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Gender-specific haplotype association of collagen $\alpha 2$ (XI) gene in ossification of the posterior longitudinal ligament of the spine

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Abstract Among Japanese, ossification of the posterior longitudinal ligament of the spine (OPLL) is a leading cause of myelopathy, showing ectopic bone formation in the paravertebral ligament. We have provided genetic evidence that the collagen $\alpha 2$ (XI) (*COL11A2*) locus of chromosome 6 constitutes susceptibility for OPLL. Five distinct single nucleotide polymorphisms (SNPs), identified in *COL11A2*, were combined to construct possible haplotypes by the use of a maximum likelihood program. Estimated haplotype frequency was compared in OPLL patients and non-OPLL controls. We report a gender-specific association of the *COL11A2* haplotype with OPLL. The frequency of the most commonly observed haplotype was significantly higher in male patients ($P = 0.0003$) compared with controls, but not in female patients ($P = 0.21$). OPLL is predominantly observed in males, with a prevalence ratio of 2:1, and our gender-specific associations indicate that genetic factors involving *COL11A2* play a specific role in the etiology of OPLL exclusively in males.

Key words SNPs · Haplotype · Association study · OPLL · *COL11A2*

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Introduction

Ossification of the posterior longitudinal ligament of the spine (OPLL) is characterized by heterotopic bone formation in spinal ligaments, mainly by an endochondral ossification process. Ossified ligaments compress the spinal cord, and this subsequently leads to various degrees of myelopathy. OPLL is a common disorder among Japanese, as well as in other Asian populations. The incidence of OPLL in Japan is reported to be 1.9%–4.3% of the general population (Matsunaga and Sakou 1997). Despite the fact that OPLL is a late-onset disease, with an average onset age of ~50 years, genetic determinants play a crucial role in its etiology (Terayama 1989). In the United States and Europe, OPLL is less common, with a reported frequency of 0.01%–1.7%. Another ossification disorder, diffuse idiopathic skeletal hyperostosis (DISH), a very common hyperostotic disorder in Western countries (frequency of 25% in males and 15% in females at age more than 50 years), is a disorder known to be related to OPLL (Trojan et al. 1992; Weinfeld et al. 1997).

We have previously provided genetic linkage evidence of the genetic susceptibility of OPLL mapped to the HLA complex of chromosome 6 (Koga et al. 1998) by a non-parametric genetic linkage study with 91 affected sib-pairs with OPLL in Japan. We then extended our genetic study to search for molecular causality in candidate genes. Two genes in the region, collagen $\alpha 2$ (XI) (*COL11A2*) and retinoic X receptor β (*RXR β*) were considered to be possible candidates for OPLL (Almasan et al. 1994; Nagata et al. 1995). The two genes were screened for the molecular variations by single-strand conformation polymorphism (SSCP) analysis. No SSCP variant was detected in the coding or promoter regions of *RXR β* (Numasawa et al. 1999). In *COL11A2*, we initially identified 19 distinct single nucleotide polymorphisms (SNPs) through extensive SSCP screening that included the promoter region, 66 exons, and intron 1 (Koga et al. 1998). Of the 19 SNPs identified, significant association with OPLL was detected in 4 SNPs. Although the most significant association was observed

with intron 6 SNP, the molecular causality is still unclear, because the 4 SNPs were in linkage disequilibrium with each other. Haplotype generation is a means of determining whether a specific allele mediates predisposition, especially when the causal variants involved in the disease have not been identified. Haplotype analysis, using the four SNPs, strongly indicated that *COL11A2* plays an important role in the genetic etiology of OPLL (Koga et al. 1998). We have recently identified, by direct sequencing, a new SNP in exon 6, a G → A substitution at position 28 (nucleotide numbering is from the start of exon 6), which replaces glutamine at codon 272 by lysine. The exon 6 SNP was found to be in tight linkage disequilibrium with the intron 6 SNP both in OPLL patients and in controls. By increasing the number of the markers and the sample size, we found a gender-specific haplotype association of SNPs in the *COL11A2* with OPLL, reported here.

Subjects and methods

Subjects

We examined a total of 161 unrelated Japanese OPLL patients (83 men; age [mean ± SD], 60.65 ± 10.44 years, and 78 women; age, 59.14 ± 9.02 years) and 163 non-OPLL subjects (78 men; age, 74.06 ± 6.47 years, and 85 women; age, 76.22 ± 6.51 years), in Kagoshima, Japan, after obtaining their written informed consent. This study was approved by the Ethics Committee at the Faculty of Medicine, Kagoshima University. OPLL was diagnosed by the existence of ectopic ossification in the spinal ligaments, determined by radiographic examination of the cervical, thoracic, and lumbar spine; non-OPLL was determined by the absence of such ossification in the spinal ligaments. All the non-OPLL controls were over 65 years old, thus we excluded future patients.

Allelic frequency and haplotype analysis

Exon 6 SNP was identified by direct sequencing, in which nucleotide substitution at position 28 in exon 6 leads to

glutamine-to-lysine substitution. The positions of the SNPs are described in the footnote of Table 1. The allele frequencies of the SNPs were determined by SSCP analysis (Orita et al. 1989; also described in Koga et al. 1998) for promoter -182, exon 43, and exon 46 SNPs, and a mutagenically separated (MS)-polymerase chain reaction (PCR) method was used (Rust et al. 1993) for exon 6 and intron 6 SNPs. Information on primers and PCR conditions can be obtained from the authors. Estimation of differences in haplotype frequency between cases and controls was performed by the maximum-likelihood method, using a simplified version of the computer program GENE (J-M Lalouel, University of Utah, unpublished). Procedures to generate the haplotype were described in full detail in Jeunemaitre et al. (1997). Briefly, two SNPs were chosen to generate the haplotype, followed by sequential inclusion of one SNP at a time. All haplotypes below a frequency of 1/4N, where N is the sample size, were automatically eliminated. Estimated haplotype frequencies were compared in patients and controls by the χ^2 test of homogeneity, using a 2 × 2 contingency table, rather than the global test. Instead, probability values were corrected for multiple comparison by multiplying the *P* value by the number of tests compared (Bonferroni adjustment). Pairwise linkage disequilibrium coefficient was estimated and expressed as $D' = D/D_{\max}$ or D/D_{\min} , according to Thompson et al. (1988).

Results

Pairwise linkage disequilibrium

A significant association between OPLL patients and controls was observed in the newly identified exon 6 (+28) SNP ($P = 0.0012$). Pairwise linkage disequilibrium between five SNPs in *COL11A2* was estimated in controls (Table 2). Most of the SNPs were in tight linkage disequilibrium with each other. Notably, a strong linkage disequilibrium was retained ($D' = 0.91$) between the -182 promoter SNP and the exon 46 SNP separated by 21.5 kb. Almost identical linkage disequilibrium results were obtained in OPLL patients (data not shown).

Table 1. Estimated haplotype frequency in OPLL patients and non-OPLL subjects

Haplotype ^a	OPLL, <i>n</i> = 161 (322)	non-OPLL, <i>n</i> = 163 (326)	χ^2 (df = 1)	<i>P</i> value	<i>P</i> _{corrected}	OR (95% CI)
H1 (+++++)	0.578	0.455	9.75	0.0018	0.009	1.614 (1.325, 1.943)
H2 (+++--)	0.141	0.127	0.23	0.63	>1	1.118 (0.666, 1.57)
H3 (-++++)	0.089	0.093	0.0056	0.94	>1	1.059 (0.524, 1.594)
H4 (-----)	0.104	0.17	5.59	0.018	0.09	1.779 (1.321, 2.237)
Others ^b	0.091	0.153	6.12	0.013	0.065	

OPLL, Ossification of the posterior longitudinal ligament of the spine; OR, odds ratio; CI, confidence interval

^a Plus (+) denotes common allele; minus (-) denotes rare allele. Marker order from left to right: an A → C substitution at position -182 in the promoter region, a G → A substitution in exon 6 (Glu272Lys), a T → A substitution at position 636 in intron 6 (4 bases upstream from the start of exon 7), an A → G substitution in exon 43 (Pro1058Pro), and a C → T substitution in exon 46 (Pro1128Pro). *P* values were corrected by the number of haplotypes observed (5 haplotypes), shown as *P*_{corrected}

^b See text for explanation

Table 2. Pairwise linkage disequilibrium coefficients (D') between COL11A2 SNPs, estimated in Japanese controls

SNPs	D'			
	-182	Exon 6 (+28)	Intron 6 (-4)	Exon 43 (+24)
Exon 6 (+28)	0.89	—	—	—
Intron 6 (-4)	0.73	0.75	—	—
Exon 43 (+24)	0.46	0.65	0.80	—
Exon 46 (+18)	0.91	0.78	0.81	1.00

D' was estimated according to Thompson et al. (1988); 328 non-OPLL subjects were genotyped for all the loci

SNPs, Single nucleotide polymorphisms

Table 3. Gender-specific haplotype frequency in OPLL patients and non-OPLL subjects

Haplotype	OPLL	Non-OPLL	χ^2 (df = 1)	P value	$P_{\text{corrected}}$	OR (95% CI)
Male: OPLL, $n = 83$ (166); non-OPLL, $n = 78$ (156)						
H1 (+++++)	0.639	0.436	13.3	0.0003	0.0015	2.29 (1.84, 2.73)
H2 (++++)	0.151	0.147	0.006	0.94	>1	1.03 (0.41, 1.64)
H3 (-++++)	0.078	0.103	0.58	0.45	>1	1.35 (0.58, 2.11)
H4 (-----)	0.078	0.16	5.19	0.023	0.12	2.25 (1.54, 2.96)
Others	0.054	0.154	8.68	0.0032	0.016	
Female: OPLL, $n = 78$ (156); non-OPLL, $n = 85$ (170)						
H1 (+++++)	0.564	0.494	1.6	0.21	>1	1.33 (0.89, 1.76)
H2 (++++)	0.115	0.094	0.39	0.53	>1	1.26 (0.54, 1.97)
H3 (-++++)	0.109	0.094	0.2	0.66	>1	1.18 (0.46, 1.90)
H4 (-----)	0.147	0.182	0.72	0.46	2.3	1.29 (0.70, 1.88)
Others	0.065	0.136	4.53	0.033	0.165	

SNP order is the same as that shown in Table 1

+, Wild-type allele; -, mutant allele

Estimation of haplotype frequency

We compared the estimated haplotype frequencies between OPLL patients and non-OPLL subjects with five SNPs in *COL11A2*. Four major haplotypes (H1–H4), together with four to five minor haplotypes, were generated, reflecting tight linkage disequilibrium (Table 1). Because the frequencies of the minor haplotypes were less than 5%, they were combined and are shown as “others” in Table 1 (and Table 3). A significantly higher frequency of haplotype H1, comprising all the common alleles at each site, was observed in OPLL patients ($\chi^2 = 9.75$; degrees of freedom [df] = 1; $P = 0.0018$; corrected $P = 0.009$), while a lower frequency of haplotype H4, comprising all the rarer alleles, was detected in the OPLL patients ($\chi^2 = 5.59$; df = 1; $P = 0.018$; corrected $P = 0.09$). The odds ratios for the risk for OPLL associated with the haplotype H1 and the haplotype H4 were 1.61 and 1.78, respectively. To distinguish gender, we increased the proportion of female OPLL patients, and this may explain the less significant association than the association reported previously (Koga et al. 1998) although we increased the total sample size. The results of the gender-specific haplotype association study are presented separately (Table 3). In males, a highly significant increased frequency of haplotype H1 was observed in OPLL patients ($\chi^2 = 13.3$; df = 1; $P = 0.0003$; corrected $P = 0.0015$; odds ratio = 2.29) while a decreased frequency of H4 was also detected ($\chi^2 = 5.19$; df = 1; $P = 0.023$; corrected $P = 0.12$; odds ratio = 2.25). In contrast, no significant association in

the major haplotypes (H1–H4) was detected in females. Accordingly, there is a gender-specific association of the haplotype in *COL11A2* with OPLL, and the haplotype H1 may be a predisposing allele, while the haplotype H4 could be a protective allele in males.

Discussion

We have found that the haplotypes of *COL11A2* arising from the combination of five SNPs are associated with an increased risk of the development of OPLL, exclusively in males. No association was observed in females. OPLL is a complex trait, and complicated etiologies need to be considered for the understanding of the underlying pathogenesis, in which both genetic and environmental factors play mutual roles. OPLL is more frequent in males than in females, with a prevalence ratio of 2:1. OPLL commonly occurs at the cervical level in both males and females. Notably, thoracic ossification is frequently observed in females, but not in males. Our gender-specific association results suggest that genetic factors involved in *COL11A2* play an important role in the etiology of OPLL in males, while sex hormones or other gender-specific factors may be more important than the genetic variation in *COL11A2* in females. It is well established that estrogen plays a crucial role in the maintenance of bone mineral, because bone loss (osteoporosis) is frequently observed in postmenopausal

women. Estrogen has a profound effect on bone development and remodeling (Oursler et al. 1991; Jilka et al. 1992). It should be emphasized that OPLL patients generally show a tendency of having high bone mineral density; therefore, they are in a hyperostotic state, regardless of age and sex. Although the genetic effect of *COL11A2* could lead to hyperostosis in both genders, at an age of onset of around 50 years, most of the genetically high-risk women may escape manifestations of OPLL because of the tendency toward bone loss caused by estrogen decrease after menopause. This may explain, in part, the gender-specific genetic associations of *COL11A2* and differences in disease prevalence between males and females.

The haplotype results of gender-specific association strongly implicate an important role of *COL11A2* in the pathogenesis of OPLL in males. The evidence outlined above, in particular, the gender-specificity provides an important clue for understanding the molecular etiology of OPLL. In future, the functional impacts of the gene variation or the specific haplotype need to be elucidated, using modern experimental approaches, such as the application of genetically manipulated animal models.

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