

# Clinicopathologic and molecular features of sporadic early-onset colorectal adenocarcinoma: an adenocarcinoma with frequent signet ring cell differentiation, rectal and sigmoid involvement, and adverse morphologic features

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Recent literature suggests an increasing incidence of colorectal carcinoma in young patients. We performed a histologic, molecular, and immunophenotypic analysis of patients with sporadic early-onset ( $\leq 40$  years of age) colorectal carcinoma seen at our institution from the years 2000–2010 and compared these tumors to a cohort of consecutively resected colorectal carcinomas seen in patients  $>40$  years of age. A total of 1160 primary colorectal adenocarcinomas were surgically resected for the years 2000 through 2010. Of these, 75 (6%) were diagnoses in patients  $\leq 40$  years of age of which 13 (17%) demonstrated abnormalities in DNA mismatch repair, 4 (5%) were in patients with known germline genetic disorders (two patients with familial adenomatous polyposis, one patient with juvenile polyposis, and one patient with Li-Fraumeni syndrome), and three patients (4%) had long-standing chronic inflammatory bowel disease. The sporadic early-onset colorectal carcinoma group comprised a total of 55 patients (55/1160, 5%) and were compared with a control group comprising 73 consecutively resected colorectal carcinomas with proficient DNA mismatch repair in patients  $>40$  years of age. For the early-onset colorectal carcinoma group, most cases (33/55, 60%) were diagnosed between the age of 35 and 40 years of age. Compared with the control group, the early-onset colorectal carcinoma group was significantly different with respect to tumor location ( $P < 0.007$ ) with 80% (44/55 cases) identified in either the sigmoid colon (24/55, 44%) or rectum (20/55, 36%). Morphologically, early-onset colorectal carcinomas more frequently displayed adverse histologic features compared with the control colorectal carcinoma group such as signet ring cell differentiation (7/55, 13% vs 1/73, 1%,  $P = 0.021$ ), perineural invasion (16/55, 29% vs 8/73, 11%,  $P = 0.009$ ) and venous invasion (12/55, 22% vs 4/73, 6%,  $P = 0.006$ ). A precursor adenomatous lesion was less frequently identified in the early-onset colorectal carcinoma group compared with the control group (19/55, 35% vs 39/73, 53%,  $P = 0.034$ ). Of the early-onset colorectal carcinomas, only 2/45 cases (4%) demonstrated *KRAS* mutations compared with 11/73 (15%) of the control group colorectal adenocarcinomas harboring *KRAS* mutations, although this difference did not reach statistical significance ( $P = 0.13$ ). *BRAF* V600E mutations were not identified in the early-onset colorectal carcinoma group. No difference was identified between the two

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groups with regard to tumor stage, tumor size, number of lymph node metastases, lymphatic invasion, tumor budding, mucinous histology, or tumor-infiltrating lymphocytes. Both groups had similar recurrence-free ( $P=0.28$ ) and overall survival ( $P=0.73$ ). However, patients in the early-onset colorectal carcinoma group more frequently either presented with or developed metastatic disease during their disease course compared with the control colorectal carcinoma group (25/55, 45% vs 18/73, 25%,  $P=0.014$ ). In addition, 8/55 patients (15%) in the early-onset colorectal carcinoma group developed local recurrence of their tumor while no patients in the control colorectal carcinoma group developed local recurrence ( $P<0.001$ ), likely due to the increased incidence of rectal carcinoma in the patients with early-onset colorectal carcinoma. Our study demonstrates that colorectal carcinoma is not infrequently diagnosed in patients  $\leq 40$  years of age and is not frequently the result of underlying Lynch syndrome or associated with other cancer-predisposing genetic conditions or chronic inflammatory conditions. These tumors have a striking predilection for the distal colon, particularly the sigmoid colon and rectum and are much more likely to demonstrate adverse histologic factors, including signet ring cell differentiation, venous invasion, and perineural invasion.

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Colorectal carcinoma is the second most common cause of cancer-related death in the United States.<sup>1</sup> Although colorectal carcinoma is generally thought to be a disease that affects the elderly, recent literature suggests an increasing incidence of colorectal carcinoma in young patients.<sup>2–4</sup> This increase in colorectal carcinoma in young patients has been observed despite a decreased overall incidence and mortality of colorectal carcinoma possibly due to preventive cancer screening in older patient populations.<sup>2,4</sup> The increased incidence of colorectal carcinoma in young patients appears to be limited to the rectum and rectosigmoid.<sup>2,3</sup> Data from the Surveillance, Epidemiology, and End Results registry (SEER, 1973–2005) identified an increasing annual percentage change of 2.2% of rectosigmoid cancer diagnosed in patients under the age of 40 years.<sup>2</sup> The greatest percentage change in rectal carcinoma incidence was identified in patients between 35 and 39 years.<sup>2</sup> The increasing incidence of rectal carcinoma in young patients is important to recognize as the diagnosis of colorectal carcinoma in young patients is often not clinically suspected and such patients may be incorrectly managed early in their disease course.

The limited literature on early-onset colorectal carcinoma reveals a wide range of reported clinical outcomes for young patients with colorectal carcinoma. A number of reports have demonstrated poor clinical outcomes for these patients.<sup>5–9</sup> Other reports have found an equivalent or better cancer-specific survival rates in young patients compared with older patients despite presenting with advanced stage disease.<sup>8,10</sup> The limited literature data are also conflicting with regards to the more common locations of colorectal carcinoma, with some indicating increased frequency of proximal right-sided colorectal carcinoma<sup>11</sup> while others demonstrating increased frequency of rectal and rectosigmoid carcinoma.<sup>3,12</sup> Most of the published data are confounded by inclusion of hereditary colorectal carcinoma, particularly Lynch syndrome-associated

colorectal carcinomas, which have differing genetic events leading to tumorigenesis and often have improved survival compared with non-syndromic colorectal carcinoma.<sup>13,14</sup>

We performed a histologic, molecular, and immunophenotypic analysis of patients with sporadic early-onset ( $\leq 40$  years of age) colorectal carcinoma seen at our institution from the years 2000–2010 and compared the tumors to a cohort of consecutively resected colorectal carcinomas with available pathologic material seen in patients  $>40$  years of age. Our results demonstrate that colorectal carcinoma is not infrequently diagnosed in patients  $\leq 40$  years of age and is not often the result of underlying Lynch syndrome or associated with other cancer-predisposing genetic conditions or chronic inflammatory conditions. These tumors have a striking predilection for the distal colon, particularly the sigmoid colon and rectum, and are much more likely to demonstrate adverse histologic factors, including signet ring cell differentiation, venous invasion, and perineural invasion. However, overall and recurrence-free survival in young patients with colorectal carcinoma does not appear to be reduced.

## Materials and methods

### Study Group

The clinicopathologic records of patients with primary invasive adenocarcinoma of the colon or rectum diagnosed before or at the age of 40 and accessioned at the Department of Pathology at Stanford University Hospital for the years 2000–2010 were reviewed. A control group of colorectal adenocarcinomas consisted of consecutively resected colorectal adenocarcinoma diagnosed after the age of 40 years seen at Stanford University Hospital for the years 2005 and 2006 with available pathologic material. Specifically excluded from this study were neuroendocrine tumors, neuroendocrine

carcinomas, squamous cell carcinomas, and adenocarcinomas of the appendix. The pathology reports and hospital charts were reviewed and the following information was obtained: age, gender, presence of risk factors including colorectal polyposis syndrome and inflammatory bowel disease, type of initial surgical procedure, and the anatomic site of tumor at initial presentation. Intraoperative and clinical follow-up data were obtained from hospital and clinic charts under the guidelines of the Stanford University Institutional Review Board.

### Pathologic Evaluation

All available cases of colorectal adenocarcinoma diagnosed in patients  $\leq 40$  years of age and control group colorectal adenocarcinomas were histologically reviewed and the following histologic features were recorded for each tumor: grade, extent of invasion, lymph node metastases, status of margin of resection, lymphatic invasion, perineural invasion, venous invasion, tumor budding, tumor-infiltrating lymphocytes, Crohn's-like peritumoral reaction, presence and type of precursor lesion, and synchronous colorectal polyps. The grade of each colonic adenocarcinoma was scored using a two-tiered scheme: low grade,  $> 50\%$  gland formation and high grade,  $< 50\%$  gland formation. Tumor budding assessment was performed using the rapid bud count method:<sup>15</sup> high budding,  $> 50\%$  of areas examined under  $\times 200$  magnification were positive for budding and low budding,  $< 50\%$  of areas examined under  $\times 200$  magnification were positive for budding. The presence of tumor-infiltrating lymphocytes was defined as  $> 7$  lymphocytes per 10 high-powered fields.<sup>16</sup> Crohn's-like peritumoral reaction was scored as absent, mild, or intense, as previously described.<sup>17</sup> The presence or absence of precursor polyps and the histologic subtype of the precursor polyp associated with invasive colonic adenocarcinoma was recorded.<sup>18,19</sup> For patients with rectal adenocarcinomas receiving neoadjuvant therapy, the pretreatment biopsy samples were also reviewed. Recurrences, if any, were also histologically confirmed when available.

### Immunohistochemical Analysis

Mismatch repair protein immunohistochemistry was performed using the standard streptavidin–biotin–peroxidase procedure. Primary monoclonal antibodies against MLH1 (clone G168-728, BD PharMingen, San Diego, CA, USA, 1:200), MSH2 (clone FE11, Oncogene Research Products, Cambridge, MA, USA, 1:100), MSH6 (clone 44, BD Transduction, San Jose, CA, USA, 1:200), and PMS2 (clone MRQ-28, Cell Marque, Rocklin, CA, USA, 1:10) were applied to 4- $\mu$ m-thick formalin-fixed, paraffin-embedded sections. The sections were deparaffinized in xylene, and rehydrated through graded alcohols to distilled water before undergoing antigen retrieval by heat

treatment in either citrate solution pH 6.0 (MLH1, PMS2, and MSH2) or EDTA solution pH 9.0 (MSH6). An automated detection using a Leica Bond Autostainer (Buffalo Grove, IL, USA) was employed. Normal expression was defined as nuclear staining within tumor cells, using infiltrating lymphocytes as positive internal control. Negative protein expression was defined as complete absence of nuclear staining within tumor cells in the face of concurrent positive labeling in internal non-neoplastic tissues.

Immunohistochemical stains were performed on 4- $\mu$ m-thick sections of paraffin-embedded tissue from each case with the following commercially available antibodies, dilutions, and antigen retrieval conditions: p16<sup>INK4A</sup> (clone E6H4, CINtec, EDTA pretreatment, prediluted, Leica Bond autostainer), E-cadherin (clone 4A2C7, Invitrogen, EDTA pretreatment, 1:25 dilution, Leica Bond autostainer), and  $\beta$ -catenin (clone 14, Cell Marque, EDTA pretreatment, 1:50 dilution, Ventana XT autostainer (Tucson, AZ, USA)). Human papilloma virus *in-situ* hybridization (HPV ISH) was performed using prediluted Ventana reagents after pretreatment with citrate (pH 6.0) and protease on a Ventana XT autostainer using a I'View plus detection kit. All slides were reviewed by a single pathologist (RKP) blinded to treatment outcomes. The labeling was scored for extent of staining. For p16<sup>INK4A</sup> nuclear and/or nuclear and cytoplasmic staining in  $> 10\%$  of tumor cells was considered positive. For E-cadherin, membranous staining in  $> 50\%$  of tumor cells was considered positive. For  $\beta$ -catenin, nuclear labeling in  $> 50\%$  of tumor cells was considered positive. For p16<sup>INK4A</sup> and HPV ISH, a paraffin-embedded section of invasive uterine cervical squamous cell carcinoma was included as a positive control for each run. For  $\beta$ -catenin, paraffin-embedded tissue sections of known-positive fibromatosis were included as a positive control for each run. In addition, negative control serum was applied to all control sections as a negative control.

### Microsatellite Instability Analysis Polymerase Chain Reaction

Forty-two colorectal carcinomas were also analyzed using the ProMega MSI analysis system utilizing a panel of five mononucleotide microsatellite markers (BAT-25, BAT-26, NR-21, NR-24, and MONO-27) and two pentanucleotide repeats (Penta C and Penta D) incorporated into a multiplex fluorescence assay.<sup>20</sup> Briefly, the tests were performed on tumor and normal DNA extracted from paraffin-embedded tissue blocks using a DNease Tissue Kit (Qiagen, Valencia, CA, USA); manual microdissection was performed, when required, to exclude overabundance of non-lesional tissue. Paired DNA samples from neoplastic and non-neoplastic samples were genotyped and analyzed by capillary electrophoresis using an ABI 310 Genetic Analyzer (Applied

Biosystems, Foster City, CA, USA). In accordance with NCI guidelines, microsatellite instability at 2 loci or more was defined as MSI-high (MSI-H), instability at a single locus was defined as MSI-low (MSI-L), and no instability at any of the loci tested was defined as microsatellite stable (MSS).

### **BRAF and KRAS Mutation Analysis**

DNA was extracted from paraffin sections, using the DNease Blood and Tissue Kit (Qiagen) after xylene deparaffinization and ethanol wash. Mutant *KRAS* was detected using a validated *KRAS* mutation kit (Entrogen, Tarzana, CA, USA) that identifies the common somatic mutations located in codons 12, 13, and 61 of the *KRAS* gene. The mutations were detected on an Applied Biosystems 7900 (Applied Biosystems). The evaluation of *KRAS* assay results was performed according to the manufacturer's instructions.

*BRAF* mutation analysis at codon 600 (V600E) was performed by a real-time PCR based on an allelic discrimination method previously described.<sup>21,22</sup> Briefly, real-time PCR was performed using allele-specific primers designed to selectively amplify the wild-type (T1796) and mutant (A1796) *BRAF* alleles. The primer sequences were as follows: V, 5'-GTGATTTGGTC TAGC TACTGT; E, 5'-CGCGG CCGGCCGCGCGGTGATTTGGTCTA GCTACcGA; and AS, 5'-TAGCCTCAATTCTTACCAT CCAC. PCR amplification and melting curve analysis were performed on an iCycler iQ (Bio-Rad, Hercules, CA, USA). Genomic DNA was amplified in a 25- $\mu$ l volume containing 1  $\times$  Platinum SYBR Green qPCR SuperMix-UDG (Invitrogen, Carlsbad, CA, USA), forward primer V (300 nM), forward primer E (900 nM) and reverse primer AS (300 nM). The cycling conditions were as follows: 50 °C for 2 min, 95 °C for 2 min, 40 cycles of 95 °C for 15 s, and 60 °C for 60 s. After amplification, samples were subjected to a temperature ramp from 60 to 99 °C, rising 1 °C each step. For wild-type samples, single peaks were observed at 80 °C while samples containing mutant alleles produced single peaks at 85 °C.

### **Statistical Analysis**

The end points selected for analysis were overall survival defined as months from the date of initial diagnosis to the date of death or date of last follow-up and recurrence-free survival defined as months from the date of initial diagnosis to the date of first tumor recurrence, either metastatic or local. Outcomes were estimated by the Kaplan–Meier method and compared between the colorectal carcinoma age groups using the log-rank test. Univariate relationships between colorectal carcinoma age groups and continuous measures were assessed with Wilcoxon rank sum test and associations between colorectal carcinoma age groups and categorical measures were

assessed with  $\chi^2$  or Fisher's exact test. All statistics were assessed using two-sided tests with *P*-values <0.05 considered statistically significant. All statistical analyses were performed using SAS version 9.3 (SAS Institute, Cary, NC, USA).

## **Results**

### **Identification of Early-Onset and Control Group Colorectal Adenocarcinoma Groups**

A total of 1160 primary colorectal adenocarcinomas were surgically resected for the years 2000 through 2010. Of these, 75 (6%) were diagnoses in patients  $\leq$ 40 years of age and were analyzed by either mismatch repair protein immunohistochemistry alone ( $n=43$ ) or both mismatch repair protein immunohistochemistry and microsatellite instability PCR (MSI PCR) analysis ( $n=32$ ) to select for cases with proficient DNA mismatch repair. Of the 75 colorectal carcinomas diagnosed in patients  $\leq$ 40 years of age, 13 (17%) demonstrated abnormal mismatch repair protein expression, five with concurrent loss of MLH1 and PMS2 expression, five with isolated loss of MSH6 expression, two with concurrent loss of MSH2 and MSH6 expression, and one with isolated loss of PMS2 expression. In all, 5/13 cases with abnormal mismatch repair protein expression had concurrent MSI PCR with four cases demonstrating high levels of microsatellite instability and one case lacking microsatellite instability. Of the 75 colorectal carcinomas diagnosed in patients  $\leq$ 40 years of age, four additional patients had well-known, documented germline genetic disorders predisposing to colorectal carcinoma: two patients familial adenomatous polyposis syndrome, one patient with juvenile polyposis syndrome, and one patient with Li-Fraumeni syndrome. Finally, three patients had a history of long-standing and histologically confirmed inflammatory bowel disease, two patients with ulcerative colitis and one patient with Crohn's disease. After excluding these patients with abnormal DNA mismatch repair ( $n=13$ ) and genetic or inflammatory conditions known to predispose to colorectal cancer ( $n=7$ ), 55 remaining patients comprised the sporadic early-onset colorectal carcinoma group, which was 5% of all colorectal carcinomas resected for the years 2000 through 2010.

Pathologic material was available for 85 consecutively resected colorectal carcinomas diagnosed in patients >40 years of age for the years 2005 and 2006. Of the 85 patients, 12 (14%) demonstrated abnormal mismatch repair protein expression, 10 with concurrent loss of MLH1 and PMS2, one with isolated loss of MSH6, and one with isolated loss of PMS2. All patients with abnormal DNA mismatch repair ( $n=12$ ) were further excluded from the control group of colorectal carcinoma in patients >40 years of age which comprised a total of 73 patients.

## Clinicopathologic Features of Early-Onset and Control Group Colorectal Adenocarcinomas

The clinicopathologic features of the early-onset and control colorectal carcinoma groups are detailed in Table 1. For the early-onset colorectal carcinoma group, the mean age was 34 years (range 14–40 years) with a slight male predominance (53%), although this was not statistically significant compared with the control colorectal carcinoma group ( $P=0.19$ ). For the early-onset colorectal carcinoma group, most cases (33/55, 60%) were diagnosed between the age of 35 and 40 years of age, with fewer numbers diagnosed at <20 years (2/55, 4%), 20–29 years (7/55, 13%), and 30–34 years (13/55, 24%). Compared with the control group, the early-onset colorectal carcinoma group was significantly different with respect to tumor location ( $P<0.007$ ) with 80% (44/55 cases) identified in either the sigmoid colon (24/55, 44%) or rectum (20/55, 36%). No difference was identified between the two groups with regard to tumor stage ( $P=0.21$ ), tumor size ( $P=0.22$ ), or number of lymph node metastases ( $P=0.69$ ). Morphologically, early-onset colorectal carcinomas more frequently displayed adverse histologic features compared with the control colorectal carcinoma group such as signet ring cell differentiation (7/55, 13% vs 1/73, 1%,  $P=0.021$ ), perineural invasion (16/55, 29% vs 8/73, 11%,  $P=0.009$ ), and venous invasion (12/55, 22% vs 4/73, 6%,  $P=0.006$ ). Early-onset colorectal carcinomas were more frequently high grade (15/55, 27%) compared with the control group (10/73, 14%) although this did not reach statistical significance ( $P=0.06$ ). The early-onset colorectal carcinoma group was less likely to be associated with a Crohn's-like inflammatory reaction (12/55, 22%) compared with the control group (32/73, 44%,  $P=0.024$ ). There was no difference in the occurrence of lymphatic invasion, tumor budding, mucinous histology, or tumor-infiltrating lymphocytes between the early-onset and control colorectal carcinoma groups. Interestingly, a precursor adenomatous lesion was less frequently identified in the early-onset colorectal carcinoma group compared with the control group (19/55, 35% vs 39/73, 53%,  $P=0.034$ ). Only 4/55 patients (7%) had synchronous adenomatous polyps on presurgical colonoscopic evaluation or within the surgical resection specimen, and the number of polyps identified ranged from one to three.

## Molecular and Immunohistochemical Analysis of Early-Onset and Control Group Colorectal Adenocarcinomas

Of the early-onset colorectal carcinomas, only two cases (4%) demonstrated *KRAS* mutations, both in codon 12 of the *KRAS* gene, compared with 11/73 (15%) of the control group colorectal adenocarcinomas harboring *KRAS* mutations, most frequently in codon 12 of the *KRAS* gene (10 cases), although this did not reach statistical significance ( $P=0.13$ ).

**Table 1** Clinicopathologic features of sporadic early-onset ( $\leq 40$  years of age) colorectal and control ( $> 40$  years of age) colorectal adenocarcinomas

Clinicopathologic feature	Early-onset ( $\leq 40$ years) colorectal adenocarcinoma	Control ( $> 40$ years of age) colorectal adenocarcinomas	P-value
Total number	55	73	—
Mean age, years (range)	34 (14–40)	65 (41–95)	—
<b>Sex</b>			
Male	29 (53)	30 (41)	0.19
Female	26 (47)	43 (59)	
<b>Tumor location</b>			
Right colon	11 (20)	31 (42)	0.007
Left colon and rectum	44 (80)	42 (58)	
Sigmoid	24 (44)	33 (45)	
Rectum	20 (36)	9 (12)	
<b>Tumor stage</b>			
I	6 (11)	14 (19)	0.21
II	14 (26)	21 (29)	
III	20 (36)	28 (38)	
IV	15 (27)	10 (14)	
Median tumor size (cm) (range)	4.5 (0.5–11.5)	4.0 (1.4–10.5)	0.22
<b>Tumor grade</b>			
Low	40 (73)	63 (86)	0.06
High	15 (27)	10 (14)	
Lymphatic invasion	25 (46)	27 (37)	0.33
<b>Lymph node metastasis</b>			
0 (N0)	22 (42)	37 (51)	0.69
1 (N1a)	5 (9)	7 (10)	
2–3 (N1b)	11 (21)	15 (21)	
4–6 (N2a)	7 (13)	5 (7)	
$\geq 7$ (N2b)	8 (15)	8 (11)	
Perineural invasion	16 (29)	8 (11)	
Positive surgical margins	9 (16)	1 (1)	0.002
Venous invasion	12 (22)	4 (6)	0.006
Tumor-infiltrating lymphocytes	3 (6)	4 (6)	1.0
<b>Crohn's-like reaction</b>			
Absent	43 (78)	41 (56)	0.024
Mild	9 (16)	19 (26)	
Intense	3 (6)	13 (18)	
<b>Tumor budding</b>			
Low	33 (60)	48 (66)	0.50
High	22 (40)	25 (34)	
<b>Mucinous histology</b>			
Absent	42 (76)	11 (15)	0.22
Present	13 (24)	62 (85)	
<b>Signet ring histology</b>			
Absent	7 (13)	1 (1)	0.021
Present	48 (87)	72 (99)	
<b>Precursor adenomatous lesion</b>			
Present	19 (35)	39 (53)	0.034
Absent	36 (66)	34 (47)	
<b>Precursor serrated lesion</b>			
Present	4 (7)	10 (14)	0.25
Absent	51 (93)	63 (86)	

**Table 2** Immunohistochemical and molecular features of sporadic early-onset ( $\leq 40$  years of age) and control ( $> 40$  years of age) colorectal adenocarcinomas

Immunohistochemical or molecular feature	Early-onset ( $\leq 40$ years) colorectal adenocarcinoma (%)	Control ( $> 40$ years of age) colorectal adenocarcinoma (%)	P-value
<i>KRAS</i> mutation			
Positive	2 (4)	11 (15)	0.13
Negative	43 (96)	62 (85)	
<i>BRAF</i> V600E mutation			
Positive	0	1 (1)	1.0
Negative	45 (100)	72 (99)	
Membranous <i>E-cadherin</i> expression			
Present	46 (98)	73 (100)	0.39
Absent	1 (1)	0	
Nuclear $\beta$ -catenin expression			
Present	23 (48)	34 (47)	0.88
Absent	25 (52)	39 (53)	
Nuclear <i>p16</i> expression			
Present	41 (85)	52 (71)	0.07
Absent	7 (15)	21 (29)	
HPV <i>in-situ</i> hybridization			
Present	0	0	1.0
Absent	48 (100)	73 (100)	

(Table 2). *BRAF* V600E mutations were not identified in the early-onset colorectal carcinoma group and only one case in the control group was positive for the *BRAF* mutation. The early-onset colorectal adenocarcinomas more frequently displayed intact p16 expression (41/55, 85%) compared with the control group (52/73, 71%), although this did not reach statistical significance ( $P=0.07$ ) (Figure 1). No differences in membranous E-cadherin or nuclear  $\beta$ -catenin expression were identified between the two groups. No tumor in either group demonstrated positive staining with HPV *in-situ* hybridization analysis.

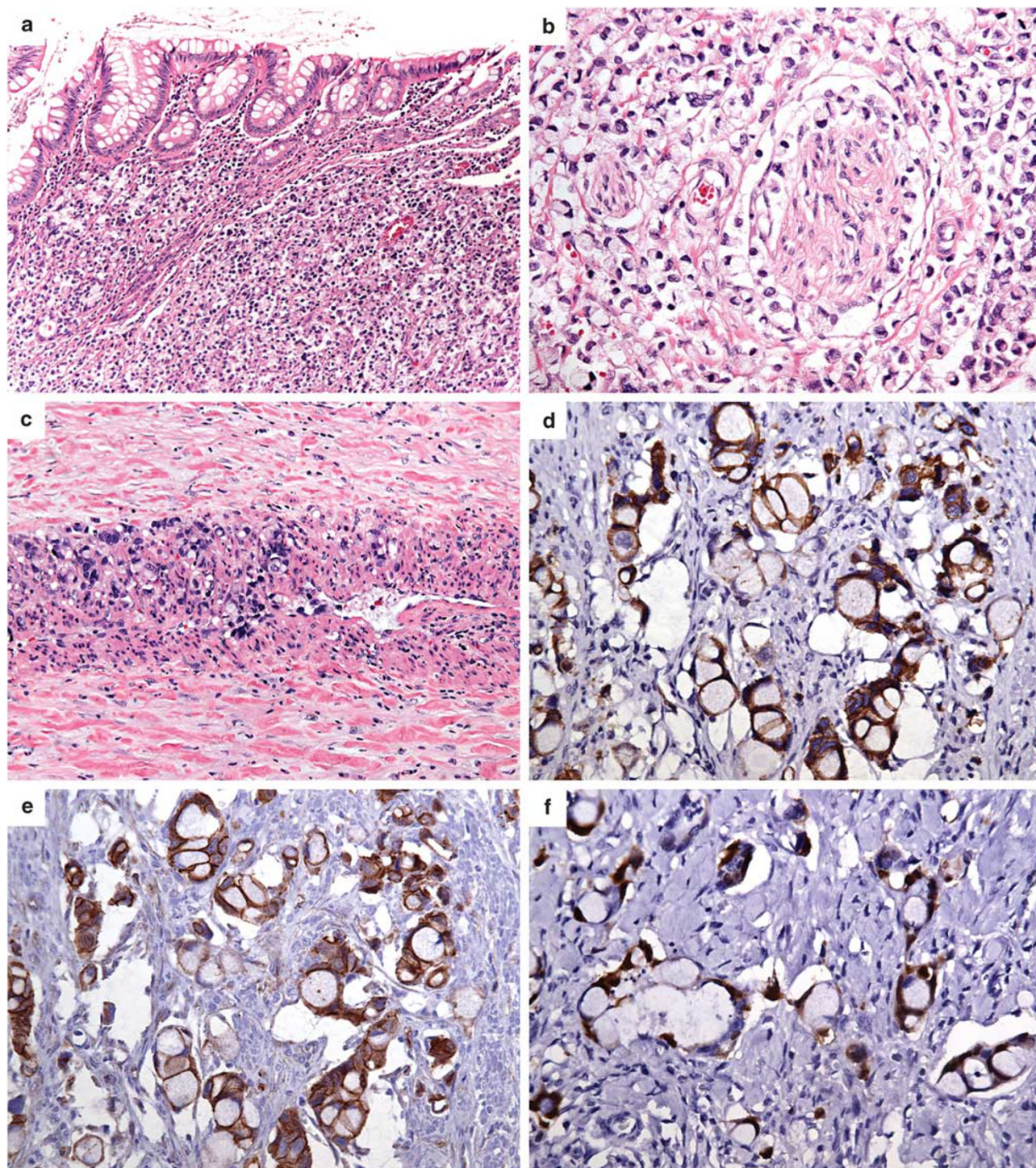
### Clinical Outcome Stratified by Colorectal Carcinoma Age Group

Three outcomes were assessed between the groups: recurrence, overall survival, and recurrence-free survival. Follow-up status was known with 54/55 patients in the early-onset colorectal carcinoma group and 63/73 patients in the control colorectal carcinoma group. An additional seven patients were never rendered free of their disease because they had surgical resection of the primary tumor with unresected metastatic disease. Therefore, recurrence and recurrence-free survival were evaluable for 110 patients and overall survival was evaluable for 117 patients. Both groups had similar recurrence-free ( $P=0.28$ ) and overall survival ( $P=0.73$ ) (Table 3; Figure 2). Patients in the early-onset colorectal carcinoma group had increased rates of recurrence (combined metastatic and local recurrence) compared

with the control group of colorectal carcinoma (56 vs 36% at 5 years follow-up), although this did not reach statistical significance ( $P=0.08$ ) (Table 3; Figure 2). Patients in the early-onset colorectal carcinoma group more frequently either presented with or developed metastatic disease during their disease course compared with the control colorectal carcinoma group (25/55, 45% vs 18/73, 25%,  $P=0.014$ ). Patients in the early-onset colorectal carcinoma group more frequently had metastatic disease at presentation compared with the control colorectal carcinoma group (15/55, 27% vs 10/73, 14%), although this did not reach statistical significance ( $P=0.06$ ). Finally, 8/55 patients (15%) in the early-onset colorectal carcinoma developed local recurrence of their tumor while no patients in the control colorectal carcinoma group developed local recurrence ( $P<0.001$ ), likely due to the increased incidence of rectal carcinoma in the patients with early-onset colorectal carcinoma.

### Discussion

In this study, early-onset colorectal carcinoma was defined as carcinoma presenting  $\leq 40$  years of age. While the age cutoff employed in our analysis is somewhat arbitrary, the  $\leq 40$  years of age group was selected because the most recent screening guidelines published by the American College of Gastroenterology recommend screening patients beginning at 50 years for the average-risk population or 45 years for African Americans.<sup>23</sup> Our results demonstrate that colorectal carcinoma is not infrequently diagnosed in patients  $\leq 40$  years of age, comprising 6% of all cases of colorectal carcinoma resected at our institution over a 10-year period, indicating that heightened clinical suspicion for colorectal carcinoma in young patients is warranted in order to ensure early diagnosis before presentation as advanced stage disease. Most tumors were diagnosed in fourth decade of life with a predominance occurring between the ages of 35 and 40 years of age. Surprisingly, in our analysis, most early-onset colorectal carcinomas did not appear to be Lynch syndrome associated, as only a small subset of cases demonstrated abnormalities in DNA mismatch repair. In addition, most early-onset colorectal carcinomas do not appear to be associated with other cancer-predisposing genetic conditions, such as colorectal polyposis syndromes, or chronic inflammatory conditions such as inflammatory bowel disease. We demonstrate that colorectal carcinomas identified in patients  $\leq 40$  years of age have a striking predilection for the distal colon (80%), particularly the sigmoid colon (44%) and rectum (36%). In addition, early-onset colorectal carcinomas were much more likely to demonstrate adverse histologic factors, including signet ring cell differentiation, venous invasion, and perineural invasion, and as a result are more likely to involve the



**Figure 1** Early-onset rectal adenocarcinoma demonstrating signet ring histology without an associated precursor adenomatous lesion (a,  $\times 200$ ). Early-onset colorectal adenocarcinomas often displayed extensive perineural invasion (b,  $\times 400$ ), destructive venous invasion (c,  $\times 200$ ), intact membranous E-cadherin expression (d,  $\times 400$ ), lack of nuclear  $\beta$ -catenin localization (e,  $\times 400$ ), and nuclear p16 expression (f,  $\times 400$ ).

circumferential margins of surgical resection specimens. Our results also indicate that despite the presence of adverse histologic features, overall and recurrence-free survival in early-onset colorectal carcinoma is similar to patients  $>40$  years of age,

although a trend to increased incidence of recurrence was observed for early-onset colorectal carcinoma patients. Finally, early-onset colorectal carcinomas do not harbor BRAF V600E mutations and our results suggest that only a minor subset

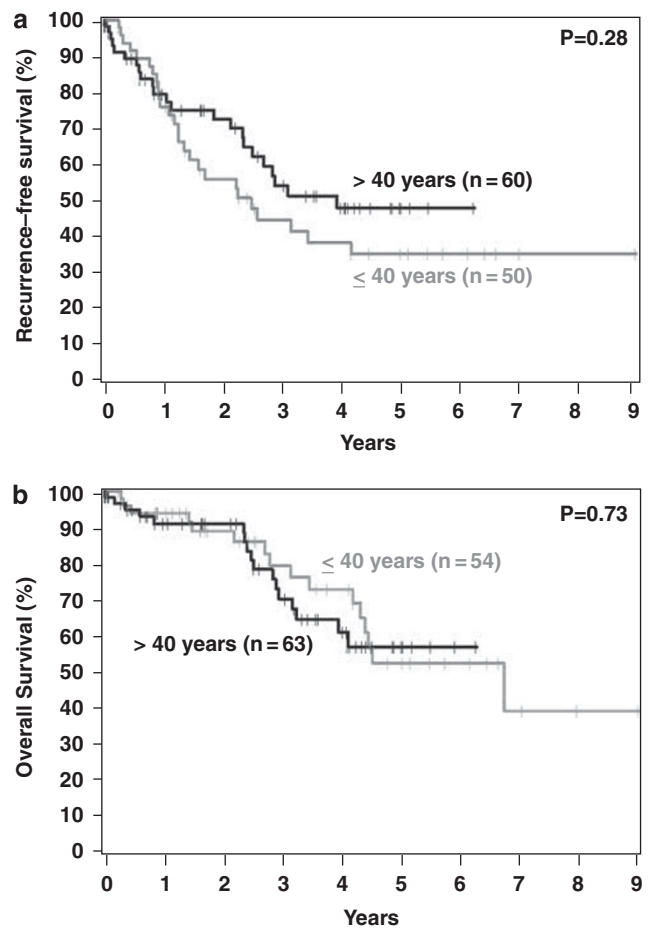
**Table 3** Long-term follow-up according to colorectal carcinoma age group

Group	Patients	NED (%)	AWD (%)	DOD (%)	Recurrence (%)			Recurrence-free survival (%)			Overall survival (%)		
					1	3	5	1	3	5	1	3	5
					year	years	years	year	years	years	year	years	years
Early-onset ( $\leq 40$ years) colorectal adenocarcinoma	54	25 (46)	13 (24)	16 (30)	19	52	56	76	45	35	94	80	53
Control ( $> 40$ years of age) colorectal adenocarcinoma	63	38 (60)	8 (13)	17 (27)	12	32	36	79	54	48	91	70	57

Abbreviations: AWD, alive with disease; DOD, dead of disease; NED, alive with no evidence of disease.

(4%) of these tumors display activating KRAS codon 12, 13, or 61 mutations.

Recent literature suggests an increasing incidence of colorectal carcinoma in young patients.<sup>2-4</sup> Our analysis confirms observations in previous reports that colorectal carcinoma in young patients appears to occur more frequently in the rectum and rectosigmoid.<sup>2,3</sup> Data from the SEER registry (1973–2005) identified an increasing annual percentage change of 2.2% of rectosigmoid cancer diagnosed in patients under the age of 40 years<sup>2</sup> with greatest percentage change in rectal carcinoma incidence was identified in patients between 35 and 39 years.<sup>2</sup> Indeed, the 35–40 age group accounted for 60% of the early-onset colorectal carcinomas in our study. Our data and the data from the SEER registry indicate that rectal and rectosigmoid cancer in young patients is independent of gender. Earlier SEER data (1973–1999) also suggested that colorectal carcinoma in patients under the age of 40 years more frequently presented at advanced stage with distant metastasis than tumors in older patients (27 vs 19.7%,  $P < 0.0001$ ).<sup>3</sup> A recent analysis by Yantiss *et al*<sup>12</sup> also demonstrated that up to 75% of early-onset colorectal carcinoma presented with advanced (stages III and IV) disease. Our results confirm that patients with early-onset colorectal carcinoma more frequently develop metastatic disease during their disease course. Also, we identified a trend to increased incidence of metastatic disease at presentation for patients with early-onset colorectal carcinoma, although this did not reach statistical significance. In the SEER analysis (1973–2005), 2.6% of the colorectal carcinomas identified in patients  $< 40$  years of age demonstrated signet ring histology,<sup>2</sup> which is lower than the 13% of tumors identified to have signet ring histology in our study. One reason may be that the SEER analysis included all tumor types, including squamous cell carcinoma and neuroendocrine tumors, which were specifically excluded from the current study. In addition, data from the SEER registry (1973–1999) also demonstrated that colorectal carcinoma in patients under the age of 40 years were more frequently poorly differentiated than tumors in older patients



**Figure 2** Kaplan–Meier survival curves comparing the recurrence-free (a) and overall survival (b) of patients with early-onset colorectal carcinoma ( $\leq 40$  years) and the control colorectal carcinoma group of patients  $> 40$  years of age. No significant difference in recurrence-free ( $P = 0.28$ ) or overall survival ( $P = 0.73$ ) was identified between the two groups.

(22.2 vs 14.7%,  $P < 0.0001$ ).<sup>3</sup> Our analysis also suggests that colorectal carcinoma in patients  $\leq 40$  years of age are more frequently high grade, although this did not reach statistical significance ( $P = 0.06$ ). Our results demonstrate that early-onset colorectal carcinomas are much more likely to



demonstrate adverse histologic factors such as perineural, venous invasion, signet ring histology, and positive surgical margins. Similarly, Yantiss *et al* demonstrated that adverse histologic factors, including venous invasion and an infiltrating growth pattern, were typical of early-onset colorectal carcinomas, although in their analysis all tumors including those with deficient DNA mismatch repair or arising in the setting of inflammatory bowel disease were studied. These tumors were specifically excluded in our analysis.

This study expands on previous data and demonstrates that colorectal carcinoma in young patients is not always related to colorectal polyposis syndromes or Lynch syndrome, as only a small subset of colorectal carcinomas identified in patients  $\leq 40$  years of age in our series were identified in patients with polyposis syndrome (4%) or Lynch syndrome (17%). Similarly, Goel *et al*<sup>24</sup> analyzed 75 colorectal carcinomas in patients under the age of 50 years and demonstrated that only 21% demonstrated abnormal mismatch repair expression by immunohistochemistry or high levels of microsatellite instability by PCR, suggesting a diagnosis of Lynch syndrome. Although the incidence of mismatch repair protein abnormalities and high levels of microsatellite instability suggesting a diagnosis of Lynch syndrome is relatively low (17%) in colorectal carcinoma patients  $\leq 40$  years of age, it is still much higher than the reported 3–5% incidence of Lynch syndrome in colorectal carcinoma identified in all age groups. Thus, routine analysis for mismatch repair protein abnormalities and microsatellite instability in young patients with colorectal carcinoma should be performed, as these Lynch syndrome patients and their family members are at risk for synchronous or metachronous Lynch syndrome-related tumors<sup>25</sup> and would benefit from close surveillance and genetic counseling.

The limited literature on clinical outcomes in young patients with colorectal carcinoma is often confounded by the inclusion of hereditary colorectal carcinoma, particularly Lynch syndrome-associated colorectal carcinomas, which likely have differing genetic events leading to tumorigenesis and often have improved survival compared with non-syndromic colorectal carcinoma.<sup>13,14</sup> Our study is unique in that we specifically excluded potential Lynch syndrome patients from our survival analysis and demonstrate no significant overall or recurrence-free survival differences between patients with early-onset colorectal carcinoma and those patients with colorectal carcinoma  $> 40$  years of age. However, we did detect a trend to increased recurrence incidence in patients with colorectal carcinoma  $\leq 40$  years of age. Numerous single-institution studies have demonstrated poor clinical outcomes for patients with early-onset colorectal carcinoma,<sup>5–9</sup> although none of these studies specifically excluded Lynch syndrome patients from their survival analyses. A number of these studies<sup>5,6</sup> found

that patients with colorectal carcinoma  $\leq 40$  years of age had increased incidence of colorectal carcinoma with mucinous histology, a morphologic finding that is often seen in association with Lynch syndrome. Other literature reports, including a large population-based analysis with SEER data, have found that while young patients with colorectal carcinoma often present with advanced stage disease, these patients tend to have an equivalent or better cancer-specific survival rates compared with older patients.<sup>8,10</sup> Again, these data are likely confounded by the inclusion of Lynch syndrome patients, which typically have improved survival compared with non-Lynch syndrome-related colorectal carcinoma. The limited literature data are also conflicting with regards to the more common locations of colorectal carcinoma with some indicating increased frequency of proximal right-sided colorectal carcinoma<sup>11</sup> while others demonstrating increased frequency of rectal and rectosigmoid carcinoma.<sup>3,12</sup> Again, the discrepancy between these studies may be related to the proportion of Lynch syndrome-related colorectal carcinomas included in each study, as Lynch syndrome-related colorectal carcinomas frequently occur in the right colon. Our data clearly demonstrate a strong predilection for the left colon and rectum in sporadic early-onset colorectal carcinoma.

The molecular pathogenesis of colorectal carcinoma in young patients has not been well studied. Most colorectal carcinomas arise through a conventional adenoma-carcinoma sequence.<sup>26–28</sup> Along the adenoma-carcinoma sequence, colorectal tumorigenesis can progress through either chromosomal instability pathway with multiple alterations in chromosome number, chromosomal rearrangements, or gene amplifications or the microsatellite instability pathway as a result of a germline mutation in a DNA mismatch repair protein.<sup>29</sup> More recently, colorectal carcinomas that exhibit high levels of promoter methylation of CpG islands have been identified (CIMP-high, CIMP-H),<sup>30,31</sup> and these CIMP-H carcinomas are thought to arise through a precursor sessile serrated polyp/adenoma.<sup>29</sup> Abnormalities of the Wnt signaling pathway, particularly APC inactivation with nuclear localization of  $\beta$ -catenin, and activating *KRAS* mutations are common events in the adenoma-carcinoma sequence of the chromosomal instability pathway. Wnt signaling pathway abnormalities, particularly abnormalities of  $\beta$ -catenin, also likely have a role in CIMP-high colorectal carcinomas, as abnormal nuclear localization of  $\beta$ -catenin is frequently identified in sessile serrated polyps/adenomas.<sup>32,33</sup> Importantly,  $\sim 60$ – $80\%$  of CIMP-H tumors harbor mutations in *BRAF*, and CIMP-H tumors rarely, if ever, demonstrate *KRAS* mutations.<sup>31,34</sup> In addition, CIMP-H colorectal carcinomas often demonstrate methylation of the *p16* promoter, resulting in diminished or absent *p16* protein expression. In our analysis, very few of the colorectal carcinomas demonstrated *BRAF* V600E mutations, indicating

that the promoter methylation of CpG islands (CIMP-H) is not important in the neoplastic progression of colorectal carcinoma in young patients. In addition, most early-onset colorectal carcinomas demonstrated intact nuclear p16 expression, providing further support that promoter methylation of CpG islands is not a critical molecular event in colorectal carcinoma in patients  $\leq 40$  years of age. Only two cases of early-onset colorectal carcinoma in our series were associated with a precursor serrated lesion, both of which were traditional serrated adenomas; no cases were associated with sessile serrated polyp/adenoma.

In our analysis, only a minority (4%) of early-onset colorectal carcinomas demonstrated activating *KRAS* codon 12, 13, or 61 mutations. Goel *et al*<sup>24</sup> analyzed 75 colorectal carcinomas diagnosed in patients under the age of 50 and identified 59 with proficient mismatch repair. Of these 59 patients, most were in the distal colorectum (42/59, 71%), none demonstrated *BRAF* mutations, and 14/59 (24%) demonstrated *KRAS* mutations.<sup>24</sup> Our results identified a lower rate of *KRAS* mutation (4%) in early-onset colorectal carcinoma. The reason for this discrepancy is not entirely clear, although a lower frequency of *KRAS* mutation was also observed in our control group of carcinomas identified over the age of 40 years. Interestingly, in our analysis early-onset colorectal carcinomas were less frequently associated with a precursor adenomatous lesion compared with the older age group control population. It is possible that the conventional colorectal adenoma-carcinoma sequence, which typically results in early *KRAS* mutation in the pathway to carcinogenesis, may not apply to the young patient population. However, the reduced number of precursor adenomatous lesions identified in early-onset colorectal carcinoma in our study may be the result of surgical resections of smaller length performed on these patients given the increased incidence of carcinoma involving the rectum and sigmoid. In addition, nearly half (48%) of colorectal carcinomas in patients  $\leq 40$  years of age demonstrated nuclear  $\beta$ -catenin expression, suggesting that abnormalities in the Wnt signaling pathway are still important for tumor development in young colorectal cancer patients similar to colorectal carcinoma in older patients. The molecular evolution of colorectal carcinogenesis in the young patient population requires further study.

The role of human papillomavirus in colorectal carcinoma remains controversial. Some authors have demonstrated HPV DNA in 46/72 (64%)<sup>35</sup> and 23/55 (42%)<sup>36</sup> of colorectal carcinoma, suggesting a role for HPV infection, particularly HPV type 16, in colorectal carcinogenesis. However, both of these studies also reported the presence of HPV DNA in a large proportion of histologically normal mucosa adjacent to the colorectal carcinomas. Our analysis clearly demonstrates the absence of HPV DNA in colorectal carcinoma tumor cells, as our *in-situ* hybridization methods allows for detection of HPV DNA specifi-

cally within tumor cell nuclei. Similarly, Yantiss *et al*<sup>12</sup> did not identify HPV DNA in any of their cases of early-onset colorectal carcinoma.

Finally, the incidence of signet ring differentiation in early-onset colorectal carcinomas in our analysis is intriguing. Of the six signet ring carcinomas identified in patients  $\leq 40$  years of age, all six demonstrated intact membranous E-cadherin expression and lacked nuclear  $\beta$ -catenin expression. Typically, hereditary signet ring carcinoma observed in the stomach of patients with germline *CDH1* mutation demonstrate diminished or absent E-cadherin with abnormal nuclear localization of  $\beta$ -catenin, although deeply invasive tumors may not display this immunophenotype.<sup>37</sup> Our results suggest that germline *CDH1* mutations are not likely in early-onset signet ring colorectal carcinomas and that alternative molecular events give rise to this tumor histology in young patients. In their analysis, Ogino *et al*<sup>38</sup> analyzed 32 colorectal carcinomas with a signet ring component with 9 (28%) demonstrating *BRAF* mutations and 10 (26%) demonstrating *KRAS* mutations, indicating that mutations in the EGFR signaling pathway are not infrequent in signet ring colorectal carcinoma. In our analysis, none of the signet ring carcinomas demonstrated *BRAF* or *KRAS* mutations. Importantly, Ogino *et al* included carcinomas with high levels of microsatellite instability, making comparison between our results difficult. Kakar and Smyrk<sup>39</sup> studied 72 signet ring colorectal carcinomas of which 39 were right-sided with most right-sided carcinomas (81%) exhibiting MSI-H and often seen in patients over the age of 70 years. In contrast, of the MSS signet ring carcinoma in the analysis by Kakar and Smyrk, most were identified in patients under the age of 70 years and were located in the left colon, similar to our results. Interestingly, Kakar and Smyrk<sup>39</sup> demonstrated no survival difference between MSS and unstable signet ring colorectal carcinomas. Overall, our results indicate that MSS signet ring carcinomas are typically identified in young patients, are more often located in the left colon and rectum, and do not necessarily confer reduced overall and recurrence-free survival.

After exclusion of potential Lynch syndrome patients and patients with known cancer-predisposing genetic or inflammatory conditions, we have labeled the early-onset colorectal carcinoma identified in our study as being sporadic. However, we cannot entirely exclude the possibility of familial colorectal carcinoma syndromes such as attenuated familial adenomatous polyposis and *MUTYH*-associated colorectal carcinoma, which typically do not manifest with innumerable colorectal polyps. Of the early-onset colorectal carcinomas included in our study, most were not associated with synchronous colorectal adenomatous or serrated polyps and of those with synchronous adenomatous or serrated polyps, the number of polyps identified ranged from one to three. Given the limited number of adenomatous or serrated polyps associated with the tumors

identified in our study, it is unlikely that these patients have a familial polyposis colorectal carcinoma syndrome.

In conclusion, our study demonstrates that colorectal carcinoma is not infrequently diagnosed in patients  $\leq 40$  years of age and are not frequently the result of underlying Lynch syndrome or associated with other cancer-predisposing genetic conditions, such as colorectal polyposis syndromes, or chronic inflammatory conditions. These tumors have a striking predilection for the distal colon (80%), particularly the sigmoid colon and rectum and are much more likely to demonstrate adverse histologic factors, including signet ring cell differentiation, venous invasion, and perineural invasion. However, overall and recurrence-free survival in early-onset colorectal carcinoma is similar to patients  $>40$  years of age. Early-onset colorectal carcinomas are not frequently associated with precursor adenomatous or serrated lesions and do not appear to harbor frequent activating *BRAF* or *KRAS* mutations, suggesting that the molecular events in tumor development differ in this patient population. Further molecular analysis is necessary to fully elucidate the potential molecular changes that lead to early-onset colorectal carcinoma.

## Disclosure/conflict of interest

The authors declare no conflict of interest.

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