

Intestinal barrier loss as a critical pathogenic link between inflammatory bowel disease and graft-versus-host disease

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Compromised intestinal barrier function is a prominent feature of inflammatory bowel disease (IBD). However, links between intestinal barrier loss and disease extend much further, including documented associations with celiac disease, type I diabetes, rheumatoid arthritis, and multiple sclerosis. Intestinal barrier loss has also been proposed to have a critical role in the pathogenesis of graft-versus-host disease (GVHD), a serious, potentially fatal consequence of hematopoietic stem cell transplantation. Experimental evidence has begun to support this view, as barrier loss and its role in initiating and establishing a pathogenic inflammatory cycle in GVHD is emerging. Here we discuss similarities between IBD and GVHD, mechanisms of intestinal barrier loss in these diseases, and the crosstalk between barrier loss and the immune system, with a special focus on natural killer (NK) cells. Unanswered questions and future research directions on the topic are discussed along with implications for treatment.

INTRODUCTION

The intestinal barrier includes extracellular components, such as mucin, but ultimately depends on the presence of a continuous epithelial monolayer. This article therefore focuses primarily on the epithelial barrier; related topics, including mucosal immunity, extracellular mucin barriers, and the microbiome have recently been reviewed in refs. 1–9 and are not considered further here.

Epithelial barrier loss can occur as a result of direct epithelial cell damage or through more subtle changes in paracellular tight junction permeability. These forms of intestinal barrier loss, when dysregulated, are thought to contribute to the initiation and propagation of the inflammatory bowel diseases (IBD), Crohn's disease and ulcerative colitis.^{10,11} Graft-versus-host disease (GVHD), which develops after bone marrow transplantation (BMT) or, more recently, hematopoietic stem cell transplantation, shares genetic associations and some clinical manifestations with IBD.^{12–14} However, despite abundant correlative reports, it is only recently that direct evidence for intestinal barrier loss as a driving mechanism in GVHD, as well as IBD, has become available.^{15–18} This barrier loss may contribute to or work in concert with alterations in the

gut microbiome in GVHD and IBD.^{19–23} What is perhaps most striking about the loss of both barrier function and microbial diversity in GVHD is that, in addition to amplifying intestinal disease and reducing survival, these factors impact disease in other target organs, including the liver, skin, and lungs. Here, we review current understanding of intestinal epithelial barrier loss and its contributions to the pathogenesis of immune-mediated disease and address critical unanswered questions.

IBD AND GVHD: THE SAME, BUT DIFFERENT?

The similarities between IBD and GVHD extend beyond the presence of epithelial barrier defects: there is also a significant overlap in clinical and pathological manifestations of these diseases (**Table 1**). For example, symptoms of both IBD and GVHD can include abdominal pain, nausea, malabsorption, diarrhea, and, likely as a result of these, weight loss. Notably, the nature of the diarrhea differs, as it is often bloody or mucoid in IBD, but more watery in GVHD. This reflects marked differences in mechanism and extent of tissue damage, which is clearly demonstrated by the intestinal histopathology of these diseases. GVHD is characterized by crypt-cell apoptosis and

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Table 1 Similarities and differences between IBD and GVHD

	Similarities	Differences
Genetic associations at microbial-sensing loci	Polymorphisms of <i>TLR-4</i> , <i>TLR-5</i> and <i>TLR-9</i> ; <i>NOD-2</i> ; <i>ATG16L1</i>	Polymorphisms of <i>TLR2</i> and <i>TLR6</i> (IBD)
Immunological aspects	Tissue and serum TNF correlate with severity of clinical and experimental disease IFN- γ and IL-1 β are elevated in patients and experimental models Immunosuppressants (e.g., corticosteroids) and immunomodulators (e.g., methotrexate) are frequently helpful in treating disease	Neutrophilic (IBD) Highly T cell mediated (GVHD) Antigens are undefined in IBD, but relatively-defined in GVHD Anti-TNF biologics are highly effective in IBD (particularly Crohn's disease) and experimental models of IBD and GVHD, but are not yet defined in human GVHD
Barrier dysfunction	Correlates with disease severity in patients and experimental models Required for disease in MHC-matched GVHD experimental model Proinflammatory milieu modulates tight junction components	Present in some healthy first-degree relative and may predict relapse in patients during remission (IBD) MLCK inhibition limits disease in experimental models of IBD; has not been reported in GVHD
Gut microbiota	Required for disease in most experimental models Loss of microbial diversity observed in humans	Antibiotics can be preventative in GVHD patients, use in IBD is controversial
Extraintestinal manifestations	Portal inflammation and bile duct damage in liver Skin involvement	Peribronchial inflammation and damaged airway epithelium in the lung (GVHD)

Abbreviations: GVHD, graft-versus-host disease; IBD, inflammatory bowel disease; IFN, interferon; IL, interleukin; MHC, major histocompatibility complex; MLCK, myosin light chain kinase; TLR, toll-like receptor; TNF, tumor necrosis factor.

glandular atrophy. The immune reaction is often sparse, and both mucosal neutrophil infiltration and ulceration are present in only the most severe cases. In contrast, disease activity in IBD is defined by mucosal and intraepithelial neutrophils that infiltrate and damage the epithelium, forming crypt abscesses. Typically, these are accompanied by dense accumulations of lymphocytes, macrophages, and plasma cells within the lamina propria (Figure 1). Although epithelial apoptosis can be present in IBD, it is not a prominent histologic feature in patient biopsies except when induced by certain therapeutic agents such as mycophenolate. Some experimental models of IBD induce intestinal epithelial apoptosis via high dose cytokine treatment or chemical damage, e.g., with dextran sodium sulfate. These models are most useful in studies of the inflammatory response to epithelial damage rather than the more complex interactions at play in human disease. This is, in part, the reason that many no longer consider dextran sodium sulfate colitis to be as adequate as a model of IBD.^{24,25}

Ultimately, both GVHD and IBD can lead to mucosal, i.e., glandular, atrophy.²⁶ However, the crypt architectural distortion that results from repeated injury and repair in IBD is not prominent in GVHD. Overall, some of these pathologic differences may be explained by contrasting the cycling between remission and active disease typically observed in IBD patients with the kinetics of GVHD, which begins after transplantation and tends to persist, with variable intensity, for months to years.

Extraintestinal manifestations of IBD and GVHD also display some overlap. Ulcerative colitis can be complicated by primary sclerosing cholangitis, in which there is bile duct loss because of progressive fibrosis, whereas immune-mediated bile duct damage is common in GVHD. Furthermore, both GVHD and Crohn's disease can involve the integument, although the histopathologies are very different.

Finally, some therapeutic agents are useful in both IBD and GVHD, likely reflecting the shared pathogenic mechanisms (Figure 1). These treatments include immunosuppressants and calcineurin inhibitors, e.g., tacrolimus,^{27–32} although the sequence in which specific agents are employed differs between the diseases. In addition, anti-tumor necrosis factor (TNF) biologics, some of which are mainstays of the IBD therapy,^{33–35} have been effective in mouse models of GVHD^{36–38} and are now being investigated in patients.^{39–43} Furthermore, growth factors, including keratinocyte growth factor (KGF),^{44–47} epidermal growth factor,^{48,49} and R-spondin,^{50,51} which promote mucosal healing and restoration of barrier function, have been shown to be effective in both IBD and GVHD models and are also being evaluated in patients.

Shared microbial-sensing defects

A likely possibility is that intestinal barrier dysfunction contributes to disease pathogenesis in IBD and GVHD by allowing microbes (in the case of epithelial damage), and microbial products (when paracellular permeability is increased), to cross the barrier and engage pattern-recognition receptors (PRRs) on the basolateral surface of epithelial cells, as well as hematopoietic and non-hematopoietic cells within the mucosa. PRRs recognize structures that are conserved among large groups of microbes. Engagement of these sensors elicits inflammasome activation, pro-inflammatory cytokine release, chemokine secretion, and antigen-presenting cell maturation, thereby enabling an effective immune response.⁵² Conversely, PRRs may also dampen the inflammatory responses to promote resolution or immune tolerance.

In the context of GVHD, preclinical BMT models have demonstrated roles for several PRRs, including toll-like receptor (TLR-4), TLR-5, TLR-9, and the intracellular PRR NOD-2, which recognize lipopolysaccharide (LPS), flagellin,

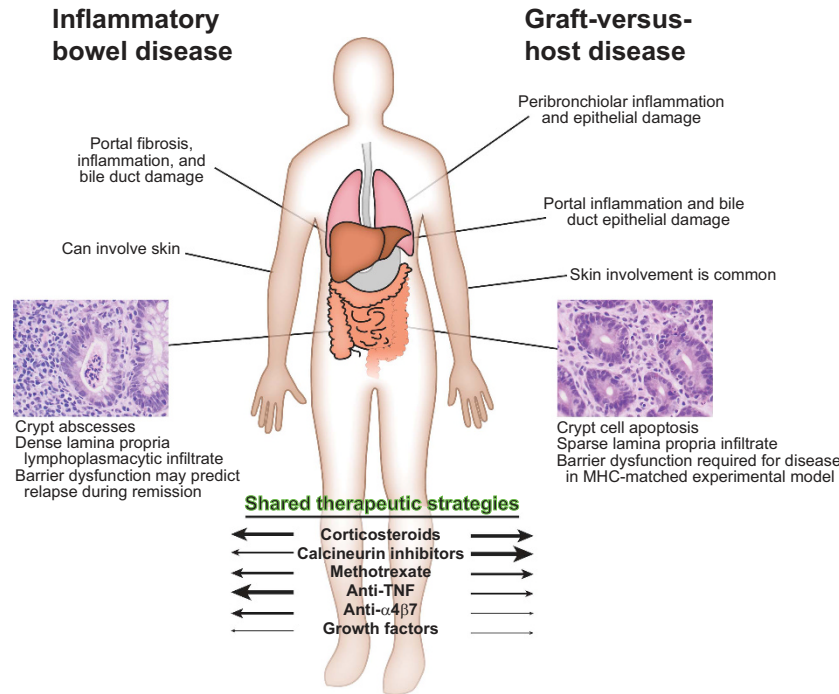


Figure 1 Comparing and contrasting inflammatory bowel disease and graft-versus-host disease. Weight of arrows indicates therapy usage prevalence for each disease.

unmethylated cytosine phosphorothioate-guanine, and muramyl dipeptide, respectively. TLR-4 has been the most well-studied PRR in GVHD, and either LPS antagonism or donors lacking functional TLR-4 limit the severity of experimental disease.^{53–55} Further, TLR-9 knockout in recipients, as well as TLR-5 blockade both reduce the severity of experimental GVHD.^{19,56,57} Conversely, *NOD-2*^{-/-} mice develop more severe GVHD following BMT,⁵⁸ consistent with the unregulated immune activation in response to inflammation that has been observed in the absence of *NOD-2*.^{59,60} Correlative patient data are consistent with these data, as *TLR-4*, *TLR-5*, and *TLR-9* polymorphisms are associated with increased GVHD severity after allogeneic hematopoietic stem cell transplantation.^{61–64} In addition, polymorphisms of *NOD-2*, which are strongly linked to Crohn’s disease,^{65–68} may be one of the most reliable genetic risk factors for GVHD.^{62,69–71} In one report, *NOD-2* polymorphisms in the patient, donor, or both were strongly associated with more severe GVHD.⁶⁹

A role for TLRs in experimental IBD is supported by studies showing that immune cells lacking MyD88, the intracellular signaling adaptor for all TLRs except TLR-3, fail to cause adoptive transfer colitis.^{72,73} Moreover, MyD88 is required for the development of disease in *IL-10*^{-/-} mice.⁷⁴ Studies of *NOD-2* in IBD models complement the findings in GVHD, as the mice that lack *NOD-2* have microbial dysbiosis of the gut,^{60,75,76} impaired tolerance to bacterial stimuli,⁷⁷ defective T-cell migration,⁷⁸ and increased susceptibility to small-intestinal inflammation.⁵⁹ Like GVHD, *NOD-2*, *TLR-4*, *TLR-5*, and *TLR-9* polymorphisms have all been linked to Crohn’s disease and, in some cases, ulcerative colitis.^{79–81}

Although not a PRR, *ATG16L1*, is another locus where polymorphisms are a well-established risk factor for Crohn’s disease.^{82,83} Likewise, when investigated in the context of hematopoietic stem cell transplantation, it was found that the T300A *ATG16L1* variant increased the risk of GVHD and treatment-related mortality, whether present in the donor, recipient, or both.⁸⁴ Interestingly, a recent study investigating the role of *Atg16L1* in the context of allogeneic BMT found that the absence of *Atg16L1* in host dendritic cells led to alloreactive T-cell hyperactivation and enhanced GVHD severity.⁸⁵ Overall, it is important to recognize that although compromised function of these gene products is linked to both IBD and GVHD, polymorphisms are thought to be associated with initial disease pathogenesis in the former, but are better considered to be modifiers of antigen mismatch-driven disease severity in the latter.

INTESTINAL BARRIER LOSS IN IBD AND GVHD

Views and terms in barrier loss

The current view of intestinal barrier function considers three non-mutually exclusive permeability routes: the paracellular pore and leak pathways and the unrestricted pathway. The pore pathway is a high capacity, charge- and size-selective route across the tight junction that does not allow macromolecules with a radii greater than ~4 Å to pass. In the intestine, monovalent cations and water are the most common molecules that traverse the pore pathway. The leak pathway, which allows larger molecules, likely up to a radii of ~60 Å, to cross the tight junction, is not charge-selective. Notably, this size limit is

considerably smaller than bacteria and viruses, but may allow their products, e.g., LPS, to cross. The leak pathway does not typically overwhelm the pore pathway because the former is a low-capacity route.⁸⁶ Flux across the unrestricted pathway, which is increased following apoptosis, necroptosis, or cytotoxic epithelial damage, allows massive flux of ions, water, macromolecules, and larger materials, including whole bacteria. An alternate pathway of transport across the epithelium is transcytosis, where macromolecules and other antigens are transported from the apical, i.e., luminal, to the basolateral surface by vesicular traffic. Like paracellular pathways, transcytosis can transport material in both directions; however, transcytosis is an active vectorial process. Transcytosis may be important for IBD^{87,88} but has not been studied in GVHD. Overall, the regulation of transcytosis is quite different from the pathways discussed here and is likely most relevant in specific epithelial cell types, such as M cells.⁸⁹⁻⁹¹

The tight junction forms an apical belt-like structure around intestinal epithelial cells⁹² and is the primary determinant of the paracellular pore and leak pathways. Flux through the pore pathway is regulated by the composition and stability of individual tight junction proteins, which in turn respond to the local cytokine environment. For example, interleukin (IL-13) can increase cation flux by upregulating expression of the tight junction pore-forming protein claudin-2.⁹³ IL-13 expression is increased in ulcerative colitis and, to a lesser extent, in Crohn's disease.^{94,95} IL-13 upregulation is also a reliable prognostic marker of GVHD.⁹⁶ These observations suggest that the pore pathway may contribute to the pathogenesis of these diseases.

In contrast to IL-13-induced claudin-2 expression-dependent pore pathway regulation, flux across the leak pathway is most often governed by epithelial myosin light chain kinase (MLCK) signaling. This can be activated by a variety of stimuli, including TNF superfamily cytokines.⁹⁷⁻¹⁰⁰ MLCK-dependent MLC phosphorylation triggers occludin removal from the tight junction and is associated with reduced-occludin expression, both in experimental models and human patients.^{94,97,98,101,102} Occludin downregulation has also been reported in a mouse GVHD model,¹⁶ although the significance of this observation is not clear.

The data above show that pore and leak pathways can be governed independently, and it is common to think of these separately. Nevertheless, there is a substantial overlap in their regulatory mechanisms. For example, ZO-1 and occludin anchoring and protein interactions at the tight junction impact both pore and leak permeability.^{93,101,103,104} Further, transgenic intestinal epithelial expression of constitutively active MLCK triggers increases in mucosal IL-13 expression, epithelial claudin-2 expression, and pore pathway permeability.⁹³ Conversely, colitis-associated claudin-2 expression is reduced in knockout mice lacking the long MLCK isoform expressed in the intestinal epithelia.¹⁰⁵ Thus, in addition to shared regulatory mechanisms, there is crosstalk between pore and leak pathways.

The unrestricted pathway allows flux of nearly all luminal contents as a result of the epithelial damage. Because tight

junctions are absent in areas of epithelial loss, the unrestricted pathway is, by definition, tight junction independent. A previous, less nuanced view of intestinal barrier loss depicted a binary model in which there was increased flux along the unrestricted pathway or, alternatively, barrier function was intact. As appreciation and understanding of the differential regulation of epithelial paracellular permeability on the basis of size and charge selectivity grew, the model was adjusted to include tight junction-mediated pore and leak pathways, as well as the unrestricted pathway. However, incorrect attribution of increased permeability to a particular pathway still occurs. For example, increases in permeability to macromolecular probes, such as the leak and unrestricted pathway probe FITC-4kD dextran, are often attributed to increased epithelial claudin-2 expression,^{106,107} despite clear data that the claudin-2 pore is exquisitely size selective and cannot accommodate large macromolecules.^{93,108} This reflects the limited appreciation of pore, leak, and unrestricted pathways, as well as the lack of suitable assays to measure flux across these pathways *in vivo*. We can, hopefully, look forward to the resolution of both of these obstacles as understanding becomes more widespread and technological advances provide probes of different charges and sizes that make it possible to distinguish between these permeability routes *in vivo*, both in experimental and clinical settings. This is critical, as different therapies will be required to limit the intestinal permeability increases or restore the barrier function, depending on the underlying pathogenic mechanism.

Intestinal epithelial damage in IBD and GVHD

Epithelial damage increases intestinal permeability via the unrestricted pathway and is an established disease mechanism in both IBD and GVHD. Early experiments that directly tested the role of intestinal epithelial damage *in vivo* relied on chemically induced injury of the epithelium in rodents with agents such as dextran sodium sulfate.^{109,110} These studies established that intestinal epithelial damage could induce colitis. Consistent with this, chimeric mice expressing dominant negative N-cadherin, which disrupts epithelial differentiation, adhesion, and, likely, barrier function, resulted in local inflammation.^{111,112} However, simple comparison of the morphology of these experimental models to that of the human disease indicates that massive epithelial injury is unlikely to be a mechanism of disease initiation in human IBD.²⁶ Since then, mouse models of IBD that more closely mimic human disease, including IL-10^{-/-}, TNF^{ΔARE}, and CD45RB^{hi}-adoptive transfer have been developed.¹¹³⁻¹¹⁶ Each of these includes a component of barrier loss and epithelial damage, but the contributions of these to disease pathogenesis is not entirely clear.^{117,118} Further, the mechanisms of intestinal barrier loss likely vary between models. For example, barrier loss in IL-10^{-/-} mice precedes onset of clinically evident disease, is unlikely to reflect epithelial damage, i.e., the unrestricted pathway, and probably reflects increased leak pathway flux initiated by cytokine signaling. Although barrier loss and disease onset are nearly simultaneous in TNF^{ΔARE} mice, epithelial apoptosis again does not appear to be an initiating

mechanism and early barrier loss is likely a result of the increased leak pathway flux. These temporal distinctions are likely similar to the clinical situation, wherein increased intestinal permeability is not always present and it has been difficult to identify a single common etiology. One exception to this occurs in a subset of healthy relatives of Crohn's disease patients, where specific *NOD-2* polymorphisms are associated with increased intestinal permeability.^{10,65}

In contrast to IBD, the etiology of the initiating epithelial damage in GVHD is clear: it is caused by pre-transplant conditioning, i.e., irradiation and chemotherapy. Thus, peri-transplant intestinal barrier loss reflects increased flux across the unrestricted pathway. As discussed below, this increased permeability is thought to have a critical role in both establishing the intestine as a target organ and in promoting ongoing systemic disease. Studies in preclinical BMT models, as well as human patients, have revealed a positive correlation between the extent of barrier loss and overall disease severity.^{12,15,53,54} Consistent with this, both lower-intensity conditioning¹¹⁹ and treatment with growth factors that promote epithelial repair^{44,51} have been associated with reduced-GVHD severity. Although these observations are compelling, it is important to recognize that reduced intensity conditioning and growth factor therapy have many effects, and that nearly all the data linking intestinal barrier function to GVHD are correlative. The results could, therefore, be explained on the premise that more severe disease results in a greater intestinal damage and barrier loss. Further, given that conditioning is required prior to transplant to permit donor-cell engraftment, it has not been possible to define the role of initial tissue damage in GVHD pathogenesis.

In order to eliminate the conditioning-associated intestinal damage prior to BMT, we recently developed a model using immunodeficient recipients.¹⁸ This allowed donor immune-cell engraftment in the absence of irradiation or chemotherapy. Surprisingly, we found that intestinal damage was not required for GVHD pathogenesis when there was a major histocompatibility complex (MHC)-mismatch between donor and recipient—in this setting, GVHD could be initiated without any preceding intestinal damage. In contrast, intestinal damage was required for the development of GVHD when donor and host were MHC-matched, which corresponds to the typical clinical scenario with HLA-matched donor and recipient.¹⁸ However, although the intestinal damage was necessary, it was not sufficient to induce MHC-matched GVHD. As discussed below, both intestinal damage and inactivation of recipient natural killer (NK) cell cytolytic function were required for MHC-matched GVHD to occur.

We explored the role of intestinal damage and increased permeability in GVHD initiation in several ways. First, we found that intestinal damage, even with NK cell depletion, was insufficient to promote GVHD in antibiotic-treated mice.¹⁸ Conversely, a single intraperitoneal dose of LPS was sufficient to induce MHC-matched GVHD in NK cell-depleted mice despite the absence of intestinal damage and barrier loss at the time of disease initiation.¹⁸ Although a similar role for LPS has

not been demonstrated in IBD, it is notable that most IBD models require the presence of intestinal microorganisms and, in many cases, can be suppressed by broad-spectrum antibiotics.^{120–123} These and other data support a model where a key contribution of intestinal barrier loss is that it allows an influx of microbial products, e.g., LPS. We have hypothesized that this contributes to the development of a pro-inflammatory cytokine environment that promotes immune activation, further barrier loss, and disease progression.

Tight junction-mediated barrier loss in IBD and GVHD

In the examples discussed above, early barrier loss in GVHD reflected increased unrestricted pathway flux, whereas that in IBD was likely a result of increased tight junction leak pathway permeability. Although increased leak pathway permeability cannot initiate experimental IBD in immunocompetent mice,¹²⁴ it is sufficient to support MHC-matched GVHD of limited severity in immunodeficient NK cell-depleted recipients (Nalle *et al.*, unpublished observations). These data suggest that either leak or unrestricted pathway barrier loss can trigger activation of mucosal immune cells that act to prime a systemic immune response and initiate disease. The data also suggest, however, that disease progression associated with barrier loss may be limited in the context of intact immunoregulation.

MLCK is a well-characterized mediator of tight junction-mediated barrier loss in response to the physiological and pathophysiological stimuli.^{97,105,124–126} Three separate proteins are encoded by the *MLCK* gene (*MYLK*); “short” MLCK, “long” MLCK, and telokin.^{127,128} Long MLCK is the isoform expressed in intestinal epithelium and is essential for acute, TNF-induced tight junction-dependent intestinal barrier loss.^{97,129} MLCK-mediated phosphorylation of myosin II regulatory light chain leads to an increase in leak pathway flux. Importantly, TNF, IL-1 β , and the TNF superfamily member LIGHT (lymphotoxin-like inducible protein that competes with glycoprotein D for HVEM on T-cells) which have all been linked to IBD, increase MLCK expression and activity.^{99,100,130,131} It is, therefore, not surprising that colonic biopsy samples from IBD patients reveal a correlation between disease activity and epithelial MLCK expression and activity.¹²⁶ Although MLCK inhibition has not yet been attempted in patients, long MLCK-deficient mice have delayed barrier loss and attenuated disease severity in adoptive transfer colitis.¹⁰⁵ TNF, LIGHT, and IL-1 β have also been implicated in GVHD pathogenesis.^{36,38,132–135} Further, our preliminary data suggest that MLCK expression and activity in human small-intestinal epithelium correlates with GVHD severity (Nalle *et al.*, unpublished observations). We have also observed that long MLCK-deficient mice have reduced MHC-matched GVHD severity at late stages of disease. These data suggest that tight junction-mediated MLCK-dependent leak pathway permeability increases are a critical factor in the maintenance of pathogenic activity, as well as progression long after disease initiation. These findings also suggest that approaches to specifically limit intestinal barrier loss may be able to reduce

GVHD severity with fewer side effects than currently available therapies.

NK cells and barrier loss in IBD and GVHD

Thus far, our epithelial barrier-centric view has not examined which immune cells influence the barrier and, conversely, are affected by barrier loss. One immune-cell type that deserves special consideration here is the NK cell, owing to recent advances in the understanding of how these cells may have an unexpected regulatory role in IBD and GVHD.^{18,136–139} “Conventional” NK cells are innate lymphocytes that can mediate immune responses through direct killing of target cells or indirectly by secretion of a variety of cytokines, most notably interferon- γ .¹⁴⁰ Although NK cells have long been considered pro-inflammatory, it now appears that they can dampen immune activation in preclinical models of IBD and GVHD. This has generated interest in determining if preservation of NK cell numbers or development of means to augment their regulatory functions might be therapeutic in IBD or GVHD.^{141–143}

NK cells have been studied extensively in the context of transplantation, as seminal work over 25 years ago demonstrated a role of NK cells in rejecting the MHC-mismatched transplants.^{144,145} However, it was only recently demonstrated that MHC-mismatched donor NK cells could effectively carry out a graft-versus-leukemia response while causing very little GVHD.¹⁴⁶ The initial explanation for the NK cell-mediated reduction in GVHD was direct killing of host-derived antigen-presenting cells.¹⁴⁶ Subsequently, it was established that donor¹³⁹ or recipient NK cells^{18,136} are also important for controlling donor T-cell expansion and target organ infiltration.¹⁸ Both results are consistent with NK cell-mediated regulation of activated, MHC-matched T-cells, as has been observed in colitis and viral infection models.^{137,147–150} However, the mechanism by which NK cells target activated T-cells is still unclear. The signal could come from the T-cells themselves, as activated T-cells are known to upregulate ligands for the NK cell receptor NKG2D, such as members of the Rae1 family.^{151,152} A non-mutually exclusive explanation is that NK cell cytotoxicity is increased in response to the cytokine milieu after BMT. Interestingly, a recent study showed that a recombinant TLR-5 agonist could increase NK cell cytotoxicity in a viral model,¹⁵³ which could help explain a previous observation that peri-transplant administration of the bacterial product and TLR-5 agonist flagellin can reduce the severity of experimental GVHD.⁵⁷ It therefore appears that there is an underappreciated link between NK cell activation, barrier loss, and GVHD that merits further investigation.

The relevance of NK cells to IBD pathogenesis has not been clearly defined. In dextran sodium sulfate colitis, antibody-mediated NK cell depletion leads to more severe inflammation and markedly decreased survival.¹³⁸ This may be because NK cell depletion increased neutrophil infiltration into the inflamed colon. Although epithelial barrier function was not examined, it is likely that the enhanced neutrophil recruitment was secondary to heightened translocation of microbial

products owing to epithelial damage and increased flux across the unrestricted pathway. Two other studies using adoptive transfer colitis models have also shown that NK cells can inhibit the proliferation and activation of CD4⁺ T-cells, although as above, neither study examined epithelial barrier function.^{137,149}

Recently, some of the immunoregulatory effects of NK cells in both the intestine, and the lung, have been attributed to IL-22 production.^{154–158} This may provide an underlying mechanism for some beneficial NK cell effects, as IL-22 has also been implicated in epithelial barrier homeostasis,¹⁵⁹ and exogenous application of IL-22 is currently being evaluated as a means to treat several diseases, including IBD, GVHD, and psoriasis.¹⁶⁰

EMERGING THERAPIES AND FUTURE DIRECTIONS

Current mainstay GVHD therapies focus on broad immunosuppression, which can affect engraftment and, in some contexts, reduce the desired graft-versus-tumor activity. There is, therefore, a need for improved therapeutics that target specific mechanisms in GVHD pathogenesis. Given the accumulation of data that highlight a critical role for the intestinal epithelial barrier in GVHD, it stands that treatments aimed at reducing barrier loss, or alternatively, promoting epithelial healing, could be an effective therapeutic approach. Further, the overlap between GVHD and IBD in terms of pathogenic mechanisms suggest that targeted treatments that have been successful for one could be applied to the other. For example, anti-TNF is now common for the treatment of IBD, and is currently being evaluated for efficacy in GVHD. In addition, tacrolimus, which is used in GVHD therapy, also has utility in IBD. Other emerging therapies in IBD and GVHD include modulating cytokine signaling, lymphocyte trafficking, epithelial barrier function, or NK cell activity.

Modulating cytokine signaling or lymphocyte trafficking

IL-22 is an unusual cytokine in that the IL-22 receptor is not expressed by immune cells. Although T-cells, innate lymphoid cells (ILCs), and NK cells are the major producers of IL-22, IL-22 receptor expression is primarily restricted to epithelial cells and fibroblasts and it is through these cells that IL-22 acts to maintain homeostasis of tissue barriers in the intestine, skin, and lung. In a variety of preclinical models, it has been demonstrated that IL-22 signaling is important for promoting wound healing and epithelial regeneration.^{161,162} In particular, IL-22 produced by recipient cells is protective in adoptive transfer colitis and after allogeneic BMT.^{17,154,163} Thus, it has been proposed that exogenous IL-22 could help to restore intestinal barrier function in IBD and GVHD and thereby reduce the overall disease activity.¹⁶⁰ However, the effect of IL-22 on epithelial barrier function has not been studied in detail.

Barrier restoration has been attempted using KGF, epidermal growth factor, and R-spondin, all of which can be expected to accelerate mucosal healing.^{44,48,49,51,85,164–168} In several studies with GVHD patients, the use of KGF led to a decrease in oral mucositis, but no reduction in the intestinal damage or overall GVHD.^{46,47,169} In one large multicenter study of

patients with ulcerative colitis, KGF application was safe and well tolerated but failed to show efficacy in inducing remission.¹⁷⁰ In both instances with human patients, it may be that KGF induces insufficient intestinal epithelial repair. Alternatively, barrier restoration alone may be insufficient to counteract a strong inflammatory response, and application in conjunction with some form of immunomodulation may be necessary.

The integrin $\alpha 4\beta 7$ on lymphocytes mediates trafficking to the intestine through interaction with the MAdCAM-1 (mucosal addressin cell adhesion molecule-1). There is a strong correlation between $\alpha 4\beta 7$ expression, accumulation of lymphocytes in the intestine, and inflammation.¹⁷¹ Recently, there have been a number of encouraging reports in patients that blocking $\alpha 4\beta 7$ with the antibody vedolizumab is effective in the induction and maintenance of remission in active IBD,^{172–174} and these have led to FDA approval. Whether vedolizumab will realize this potential in larger populations outside of the investigational setting remains to be determined. Mouse models of GVHD also support the view that $\alpha 4\beta 7$ plays a critical role in regulating intestinal inflammation and overall disease. Specifically, donor T-cells that lack $\alpha 4\beta 7$ are defective in homing to the intestine after BMT and cause significantly less disease,¹⁷⁵ and administration of anti-MAdCAM-1 antibody decreases GVHD.¹⁷⁶ In T-cells from patients undergoing allogeneic BMT, the upregulation of $\alpha 4\beta 7$ correlated with the development of intestinal GVHD.¹⁷⁷ On the basis of shared pathogenic mechanisms between IBD and GVHD, it is possible that vedolizumab or other $\alpha 4\beta 7$ blocking antibodies will help reduce intestinal GVHD and overall disease in patients.

Restoring the epithelial barrier

The appeal of targeted modulation of epithelial tight junction function is ostensibly that disease can be treated with fewer side effects than currently available immunosuppressive and immunomodulatory therapies. Models of acute intestinal inflammation and analyses of knockout mice have been instrumental in identifying the potential pharmacological targets. One exciting candidate whose targeted inhibition could improve the epithelial barrier function is MLCK. In models of acute, cytokine-driven diarrhea, pharmacological or genetic MLCK inhibition prevented barrier loss and diarrhea.^{98,125} These interventions also prevented internalization of the tight junction protein occludin. *In vitro* data suggest that occludin removal from the tight junction results in increased leak pathway permeability.^{97,101,178} Consistent with this, mice that overexpress occludin within the intestinal epithelium are partially protected from barrier loss and completely protected from diarrhea following TNF administration,¹⁰¹ suggesting that preventing occludin internalization may be a viable alternative to MLCK inhibition. A third potential tight junction target is claudin-2, whose expression enhances pore pathway flux.^{93,94,103} Although untested, it remains possible that a specific claudin-2 inhibitor could prevent intestinal barrier loss and limit progression of IBD or GVHD.

Toxicity is a concern with each of these tight junction targets. In particular, it is important to recognize that tight junction barrier function is physiologically regulated during intestinal absorption of water and nutrients, and that inhibition may lead to substantial gastrointestinal complications. Perhaps in part owing to this concern, pharmacological inhibition of tight junction dysregulation in IBD and GVHD has not been reported. Further studies will be needed to identify the optimal targets, delivery systems, and dosing regimens.

NK cell transfer

Given the emerging regulatory role of NK cells in IBD and GVHD, it is possible that NK cell transfer could be an effective therapeutic approach in both diseases. The feasibility of purifying large numbers of NK cells, either by direct isolation or *ex vivo* expansion, has been demonstrated.^{141,179} Several very important questions related to this therapeutic approach remain unanswered. Conceptually, although it has been demonstrated that the absence of NK cells results in more severe inflammation and disease in models of IBD and GVHD, the converse, that the presence or addition of NK cells will result in reduced disease, is still unknown. Another more practical question relates to timing and dosing of NK cells. Finally, NK cells from the blood are not homogenous, and include a variety of specialized subsets.¹⁸⁰ Thus, purification of an empirically defined NK cell “regulatory” subset may yield the most effective results in the context of IBD and GVHD treatment.

CONCLUSIONS

Advances that define immunoregulatory processes, mechanisms of intestinal barrier loss, and genetic associations in IBD and GVHD have shown us that these diseases have more in common than was previously thought. As a result, it is possible to envision a spectrum of novel therapeutic approaches that have significant advantages over current treatments for IBD and GVHD and do not rely on broad immunosuppression. Both fundamental and practical questions must be addressed if these therapies are to reach patients. Novel approaches, including methods to reduce tight junction leak and pore pathway permeability, as well as means to transfer or expand NK cells and activate their immunoregulatory functions, will need to be developed. The development of effective approaches using epithelial growth factors to promote healing and seal the unrestricted pathway may only require improved delivery vehicles or formulations, and may, therefore, be an accessible therapeutic goal. However, the potential of growth factors to stimulate growth or development of neoplasia cannot be disregarded. In addition, it will be important to consider mechanisms of barrier loss. Use of preclinical models that closely resemble the human pathologies, including MHC-matched BMT for GVHD, and naive T-cell adoptive transfer or genetically modified mice for IBD, will be essential. Finally, just as the development of anti-TNF agents for treatment of rheumatoid arthritis was a great boon to IBD patients, it will be critical to evaluate the ability of new IBD treatments to benefit GVHD patients, and vice versa. Given the similarities between

IBD and GVHD in terms of genetic links, clinical manifestations, and pathogenic mechanisms, there is a hope that novel treatment approaches will be applicable, and potentially successful, in both diseases.

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DISCLOSURE

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