

The alternative lengthening of telomeres phenotype in breast carcinoma is associated with HER-2 overexpression

Andrea Proctor Subhawong¹, Christopher M Heaphy¹, Pedram Argani^{1,2}, Yuko Konishi¹, Nina Kouprina¹, Hind Nassar¹, Russell Vang¹ and Alan K Meeker^{1,2,3}

¹Department of Pathology, The Johns Hopkins Hospital, Baltimore, MD, USA; ²Department of Oncology, The Johns Hopkins Hospital, Baltimore, MD, USA and ³Department of Urology, The Johns Hopkins Hospital, Baltimore, MD, USA

Approximately 10–15% of human cancers do not show evidence of telomerase activity, and a subset of these maintain telomere lengths by a recombination-based mechanism termed alternative lengthening of telomeres (ALT). The ALT phenotype, relatively common in certain sarcomas and germ cell tumors, is very rare in carcinomas. In this study we describe evidence for the ALT phenotype in molecular subclasses of breast carcinoma, specifically a subset of cancers with HER-2 overexpression. Tissue microarrays were created from 71 invasive ductal carcinomas of the breast categorized into subclasses, and telomere lengths were directly assessed using fluorescence *in situ* hybridization with combined promyelocytic leukemia (PML) protein immunofluorescence. The ALT phenotype was identified in 3 of 21 HER-2-positive cases, but in none of the other 50 cases ($P=0.023$). This is the first direct observation of this mechanism of telomere maintenance in breast carcinoma unrelated to Li–Fraumeni syndrome. The correlation of the ALT phenotype with HER-2 positivity, both of which involve abnormal DNA amplification, suggests a possible common underlying mechanism. This telomere phenotype confers a poor prognosis in some cancers; two of the three cases in our study showed rapid tumor progression, possibly suggesting that it may adversely affect outcome in breast carcinoma as well. As cancers using the ALT pathway are predicted to be resistant to therapies based on telomerase inhibition, these results may have therapeutic consequences.

Modern Pathology (2009) 22, 1423–1431; doi:10.1038/modpathol.2009.125; published online 4 September 2009

Keywords: HER-2; ALT; telomere

Breast cancer is the most common cancer among women worldwide, and accounts for 18% of all female malignancies.¹ Infiltrating duct carcinoma is the most frequent histological type of breast cancer, accounting for approximately 68% of cases.² Recently, a breast cancer taxonomy based on gene expression profiling with DNA microarrays has led to the characterization of four main breast cancer subgroups, which seem to arise from distinct cell types with unique biologic functions.³ These groups

are: luminal A (defined by a significant expression of estrogen receptor (ER) and luminal epithelium-related genes and low histological grade), luminal B (defined by lower levels of ER expression and higher histological grade), HER-2 positive (defined by a low expression of estrogen-related genes and high expression of HER-2-related genes), and basal-like (defined by a low expression of ER and HER-2-related genes and a high expression of myoepithelium-related genes).^{3,4} These subgroups predict prognosis and therapeutic response.^{3,5} The low-grade luminal A tumors are usually indolent and sensitive to anti-estrogen therapy. Tumors that are both HER-2 positive and ER positive, including luminal B cancers, are less sensitive to hormonal therapy and often carry a worse prognosis. HER-2-positive cancers, which tend to be of high grade, aggressive, and carry a poor prognosis, respond to

Correspondence: Dr AK Meeker, PhD, Assistant Professor of Pathology and Urology, The Johns Hopkins Medical Institutions, Department of Pathology, Division of Genitourinary Pathology, 1503 Jefferson Street, Bond Street Annex, Room B300, Baltimore, MD 21231, USA. E-mail: ameeker1@jhmi.edu
Received 23 June 2009; revised 6 August 2009; accepted 7 August 2009; published online 4 September 2009

trastuzumab, a humanized anti-HER-2 antibody. Basal-like cancers are also associated with a worse prognosis,⁶ both because of the underlying aggressive behavior and lack of targets for hormonal- or antibody-based targeted therapy. Identifying additional molecular markers that distinguish subsets of these classes may yield more detailed prognostic information or carry therapeutic implications.

One such marker is found in the different ways immortalized cells maintain telomere length. Most human cancers (approximately 85%) express telomerase,⁷ an enzyme that maintains stable chromosomal telomere length and permits unlimited replication. The remaining 10–15% of human cancers do not show evidence of telomerase activity, and a fraction of these maintain telomere lengths by a recombination-based mechanism termed alternative lengthening of telomeres (ALT). The ALT phenotype is commonly identified in adult sarcomas (such as osteosarcomas and liposarcomas),^{8,9,10} astrocytomas and glioblastomas,^{8,11} and testicular germ cell tumors, but is extremely rare in carcinomas.^{12,13}

At the cellular level, ALT is distinguished by the presence of the so-called ALT-associated promyelocytic leukemia (PML) protein nuclear bodies (APBs) that contain large amounts of extra-chromosomal telomeric DNA, PML protein and other proteins involved in telomere binding, DNA replication, and recombination. APBs may be the site of telomere lengthening, or they may hold the protein complexes required for elongation.¹⁴ PML nuclear bodies are normally involved in tumor-suppressor functions such as apoptosis and senescence mediated by *p53*, and *Rb*-associated repression of transcription.^{15,16} In ALT-positive cell lines, bright telomere fluorescence *in situ* hybridization (FISH) signals in the APBs co-localize with PML protein immunofluorescence staining. There is a marked telomere length heterogeneity in ALT-positive cells, as well as chromosomal instability because of the fusions of subsets of chromosomes with very long or short telomeres.

A recent study showed that the mechanism of ALT telomere maintenance was common in high-frequency microsatellite-unstable gastric carcinomas (33%);¹⁷ ALT has also rarely been described in adrenocortical carcinoma.¹² However, the ALT mechanism is extremely rare in carcinomas overall, and when identified, is in cell lines that may not reflect *in vivo* conditions. Although breast carcinomas expressing telomerase activity have been shown to result in poor clinical outcomes,¹⁸ the ALT expression in breast cancer has only been reported once in a patient with Li-Fraumeni syndrome.¹³ In that case, ALT was detected indirectly using a combination of telomerase assays and Southern blotting. The frequency of the ALT phenotype in molecular subclasses of breast carcinoma has not been systematically evaluated. This study is the first to evaluate ALT expression in breast

cancer using direct methods (ie, telomere FISH with combined PML immunofluorescence).

Materials and methods

Institutional Review Board Approval

This study was approved by the institutional review board of The Johns Hopkins Medical Institution.

Case Selection and Tissue Microarray Construction

We reviewed cases of invasive ductal carcinoma, resected and processed at our institution, between the years 2001 and 2007. All of these cases were processed uniformly, in that they were sectioned in a fresh state, and fixed overnight in 10% neutral buffered formalin before processing to ensure 24 to 33 h of formalin fixation. Tumors showing processing artifacts (eg, incomplete sections in which fat did not stick to the hematoxylin and eosin-stained slides), of small size (<1 cm), or treated with neoadjuvant chemotherapy, were excluded from consideration.

Tissue microarrays were constructed as previously described.¹⁹ Each array contained 99 tissue cores, each 1.4 mm in diameter. These were arranged as 9 rows, each with 11 columns. Column 6 consisted of various unrelated control tissues, leaving 90 cores on the array for carcinoma samples. For each carcinoma case, five areas were identified on the hematoxylin and eosin slides, punched from the corresponding donor blocks, and placed on the array. Therefore, each array contained 18 different breast tumors. Among the five samples of each case, we attempted to include normal tissue and carcinoma *in situ* in one sample if possible, leaving four to five cores of infiltrating carcinoma per case.

Immunohistochemistry Methods and Scoring

Immunohistochemistry for ER, progesterone receptor (PR), and HER-2 were previously performed on all cases as part of a routine panel applied to any infiltrating ductal carcinoma at The Johns Hopkins Hospital. The slides were reviewed by two of the authors (APS and PA) to confirm the reported interpretation. Immunohistochemical labeling was performed using standard methods. ER and PR staining was performed on the Benchmark XT autostainer (Ventana Medical Systems Inc., Tucson, AZ, USA) using I-View detection kit. The antibodies, dilutions, and sources were as follows: ER, monoclonal antibody; 1:1 dilution, Ventana, catalog no. 76O-2596; PR, monoclonal antibody, 1:60 dilution, DAKO, catalog no. M3569. Tumors showing weak, moderate, or strong nuclear labeling for ER or PR in > 1% of the cells were considered ER positive or PR positive, respectively. HER-2 immunohistochemistry was performed using the DAKO

Herceptest kit according to the manufacturer's standard protocol. The tumors were scored using established criteria as 0 or 1+ (negative), 2+ (equivocal), and 3+ (positive). FISH analysis for HER-2 amplification was performed on all cases with 2+ (equivocal) immunohistochemistry results using the Path Vysion kit (Des-Plaines, IL, USA). To qualify as HER-2 positive for this study, a case had to show either 3+ (strong positive) staining or a HER-2 FISH amplification ratio of >4.0. Cases with equivocal ratios (1.8–2.2), or low-level amplification (ratios 2.2–4.0) were excluded from this study because of their uncertain clinical significance.

Cytokeratin 5/6 (CK 5/6) immunohistochemistry was performed on whole sections of tumors that were negative for ER, PR, and HER-2 to identify basal-like cases, because labeling can be focal. CK 5/6 staining was performed using the Benchmark XT autostainer (prediluted monoclonal antibody, DAKO, catalog no. M7237). Cases were scored on the basis of the percentage of positive cells into 1+ (1–25%), 2+ (26–50%), 3+ (51–75%), and 4+ (76–100%) categories. For this study, cases that showed convincing membranous or cytoplasmic labeling in >25% of the neoplastic cells were considered positive. Cases with equivocal or less extensive labeling, which was difficult to distinguish from biotin artifact, were excluded from the study. Immunohistochemistry for epidermal growth factor receptor (EGFR) was performed on cases negative for ER, PR, and HER-2 to identify additional basal-like cases. EGFR labeling was performed using the monoclonal antibody from Zymed, catalog no. 28005, at 1:50 dilution, and the capillary action HRP/DAB detection system (catalog no. 2402, Signet Laboratories Inc., Dedham, MA, USA). Any strong membranous staining for EGFR was considered a positive result. Cases were scored on the basis of the percentage of positive cells into 1+ (1–25%), 2+ (26–50%), 3+ (51–75%), and 4+ (76–100%) categories. In general, positive cases showed labeling in 10–50% of the neoplastic cells.

In addition, p53 (Ventana, monoclonal antibody, catalog no. 760-2542) and Ki-67 (Ventana, monoclonal antibody, catalog no. M7240) immunohistochemistry were performed on the Benchmark XT autostainer. For p53 and Ki-67, only nuclear labeling was scored. For p53, labeling of >30% of the nuclei was considered aberrant overexpression, and labeling of less than 30% of the nuclei was considered negative for aberrant overexpression; 30% labeling of nuclei was considered equivocal. The Ki-67 index reflects the mean index of the 4–5 tumor samples per case.

Telomere-Fish (Tel-Fish) and Telomere/Immunostaining-Fish (Teli-Fish)

As previously described,²⁰ deparaffinized slides were hydrated through a graded ethanol series,

placed in deionized water, followed by deionized water plus 0.1% Tween-20 detergent for 1 min. They were then placed in citrate buffer (catalog no. H-3300; Vector Laboratories, Burlingame, CA, USA), and steamed for 14 min (Black and Decker Handy Steamer Plus; Black and Decker Corp., Towson, MD, USA), removed, and allowed to cool at room temperature for 5 min. They were then placed in PBS with Tween (PBST) (catalog no. P-3563; Sigma Chemical Co., St Louis, MO, USA) for 5 min. The slides were thoroughly rinsed with deionized water, followed by 95% ethanol for 5 min, and then air-dried. Twenty-five microliters of a Cy3-labeled telomere-specific peptide nucleic acid (PNA) (0.3 µg/ml PNA in 70% formamide, 10 mmol/l Tris, pH 7.5, 0.5% B/M Blocking reagent (catalog no. 1814-320; Boehringer-Mannheim, Indianapolis, IN, USA)) was applied to the sample, which was then cover-slipped, and denaturation was performed by incubation for 4 min at 83 °C. The slides were then moved to a dark, closed container for hybridization at room temperature for 2 h. Coverslips were then carefully removed and the slides were washed twice in PNA wash solution (70% formamide, 10 mmol/l Tris, pH 7.5, 0.1% albumin (from 30% albumin solution, catalog no. a-7284; Sigma)), followed by 3 × 5-min washes in TBST.

At this point, slides were either counterstained with 4'-6-diamidino-2-phenylindole (DAPI) (500 ng/ml in deionized water, Sigma Chemical Co., Cat no. D-8417) for 1 min at room temperature, mounted with Prolong anti-fade mounting medium (catalog no. P-7481; Molecular Probes Inc., Eugene, OR, USA), and imaged, or were further processed for indirect immunofluorescence as follows. The slides were rinsed in PBST followed by application of primary antibody (anti-smooth muscle actin, Dako, Carpinteria, CA, USA, Cat no. m0851 or anti-PML antibody, Dako Cat no. PG-M3 diluted 1:100) and incubated overnight at 4 °C. They were then rinsed in PBST followed by application of goat anti-rabbit or goat anti-mouse IgG fraction Alexa Fluor 488 fluorescent secondary antibody (Molecular Probes Cat nos. A-11034 and A-11001, respectively) diluted 1:100 in Dulbecco's PBS, and incubated at room temperature for 30 min. They were then rinsed in PBST, and thoroughly rinsed in deionized water. The slides were drained and stained with DAPI, and were then rinsed well in deionized water, drained, mounted with Prolong anti-fade mounting medium (catalog no. P-7481, Molecular Probes Inc.), cover-slipped, and imaged or stored at 4 °C until used. The PNA probe complementary to the mammalian telomere repeat sequence was obtained from Applied Biosystems (Framingham, MA, USA), and has the sequence (N-terminus to C-terminus) CCCTA ACCCTAACCCTAA with an N-terminal covalently linked Cy3 fluorescent dye. As a positive control for hybridization efficiency, an FITC-labeled PNA probe having the sequence ATTTCGTTGGAAACGGGA with specificity for human centromeric DNA repeats

(CENP-B binding sequence) was also included in the hybridization solution.²¹

Microscopy

The slides were imaged using Nikon 50i epifluorescence microscope equipped with X-Cite series 120 illuminator (EXFO Photonics Solutions Inc., Ontario, CA, USA) and a 100 × /1.4 NA oil immersion Neofluar lens. Fluorescence excitation/emission filters were as follows: Cy3 excitation, 546 nm/10 nm BP; emission, 578 nm LP (Carl Zeiss Inc.); DAPI excitation, 330 nm; emission, 400 nm through an XF02 fluorescence set (Omega Optical, Brattleboro, VT); Alexa Fluor 488 excitation, 475 nm; emission, 535 nm through a combination of 475RDF40 and 535RDF45 filters (Omega Optical). Grayscale images were captured for presentation using Nikon NIS-Elements software and an attached Photometrics CoolsnapEZ digital camera, pseudo-colored and merged. Integration times typically ranged from 500 to 800 ms for Cy3 (telomere) and FITC (centromere) signal capture, 50 to 100 ms for the DAPI counterstain, and 100 to 200 ms for Alexa Fluor 488-conjugated antibodies.

The arrays were assessed for the presence of the ALT phenotype by two authors (APS and AKM). The ALT-positive cases were identified by large, very bright, intra-nuclear foci of telomere FISH signals marking APBs throughout the tumor cells, confirmed by co-localization of PML protein by combined PML immunofluorescence. Areas showing necrosis were excluded from consideration.

Results

Case Characterization

The 71 breast carcinoma cases on the tissue microarrays were categorized into one of the four groups based upon previously validated and accepted immunohistochemical surrogate profiles.^{22,23} A table with details of all 71 cases has been published previously.²⁴ In all, 17 cases were immunoreactive for ER and negative for HER-2, and were classified as luminal A tumors. The luminal A

cases showed nuclear labeling for ER in at least 70% of the invasive carcinoma cells. Of the 21 HER-2 positive cases identified by 3+ immunohistochemical stain and/or amplification ratio >4.0 by FISH, 14 were negative for ER and PR and were considered classic HER-2 cases; 11 of these cases were reported as 3+ by immunohistochemistry, and the remaining 3 had FISH amplification ratios of 19.6, 4.1, and 14.2. There were 7 of 21 HER-2-positive cases that were immunoreactive for ER and/or PR, though to a lesser degree than the luminal A cases. These cases were therefore considered luminal B tumors. Five of these cases showed 3+ HER-2 staining by immunohistochemistry, and the remaining two had FISH amplification ratios of 5.6 and >4.0 (not specifically quantified).

Among the 33 cases that were negative for ER, PR, and HER-2 (triple-negative carcinomas), 8 showed staining for CK 5/6 and EGFR, 9 stained for CK 5/6 but not EGFR, and 4 stained for EGFR but not CK 5/6. These 21 cases were categorized as basal-like carcinomas on the basis of published criteria. The remaining 12 cases, which were negative for ER, PR, HER-2, CK 5/6, and EGFR, were considered unclassifiable triple-negative carcinomas. Of note, the category of infiltrating ductal carcinoma, considered 'normal breast-like' by gene expression profiling, is poorly defined and lacks a validated immunohistochemical surrogate profile, and was not included in this study.

Patient Demographics, Tumor Grade, and Stage

Age, ethnicity, grade, and lymph node status of the carcinoma subclasses are summarized in Table 1, a version of which has been published previously.²⁴ Luminal A cancers occurred in older patients and were more likely to be of lower Elston grade (1 and 2) (ages of luminal A vs luminal B $P=0.040$, vs HER-2 $P=0.055$, vs basal-like carcinoma $P=0.0035$, vs unclassifiable triple-negative carcinoma $P=0.021$) (grade of HER-2, basal-like carcinoma, and unclassifiable triple negative carcinoma each vs luminal A $P<0.001$, grade of luminal B vs luminal A $P=0.21$). In addition, a higher percentage of basal-like carcinomas and unclassifiable triple-negative

Table 1 Summary of case characteristics

	Age (years)	Ethnicity			Elston grade			Nodal metastases	
		Caucasian	African American	Other	1	2	3	Positive	Negative
Luminal A ($n=17$)	64.1	12	5	0	4	8	5	8	7
Luminal B ($n=7$)	50.3	5	1	1	0	3	4	4	2
HER-2 ($n=14$)	56.1	10	4	0	0	0	14	7	7
Basal-like ($n=21$)	51.5	9	11	1	0	1	20	11	9
UTNC ($n=12$)	53.0	6	5	1	0	0	12	2	10

Axillary nodes were not sampled in one basal-like carcinoma patient, one luminal B patient, and two luminal A patients. UTNC indicates unclassifiable triple-negative carcinoma.

Table 2 p53 overexpression and proliferation rates in the different tumor types

	p53 overexpression	Ki-67 mean (%)
Luminal A	2/17 cases	8.6
Luminal B	4/7 cases	35.1
HER-2	8/14 cases	36.5
Basal-like carcinoma	10/21 cases	67.5
Unclassifiable triple-negative carcinoma	8/12 cases	61.5

carcinomas occurred in African-American patients (combined triple-negative carcinomas vs all others $P=0.046$). Both of these trends are consistent with published literature.^{22,25,26}

The p53 and Ki-67 Indices

The results of p53 overexpression and Ki-67 indices are summarized in Table 2, a version of which has been published previously.²⁴ In total, 2 of 17 luminal A cases, 4 of 7 luminal B cases, and 8 of 14 HER-2 cases overexpressed p53, along with 10 of 21 basal-like carcinomas and 8 of 12 unclassifiable triple-negative carcinomas. The p53 expression pattern between each of the HER-2, basal-like carcinoma, and unclassifiable triple-negative carcinoma groups, compared with the luminal A cancers, was significant ($P<0.05$), but there was no significant difference among the three former groups. Luminal B cancers showed p53 overexpression more frequently than luminal A cancers ($P=0.03$).

The basal-like carcinoma and unclassifiable triple-negative carcinoma groups had the highest proliferation indices among the five groups. The mean Ki-67 index for the basal-like carcinoma group was 67.5%; the mean for the unclassifiable triple-negative carcinoma group was 61.5%. HER-2-positive cases had intermediate proliferation indices: the mean Ki-67 index for the HER-2 cases was 36.5%, whereas the mean for the luminal B cases was 35.1%. Using analysis of variance, these groups showed a statistically significant difference in mean Ki-67 indices ($P<0.001$). As expected, luminal A cases, which included more cancers of lower grade, had the lowest Ki-67 indices. The mean Ki-67 index average for the luminal A cases was 8.6% (luminal A vs all others $P<0.001$).

Assessment for Alternative Lengthening of Telomeres (ALT)

The ALT phenotype was identified in 3 of 21 HER-2-positive cases (luminal B and HER-2), and was further verified by co-localization of unusually bright telomere FISH signals with PML protein, as revealed by simultaneous anti-PML immunofluorescence. A comparison of an ALT-positive case

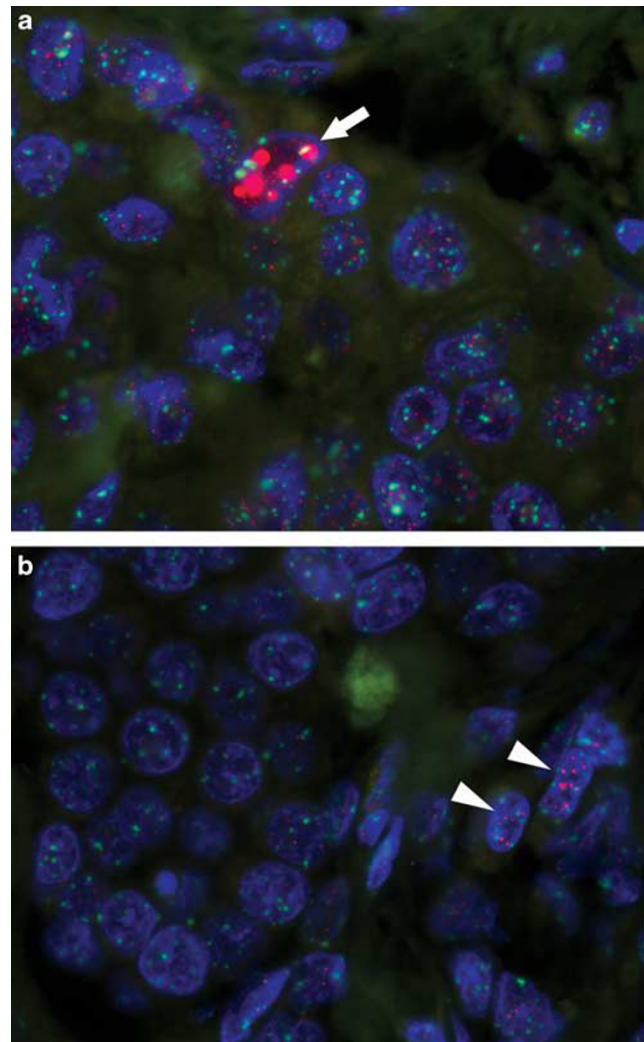


Figure 1 (a) An ALT-positive case. The arrow indicates ALT-associated promyelocytic leukemia protein bodies (APBs) in a tumor cell. (b) An ALT-negative case, which shows telomere shortening. For comparison, the arrowheads indicate non-tumor stromal cells that show robust telomeres, as would be expected in normal tissue. The background cellular DNA is stained blue by DAPI, telomere DNA is stained red by telomere probe, and centromeres are stained green by centromere probe. Original magnification $\times 400$.

with an ALT-negative case is shown in Figure 1. The arrow shows APBs indicative of the ALT phenotype; in the example of the ALT-negative case, the arrowheads indicate robust telomeres present in non-tumor stromal cells, adjacent to tumor cells showing telomere shortening by dim telomere signal. Figures 2a–c show confirmation of an ALT-positive case, by identification of PML protein co-localization with collections of telomere DNA to form APBs. The inset highlights the typical targetoid appearance of an APB with a peripheral rim of PML protein. For comparison in Figures 2d–f, the PML protein immunofluorescence does not overlap with telomere signals in an ALT-negative case.

The details of the three ALT-positive cases are included in Table 3. The HER-2 amplification

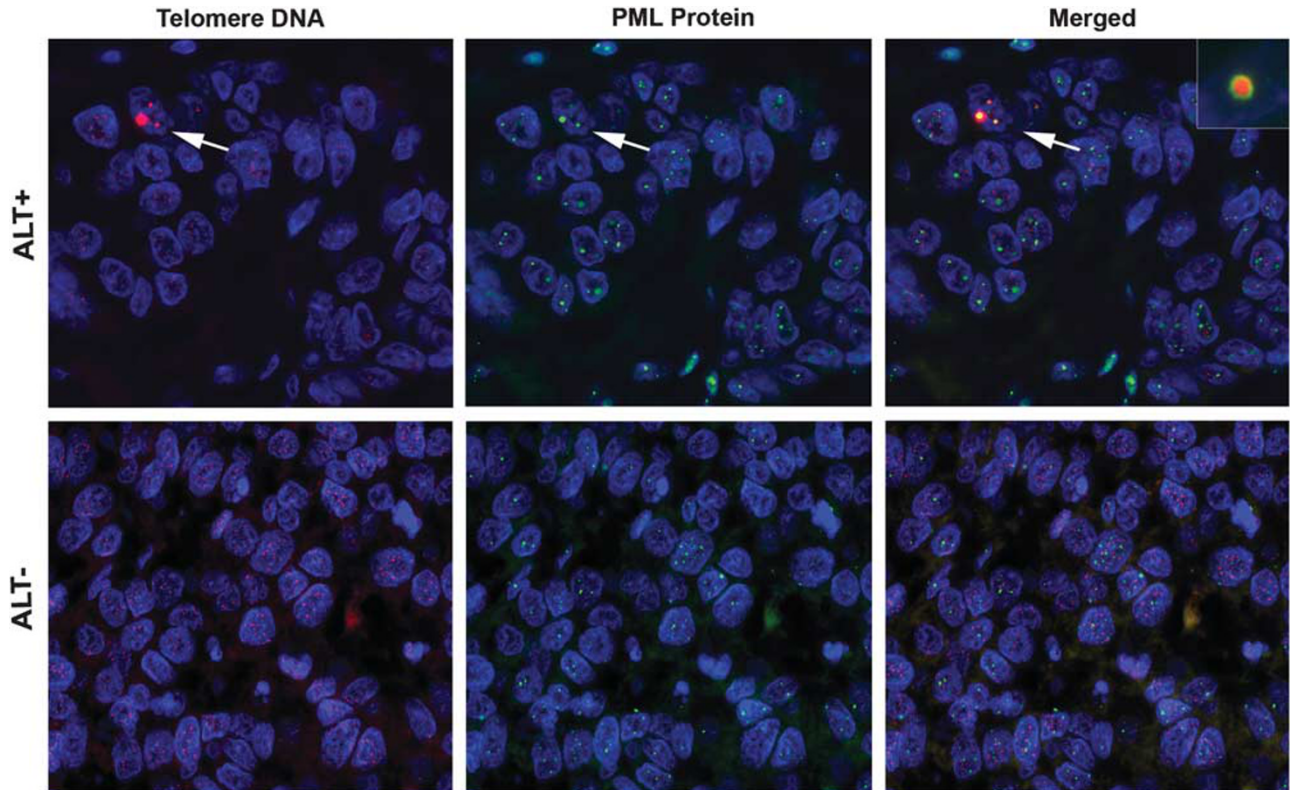


Figure 2 Co-localization of telomere DNA and promyelocytic leukemia (PML) protein in the ALT phenotype (a–c). An ALT-positive case; the inset in (c) highlights the typical targetoid appearance of an APB with a peripheral rim of PML protein. (d–f) An ALT-negative case; in such cases, APBs are absent and PML protein does not co-localize with telomere DNA. The background cellular DNA is stained blue by DAPI, telomere DNA is stained red by telomere probe, and PML protein is stained green by anti-PML antibody. Original magnification $\times 400$, inset $\times 1000$.

Table 3 Cases demonstrating the ALT phenotype

Case/subclass	Age/race	Grade, stage	ER (%, intensity)	PR (%, intensity)	HER-2 (IHC score /FISH ratio)	p53	Ki-67 mean (%)	Follow-up
1/Lum B	34.6/W	3, pT2N1	10, mod	10, str	2+/5.6	–	75	DOD at 1.25 years
2/HER-2	45.0/AA	3, pT2N0	0	0	3+/19.6	–	80	AWOD at 3.5 years
3/HER-2	54.7/W	3, pT1N1mi	0	0	2+/4.1	+	60	DOD at 9 months

AA, African American; ALT, alternative lengthening of telomeres; AWOD, alive without evidence of disease; DOD, dead of disease; FISH, fluorescence *in situ* hybridization; IHC, immunohistochemistry; Lum B, luminal B; mod, moderate; str, strong; W, white.

ratios of the three cases were 5.6, 19.6, and 4.1, respectively. All three tumors were Elston grade 3; two out of three were negative for p53 overexpression by immunohistochemistry. Histologically, they showed pleomorphic nuclei and a high proliferative rate with atypical mitotic figures, but were indistinguishable from ALT-negative HER-2-positive cases based on routine hematoxylin and eosin staining. As is consistent with descriptions of the ALT phenotype in the literature, our three cases showed scattered APBs throughout the tumor cells in all respective tissue cores, but APBs were not observed uniformly in every cell.

None of the 17 luminal A cases, 21 basal-like carcinoma cases, or 12 unclassifiable triple-negative carcinoma cases showed the ALT phenotype. This

difference was statistically significant ($P=0.023$) using Fisher's exact test.

Follow-Up on HER-2-Positive Cases

Of the three ALT-positive cases, Case 1, a 34.6-year-old female with a tumor categorized as luminal B, died of disease after 1.25 years. Case 2, a 45-year-old female with a classic HER-2 tumor, was alive without disease at 3.5 years. Case 3, a 54.7-year-old female with a classic HER-2 tumor, died of disease after 9 months.

Of the six ALT-negative luminal B cases, two died of disease with an average survival duration of 3.6 years, and four were alive without evidence of

disease with an average follow-up interval of 4.8 years.

Of the 12 ALT-negative classic HER-2 cases, 2 died of disease with an average survival duration of 1.9 years; 1 was alive with disease at 2.2 years; 8 were alive without evidence of disease with an average follow-up interval of 3.5 years; and 1 patient was alive at 6.7 years but the disease status was unknown.

Discussion

Maintenance of telomeres is an essential component of cell immortalization, and is primarily accomplished through the enzymatic activity of telomerase.²⁷ It has been shown that introducing telomerase function in normal human fibroblasts can extend their *in vitro* replicative potential indefinitely.²⁸ Furthermore, telomerase is known to be lacking or far less active in normal somatic cells compared with the majority of human tumors studied.^{14,29} Novel chemotherapeutics targeting telomerase are being developed to exploit this differential in activity between normal somatic and malignant cells.³⁰ However, tumors using telomerase-independent mechanisms of telomere maintenance, such as ALT, would be expected to be resistant to such strategies;³¹ such drugs might even provide evolutionary pressure to select for ALT-positive tumor clones.³²

To date, the ALT mechanism has been found most prominently in sarcomas.^{8,9,10} It has been suggested that telomerase expression may be more stringently suppressed in mesenchymal tissues,^{32,33} potentially explaining the higher frequency of ALT in sarcomas. The ALT-positive tumors can later develop into telomerase-expressing tumors;³² furthermore, both mechanisms of telomere maintenance can also be expressed in the same cell line.^{9,10}

The ALT phenotype is now known to be a recombination-based mechanism, after telomere tagging experiments showed unique sequences from an integrated plasmid in the telomere of one chromosome increasing in number and appearing at the ends of other chromosomes.³⁴ Furthermore, ALT-positive cells show high frequencies of post-replicative telomeric exchanges.³⁵ Cell lines expressing the ALT phenotype show a wide range of telomere lengths, from a few hundred to over 50 kilobase pairs.¹⁴ Because of the marked heterogeneity of telomere length in ALT, there is often chromosome instability due to fusions involving subsets of chromosomes with very short or very long telomeres. The telomeres of ALT-positive cells undergo gradual erosion primarily because of incomplete replication, but there can be rapid lengthening or shortening as well.³⁶ Analysis of the cell cycle has shown that APBs are in highest quantity during G2 phase, which seems to correspond with

the elongation of telomere length in ALT-positive tumor cells.³⁷

ERBB2 is a proto-oncogene localized to chromosome 17q that encodes a transmembrane tyrosine kinase growth factor receptor; amplification of the *ERBB2* gene resulting in overexpression of the HER-2 receptor has been identified in 15–20% of invasive breast cancers.³⁸ Several other genes implicated in the pathogenesis of breast carcinoma are located on chromosome 17, including the tumor-suppressor genes *p53* and *BRCA1*, and the gene for topoisomerase II α .³⁹ It is therefore not surprising that up to 93% of breast tumors have whole chromosome 17 copy-number changes.⁴⁰ Reinholz *et al*³⁹ concluded in their recent review that chromosome 17 monosomy is more common in non-invasive and preinvasive breast lesions, whereas polysomy 17 is more common in invasive carcinomas. These findings support the notion that the underlying degree of chromosomal instability may correlate with the malignant progression of ductal carcinoma *in situ* to invasive carcinoma.⁴¹ However, HER-2 overexpression in invasive breast cancer typically results from gene amplification independent of polysomy 17, and polysomy 17 alone does not predict a therapeutic response to anti-HER-2 therapy in the absence of increased gene copy number.³⁹ How *ERBB2* gene amplification is promoted in HER-2 breast cancers is not well understood at this point.

In this small study, the ALT phenotype, which is virtually never observed in other carcinomas, was observed rarely in breast cancer, but it did occur preferentially in a subset of breast cancers with HER-2 overexpression. It is known that HER-2-positive breast cancers are marked by high-level gene amplifications, suggestive of a greater degree of genomic complexity and alteration.^{3,39,42} Recent studies have assessed allelic imbalance as a marker of genome-wide instability, and have shown numerous differences in tumors with amplification of the HER-2 receptor *versus* those without.⁴³ Similarly, global copy number changes in several chromosomes, including gains of 1q, 8q, and 20q, and losses of 18q, 13q, and 3p, have been shown in a large proportion of HER-2-amplified breast cancers using comparative genomic hybridization.⁴⁴ The ALT mechanism seems to operate through break-induced replication and recombination events.¹⁴ Such a process could lead to free chromosome ends, which then participate in end-to-end associations and induce breakage-fusion-bridge cycles, resulting in an increased number of complex chromosomal rearrangements,⁴⁵ which could theoretically contribute to the genome-wide instability that has been observed in HER-2-amplified breast cancers. Other evidences do not seem to directly implicate ALT in increases in homologous recombination events.^{46,47} Still, the observation that activation of the ALT phenotype can affect other sequences in the genome⁴⁸ raises the possibility that the ALT may

have a role in the genetic pathogenesis of HER-2 amplification in some cases.

We believe that our study is the first direct observation of ALT in breast carcinoma, and it has been previously described only once in a breast cancer from a patient with Li-Fraumeni syndrome.¹³ In that case, ALT was detected indirectly using a combination of telomerase assays and Southern blotting. As cancers using the ALT pathway are predicted to be resistant to therapies based on telomerase inhibition,³¹ these results may have therapeutic consequences. This study was not sufficiently powered to detect a difference in prognosis for ALT-positive cases. However, the presence of the ALT phenotype does predict a poor prognosis in some cancers,¹⁰ and its prognostic significance in infiltrating ductal carcinoma of the breast should be examined in the future. The rapid tumor progression in two out of the three cases in this study at least suggests that ALT may adversely affect outcomes in a similar manner. Moreover, whether the ALT phenotype has any role in the response of HER-2-positive breast cancers to trastuzumab is a question for further study.

Acknowledgement

We thank Dr Ty K Subhawong, MD, for critically reviewing this paper, and Dr Peter B Illei, MD, for assisting with determination of HER-2 FISH amplification ratios.

Disclosure/conflict of interest

The authors declare no conflict of interest.

References

- McPherson K, Steel CM, Dixon JM. ABC of breast diseases. Breast cancer-epidemiology, risk factors, and genetics. *BMJ* 2000;321:624–628.
- Berg JW, Hutter RVP. Breast cancer. *Cancer* 1995;75:257–269.
- Sotiriou C, Pusztai L. Gene-expression signatures in breast cancer. *N Engl J Med* 2009;360:790–800.
- Sotiriou C, Neo SY, McShane LM, *et al*. Breast cancer classification and prognosis based on gene expression profiles from a population-based study. *Proc Natl Acad Sci USA* 2003;100:10393–10398.
- Sørli T, Perou CM, Tibshirani R, *et al*. Gene expression patterns of breast carcinomas distinguish tumor subclasses with clinical implications. *Proc Natl Acad Sci USA* 2001;98:10869–10874.
- Schneider BP, Winer EP, Foulkes WD, *et al*. Triple-negative breast cancer: risk factors to potential targets. *Clin Cancer Res* 2008;14:8010–8018.
- Shay JW, Bacchetti S. A survey of telomerase activity in human cancer. *Eur J Cancer* 1997;33:787–791.
- Henson JD, Hannay JA, McCarthy SW, *et al*. A robust assay for alternative lengthening of telomeres in

- tumors shows the significance of alternative lengthening of telomeres in sarcomas and astrocytomas. *Clin Cancer Res* 2005;11:217–225.
- Henson JD, Hannay JA, McCarthy SW, *et al*. Multiple mechanisms of telomere maintenance exist in liposarcomas. *Clin Cancer Res* 2005;11:5347–5355.
- Costa A, Daidone MG, Daprai L, *et al*. Telomere maintenance mechanisms in liposarcomas: association with histologic subtypes and disease progression. *Cancer Res* 2006;66:8918–8924.
- Hakin-Smith V, Jellinek DA, Levy D, *et al*. Alternative lengthening of telomeres and survival in patients with glioblastoma multiforme. *Lancet* 2003;361:836–838.
- Else T, Giordano TJ, Hammer GD. Evaluation of telomere length maintenance mechanisms in adrenocortical carcinoma. *J Clin Endocrinol Metab* 2008;93:1442–1449.
- Bryan TM, Englezou A, Dalla-Pozza L, *et al*. Evidence for an alternative mechanism for maintaining telomere length in human tumors and tumor-derived cell lines. *Nat Med* 1997;3:1271–1274.
- Royle NJ, Foxon J, Jayapalan JN, *et al*. Telomere length maintenance—an ALTernative mechanism. *Cytogenet Genome Res* 2008;122:281–291.
- Guo A, Salomoni P, Luo J, *et al*. The function of PML in p53-dependent apoptosis. *Nat Cell Biol* 2000;2:730–736.
- Khan MM, Nomura T, Kim H, *et al*. PML-RARalpha alleviates the transcriptional repression mediated by tumor suppressor Rb. *J Biol Chem* 2001;276:43491–43494.
- Omori Y, Nakayama F, Li D, *et al*. Alternative lengthening of telomeres frequently occurs in mismatch repair system-deficient gastric carcinoma. *Cancer Sci* 2009;100:413–418.
- Poremba C, Heine B, Diallo R, *et al*. Telomerase as a prognostic marker in breast cancer: high-throughput tissue microarray analysis of hTERT and hTr. *J Pathol* 2002;198:181–189.
- Wu JM, Fackler MJ, Halushka MK, *et al*. Heterogeneity of breast cancer metastasis: comparison of therapeutic target expression and promoter methylation between primary tumors and their multifocal metastases. *Clin Cancer Res* 2008;14:1938–1946.
- Meeker AK, Gage WR, Hicks JL, *et al*. Telomere length assessment in human archival tissues: combined telomere fluorescence *in situ* hybridization and immunostaining. *Am J Pathol* 2002;160:1259–1268.
- Chen C, Hong YK, Ontiveros SD, Egholm M, Strauss WM. Single base discrimination of CENP-B repeats on mouse and human Chromosomes with PNA-FISH. *Mamm Genome* 1999;10:13–18.
- Carey LA, Perou CM, Livasy CA, *et al*. Race, breast cancer subtypes, and survival in the Carolina Breast Cancer Study. *JAMA* 2006;295:2492–2502.
- Nielsen TO, Hsu FD, Jensen K, *et al*. Immunohistochemical and clinical characterization of the basal-like subtype of invasive breast carcinoma. *Clin Cancer Res* 2004;10:5367–5374.
- Subhawong AP, Subhawong T, Nassar H, *et al*. Most basal-like breast carcinomas demonstrate the same Rb-/p16+ immunophenotype as the HPV-related poorly differentiated squamous cell carcinomas which they resemble morphologically. *Am J Surg Pathol* 2009;33:163–175.

- 25 Fadare O, Tavassoli FA. The phenotypic spectrum of basal-like breast cancers: a critical appraisal. *Adv Anat Pathol* 2007;14:358–373.
- 26 Fadare O, Yeh I. Basal-like breast cancers. *Pathol Case Rev* 2007;12:143–153.
- 27 Counter CM, Avilion AA, LeFeuvre CE, *et al.* Telomere shortening associated with chromosome instability is arrested in immortal cells which express telomerase activity. *EMBO J* 1992;11:1921–1929.
- 28 Bodnar AG, Ouellette M, Frolkis M, *et al.* Extension of life-span by introduction of telomerase into normal human cells. *Science* 1998;279:349–352.
- 29 Kim NW, Piatyszek MA, Prowse KR, *et al.* Specific association of human telomerase activity with immortal cells and cancer. *Science* 1994;266:2011–2015.
- 30 Perry PJ, Arnold JRP, Jenkins TC. Telomerase inhibitors for the treatment of cancer: the current perspective. *Expert Opin Investig Drugs* 2001;10:2141–2156.
- 31 Neumann AA, Reddel RR. Telomere maintenance and cancer – look, no telomerase. *Nat Rev Cancer* 2002;2: 879–884.
- 32 Henson JD, Neumann AA, Yeager TR, *et al.*, Alternative lengthening of telomeres in mammalian cells. *Oncogene* 2002;21:598–610.
- 33 Serakinci N, Hoare SF, Kassem M, *et al.*, Telomerase promoter reprogramming and interaction with general transcription factors in the human mesenchymal stem cell. *Regen Med* 2006;1:125–131.
- 34 Dunham MA, Neumann AA, Fasching CL, *et al.*, Telomere maintenance by recombination in human cells. *Nat Genet* 2000;26:447–450.
- 35 Londoño-Vallejo JA, Der-Sarkissian H, Cazes L, *et al.*, Alternative lengthening of telomeres is characterized by high rates of telomeric exchange. *Cancer Res* 2004;64:2324–2327.
- 36 Wang RC, Smogorzewska A, de Lange T. Homologous recombination generates T-loop-sized deletions at human telomeres. *Cell* 2004;119:355–368.
- 37 Grobelyny JV, Godwin AK, Broccoli D. ALT-associated PML bodies are present in viable cells and are enriched in cells in the G(2)/M phase of the cell cycle. *J Cell Sci* 2000;113:4577–4585.
- 38 Ross JS, Fletcher JA. The HER-2/neu oncogene in breast cancer: prognostic factor, predictive factor, and target for therapy. *Stem Cells* 1998;16:413–428.
- 39 Reinholz MM, Bruzek AK, Visscher DW, *et al.* Breast cancer and aneusomy 17: implications for carcinogenesis and therapeutic response. *Lancet Oncol* 2009;10:267–277.
- 40 Botti C, Pescatore B, Mottolise M, *et al.* Incidence of chromosomes 1 and 17 aneusomy in breast cancer and adjacent tissue: an interphase cytogenetic study. *J Am Coll Surg* 2000;190:530–539.
- 41 Visscher D, Jimenez RE, Grayson 3rd M, *et al.*, Histopathologic analysis of chromosome aneuploidy in ductal carcinoma *in situ*. *Hum Pathol* 2000;31:201–207.
- 42 Chin K, DeVries S, Fridlyand J, *et al.* Genomic and transcriptional aberrations linked to breast cancer pathophysiology. *Cancer Cell* 2006;10:529–541.
- 43 Ellsworth RE, Ellsworth DL, Patney HL, *et al.* Amplification of HER2 is a marker for global genomic instability. *BMC Cancer* 2008;8:297.
- 44 Isola J, Chu L, DeVries S, *et al.* Genetic alterations in ERBB2-amplified breast carcinomas. *Clin Cancer Res* 1999;5:4140–4145.
- 45 Scheel C, Schaefer KL, Jauch A, *et al.* Alternative lengthening of telomeres is associated with chromosomal instability in osteosarcomas. *Oncogene* 2001;20:3835–3844.
- 46 Dunham MA, Neumann AA, Fasching CL, *et al.*, Telomere maintenance by recombination in human cells. *Nat Genet* 2000;26:447–450.
- 47 Bechter OE, Zou Y, Shay JW, *et al.*, Homologous recombination in human telomerase-positive and ALT cells occurs with the same frequency. *EMBO Rep* 2003;4:1138–1143.
- 48 Jeyapalan JN, Varley H, Foxon JL, *et al.* Activation of the ALT pathway for telomere maintenance can affect other sequences in the human genome. *Hum Mol Genet* 2005;14:1785–1794.