the graphene sheets. F.A.C., fabricated the devices, with contributions from D.P., B.D., R.G. and M.P.-B. F.A.C. performed transport experiments,

with contributions of D.P. and C.M.-L. D.P. performed numerical simulations. The figures were prepared and the paper written by D.P., F.A.C. and J.E.V., with contributions from all the other authors. All of the authors participated in the discussion of the results.

Additional information

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Competing financial interests

The authors declare no competing financial interests.

Methods

c-axis YBCO thin films (50 nm thick) were grown on (100) SrTiO₃ (STO) substrates (500 μm thick) by pulsed-laser deposition at 700 °C and 0.35 mbar of pure oxygen. The optimum oxygen stoichiometry is ensured by cooling down to room temperature in 800 mbar of pure oxygen. Subsequently the chamber base pressure (3×10^{-6} torr) is reinstated, and an ultrathin Au film (~4 nm thick) is deposited *in situ* on top of the YBCO to protect its surface. YBCO *c*-axis epitaxial growth is confirmed by reflection high-energy electron diffraction (*in situ*) and X-ray diffraction (*ex situ*), and film thicknesses are determined by X-ray reflectivity. Atomic force microscopy of the Au/YBCO bilayer shows flat surfaces with typical r.m.s. roughness ~3 nm.

Graphene transfer onto the lithographed devices was done as reported elsewhere²⁹.

Electrical characterization within the 3 K–300 K range was carried in a He-flow cryostat equipped with a 6kOe electromagnet. In the three-probe configuration, the current is injected between contacts 1 and 4, and the voltage probes are attached to contacts 3 and 4 (see image in Fig. 1e). $dI/dV(V)$ is obtained using the current-biased Keithley delta mode with a coupled K2182 nanovoltmeter and K6221 current source.

Data availability. The data supporting the plots within this paper and other findings of this study are available from the corresponding author on reasonable request.

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