

ORIGINAL ARTICLE

Three new azaphilones produced by a marine fish-derived *Chaetomium globosum*

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Three new metabolites, chaetomugilin S, dechloro-chaetomugilin A and dechloro-chaetomugilin D, were isolated from a strain of *Chaetomium globosum* originally obtained from the marine fish *Mugil cephalus*, and their absolute stereostructures were elucidated based on the basis of spectroscopic analyses, including 1D and 2D NMR techniques and some chemical transformations. Particularly, chaetomugilins T and U are the first compounds without a chlorine atom in azaphilones isolated from this fungal strain, to date. In addition, these compounds moderately inhibited the growth of cultured P388, HL-60, L1210 and KB cell lines.

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INTRODUCTION

We have focused on potential new antitumor materials from marine-derived microorganisms that produce a number of compounds with unique structures. As a part of this study, we have examined metabolites from the fungus *Chaetomium globosum* OUPS-T106B-6 originally obtained from the marine fish *Mugil cephalus*, and isolated several azaphilones. We already reported their absolute stereostructures and cytotoxic activities.^{1–7} Our continuing search for cytotoxic metabolites from this fungal strain led to the isolation of three new azaphilones designated as chaetomugilins S–U (1–3). Azaphilones have various bioactivities such as antimicrobial activity, nitric oxide inhibition (cohaerins),⁸ gp120-CD4-binding inhibition (isochromophilones, ochrephilone, screotiorin and rubrorotiorin),⁹ monoamine oxidase inhibition (luteusins, TL-1, TL-2 and chaetoviridins),^{10,11} platelet-derived growth factor-binding inhibition (RP-1551s)¹² and antimalarial activity (cochliodones).¹³ These metabolites including chaetomugilins A–R have a chlorine atom at C-5; however, dechloro-chaetomugilin A (2) and dechloro-chaetomugilin D (3) have no substituent at C-5. Chaetomugiline S (1) exhibited moderate cytotoxic activity against the murine P388 leukemia cell line, the human HL-60 leukemia cell line, the murine L1210 leukemia cell line, and the human KB epidermoid carcinoma cell line. We describe herein the absolute configuration of the stereogenic centers and biological activities of these compounds.

RESULTS AND DISCUSSION

Identification and structure determination

The microorganism from *M. cephalus* fish was cultured at 27 °C for 6 weeks in a medium (50l) containing 1% soluble starch and 0.1% casein in 50% artificial sea water adjusted to pH 7.4, as reported

previously.^{1–7} The EtOAc extract of the culture filtrate was purified, employing Sephadex LH-20, silica gel column chromatography and the reverse phased HPLC, to afford chaetomugilins S (1), dechloro-chaetomugilin A (2) and dechloro-chaetomugilin D (3) (Figure 1).

Chaetomugilin S (1) was assigned the molecular formula C₂₃H₂₇ClO₆ based on the [M+H]⁺ peak in HRFABMS and the ratio of the intensity of isotope peaks (MH⁺/[MH+2]⁺). Its IR spectrum exhibited bands at 3423, 1716 and 1642 cm⁻¹, characteristic of hydroxyl group, ester and α, β-unsaturated carbonyl groups. A close inspection of the ¹H and ¹³C NMR spectra (Table 1) of 1 in DEPT and HMQC experiments revealed the presence of one primary methyl (C-13), 3 secondary methyls (11-CH₃, 4'-methyl and C-6'), 1 tertiary methyl (7-CH₃), 1 sp³-hybridized methylene (C-12), 5 sp³-methines (C-8, C-11, C-2', C-4' and C-5') including an oxygen-bearing carbon (C-5'), 4 sp²-methines (C-1, C-4, C-9 and C-10) including an oxygen-bearing carbon (C-1), 2 quarternary oxygen-bearing sp³-carbons (C-7 and C-3'), 4 quarternary sp²-carbons (C-3, C-4a, C-5 and C-8a) including an oxygen-bearing carbon (C-3), and 2 carbonyls (C-6 and C-1'). The ¹H–¹H COSY analysis of 1 led to 3 partial structural units, as shown by bold-faced lines in Figure 2. The geometrical configuration of the double bond moiety (C-9–C-10) was deduced as the *E* configuration from the coupling constant of the olefinic protons (*J*_{9,10} = 15.5 Hz). The connection of these units and the remaining functional groups was determined on the basis of the key HMBC correlations summarized in Figure 2. The connection of a chlorine atom to C-5 was reasonable from the molecular formula and the ¹³C NMR chemical shift of C-5 (δ_C 109.9). Thus, the planar structure of 1 was elucidated as shown in Figure 2.

The NOE correlations between H-8 and 7-CH₃ in NOESY experiments, together with the coupling constant of the vicinal

protons ($J_{8,2'} = 9.0$ Hz) implied that H-8 was oriented *cis* to 7'-CH₃ and *trans* to H-2'. The NOE correlations (H-2'/H-5' and H-4'/7-CH₃) together with the coupling constant ($J_{4',5'} = 10.0$ Hz) demonstrated that H-4' and H-5' were arranged in *trans* diaxial, and that 3'-OH was oriented *cis* to H-2' (Figure 3). In CD spectrum of **1**, it showed the same CD curve as that of **4** (Figure 4), and a negative Cotton effect ($\Delta\epsilon_{353} -1.1$) demonstrated clearly the absolute configuration at C-7 was *S*,⁴ allowing for the assignment of the absolute configuration of the asymmetric centers except C-11 (7*S*, 8*S*, 2'*R*, 3'*R*, 4'*S* and 5'*S*). To determine the absolute configurations at C-11 in **1**, the alkaline degradation of **1** was carried out.⁴ The degradation of **1** with 5% potassium hydroxide afforded a carboxylic acid (yield 19.7%), which was identified with (2*E*,4*S*)-4-methylhex-2-enoic acid obtained from chaetomugilin D (**4**), whose absolute stereostructure had been already determined,⁴ by a similar manner in IR, UV, NMR spectra and the

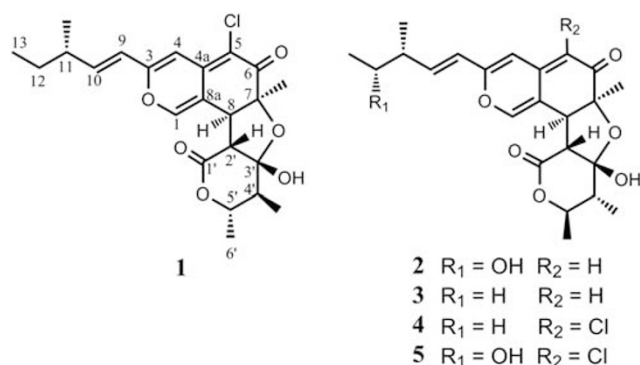


Figure 1 The structures of metabolites from *C. globosum*.

specific rotations (Scheme 1). Thus, the absolute configurations for C-11 of **1** were established as *S*.

Dechloro-Chaetomugilin A (**2**), which contained a chlorine atom less than chaetomugilin A (**5**), was assigned the molecular formula C₂₃H₂₈O₇. Its general spectral features closely resembled those of **5** except for ¹H NMR signal for H-4 (δ_{H} 6.10), and ¹³C NMR signals for C-4a (δ_{C} 144.3) and C-6 (δ_{C} 196.9) (Table 2). In addition, an olefinic methine (δ_{H} 5.51, δ_{C} 107.3) newly appeared in **2**. Analysis of ¹H-¹H COSY and HMBC correlations (from H-5 to C-4, C-7 and C-8a) elucidated the planar structure of **2**, and implied that a chlorine atom at C-5 as other chaetomugilins was not present. In NOESY experiment of **2**, the observed NOE correlations (H-8/H-5', H-2'/H-4')

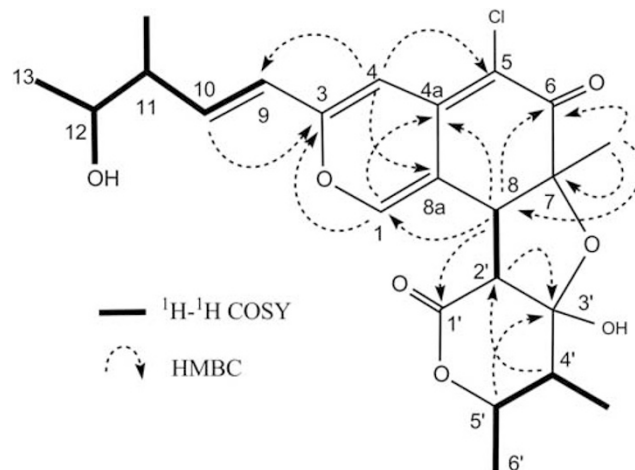


Figure 2 ¹H-¹H COSY and key HMBC correlations in **1**.

Table 1 NMR spectral data of chaetomugilin S (**1**) in CDCl₃

Position	δH^a	J/Hz	¹ H- ¹ H COSY	NOE	δC	HMBC (C) ^b
1	7.71 s			8	147.0(d)	3, 4a, 8, 8a
3					157.4(s)	
4	6.53 s			9	104.8(d)	3, 5, 8a, 9
4a					140.6(s)	
5					109.9(s)	
6					189.1(s)	
7					84.1(s)	
8	3.30 d	9.0 (2')	2'	1, 7-CH ₃ , 4'	47.7(d)	1, 4a, 6, 7, 8a, 1', 2'
8a					115.2(s)	
9	6.06 d	15.5 (10)	10	4, 11, 11-CH ₃	120.3(d)	3, 4, 10, 11
10	6.52 dd	15.5 (9), 6.5 (11)	9, 11	11, 12, 11-CH ₃	146.3(d)	3, 9, 11, 12, 11-CH ₃
11	2.26 sept	6.5 (10, 12, 11-CH ₃)	10, 12, 11-CH ₃	9, 10, 12, 13, 11-CH ₃	38.8(d)	9, 10, 12, 13, 11-CH ₃
12	1.43 m		11, 13	10, 11, 13, 11-CH ₃	29.2(t)	10, 11, 13, 11-CH ₃
13	0.90 t	7.5 (12)	12	11, 12, 11-CH ₃	11.7(q)	11, 12
7-CH ₃	1.38 s			8, 4'	23.8(q)	6, 7, 8
11-CH ₃	1.08 d	6.5 (11)	11	9, 10, 11, 12, 13	19.4(q)	10, 11, 12
1'					171.9(s)	
2'	3.04 d	9.0 (8)	8	5'	57.5(d)	8, 8a, 1', 3'
3'					103.5(s)	
4'	1.79 dq	10.0 (5'), 7.0 (4'-CH ₃)	5', 4'-CH ₃	7-CH ₃ , 6', 4'-CH ₃	46.1(d)	3', 5', 6', 4'-CH ₃
5'	4.34 dq	10.0 (4'), 6.2 (6')	4', 6'	2', 6', 4'-CH ₃	77.1(d)	3', 6'
6'	1.35 d	6.2 (5')	5'	4', 5', 4'-CH ₃	18.0(q)	4', 5'
4'-CH ₃	1.11 d	7.0 (4')	4'	4', 5', 6'	8.7(q)	3', 4', 5'
3'-OH	2.94 brs					2', 3'

^a¹H chemical shift values (δ p.p.m. from SiMe₄) followed by multiplicity and then the coupling constants (J/Hz). Figures in parentheses indicate the proton coupling with that position.

^bLong-range ¹H-¹³C correlations from H to C observed in the HMBC experiment.

and H-8/7-CH₃), together with the coupling constant between H-4' and H-5' ($J_{4',5'} = 10.3$ Hz), implied the relative configuration at C-7, C-8 and C-2' -C-5', *i. e.*, H-8 is oriented *cis* to 7'-CH₃ and H-5', and *trans* to H-2', 3'-OH and H-4'. In addition, a negative Cotton effect ($\Delta\epsilon_{344} -2.1$) in CD spectrum of **2** showed that the absolute configuration at C-7 was *S*,⁴ allowing for the assignment of the absolute configuration of the chiral centers except C-11 (7*S*, 8*S*, 2'*R*, 3'*R*, 4'*R* and 5'*R*) (Figure 5). For the absolute configurations at C-11 and C-12 in **2**, a carboxylic acid produced by the alkaline degradation with 5% potassium hydroxide of **1** was identified with (2*E*,4*R*,5*R*)-5-hydroxy-4-methylhex-2-enoic acid obtained from chaetomugilin A

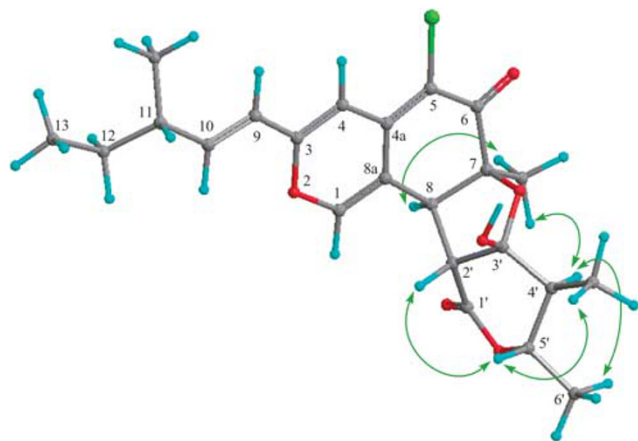


Figure 3 Key NOEs for **1**.

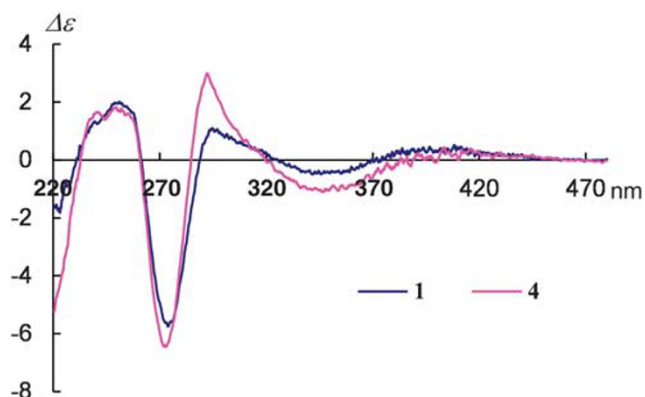
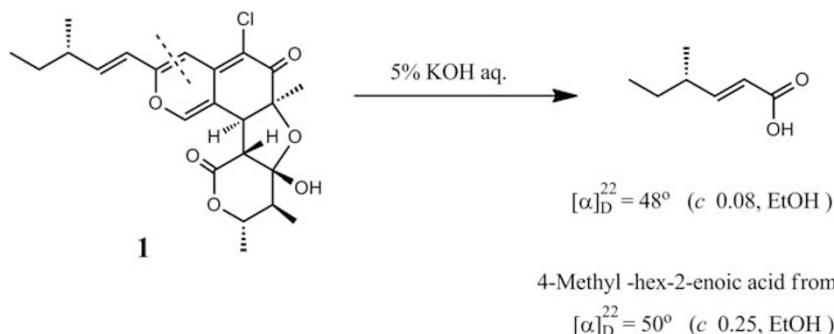


Figure 4 CD spectra of **1** and **4**.



Scheme 1 The alkaline degradation of **1**.

(**5**), in all spectral data and the optical rotation. Thus, the absolute configurations for C-11 and C-12 of **2** were established as both *R*.

Dechloro-Chaetomugilin D (**3**) that contained a chlorine atom less than chaetomugilin D (**4**), was assigned the molecular formula C₂₃H₂₈O₆. Its general spectral features closely resembled those of **4** except for ¹H NMR signal for H-4 (δ_H 6.09), and ¹³C NMR signals for C-4a (δ_C 145.0) and C-6 (δ_C 196.8) (Table 2), conversely, these chemical shifts were almost correspondent to those of chaetomugilin T (**2**). For the stereochemistry of **3**, the NOEs characterizing the relative configuration at chiral centers and CD spectrum of **3** demonstrated that **3** was identical with dechlorine derivative of chaetomugilin D. The absolute configuration at C-11 in **3** was confirmed as *S* by the same procedure as the alkaline degradation of **1**.

Cytotoxic activities

As a primary screen for antitumor activity, cancer cell growth inhibitory properties of chaetomugilin S (**1**), dechloro-chaetomugilin A (**2**) and dechloro-chaetomugilin D (**3**) were examined using the murine P388 leukemia cell line, the human HL-60 leukemia cell line, the murine L1210 leukemia cell line, and the human KB epidermoid carcinoma cell line. As shown in Tables 3, **1** exhibited moderate cytotoxic activity against the cancer cell lines. Henceforth, these compounds will be examined using a disease-oriented panel of 39 human cell lines^{14,15} to reveal their selective cytotoxic activity and mode of action.

EXPERIMENTAL PROCEDURE

General procedures

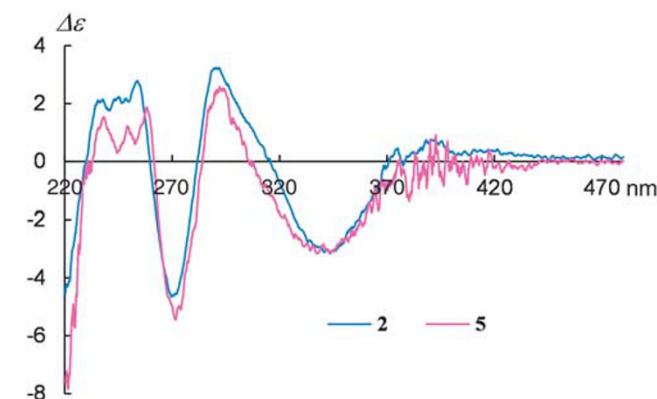
Mps were determined on a Yanagimoto micro-melting point apparatus and are uncorrected. UV spectra were recorded on a Hitachi U-2000 spectrophotometer (Hitachi, Osaka, Japan) and IR spectra on a JASCO FT/IR-680 plus (JASCO, Tokyo, Japan). NMR spectra were recorded at 27 °C on Varian UNITY INOVA-500 and MERCURY spectrometers with tetramethylsilane (TMS) as an internal reference. FABMS was determined using a JOEL JMS-700 (Ver. 2) mass spectrometer (JOEL, Tokyo, Japan). Optical rotations were recorded on a JASCO J-820 polarimeter. Liquid chromatography over silica gel (mesh 230–400) was performed at medium pressure. HPLC was run on a Waters ALC-200 instrument equipped with a differential refractometer (R 401) and Shim-pack PREP-ODS (25 cm x 20 mm i.d.). Analytical TLC was performed on precoated Merck aluminum sheets (DC-Alufolien Kieselgel 60 F254, 0.2 mm) with the solvent system CH₂Cl₂-MeOH (19:1), and compounds were viewed under a UV lamp, and sprayed with 10% H₂SO₄, and then heated.

Culturing and isolation of metabolites

A strain of *Chaetomium globosum* was initially isolated from the marine fish *Mugil cephalus*, collected in Katsuura bay, Japan in October 2000. The marine fish was wiped with EtOH and its gastrointestinal tract applied to the surface of nutrient agar layered in a Petri dish. Serial transfers of one of the resulting

Table 2 NMR spectral data of chaetomugilins T (2) and U (3) in CDCl₃

Position	2		δC	3		δC
	δH^a	J/Hz		δH^a	J/Hz	
1	7.72 s		146.1 (d)	7.25 s		146.3 (d)
3			155.6 (s)			156.3 (s)
4	6.10 s		107.9 (d)	6.09 s		107.4 (d)
4a			144.3 (s)			145.0 (s)
5	5.51 s		107.3 (d)	5.50 s		106.8 (d)
6			196.9 (s)			196.9 (s)
7			83.4 (s)			83.2 (s)
8	2.94 d	10.3 (2')	51.0 (d)	2.94 d	10.3 (2')	50.9 (d)
8a			114.9 (s)			114.9 (s)
9	6.01 d	15.5 (10)	122.0 (d)	5.94 d	15.8 (10)	120.0 (d)
10	6.50 dd	15.5 (9), 8.0 (11)	141.2 (d)	6.43 dd	15.8 (9), 8.0 (11)	145.6 (d)
11	2.42 dqd	8.0 (10), 6.8 (11-CH ₃), 6.2 (12)	44.3 (d)	2.23 dqd	8.0 (10), 6.8 (12), 11-CH ₃)	38.8 (d)
12	3.79 quint	6.2 (11, 13)	70.9 (d)	1.41 m		29.2 (t)
13	1.19 d	6.2 (12)	20.5 (q)	0.89 t	7.3 (12)	11.7 (q)
7-CH ₃	1.38 s		23.1 (q)	1.37 s		23.2 (q)
11-CH ₃	1.11 d	6.8 (11)	14.9 (q)	1.06 d	6.8 (11)	19.4 (q)
1'			170.7 (s)			170.8 (s)
2'	3.06 d	10.3 (8)	58.5 (d)	3.06 d	10.3 (8)	58.5 (d)
3'			104.2 (s)			104.1 (s)
4'	1.88 dq	10.3 (5'), 6.8 (4'-CH ₃)	45.0 (d)	1.88 dq	10.3 (5'), 6.9 (4'-CH ₃)	45.1 (d)
5'	4.30 dq	10.3 (4'), 6.2 (6')	76.7 (d)	4.30 dq	10.3 (4'), 6.4 (6')	76.7 (d)
6'	1.40 d	6.2 (5')	18.7 (q)	1.40 d	6.4 (5')	18.7 (q)
4'-CH ₃	1.14 d	6.8 (4')	8.7 (q)	1.14 d	6.9 (4')	8.7

^aAs in Table 1.**Figure 5** CD spectra of 2 and 5.

colonies provided a pure strain of *C. globosum*. The fungal strain was cultured at 27 °C for 6 weeks in a liquid medium (70l) containing 1% soluble starch and 0.1% casein in 50% artificial sea water adjusted to pH 7.4. The culture filtrate was extracted thrice with EtOAc. The combined extracts were evaporated *in vacuo* to afford a mixture of crude metabolites (22.1 g). The EtOAc extract was passed through Sephadex LH-20, using CHCl₃-MeOH (1: 1) as the eluent. The second fraction (10.2 g) was chromatographed on a silica gel column with a CHCl₃-MeOH gradient as the eluent. The 100% CHCl₃ eluate (1.4 g) was purified by HPLC using MeCN-H₂O (80: 20) as the eluent to afford 1 (4.3 mg). The MeOH-CHCl₃ (1: 99) eluate (396.5 mg) was purified by HPLC using MeCN-H₂O (55: 45) as the eluent to afford 3 (19.4 mg). The MeOH-CHCl₃ (2: 98) eluate (1.7 g) was purified by HPLC using MeCN-H₂O (35: 65) as the eluent to afford 2 (5.2 mg).

Chaetomugilin S (1): Yellow powder; mp 98–100 °C (CHCl₃-MeOH); $[\alpha]_D^{22}$ -22.5 (c 0.05, EtOH); UV λ_{max} (EtOH) nm (log ϵ) 284 (3.70), 387 (3.80), 402 (3.78); IR ν_{max} (KBr) cm⁻¹ 3423, 1716, 1642, 1560, 1522;

Table 3 Cytotoxicity of the metabolites against P388, HL-60, L1210 and KB cells

Compounds	Cell line P388	Cell line HL-60	Cell line L1210	Cell line KB
	IC50 (μM) ^a	IC50 (μM) ^a	IC50 (μM) ^a	IC50 (μM) ^a
Chaetomugilin S (1)	46.0	39.1	43.7	34.5
T (2)	62.4	67.2	> 100	> 100
U (3)	57.4	57.4	94.8	> 100
5-FU ^b	1.9	2.3	2.2	20.6

^aDimethyl sulfoxide was used for vehicle.^bPositive control.

HRFABMS m/z found 435.1572 [M+H]⁺ (clad for C₂₃H₂₈³⁵ClO₆, 435.1574). ¹H and ¹³C NMR data are listed in Table 1.

Dechloro-Chaetomugilin A (2): Yellow powder; mp 110–112 °C (CHCl₃-MeOH); $[\alpha]_D^{22}$ -13.6 (c 0.07, EtOH); UV λ_{max} (EtOH) nm (log ϵ) 282 (3.94), 368 (4.07), 384 (4.06); IR ν_{max} (KBr) cm⁻¹ 3435, 1718, 1618, 1542, 1509; HRFABMS m/z found 417.1913 [M+H]⁺ (calculated for C₂₃H₂₉O₇, 417.1913). ¹H and ¹³C NMR data are listed in Table 2.

Dechloro-Chaetomugilin D (3): Yellow powder; mp 118–120 °C (CHCl₃-MeOH); $[\alpha]_D^{22}$ 15.2 (c 0.22, EtOH); UV λ_{max} (EtOH) nm (log ϵ) 281 (3.65), 367 (3.81), 381 (3.78); IR ν_{max} (KBr) cm⁻¹ 3435, 1719, 1610, 1561, 1542; HRFABMS m/z found 401.1968 [M+H]⁺ (calculated for C₂₃H₂₉O₆, 401.1966). ¹H and ¹³C NMR data are listed in Table 3.

Degradation by potassium hydroxide of 1, 2 and 3

Chaetomugilin S (1) (8.6 mg) was dissolved in 3 ml of 5% aq. potassium hydroxide and stirred for 3 h at 100 °C. The reaction mixture was extracted with 10 ml of CHCl₃. The water layer was adjusted to pH 3.0 with 9% sulfuric acid and re-extracted with 10 ml of AcOEt. The organic extract was concentrated to dryness *in vacuo*. The residue was purified by HPLC using a

MeCN–H₂O gradient (0: 100)—(60: 40) as the eluent to afford (2*E*,4*S*)-4-methylhex-2-enoic acid (0.5 mg, yield 19.7%)⁴ as a colorless oil.

Using the same procedure, dechloro-chaetomugilin A (2) (6.8 mg), whose absolute stereostructure has been established, was treated with 5% aq. potassium hydroxide (3 ml), and purified by HPLC to afford (2*E*, 4*R*, 5*R*)-5-hydroxy-4-methylhex-2-enoic acid (0.6 mg, yield 25.5%)⁴ as a colorless oil.

Using the same procedure, dechloro-chaetomugilin D (3) (3) (4.8 mg), whose absolute stereostructure has been established, was treated with 5% aq. potassium hydroxide (3 ml), and purified by HPLC to afford (2*E*,4*S*)-4-methylhex-2-enoic acid (0.3 mg, yield 19.5%)⁴ as a colorless oil.

Cytotoxic assay

Cytotoxic activities of chaetomugilin S (1), dechloro-chaetomugilin A (2) and dechloro-chaetomugilin D (3) were examined by the 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) method. P388, HL-60, L1210 and KB cells were cultured in the Eagle's Minimum Essential Medium (10% fetal calf serum) at 37 °C in 5% CO₂. The test material was dissolved in dimethyl sulfoxide to give a concentration of 10 mM, and the solution was diluted with the Essential Medium to give concentrations of 200, 20 and 2 μM, respectively. Each solution was combined with each cell suspension (1 × 10⁵ cells ml⁻¹) in the medium, respectively. After incubation at 37 °C for 72 h in 5% CO₂, the grown cells were labeled with 5 mg ml⁻¹ MTT in phosphate-buffered saline, and the absorbance of formazan dissolved with 20% SDS in 0.1 N HCl was measured at 540 nm, using microplate reader (Model 450, Bio-rad, Osaka, Japan). Each value was expressed as a percentage, relative to a control cell suspension prepared without the test substance. All assays were performed three times, semilogarithmic plots were constructed from the averaged data, and the effective dose of the substance required to inhibit cell growth by 50% (IC₅₀) was determined.

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