

Loss of ATRX or DAXX expression and concomitant acquisition of the alternative lengthening of telomeres phenotype are late events in a small subset of MEN-1 syndrome pancreatic neuroendocrine tumors

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Approximately 45% of sporadic well-differentiated pancreatic neuroendocrine tumors harbor mutations in either *ATRX* (alpha thalassemia/mental retardation X-linked) or *DAXX* (death domain-associated protein). These novel tumor suppressor genes encode nuclear proteins that interact with one another and function in chromatin remodeling at telomeric and peri-centromeric regions. Mutations in these genes are associated with loss of their protein expression and correlate with the alternative lengthening of telomeres phenotype. Patients with multiple endocrine neoplasia-1 (MEN-1) syndrome, genetically defined by a germ line mutation in the *MEN1* gene, are predisposed to developing pancreatic neuroendocrine tumors and thus represent a unique model for studying the timing of *ATRX* and *DAXX* inactivation in pancreatic neuroendocrine tumor development. We characterized *ATRX* and *DAXX* protein expression by immunohistochemistry and telomere status by telomere-specific fluorescence *in situ* hybridization in 109 well-differentiated pancreatic neuroendocrine lesions from 28 MEN-1 syndrome patients. The study consisted of 47 neuroendocrine microadenomas (<0.5 cm), 50 pancreatic neuroendocrine tumors (≥0.5 cm), and 12 pancreatic neuroendocrine tumor lymph node metastases. Expression of *ATRX* and *DAXX* was intact in all 47 microadenomas, and none showed the alternative lengthening of telomeres phenotype. *ATRX* and/or *DAXX* expression was lost in 3 of 50 (6%) pancreatic neuroendocrine tumors. In all three of these, tumor size was ≥3 cm, and loss of *ATRX* and/or *DAXX* expression correlated with the alternative lengthening of telomeres phenotype. Concurrent lymph node metastases were present for two of the three tumors, and each metastasis displayed the same changes as the primary tumor. These findings establish the existence of *ATRX* and *DAXX* defects and the alternative lengthening of telomeres phenotype in pancreatic neuroendocrine tumors in the context of MEN-1 syndrome. The observation that *ATRX* and *DAXX* defects and the alternative lengthening

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of telomeres phenotype occurred only in pancreatic neuroendocrine tumors measuring ≥ 3 cm and their lymph node metastases suggests that these changes are late events in pancreatic neuroendocrine tumor development.

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Pancreatic neuroendocrine tumors are the second most common primary malignancy of the pancreas. Despite their improved prognosis as compared with pancreatic ductal adenocarcinoma, the survival rate is still only 65% at 5 years and 45% at 10 years.¹

Although relatively little is known about the genetic development of pancreatic neuroendocrine tumors, recent studies have revealed that 43% of sporadic well-differentiated pancreatic neuroendocrine tumors harbor mutations in either *ATRX* (alpha thalassemia/mental retardation X-linked) or *DAXX* (death domain-associated protein).² These two novel tumor suppressor genes encode nuclear proteins that interact with one another and are thought to function in chromatin remodeling at telomeric and pericentromeric regions. Mutations in these genes are tightly associated with loss of nuclear expression of their respective proteins by immunohistochemistry and correlate with the alternative lengthening of telomeres phenotype, a telomerase-independent telomere maintenance mechanism.^{3,4}

Patients with multiple endocrine neoplasia-1 (MEN-1) syndrome have a germ line mutation in the *MEN1* gene, which predisposes them to the development of pancreatic neuroendocrine tumors. The pancreata of these patients usually harbor multiple incidental neuroendocrine microadenomas (by definition measuring <0.5 cm), which are thought to represent precursors to pancreatic neuroendocrine tumors.^{5,6} The *MEN1* gene has also been shown to be somatically mutated in 44% of sporadic pancreatic neuroendocrine tumors,² and up to 70% of sporadic pancreatic neuroendocrine tumors show chromosomal losses at 11q13, the *MEN1* locus.^{7–15} Thus, on both histological and genetic levels, MEN-1 syndrome tumors are a rational model for studying the timing of genetic alterations in pancreatic neuroendocrine tumor development. For this reason, we characterized *ATRX* and *DAXX* protein expression (as a surrogate for gene status) and telomere status in 109 MEN-1 syndrome well-differentiated pancreatic neuroendocrine lesions.

Materials and methods

Design

This study was approved by the Internal Review Boards of The Johns Hopkins Hospital and the University Medical Center Utrecht. Twenty-eight

patients with MEN-1 syndrome, diagnosed either by clinical history or by genetic testing, were identified through review of pathology files. Twenty patients were treated at The Johns Hopkins Hospital, and eight patients were treated at the University Medical Center Utrecht. Formalin-fixed paraffin-embedded tissue was available for all 28 patients. From these patients, 134 pancreatic neuroendocrine lesions were selected for characterization of *ATRX* and *DAXX* protein expression and telomere status. We sampled 1–11 lesions per patient (average of 4). Of these, 109 tumors, comprising 47 microadenomas, 50 pancreatic neuroendocrine tumors, and 12 pancreatic neuroendocrine tumor lymph node metastases had interpretable *ATRX* and *DAXX* immunolabeling results and telomere-specific fluorescence *in situ* hybridization (FISH) data. We adhered to the WHO 2010 Classification of the Tumors of the Gastrointestinal Tract nomenclature, which defines microadenomas as nonfunctional well-differentiated neuroendocrine neoplasms measuring <0.5 cm and pancreatic neuroendocrine tumors as neoplasms measuring ≥ 0.5 cm and/or functional tumors of any size.¹⁶ We had no functional tumors measuring less than 0.5 cm in our study. The hematoxylin and eosin and immunostained sections were reviewed by four pathologists (AM, GJAO, RHH, and KEM). Interpretation of the FISH data was performed independently by two other investigators (CMH and AKM). Immunolabeling and FISH data were interpreted independently (ie, without prior knowledge of the other data set).

Immunohistochemistry

Immunolabeling for *ATRX* and *DAXX* was performed as previously described,² using the following antibodies and concentrations: anti-*ATRX* (HPA001906, Sigma-Aldrich, St Louis, MO, USA, 1:600); anti-*DAXX* (HPA008736, Sigma-Aldrich, 1:75 or 1:100). Briefly, formalin-fixed paraffin-embedded tumor sections were steamed with citrate buffer (pH 6.0) (Vector Laboratories, Burlingame, CA, USA) for 30 min to achieve antigen retrieval and then cooled for 10 min. Tissues were blocked against endogenous peroxidase activity with dual endogenous enzyme-blocking agent (Dako, Carpinteria, CA, USA) for 10 min. Sections were incubated with primary antibodies for 1 h at room temperature followed by secondary antibody (Leica Microsystems) for 30 min and detected with 3,3'-diaminobenzidine

(Sigma-Aldrich) at 10 min. Wash steps were performed with phosphate buffered saline containing 0.1% Tween-20. Sections were counterstained with Harris' hematoxylin, rehydrated, and mounted. Immunolabeling for KI67 was performed as per standard laboratory protocol using the prediluted anti-KI67 antibody (clone 30-9, Ventana, Tucson, AZ, USA).

Immunolabeling for ATRX and DAXX was considered positive (ie, normal, intact) if at least 5% of neoplastic cells had nuclear labeling (and there was no evidence of cytoplasmic sequestration in the remaining cells). Neoplasms were scored as negative (ie, loss) for ATRX or DAXX if the pattern was that of cytoplasmic accumulation with nuclear clearing, as long as adequate internal controls (ie, nuclear labeling of adjacent endothelial cells, lymphocytes, and/or islets of Langerhans) were present. The designation 'heterogeneous' was applied to tumors that showed cytoplasmic sequestration of ATRX or DAXX in one subset of neoplastic cells and nuclear accumulation (>5%) in a different subset (regardless of whether the two subsets had similar or different morphology).

Fluorescence *In Situ* Hybridization

Formalin-fixed paraffin-embedded tumor sections were assayed for the alternative lengthening of telomeres phenotype by telomere-specific FISH as described previously.^{3,17} Briefly, sections were deparaffinized, hydrated, steamed in citrate buffer (Vector Laboratories) for 25 min, dehydrated, and then hybridized with a Cy3-labeled peptide nucleic acid probe complementary to the mammalian telomere repeat (CCCTAACCTAACCTAA). An Alexa Fluor 610-labeled peptide nucleic acid probe with specificity for the human centromeric CENP-B binding sequence (ATTCGTTGGAAACGGGA) was included as a positive control for hybridization. Sections were counterstained with DAPI to visualize nuclei.

Slides were analyzed with a Nikon 50i epifluorescence microscope with Xcite series 120 illuminator (EXFO Photonics Solutions) and appropriate excitation/emission filters, as previously described.¹⁷ Grayscale images were captured with Nikon NIS-Elements software 2.30 and a Photometrics Cool SNAP EZ digital camera, pseudo-colored, and merged. Tumors were considered positive for the alternative lengthening of telomeres phenotype if: (a) neoplastic cells demonstrated individual telomeric foci with integrated total signal intensities >10-fold that of the per cell mean integrated signal intensities for all telomeric signals in individual non-neoplastic stromal or endothelial cells within the same section, and (b) $\geq 1\%$ of tumor cells displayed these alternative lengthening of telomeres-associated telomeric foci.¹⁷ Neoplasms were considered negative if they lacked the alternative lengthening of telomeres-

associated telomeric foci and at least 500 neoplastic cells were analyzed. We did not assess co-localization of telomeric foci with promyelocytic leukemia nuclear bodies as this is not a requirement for the demonstration of the alternative lengthening of telomeres phenotype.^{3,18–20}

Statistical Analysis

A Fisher's exact test was used to determine if the difference in tumor grade between the ATRX or DAXX defective tumors and the tumors with intact ATRX and DAXX expression was statistically significant ($P < 0.05$).

Results

Patient Demographics

The 28 MEN-1 syndrome patients consisted of 12 females and 16 males. The average age at the time of surgery was 45 years (range 19–81 years). All patients were Caucasian except one. Three patients underwent enucleation, 16 patients underwent distal pancreatectomy, and 9 patients underwent pancreaticoduodenectomy as their primary surgical intervention.

Pathological Findings

The mean size of the microadenomas was 0.2 cm (range 0.1 to <0.5 cm); the mean size of the pancreatic neuroendocrine tumors was 1.9 cm (range 0.5–8.0 cm). Microscopically, the tumors showed a range of architectural patterns typical of neuroendocrine tumors, including trabecular, glandular, and solid patterns. The results of the ATRX, DAXX, and KI67 immunolabeling, the mitotic count, and the telomere-specific FISH are summarized in Table 1. Mitotic figures ranged from 0 to 5 per 10 high power fields with the vast majority of tumors (93%, 101/109) demonstrating fewer than two mitotic figures per 10 high power fields (WHO grade 1).²¹ KI67 expression ranged from 0 to 10% and showed a predominance of tumors (84%, 87/103) with a proliferative index $\leq 2\%$ (WHO grade 1).²¹

Overall, 3% of the neuroendocrine lesions (microadenomas and pancreatic neuroendocrine tumors) showed defective ATRX and/or DAXX expression and displayed the alternative lengthening of telomeres phenotype. Of the 47 microadenomas, none had evidence of ATRX or DAXX defects or the alternative lengthening of telomeres phenotype (Figure 1a-d). Within the pancreatic neuroendocrine tumor group (ie, tumors measuring ≥ 0.5 cm), 3 of 50 tumors (6%) demonstrated defective ATRX and/or DAXX expression. Each of the three tumors was resected from a different MEN-1 syndrome patient. One tumor showed loss of DAXX expression (Figure 1e-g), a second tumor with prominent biphasic morphology demonstrated loss of nuclear DAXX in

Table 1 Characteristics of MEN-1 pancreatic neuroendocrine lesions

	Microadenomas	Pancreatic neuroendocrine tumors	Lymph node metastases
Total (n = 109)	47	50	12
<i>Mitoses (per 10 high power fields)</i>			
<2 (WHO grade 1)	46	43	12
2–20 (WHO grade 2)	1	7	0
>20 (WHO grade 3)	0	0	0
<i>KI67 proliferation index (%)</i>			
<2 (WHO grade 1)	41 ^a	39	7 ^a
3–20 (WHO grade 2)	1	11	4
>20 (WHO grade 3)	0	0	0
<i>ATR nuclear labeling</i>			
Positive	47	49	12
Negative	0	0	0
Heterogeneous	0	1 ^b	0
<i>DAXX nuclear labeling</i>			
Positive	47	47	10
Negative	0	2	2
Heterogeneous	0	1	0
<i>Alternative lengthening of telomeres phenotype</i>			
Negative	47	47	10
Positive	0	3	2

Abbreviations: ATRX, alpha thalassemia/mental retardation X-linked; DAXX, death domain-associated protein; MEN-1, multiple endocrine neoplasia-1; WHO, World Health Organization.²¹

^aKI67 immunolabeling was available for 42 of 47 microadenomas and 11 of 12 lymph nodes.

^bThis tumor also showed loss of DAXX.

one morphological component and punctate nuclear immunolabeling for DAXX in the other morphological component (ie, heterogeneous staining pattern) (Figure 2a and b), and a third tumor showed a heterogeneous pattern of ATRX labeling and also loss of nuclear DAXX. Loss of nuclear expression of ATRX and/or DAXX correlated with the presence of the alternative lengthening of telomeres phenotype in all the three tumors. In addition, all three of the tumors with loss of ATRX and/or DAXX and the presence of the alternative lengthening of telomeres phenotype measured ≥ 3 cm. Two of the three tumors had concurrent lymph node metastases, and each metastasis showed the same changes as the primary tumor. No alterations in ATRX or DAXX expression or telomere status were identified in the remaining 10 lymph node metastases, which were associated with other pancreatic neuroendocrine tumors.

Overall, 12 pancreatic neuroendocrine tumors measured ≥ 3 cm, and thus 25% (3 of 12) demonstrated loss of ATRX and/or DAXX and the presence of the alternative lengthening of telomeres phenotype

(Table 2). Those with loss of ATRX and/or DAXX and the alternative lengthening of telomeres phenotype were more likely to be WHO grade 2 (as opposed to grade 1) based on KI67 immunolabeling than those without (3/3 vs 1/9, $P=0.0182$). The average size of the 12 pancreatic neuroendocrine tumors measuring ≥ 3 cm was 4.2 cm (range 3.0–8.0 cm).

Discussion

Understanding the timing of genetic alterations in tumor development can often help define their roles in progression of disease, and this has important implications for detection and treatment. Unlike the well-defined sequence from adenoma to invasive carcinoma in the colorectum, the histological progression of pancreatic neuroendocrine tumors is not well defined because no clear-cut basement membrane separates noninvasive from invasive lesions. Tumor size can serve as a surrogate for progression of pancreatic neuroendocrine tumors, as the vast majority of small lesions (ie, microadenomas) behave in a benign fashion, while larger lesions (ie, pancreatic neuroendocrine tumors, by definition measuring ≥ 0.5 cm) demonstrate malignant potential.^{16,22,23}

In this study, we found that loss of nuclear expression of ATRX and/or DAXX occurred in 6% of MEN-1 well-differentiated pancreatic neuroendocrine tumors and that loss of nuclear expression of ATRX and/or DAXX perfectly correlated with the presence of the alternative lengthening of telomeres phenotype. These findings establish the existence of ATRX and DAXX defects and the alternative lengthening of telomeres telomere maintenance mechanism in the setting of MEN-1 syndrome pancreatic neuroendocrine tumors and confirm the correlation between loss of nuclear expression of ATRX or DAXX and the occurrence of the alternative lengthening of telomeres phenotype.

We also found that loss of nuclear expression of ATRX and/or DAXX and the presence of the alternative lengthening of telomeres phenotype occurred only in larger (≥ 3 cm) pancreatic neuroendocrine tumors in patients with MEN-1 syndrome. This finding suggests that these changes are 'late' events in the pancreatic neuroendocrine tumorigenesis, occurring only after the neoplasms have grown well beyond the size of microadenomas.

As compared with the prior study of sporadic pancreatic neuroendocrine tumors by Jiao *et al*,² the prevalence of ATRX and/or DAXX defects and the alternative lengthening of telomeres phenotype in the current study of MEN-1 tumors was considerably less (43% vs 6%). While inherent differences between these two groups of neuroendocrine tumors may certainly be responsible for this discrepancy, it may also reflect differences in the size (ie, progression) of the pancreatic neuroendocrine tumors studied: the mean size of pancreatic neuroendocrine tumors in the prior study was 4.9 cm, whereas the

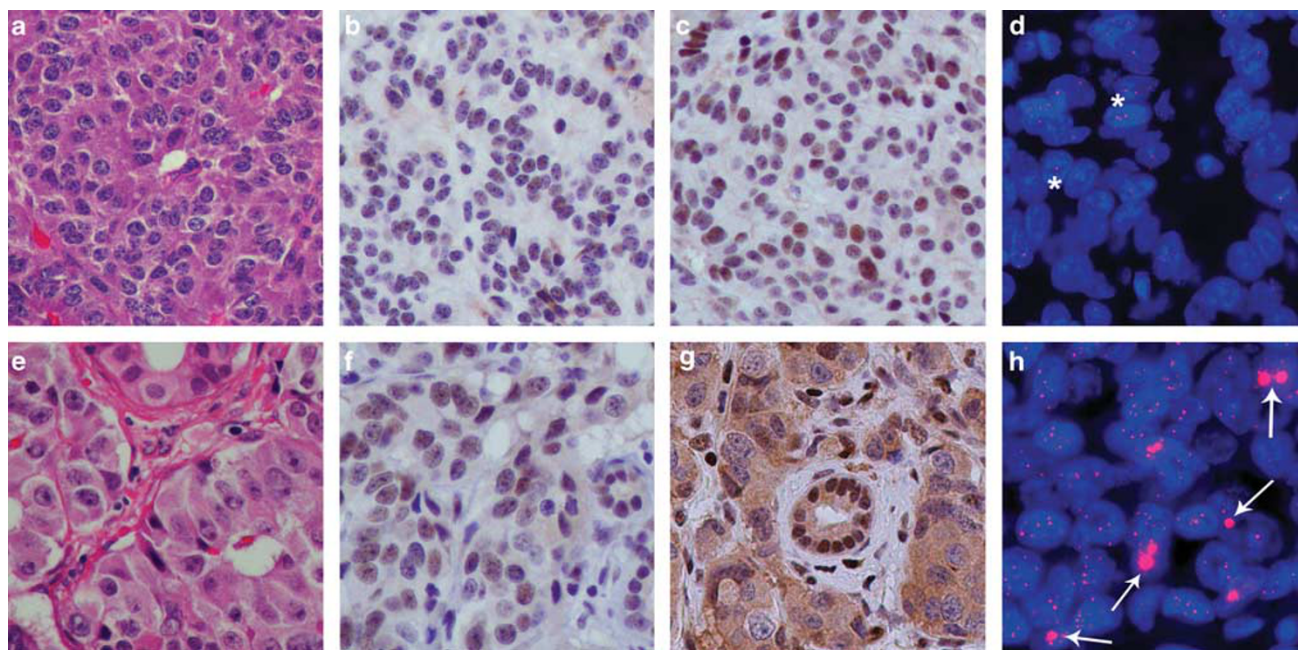


Figure 1 ATRX and DAXX immunolabeling and telomere-specific FISH in a MEN-1 microadenoma (a–d) and in a 3.5-cm MEN-1 pancreatic neuroendocrine tumor with a DAXX defect and the alternative lengthening of the telomeres phenotype (e–h). H&E (a, e), ATRX (b, f), DAXX with retained nuclear expression (c) and loss of nuclear expression (with entrapped non-neoplastic duct) (g), telomere-specific FISH (d, h). Asterisks highlight nuclei with normal telomere-specific FISH signals. Arrows highlight alternative lengthening of telomeres-specific foci of telomeric DNA (DAPI nuclear counterstain). All images were taken at $\times 400$ magnification.

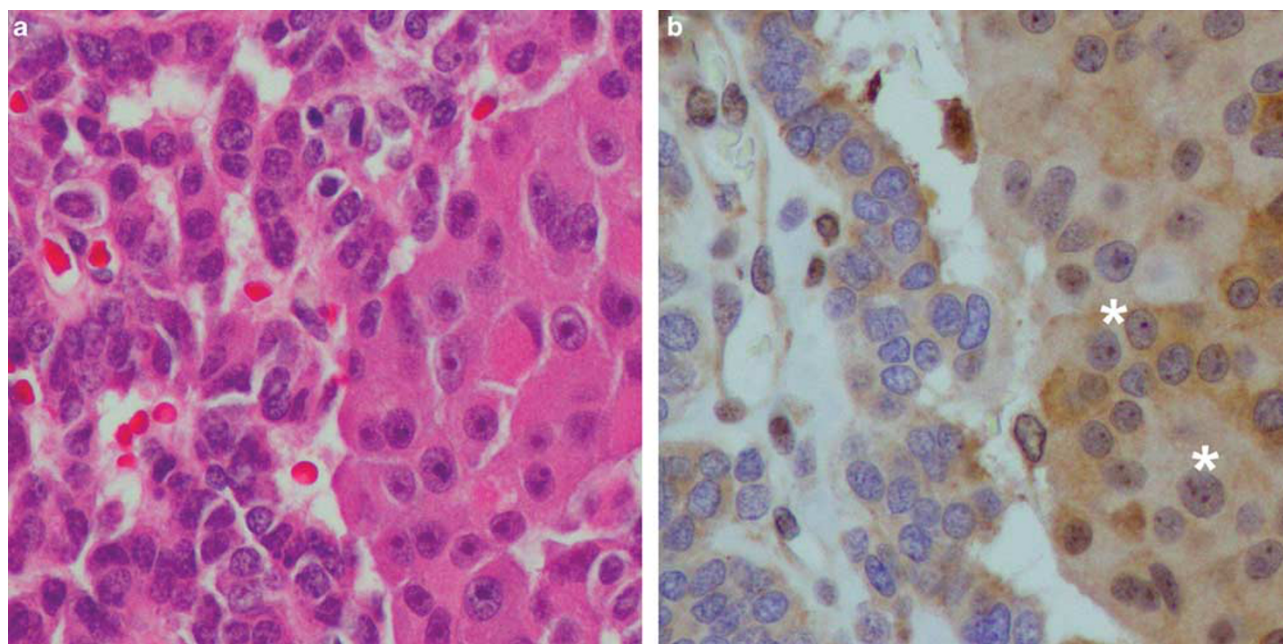


Figure 2 An 8.0-cm MEN-1 pancreatic neuroendocrine tumor displaying biphasic morphology and heterogeneous immunolabeling for DAXX. The more typical pancreatic neuroendocrine morphology is seen on the left; a second morphologic pattern with prominent nucleoli and abundant cytoplasm is seen on the right. Both areas demonstrated the alternative lengthening of the telomeres phenotype. H&E (a), DAXX (b). All images were taken at $\times 400$ magnification.

mean size in the current study was 1.9 cm. Even within the subset of MEN-1 pancreatic neuroendocrine tumors measuring ≥ 3 cm in the current study (albeit a sample size too small for definitive conclu-

sions), the mean size was just 4.2 cm, and notably, the proportion of these tumors showing ATRX and/or DAXX defects and the alternative lengthening of telomeres phenotype was 25% (a figure much closer

Table 2 MEN-1 pancreatic neuroendocrine tumors measuring ≥ 3 cm

IHC expression	Alternative lengthening of telomeres phenotype	WHO grade 2 ^a
Aberrant ATRX and/or DAXX	3/3	3/3
Normal ATRX and DAXX	0/9	1/9

Abbreviations: ATRX, alpha thalassemia/mental retardation X-linked; DAXX, death domain-associated protein; IHC, immunohistochemistry; MEN-1, multiple endocrine neoplasia-1; WHO, World Health Organization.²¹

^aAs compared with WHO grade 1, based on KI67 immunolabeling.

to the 43% seen in the sporadic pancreatic neuroendocrine tumors). Additionally, it is worth noting that *MEN1* mutation *per se* does not preclude an ATRX or DAXX defect, as nearly one-quarter of the sporadic pancreatic neuroendocrine tumors in the previous study harbored dual mutations in *MEN1* and either *ATRX* or *DAXX*.²

Although the vast majority of neoplasms maintain their telomere lengths by increased activity of telomerase, ~4% of neoplasms maintain their telomere lengths through a homologous recombination-based mechanism known as alternative lengthening of telomeres.¹⁷ One of the hallmarks of this telomere maintenance mechanism is accumulation of large amounts of telomeric DNA in discrete nuclear foci, a feature that is the basis for the telomere-specific FISH assay used to detect alternative lengthening of telomeres. In all tumors tested to date, including those of the current study, loss of nuclear ATRX and/or DAXX shows a near 100% correlation with the alternative lengthening of telomeres phenotype,^{3,4} suggesting that inactivation of these proteins (and/or their associated genes) is a critical step in the development of this cancer-associated telomere maintenance mechanism. Although the clinical significance of these changes in pancreatic neuroendocrine tumors is still being unraveled, mutations in either *ATRX* or *DAXX* have been shown to be associated with improved survival (as compared with pancreatic neuroendocrine tumors without *ATRX* or *DAXX* mutations).² Unfortunately, in the current study, the prevalence of ATRX and/or DAXX defects was too low to generate a statistically well-powered survival curve.

In summary, our findings establish the existence of ATRX and DAXX defects and the alternative lengthening of telomeres telomere maintenance mechanism in the setting of MEN-1 syndrome pancreatic neuroendocrine tumors, confirm the correlation between loss of nuclear ATRX or DAXX expression and the occurrence of the alternative lengthening of telomeres phenotype, and demonstrate that these changes occur as late events in pancreatic neuroendocrine tumor development in patients with MEN-1 syndrome. Furthermore, the presence of simultaneous defects in ATRX or DAXX and *MEN1* in this study adds to a growing body

of evidence that the signaling pathway of *ATRX* and *DAXX* is distinct from that of *MEN1*.^{2,24–30}

Disclosure/conflict of interest

The authors declare no conflict of interest.

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