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Direct synthesis of formic acid from carbon dioxide by hydrogenation in acidic media

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The chemical transformation of carbon dioxide into useful products becomes increasingly important as CO₂ levels in the atmosphere continue to rise as a consequence of human activities. In this article we describe the direct hydrogenation of CO₂ into formic acid using a homogeneous ruthenium catalyst, in aqueous solution and in dimethyl sulphoxide (DMSO), without any additives. In water, at 40 °C, 0.2 M formic acid can be obtained under 200 bar, however, in DMSO the same catalyst affords 1.9 M formic acid. In both solvents the catalysts can be reused multiple times without a decrease in activity. Worldwide demand for formic acid continues to grow, especially in the context of a renewable energy hydrogen carrier, and its production from CO₂ without base, via the direct catalytic carbon dioxide hydrogenation, is considerably more sustainable than the existing routes.

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Concerns over carbon dioxide levels in the atmosphere, which have reached *ca.* 400 p.p.m.^{1,2}, are leading to political targets and scientific/technological efforts to reduce CO₂ emissions and to capture CO₂ and store it within porous rock formations^{3–6}. Converting CO₂ into useful feedstock chemicals and fuels represents another important strategy that not only removes CO₂ from the atmosphere, but also reduces dependence on petrochemicals^{7,8}. In this context much progress has been made in recent years and several reactions have been commercialized. Examples of products now derived from CO₂ include urea, salicylic acid and polyols³. Recently, a company in Iceland started using renewable energy to convert CO₂ into methanol, in the region of 1,600 T per year⁹. However, human activity contributes ~ 35 GT of CO₂ to the atmosphere per year and there is clearly a considerable gap between the amount of CO₂ produced and the amount consumed.

Ideally a multitude of chemical products should be derived from CO₂ (ref. 10) and an important one, as it may be employed as both a feedstock chemical and a fuel, is formic acid. Currently worldwide production of formic acid, obtained from the combination of methanol and carbon monoxide with a strong base, is in the order of 800,000 T per year and is used in the textile industry, cleaning and as a preservative, to name a few. Demand could increase considerably due to the development of efficient formic acid dehydrogenation catalysts that could find widespread application within the context of a hydrogen economy¹¹. Today, with the exception of fossil fuels, practical hydrogen storage systems use pressurized bottles or cryogenic conditions¹². As formic acid (HCOOH) has a volumetric hydrogen density of 53 g of H₂ per liter, a low-toxicity and is a liquid under ambient conditions, it is an ideal hydrogen storage material for certain applications^{13,14}. Hydrogen production from formic acid has been achieved with a variety of homogeneous and heterogeneous catalysts^{15,16}. Although most catalysts are based on noble metals, recent complexes based on iron (a cheap and abundant element) highlight the validity of such systems within the context of a hydrogen economy¹⁷. The concept of the hydrogen storage in the form of formic acid would, however, be even more attractive should a viable catalyst for the reverse reaction become available, that is, a catalyst that reduces carbon dioxide with hydrogen and in the absence of a base^{18–22} (Fig. 1).

Several catalysts are available for both reactions, and in general, hydrogen production or consumption is controlled by the pH of the solution^{23–26}. However, the conversion of carbon dioxide into formic acid is difficult owing to the high kinetic and thermodynamic stability of CO₂. The gas phase reaction has a positive ΔG^0 value because of an entropic contribution ($\Delta G^0 = +33$ kJ mol^{–1}), and the formation of formic acid is more favourable in aqueous solution ($\Delta G^0 = -4$ kJ mol^{–1}) (ref. 20). For reactions performed in water, the CO₂/H₂CO₃/HCO₃[–] equilibrium, as well as the second deprotonation to CO₃^{2–}, must be taken into account (Supplementary Fig. 1). From these equilibria and the kinetic studies performed on the hydrogenation of bicarbonates at different pH values²⁷ it is apparent that the reaction is favourable in basic media, conditions where the actual substrate is HCO₃[–] ($\Delta G^0 = -35$ kJ mol^{–1}) or CO₃^{2–}.

Figure 1 | The formic acid/carbon dioxide cycle for hydrogen storage.

In contrast to the direct reaction of H₂ with CO₂, the hydrogenation of carbonate and bicarbonate are well-established reactions^{28–30} that have been achieved in a wide variety of organic solvents, ionic liquids, water and supercritical CO₂ (ref. 20). Currently, catalysts that show high activity in the hydrogenation of CO₂ require additives such as bases^{31,32}, including amines³³ or buffers^{34,35}, producing formate salts. Ogo *et al.*^{34,35} were able to produce formic acid (0.06 M) from the direct reaction of H₂ with CO₂ in an acidic buffer. The presence of base also complicates the separation of formic acid from the reaction mixture although ingenious approaches have been developed to overcome these complications^{30,36}. Herein, we describe a homogeneous ruthenium catalyst that hydrogenates CO₂ to formic acid in acidic media, without the need of any bases, amines or buffers. In aqueous solution, 0.2 M formic acid can be obtained, in dimethyl sulphoxide (DMSO) the ruthenium(II) phosphine catalyst provides 1.9 M formic acid.

Results

Direct carbon dioxide hydrogenation in water. In our initial studies the hydrogenation of CO₂ was explored in acidic aqueous solutions using ruthenium(II) or rhodium(I) catalysts with water soluble phosphine ligands, that is, 1,3,5-triaza-7-phosphaadamantane (PTA), 3-methyl-1,3,5-triaza-7-phosphaadamantane (MePTA), meta-monosulphonated triphenylphosphine (TPPMS) and meta-trisulphonated triphenylphosphine (TPPTS; Supplementary Table 1). From these studies [RuCl₂(PTA)₄] was found to be the most active catalyst affording formic acid as the only product under the reaction conditions (at the end of the reaction the pH of the solution is 2.70, Fig. 2 and Supplementary Fig. 2). The ruthenium catalysts were typically 10 times more active than the rhodium analogues and the highest yields were obtained for complexes containing PTA-type ligands, that is, similar activities were observed for the PTA and MePTA ligands, whereas complexes with TPPMS and TPPTS were less

Figure 2 | ¹³C NMR signals of DCOOD in the hydrogenation reactions of CO₂ into formic acid in D₂O. [RuCl₂(PTA)₄] (2.76 mM) was dissolved in D₂O (2 ml) under N₂ atmosphere in a medium pressure sapphire NMR tube. This solution was pressurized at room temperature to 50 bar with CO₂ and completed to 100 bar with H₂. The system was heated to 60 °C and the reaction was followed by ¹³C NMR (100 MHz) spectroscopy. The figure shows the evolution of the ¹³C NMR signals of DCOOD at 166.3 p.p.m. (time difference between spectra $\Delta t = 189$ min). The triplet signal of the formic acid is due to the exchange of the hydrogen to deuterium in HCOOH (as D₂O was used as solvent). Reaction time: 148 h.

Table 1 | Formic acid formation as function of the catalyst concentration (conc.), pressure and $P(\text{H}_2)/P(\text{CO}_2)$ ratio*.

Entry	Catalyst conc. (M)	Pressure (bar)	$P(\text{H}_2)/P(\text{CO}_2)$	$\text{HCOOH}^\dagger$ (M)	TON [‡]
1	0.00276	60	1	0.030	11
2	0.00276	70	1	0.042	15
3	0.00276	80	1	0.047	17
4	0.00276	100	1	0.083	30
5	0.00276	100	2.3	0.086	31
6	0.00276	100	4	0.070	25
7	0.00276	120	1.5	0.095	34
8	0.00276	150	2	0.124	45
9	0.00276	200	3	0.204	74
10	0.00063	100	1	0.100	159
11	0.00546	100	1	0.070	13
12	0.00063	60	1	0.035	56
13	0.00546	60	1	0.029	5

* $[\text{RuCl}_2(\text{PTA})_4]$ was dissolved in H_2O (2 ml) under N_2 atmosphere. This solution was pressurized at room temperature under CO_2 followed by H_2 to the required pressure. The system was heated at 60°C and stirred until the equilibrium of the reaction was reached (48–84 h). The final yield of formic acid was determined by ^1H NMR spectroscopy with DSS (DSS, 4,4-dimethyl-4-silapentane-1-sulphonic acid) as an internal standard.

[†]Average values from three to six measurements with a reproducibility of $\pm 15\%$.

[‡]Turn over number (TON), that is, the number of moles of CO_2 (or H_2) that one mole of catalyst converts into HCOOH .

Figure 3 | Pressure dependence on the concentration of formic acid obtained in the catalytic hydrogenation of CO_2 . Conditions: the catalyst was dissolved in 2 ml H_2O ($[\text{RuCl}_2(\text{PTA})_4] = 2.76 \text{ mM}$) under N_2 atmosphere. This solution was pressurized at room temperature with CO_2 (50 bar) and completed with H_2 to the desired pressure. The system was heated at 60°C and stirred until the equilibrium of the reaction was reached (72–96 h). The final yield of formic acid was determined by ^1H NMR spectroscopy with DSS as an internal standard. The values are averaged from three to six measurements with a reproducibility of $\pm 15\%$. The trend line is shown as a guide and is not a mathematical fit of the data.

active. The reaction conditions were optimized for $[\text{RuCl}_2(\text{PTA})_4]$ to improve performance and the dependence of the catalyst concentration, pressure, $P(\text{H}_2)/P(\text{CO}_2)$ ratio (Table 1) and temperature (Supplementary Table 2) on formic acid production was elucidated.

Under relatively mild conditions (60°C , 30 bar CO_2 , 30 bar H_2 , 2.76 mM catalyst), $[\text{RuCl}_2(\text{PTA})_4]$ hydrogenates CO_2 to afford a 0.03 M formic acid solution. The concentration of formic acid produced depends on the gas pressure. With a $P(\text{H}_2)/P(\text{CO}_2)$ ratio of 1 increasing the total gas pressure from 60 bar to 100 bar affords a 0.083 M formic acid solution (Table 1), and at a total gas pressure of 200 bar the concentration of formic acid obtained is 0.204 M (Fig. 3).

The reaction was also studied at temperatures ranging from 23 to 90°C under standard conditions ($[\text{RuCl}_2(\text{PTA})_4] = 2.76 \text{ mM}$, total pressure = 100 bar and $P(\text{H}_2)/P(\text{CO}_2)$ pressure ratio = 1, see Fig. 4 and Supplementary Fig. 3 and Supplementary Table 2). As the hydrogenation of CO_2 is exothermic, increasing temperature reduces the final formic acid concentration, which decreases to 0.032 M at 90°C . The temperature dependence of the reaction rate follows the Arrhenius law from which activation enthalpy of $+96 \text{ kJ mol}^{-1}$ was obtained (Supplementary Fig. 4).

Figure 4 | Influence of temperature on the hydrogenation of CO_2 to formic acid using $[\text{RuCl}_2(\text{PTA})_4]$ as the catalyst: 90°C (brown circle), 60°C (red diamond), 50°C (blue square), 40°C (green asterisk) and 30°C (pink triangle). Conditions: $[\text{RuCl}_2(\text{PTA})_4]$ was dissolved in H_2O (2.76 mM, 2 ml), under N_2 atmosphere. The solution was pressurized at room temperature with CO_2 (50 bar) and completed with H_2 to 100 bar. The system was heated to the desired temperature and the reaction was monitored by ^1H NMR spectroscopy until the equilibrium of the reaction was reached. The values are averaged from three to six measurements with a reproducibility of $\pm 15\%$.

Using the optimized catalytic system, recycling experiments were performed and it was found that the catalyst could be reused without the loss of activity (Supplementary Table 3).

Carbon dioxide hydrogenation in other solvents. As $[\text{RuCl}_2(\text{PTA})_4]$ is able to directly hydrogenate carbon dioxide in aqueous acidic solution, that is, at $\text{pH} = 2.70$, further studies were performed in water-miscible ionic liquids, that is, 1-butyl-3-methylimidazolium tetrafluoroborate ($[\text{BMIM}][\text{BF}_4]$) and 1-butyl-3-methylimidazolium tosylate ($[\text{BMIM}][\text{tos}]$ where $\text{tos} = \text{the } p\text{-CH}_3\text{C}_6\text{H}_4\text{SO}_3^- \text{ anion}$), and in tetrahydrofuran and DMSO (Table 2).

High activities were observed in DMSO/ H_2O with the catalytic activity increasing as the water/solvent ratio was decreased.

Table 2 | Catalytic hydrogenation of carbon dioxide to formic acid in different solvent mixtures.

Entry	Solvent	H ₂ O (%)	Solvent (%)	HCOOH (M)	TON
1	H ₂ O	100	—	0.11	40
2	[BMIM][BF ₄]	50	50	0.15	54
3	[BMIM][tos]	52	48	0.19	69
4	[BMIM][tos]	40	60	0.10	36
5	[BMIM][BF ₄]	20	80	0.14	51
6	[BMIM][BF ₄]	10	90	0.12	43
7	THF	50	50	0.095	34
8	DMSO	50	50	0.34	123
9	DMSO	20	80	0.98	355
10	DMSO	10	90	1.31	475

THF, tetrahydrofuran.

Reaction conditions: [RuCl₂(PTA)₄] (2.76 mM), *P*(total) = 100 bar, *P*(H₂)/*P*(CO₂) ratio of 1, *t* = 50 °C, reaction time 120 h, solvent (2 ml containing DSS, 0.0130 M), average values of several (two to three) measurements for each cycle, reproducibility is ± 15%. **Figure 5 | Pressure effect on the formic acid yield using [RuCl₂(PTA)₄] catalyst in DMSO.** Reaction conditions: [RuCl₂(PTA)₄] (2.76 mM), *t* = 50 °C, *P*(H₂)/*P*(CO₂) ratio of 1, reaction time 120 h, DMSO (2 ml), average values of several (two to six) measurements. The trend line is shown as a guide and is not a mathematical fit of the data.

In pure DMSO at 50 °C, a 1.93 M formic acid solution was obtained at 100 bar with a *P*(H₂)/*P*(CO₂) ratio of 1. Extensive investigations on the activity of [RuCl₂(PTA)₄] in pure organic solvents, that is, alcohols, acetonitrile, propylene carbonate and toluene (Supplementary Table 4), revealed that the catalyst is active in several solvents, although none were as effective as DMSO. Further screening of other catalysts in DMSO (Supplementary Table 5) confirmed the superiority of [RuCl₂(PTA)₄] in the hydrogenation of CO₂, that is, [RuCl₂(PTA)₄] is ~20 times more active in DMSO compared with the other catalysts evaluated. Hence, the efficiency of [RuCl₂(PTA)₄] in DMSO was studied as a function of the total gas pressure (Fig. 5 and Supplementary Table 6) and the *P*(H₂)/*P*(CO₂) partial pressure. Similar trends were observed to those in water.

The dependence of the final formic acid concentration and the reaction rate as function of temperature was determined in DMSO (Fig. 6, Supplementary Fig. 5 and Supplementary Table 7). Similar to the aqueous system, the final formic acid concentration increases as the temperature decreases, whereas the reaction rate increases with increasing temperature.

The catalyst, [RuCl₂(PTA)₄], was recycled and reused several times (Table 3) without any decrease of activity observed, resulting in a total turn over number (TON) of 749 after four cycles and indicating that considerably higher TONs may be achieved.

Mechanistic studies with [RuCl₂(PTA)₄] catalyst. Moreover, possible catalytic intermediates were identified under catalytic conditions using medium pressure sapphire tubes, by nuclear magnetic resonance (NMR) spectroscopy. The [RuCl₂(PTA)₄]

Figure 6 | The influence of temperature on the hydrogenation of CO₂ to formic acid using [RuCl₂(PTA)₄] in DMSO. Conditions: the catalyst was dissolved in 2 ml DMSO ([RuCl₂(PTA)₄] = 2.76 mM) under N₂ atmosphere. This solution was pressurized at room temperature with CO₂ (50 bar) and completed with H₂ to 200 bar (*P*(H₂)/*P*(CO₂) = 3). The system was heated to the desired temperature and stirred until the equilibrium of the reaction was reached. The final yield of formic acid was determined by ¹H NMR spectroscopy with DSS as an internal standard. The trend line is shown as a guide and is not a mathematical fit of the data. The values are averaged from three to six measurements with a reproducibility of ± 15%.

catalyst, both in water and in DMSO-*d*₆, together with DSS (4,4-dimethyl-4-silapentane-1-sulphonic acid) as an internal standard, was pressurized to 100 bar with a *P*(H₂)/*P*(CO₂) pressure ratio of 1 and heated at 50 °C. The hydride region of the resulting ¹H NMR spectra reveals the presence of monohydride and dihydride species, that is, [RuH₂(PTA)₄] and [RuH(PTA)₄Cl], assigned from a multiplet at −11.2 p.p.m. and a doublet of quartets at −9.2 p.p.m., previously observed in aqueous solution (Supplementary Fig. 6)³⁷. In the ¹H{³¹P} NMR spectra, the two hydride signals were shown to collapse into singlet resonances (Supplementary Fig. 7). On the basis of these observations and prior literature^{18,37}, a tentative catalytic cycle can be proposed (Fig. 7).

Discussion

The [RuCl₂(PTA)₄] complex catalyses the direct hydrogenation of CO₂ in DMSO to afford formic acid at unprecedentedly high concentrations, that is, 1.9 M (~15% in volume). Importantly, the catalyst operates in the absence of base (or any other additives) and is highly stable and can be recycled and reused without loss of activity. As demand for formic acid continues to grow, notably as a potential hydrogen carrier (HCOOH can

Table 3 | Recyclability of $[\text{RuCl}_2(\text{PTA})_4]$ the carbon dioxide hydrogenation in DMSO.

Cycle	HCOOH (M)	TON
1	1.8	176
2	2.1	206
3	1.8	557
4	1.9	749

$[\text{RuCl}_2(\text{PTA})_4]$ (2.76 mM) was dissolved in DMSO (2 ml) under N_2 atmosphere. The system was pressurized at room temperature to 50 bar with CO_2 and completed with 50 bar H_2 . The system was heated at 60 °C and stirred for 120 h until equilibrium of the reaction was reached, average values of several (two to three) measurements are reported with a reproducibility of $\pm 15\%$.

Figure 7 | Catalytic cycle. Proposed catalytic cycle for the hydrogenation of carbon dioxide using $[\text{RuCl}_2(\text{PTA})_4]$ in water or DMSO.

selectively decompose into H_2 and CO_2 , Supplementary Figs 8 and 9)^{38–40}, viable catalytic processes that directly convert CO_2 into formic acid, such as the one described herein may help to propel a hydrogen-based economy.

Methods

General considerations. NaHCO_3 , NaOH , HCl , HCOOH and sodium 3-(trimethylsilyl)-1-propanesulfonate (DSS) were purchased from Fluka, BASF or Merck. H_2 (99.95%) and CO_2 (99.9%) were acquired from Carbogas-CH, enriched $^{13}\text{CO}_2$ (99% in ^{13}C) was obtained from Cambridge Isotope Laboratories. Double distilled water, DMSO (Sigma-Aldrich), methanol (Fluka), ethanol (Fluka), acetonitrile (Merck), propylene carbonate (Acros), toluene (Merck), ionic liquids [BMIM][BF₄] and [BMIM][tos] (Fluka) were used as solvents. Ligands PTA, TPPTS and TPPMS were purchased from ABCR and Fluka, respectively. The ligand MePTA and the complexes $[\text{RuCl}_2(\text{PTA})_4]$, $[\text{RhCl}(\text{TPPMS})_3]$, $[\text{RuCl}_2(\text{PTA})(9\text{-aneS}_3)]$, $[\text{Ru}(\text{H}_2\text{O})_4(\text{MePTA})_2](\text{tos})_4$, $[\text{RuCl}_2(\text{TPPMS})_2]$, $[\text{RuCl}_2(\text{TPPTS})_2]$ and $[\text{RuCl}_2(\text{p-cymene})_2]$ were synthesized according to the literature^{41–50}.

All manipulations were carried out under oxygen-free conditions with degassed solvents, using Schlenk line techniques with N_2 as a protective gas. The reactions were carried out in medium pressure sapphire NMR tubes up to 100 bar and were followed by ^1H and ^{13}C NMR spectroscopy. At higher pressures Parr autoclaves (25 ml, SS 316) were used. NMR spectra were recorded on a Bruker DRX 400 NMR spectrometer and the spectra were fitted with the program WIN-NMR. Formic acid concentrations were determined by quantitative ^1H and ^{13}C NMR, by ion chromatography using an ICS-90 system and by HPLC with an Agilent 1260 Infinity instrument.

Homogeneous catalytic hydrogenation reactions. Preliminary studies with different catalysts were performed in a multi-autoclave (HEL CAT 7) by preparing a 2.76×10^{-3} M (2.76 mM) solution of the corresponding catalyst in degassed water (2 ml). The solutions were then pressurized up to 30 bars of CO_2 and then completed up to 60 bars with H_2 (partial H_2 pressure = 30 bar). The system was heated to 60 °C and stirred until the reaction was completed. Formic acid yields were determined by ^1H NMR spectroscopy using DSS as an external standard ($c = 0.0130$ M), and were verified by ionic chromatography and by HPLC.

For kinetic measurements, catalysts were dissolved in degassed solvents (2 ml), which were introduced into a sapphire NMR tube or into an autoclave under N_2 atmosphere. The solutions were pressurized up to 20–55 bars with CO_2 and then completed to 60–200 bar with H_2 . The system was heated to the required temperature (23–135 °C) and shaken/stirred. In the sapphire NMR tubes, the evolution of $[\text{HCOOH}]$ and $[\text{CO}_2]$ was followed by quantitative ^1H or ^{13}C NMR spectroscopy with DSS as an internal standard⁵¹. For the autoclaves the yield of formic acid was determined by ^1H NMR measurement in the final solution, with DSS as external standard (solution of 0.0130 M), and controlled by ionic chromatography and by HPLC. During the studies using different organic solvents (in which DSS is insoluble), the final formic acid concentrations were determined by ^1H NMR using the standard addition method (with a known $[\text{HCOOH}]$ solution), and controlled by ionic chromatography and by HPLC.

The dissolved CO_2 and H_2 concentrations were monitored by ^{13}C and ^1H NMR during the catalytic hydrogenation reactions using medium pressure sapphire NMR tubes^{52,53}, and display distinct gas and liquid phases under the reaction conditions.

Recycling experiments. Catalyst recycling experiments were performed using 10 mM $[\text{RuCl}_2(\text{PTA})_4]$ solutions in water or in DMSO, with a pressure $P(\text{total}) = 100$ bar, $P(\text{H}_2)/P(\text{CO}_2)$ ratio of 1, $t = 60$ °C. Once the reaction reached equilibrium, the systems were depressurized, and the formic acid concentrations were determined. The solvent and the formic acid were removed under vacuum and the remaining solid catalyst was dissolved in the appropriate solvent (H_2O or DMSO), pressurized to 100 bar, $P(\text{H}_2)/P(\text{CO}_2)$ ratio of 1. After each reaction, the formic acid concentration was determined by ^1H NMR spectroscopy and the recycling procedure was repeated. In addition, the resulting formic acid could be dehydrogenated into hydrogen and carbon dioxide using an immobilized catalyst described previously⁴⁰.

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Author contributions

S.M. carried out the syntheses and the kinetic measurements; P.J.D. was involved in scientific discussions; G.L. designed and directed the project, supervised the research work; S.M., P.J.D. and G.L. participated in the preparation of the manuscript.

Additional information

Supplementary Information accompanies this paper at <http://www.nature.com/naturecommunications>

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