

## REVIEW

# Associations of human leukocyte antigens with autoimmune diseases: challenges in identifying the mechanism

Hiroko Miyadera<sup>1,2</sup> and Katsushi Tokunaga<sup>2</sup>

The mechanism of genetic associations between human leukocyte antigen (*HLA*) and susceptibility to autoimmune disorders has remained elusive for most of the diseases, including rheumatoid arthritis (RA) and type 1 diabetes (T1D), for which both the genetic associations and pathogenic mechanisms have been extensively analyzed. In this review, we summarize what are currently known about the mechanisms of *HLA* associations with RA and T1D, and elucidate the potential mechanistic basis of the *HLA*–autoimmunity associations. In RA, the established association between the shared epitope (SE) and RA risk has been explained, at least in part, by the involvement of SE in the presentation of citrullinated peptides, as confirmed by the structural analysis of DR4-citrullinated peptide complex. Self-peptide(s) that might explain the predispositions of variants at 11 $\beta$  and 13 $\beta$  in *DRB1* to RA risk have not currently been identified. Regarding the mechanism of T1D, pancreatic self-peptides that are presented weakly on the susceptible *HLA* allele products are recognized by self-reactive T cells. Other studies have revealed that DQ proteins encoded by the T1D susceptible *DQ* haplotypes are intrinsically unstable. These findings indicate that the T1D susceptible *DQ* haplotypes might confer risk for T1D by facilitating the formation of unstable *HLA*–self-peptide complex. The studies of RA and T1D reveal the two distinct mechanistic basis that might operate in the *HLA*–autoimmunity associations. Combination of these mechanisms, together with other functional variations among the *DR* and *DQ* alleles, may generate the complex patterns of *DR*–*DQ* haplotype associations with autoimmunity.

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## INTRODUCTION

Human leukocyte antigen (*HLA*) class II molecules are heterodimeric transmembrane glycoproteins that present self- and non-self-peptides to the surface of antigen-presenting cells for recognition by T cell receptors. *HLA* class II consists of three isotypes, including *HLA-DR* (encoded by *HLA-DRA* and *-DRB1*, and *-DRB3*, 4, and 5 in certain haplotypes), *HLA-DQ* (encoded by *HLA-DQA1* and *-DQB1*) and *HLA-DP* (encoded by *HLA-DPA1* and *-DPB1*). With the exception of *DRA*, each locus has a large number of alleles. For example, *DRB1* has >1 700 alleles, *DQB1* has >700 alleles and *DPB1* has >500 alleles that have been registered to date according to the IMGT/*HLA* database.<sup>1</sup> Polymorphic variants of *HLA* class II are accumulated mainly in exon 2, which encodes the  $\alpha$ 1 or  $\beta$ 1 domain of each subunit that forms the peptide-binding groove.

Genetic associations between *HLA* and autoimmune diseases were reported in the early 1970s (McDevitt and Bodmer<sup>2</sup> and references therein). Up until now, it has been confirmed that *HLA* have the strongest association signals, compared with any other loci, to a variety of autoimmune and inflammatory disorders. However, the mechanism that might underlie the *HLA*–autoimmunity associations

has remained elusive for most of the autoimmune diseases, including rheumatoid arthritis (RA) and type 1 diabetes (T1D), to which the hierarchies of *HLA-DR* and *-DQ* alleles or haplotypes that are associated with susceptibility or protection have been established in Europeans and other ethnicities. The pathogenic mechanisms of RA and T1D have also been extensively analyzed in human and the murine models. In this review, we summarize what are currently known and what are remained elusive about the mechanisms of *HLA* associations with RA and T1D, and elucidate the potential mechanistic basis of the *HLA*–autoimmunity associations.

## ASSOCIATIONS BETWEEN *HLA* CLASS II AND RA

RA is a chronic inflammatory disease of the synovial joints. Strong associations of *DRB1\*04:01*, *\*04:04*, *\*01:01* and *\*10:01*, which carry a shared epitope (SE)<sup>3</sup> at amino acid positions 70 $\beta$  to 74 $\beta$  (Figure 1) (QKRAA at *DRB1\*04:01*, QRRAA at *DRB1\*01:01* and *\*04:04*, and RRRAA at *DRB1\*10:01*), with RA risk have been reported and confirmed in numerous studies. In Europeans, the association between *DRB1* and RA is stronger in anti-citrullinated peptide antibody-positive RA than in anti-citrullinated peptide antibody-negative RA.<sup>4,5</sup> Among

<sup>1</sup>Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine, Chiba, Japan and <sup>2</sup>Department of Human Genetics, Graduate School of Medicine, The University of Tokyo, Tokyo, Japan

Correspondence: Dr H Miyadera, Research Center for Hepatitis and Immunology, National Center for Global Health and Medicine, 1-7-1 Kohnodai, Ichikawa, Chiba 272-8516, Japan.

E-mail: miyadera-h@umin.net

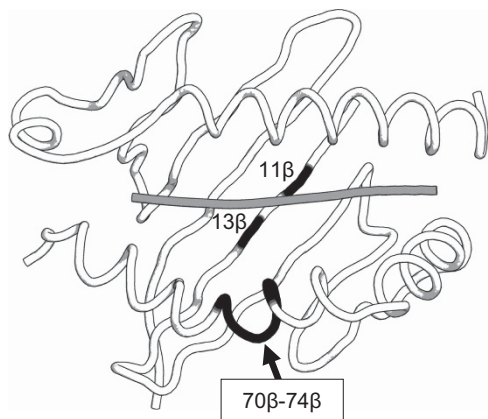
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the SE alleles, *DRB1\*04:01* and *\*04:04* confer a stronger predisposition to RA than *DRB1\*01:01* and *\*10:01*.<sup>4,6</sup> *DRB1\*04:01* homozygosity and *DRB1\*04:01/\*04:04* heterozygosity are associated with increased risk for RA.<sup>4</sup> The RA protective *DRB1* alleles include *DRB1\*13:01*<sup>6,7</sup> and the alleles that carry Ile67 $\beta$  or Asp70 $\beta$ .<sup>4</sup> In East Asian populations, *DRB1\*04:01* and *\*04:05* confer risk, whereas *DRB1\*13:02* and *\*14:05* confer protection<sup>8–10</sup> (see Furukawa *et al.*<sup>11</sup> in this issue for details of HLA association with RA).

The associations between HLA and RA have been analyzed mainly for the *DR* loci. However, the strong linkage disequilibrium between *DR* and *DQ* suggests that both *DR* and *DQ* may contribute to predisposition to RA, similar to what has been observed in T1D. In Europeans, RA susceptible *DRB1* alleles are found mainly in *DRB1\*04:01-DQA1\*03-DQB1\*03:01*, *DRB1\*04:01-DQA1\*03-DQB1\*03:02*, *DRB1\*04:04-DQA1\*03-DQB1\*03:02*, *DRB1\*01:01-DQA1\*01-DQB1\*05:01* and *DRB1\*10:01-DQA1\*01-DQB1\*05:01* haplotypes. In East Asian populations, RA susceptible *DR-DQ* haplotypes include *DRB1\*04:01-DQA1\*03-DQB1\*03:01* and *DRB1\*04:05-DQA1\*03-DQB1\*04:01*. *DQA1\*03-DQB1\*03* and *DQA1\*03-DQB1\*04:01* may confer susceptibility, and *DQA1\*01-DQB1\*05* may confer mildly predisposing effect.<sup>12,13</sup>

#### POTENTIAL MECHANISM OF RA SUSCEPTIBILITY AND PROTECTION

It has been thought that *DRB1* alleles that carry SE confer disease susceptibility through the selective presentation of self-peptides<sup>14</sup> or the mechanism that involves alteration in the peripheral T cell repertoire (see, for example, Auger *et al.*<sup>15</sup> and Roudier<sup>16</sup>). It was later found that *DRB1\*04:01* protein interacted with citrullinated peptides with higher affinity than with non-citrullinated peptides.<sup>17</sup> A structural study confirmed that *DRB1\*04:01* and *\*04:04* proteins presented citrullinated vimentin and aggrecan peptides via interactions between Lys71 $\beta$  or Arg71 $\beta$  and citrulline at the P4-binding pocket.<sup>18</sup> These findings indicate that the SE alleles exert pathogenic effects through the presentation of citrullinated peptides, which are recognized as non-self by T cells. The critical roles for Lys71 $\beta$  and Arg71 $\beta$  in the presentation of citrullinated peptides are consistent with the findings that *DRB1* alleles that carry Glu71 $\beta$ , such as *DRB1\*04:02*, *\*13:01* and *\*13:02*, are not associated with RA risk. In addition to SE, variants at amino acids 11 $\beta$  and 13 $\beta$  in *DRB1* (Figure 1) also predispose strongly to RA.<sup>6,19</sup> Although these two residues could affect binding preferences for peptides, self-peptide(s) that might



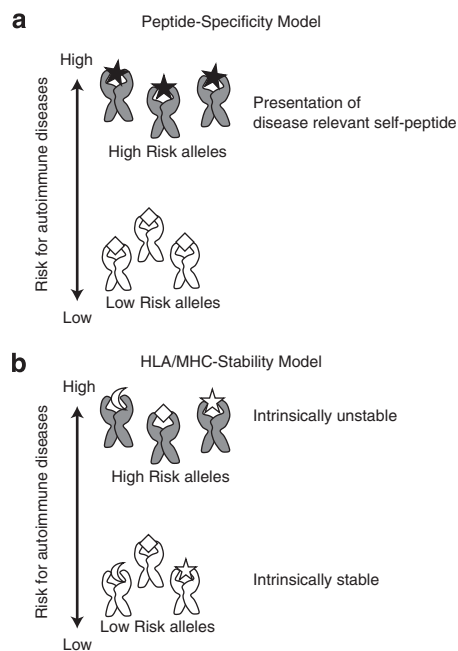
**Figure 1** Locations of amino acid residues in *DRB1* product that are associated with rheumatoid arthritis (RA). Locations of 11 $\beta$ , 13 $\beta$  and shared epitope (SE) residues in the structure of DR protein (PDB: 2seb)<sup>75</sup> are shown.

explain the predispositions of 11 $\beta$  and 13 $\beta$  to RA have not currently been identified.

The mechanism of protective associations between *DR-DQ* haplotypes and RA are not well characterized. A recent study revealed that the citrullinated DERA motif, which was found in the protective *DRB1* allele products, including *DRB1\*13* protein, and in vinculin, can be presented by the DQ proteins that were encoded on the RA susceptible *DR-DQ* haplotypes.<sup>20</sup> The protective effect of *DRB1\*13* against RA was explained by the cross-reactivity of self-reactive T cells to the citrullinated DERA motif in vinculin and *DRB1\*13* protein, and the absence of these self-reactive T cells in the *DR4/DR13* heterozygotes.<sup>20</sup>

Self-peptides that are derived from other potential self-antigens, including type II collagen and nuclear ribonucleoprotein A2 can also be presented to the susceptible *DR* or *DQ* allele products and are involved in the pathogenesis of RA.<sup>21–25</sup> The binding affinity of DR4 with class II-associated invariant chain peptide (CLIP) may also affect RA risk.<sup>26</sup> The presentation of misfolded immunoglobulin G heavy chain by DR protein is also shown to contribute to the susceptibility to RA.<sup>27</sup>

To summarize, studies of RA revealed that the association patterns of the most predisposing and the most protective *DRB1* alleles can be explained, at least in part, by variants at 71 $\beta$  that mediate the presentation of citrullinated peptides.<sup>18</sup> The proposed mechanism of protection against RA also involves the allele-specific presentation of self-peptides.<sup>20</sup> Therefore, the selective presentation of self-peptides on the susceptible and protective HLA allele products might be one of the key mechanisms of *DR-DQ* haplotype associations with RA (Figure 2a).



**Figure 2** Hypothetical mechanisms for human leukocyte antigen (HLA)-autoimmunity associations.<sup>59</sup> (a) HLA associations with autoimmune diseases may be explained by the selective presentation of disease-relevant self-peptides by the disease susceptible HLA allele products (grey). The disease-relevant peptides (black) and irrelevant peptides (white) are shown. (b) The HLA/major histocompatibility complex (MHC) stability model. This model proposes that intrinsically unstable HLA proteins (grey), which form unstable HLA-peptide complex through the presentation of diverse self-peptides, confer a risk for autoimmune diseases.

### ASSOCIATIONS BETWEEN HLA CLASS II AND T1D

T1D is caused by the autoimmune-mediated destruction of insulin-producing beta cells in the pancreas. *DR* and *DQ* are the strongest susceptibility loci for T1D. In European descendants, the highest risk is conferred by *DR3-DQA1\*05-DQB1\*02* and *DR4-DQA1\*03-DQB1\*03:02* haplotypes, and the highest protection is conferred by *DR15-DQA1\*01:02-DQB1\*06:02*.<sup>28–30</sup> Heterozygosity of *DR3-DQA1\*05-DQB1\*02/DR4-DQA1\*03-DQB1\*03:02* confers the strongest genotypic risk for T1D.<sup>28–30</sup> These susceptible haplotypes are partly shared in a variety of other ethnic groups.<sup>29</sup> In the Japanese population, in which these susceptible haplotypes are infrequent, susceptibility to T1D is conferred by *DR9-DQA1\*03-DQB1\*03:03* and *DR4-DQA1\*03-DQB1\*04:01* haplotypes.<sup>31</sup> The strong association between non-Asp57β in *DQB1* and T1D risk is found in Europeans<sup>32,33</sup> but not in the Japanese population, in which the T1D susceptible *DQB1* alleles carry Asp57β. *DR15-DQA1\*01:02-DQB1\*06:02* haplotype confers protection against T1D in both European and Japanese populations.<sup>29,31</sup>

It has been established that both *DR* and *DQ* loci confer a predisposing and/or protective effect to T1D in a manner independent of or dependent on the allele at the other locus. One of the examples is *DR4-DQA1\*03-DQB1\*03:02* haplotype, which can be subgrouped into the susceptible haplotypes that contain *DRB1\*04:01* or *\*04:05*, or the neutral-to-protective haplotypes that contain *DRB1\*04:03* or *\*04:06*.<sup>28–31</sup> Another example is the haplotype that contains *DRB1\*07*, the association of which can vary from susceptible to protective, depending on *DQA1* and *DQB1* alleles.<sup>28,30,34</sup>

The major autoantigens involved in the pathogenesis of T1D are insulin, glutamic acid decarboxylase, zinc transporter 8 and islet antigen-2.<sup>35–37</sup> The HLA-peptide binding studies revealed that the self-peptides derived from these autoantigens bound promiscuously to the susceptible, neutral and protective *DR* and *DQ* allele products,<sup>38–40</sup> which may reflect the facts that the peptide-binding spectrum of *DR* and *DQ* allele products partially overlaps across alleles.<sup>41,42</sup> It has remained unknown whether the *HLA* associations with T1D can be explained by the selective presentation of certain pancreatic self-peptides on the susceptible *HLA* allele products. Potential contributions of other functional variations among the alleles, such as the promoter activity<sup>43</sup> and the dependency of HLA proteins to invariant chain and HLA-DM,<sup>44–46</sup> to T1D risk have also been reported.

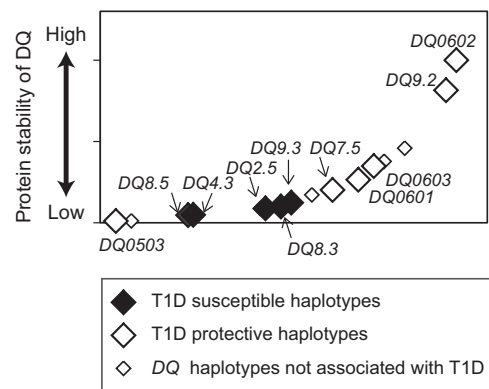
The association between non-Asp57β in *DQB1* and T1D in Europeans, and the presence of non-Asp57β in *I-A<sup>B7</sup>* of the non-obese diabetogenic (NOD) mice suggested that non-Asp57β had a critical role in the pathogenesis of T1D. Studies of the structure of *DQA1\*03-DQB1\*03:02* and *I-A<sup>B7</sup>* products revealed that non-Asp57β in *DQB1* and *I-A<sup>B7</sup>* facilitated the accommodation of acidic residue at the P9-binding pocket, thereby allowing for the presentation of certain pancreatic self-peptides, such as insulin B<sub>9–23</sub>, which carried acidic residue at the p9.<sup>47–49</sup> It was later found in both human and the NOD mice that insulin and other pancreatic self-peptides that were presented weakly on the susceptible HLA/major histocompatibility complex allele products were the targets of self-reactive T cells.<sup>50–56</sup> These studies established a notion that the T1D pathogenesis was mediated through the formation of unstable HLA/major histocompatibility complex-peptide complex.<sup>57</sup> The presence of non-Asp57β does not appear to be a prerequisite for the accommodation of self-peptides in a weak binding register. Non-Asp57β is present in the neutral haplotype *DQA1\*02-DQB1\*02* in Europeans and is absent in the T1D susceptible *DQB1* alleles in the Japanese population. These findings

suggest that non-Asp57β in *DQB1* may not be an essential component in the shared mechanism of T1D across ethnicities.

### ASSOCIATIONS BETWEEN DQ PROTEIN INSTABILITY AND T1D

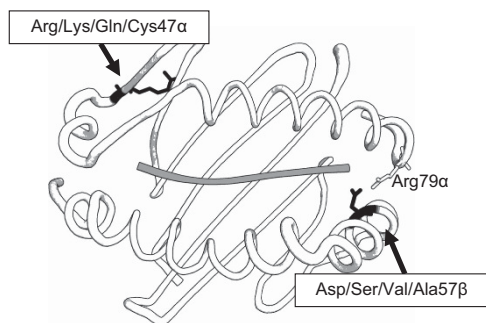
One of the additional factors that might contribute to the associations between *DR-DQ* haplotypes and T1D is the protein instability of *DQ*. It was reported in the 1990s that *DQA1\*05-DQB1\*02* and *DQA1\*03-DQB1\*03:02* haplotypes that predisposed to T1D in Europeans generated SDS unstable *DQ* protein, whereas the protective haplotype *DQA1\*01:02-DQB1\*06:02* generated SDS stable *DQ* protein.<sup>58</sup> However, SDS stability can be affected by both the stability of HLA protein and affinity of the bound peptides. We therefore validated, through a cell-surface HLA expression assay, whether the stability of *DQ* protein might differ intrinsically among the allele products. We confirmed a steep allelic hierarchy in the stability of *DQ* haplotype products.<sup>59</sup> Consistent with previous study,<sup>58</sup> the T1D susceptible *DQ* haplotypes in Europeans generated intrinsically unstable *DQ* proteins. Unstable *DQ* proteins were also generated by *DQA1\*05* and *DQB1\*03:02* allele products that can be formed in *DQA1\*05-DQB1\*02/DQA1\*03-DQB1\*03:02* heterozygotes. *DQA1\*03-DQB1\*03:03* and *DQA1\*03-DQB1\*04:01*, which were associated with T1D risk in the Japanese population, also generated unstable *DQ* proteins. The protective haplotype *DQA1\*01:02-DQB1\*06:02* generated highly stable protein (Figure 3).<sup>59</sup> When all of the major *DQ* haplotypes were analyzed, the protein stability of *DQ* was associated inversely with T1D risk, indicating that the protein instability of *DQ* might contribute to T1D risk irrespective of ethnicity.<sup>59</sup>

One of the polymorphic variants that regulated the protein stability of *DQ* was 57β; Asp57β stabilized *DQ* protein through the interactions with peptide and/or Arg79α. The variants at 47α in *DQA1*, which were located outside of the peptide-binding groove (Figure 4), also regulated the intrinsic stability of *DQ* protein. Associations between the destabilizing variants at these sites, such as non-Asp57β in *DQB1*



**Figure 3** Allelic hierarchy of *DQ* protein stability. Protein stability of *DQ* proteins that are encoded by major *DQ* haplotypes in European and Japanese populations are displayed in order of increasing protein stability (x axis) with the estimated *DQ* protein stability plotted on the y axis.<sup>59</sup> The type 1 diabetes (T1D) susceptible haplotypes (black, large diamond), T1D protective haplotypes (white, large diamond) and haplotypes not associated with T1D (white, small diamond) are identified in the Swedish<sup>76</sup> and Japanese populations.<sup>31</sup> The following abbreviations are used for each *DQ* haplotype; *DQA1\*01:02-DQB1\*06:02* (*DQ0602*), *DQA1\*02-DQB1\*03:03* (*DQ9.2*), *DQA1\*01:03-DQB1\*06:03* (*DQ0603*), *DQA1\*01:03-DQB1\*06:01* (*DQ0601*), *DQA1\*05-DQB1\*03:01* (*DQ7.5*), *DQA1\*03-DQB1\*03:03* (*DQ9.3*), *DQA1\*03-DQB1\*03:02* (*DQ8.3*), *DQA1\*05-DQB1\*02* (*DQ2.5*), *DQA1\*03-DQB1\*04:01* (*DQ4.3*), *DQA1\*05-DQB1\*03:02* (*trans* combination) (*DQ8.5*) and *DQA1\*01-DQB1\*05:03* (*DQ0503*).





**Figure 4** Locations of amino acid residues in *DQA1* and *DQB1* products that are associated with type 1 diabetes (T1D). Locations of 47 $\alpha$  and 57 $\beta$  in the structure of DQ protein (PDB: 1uvq)<sup>77</sup> are shown.

and Gln47 $\alpha$  in *DQA1*, and T1D risk<sup>59</sup> indicated that non-Asp57 $\beta$  in *DQB1* may confer T1D risk through destabilizing the DQ proteins.

Based on the inverse association between the DQ protein stability and T1D risk, and the strong association signals detected at the protein destabilizing variants at 57 $\beta$  and 47 $\alpha$ , we proposed that the intrinsic instability of HLA protein may be one of the important functional components that conferred T1D risk (Figure 2b).<sup>59</sup> This hypothesis is consistent with the established concept of T1D pathogenesis, and indicates that an intrinsically unstable HLA may increase a risk for T1D through facilitating the formation of unstable HLA–self-peptide complex, which may permit the thymic escape of self-reactive T cells.

The mechanisms of protection against T1D of *DQA1\*01:02-DQB1\*06:02* and *DQA1\*02-DQB1\*03:03* haplotypes are not known. As the mechanisms of protection, the ‘affinity model’ and the ‘determinant capture model’ have been proposed.<sup>60–62</sup>

### MECHANISMS OF *DR-DQ* HAPLOTYPE ASSOCIATIONS WITH AUTOIMMUNE DISEASES

Mechanism of HLA-associated autoimmune diseases has generally been studied through the identification of self-peptides that are presented selectively to the susceptible HLA allele products. Similar to the findings in RA, the association between *DRB1\*04:06-DQA1\*03-DQB1\*03:02* haplotype and insulin autoimmune syndrome<sup>63</sup> has also been explained by the presentation of the disease-relevant self-peptide, the reduced form of insulin, to *DRB1\*04:06* protein, but not to *DQB1\*03:02* and the non-risk allele *DRB1\*04:05* products.<sup>64</sup>

Regarding the mechanism of T1D, pancreatic self-peptides that might explain the association of HLA have not currently been identified. Studies of multiple sclerosis also documented the promiscuous binding patterns and variable affinity levels in the interactions of self-peptides with the susceptible and non-susceptible HLA allele products.<sup>65–67</sup> These findings may indicate a possibility that a variety of low-affinity self-peptides, most of which have not been identified readily in the HLA-peptide binding studies, are implicated in the pathogenesis of certain autoimmune diseases, including T1D.

Genetic association studies of other autoimmune and inflammatory diseases have revealed that the T1D susceptible haplotypes *DR3-DQA1\*05-DQB1\*02* and *DR4-DQA1\*03-DQB1\*03:02* in Europeans and *DR9-DQA1\*03-DQB1\*03:03* and *DR4-DQA1\*03-DQB1\*04:01* in the Japanese population predispose to multiple autoimmune diseases, including autoimmune polyglandular syndrome type II,<sup>68</sup> celiac disease<sup>69,70</sup> and antineutrophil cytoplasmic antibody-associated vasculitis.<sup>71</sup> The T1D protective haplotype *DR15-*

*DQA1\*01:02-DQB1\*06:02* confers protection against autoimmune polyglandular syndrome type II and III<sup>68,72</sup> and selective immunoglobulin A deficiency.<sup>73,74</sup> The associations of T1D susceptible/protective *DR-DQ* haplotypes with a variety of autoimmune diseases indicate that the mechanism of autoimmune susceptibility and/or protection may partly be shared among certain autoimmune diseases. As distinct sets of self-peptides are involved in individual diseases, it would not be possible to explain the shared associations by the peptide-binding spectrum of DR and DQ proteins. The HLA/major histocompatibility complex stability model or other molecular mechanism(s), which could operate irrespective of the presented self-peptides, may underlie these associations.

### CONCLUDING REMARKS

The studies of RA and T1D suggest that the two distinct mechanistic basis, which might involve allelic variations in the peptide-binding preferences and the protein stability of HLA, might explain the associations of HLA with autoimmune diseases. These two mechanisms may constitute a part of the whole mechanism of HLA–autoimmunity associations, which can also be affected by allelic variations in the expression levels and the dependency to accessory molecules. In addition to the functional diversity at each locus, their interplay between the loci may also contribute to the complex pattern of *DR-DQ* haplotype associations with autoimmunity.

### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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