

## ORIGINAL ARTICLE

# ACT-6, a novel plasmid-encoded class C $\beta$ -lactamase in a *Klebsiella pneumoniae* isolate from China

Yu-lin Zhu<sup>1,3</sup>, Xiao-ni Zhang<sup>1,3</sup>, Fan Gao<sup>1,3</sup>, Jun Cheng<sup>1</sup>, Li-fen Hu<sup>1</sup>, Tai Ma<sup>1</sup>, Jun Yin<sup>1</sup>, Ying Ye<sup>1,2</sup> and Jia-Bin Li<sup>1,2</sup>

The purpose of this study was to investigate the phenotypic and molecular characterization of a novel plasmid-mediated AmpC-type  $\beta$ -lactamase in *Klebsiella pneumoniae* E701 isolated from Anhui province in China. In comparison with the ACT-1, sequence analysis revealed that there were 43 point mutations in the coding gene, and 10 of which led to amino-acid substitution. Resistance could be transferred by conjugation or transformation with plasmid DNA into *E. coli* JM109, which was due to the production of a  $\beta$ -lactamase with an isoelectric point of 8.4 named ACT-6. Cloning, expression, purification and kinetics were carried out to study the characterization of the novel AmpC-type  $\beta$ -lactamase. The results of MIC determinations and substrate profiles showed there was no significant difference in the activities of the novel enzyme and ACT-1. Moreover, the class 1 integron and the whole open reading frame of the novel AmpC-type  $\beta$ -lactamase from *K. pneumoniae* E701 were detectable in the same size plasmid. This is the first report on the emergence of the novel ACT-6 type  $\beta$ -lactamases in *K. pneumoniae*.

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## INTRODUCTION

The predominant mechanism for resistance to  $\beta$ -lactam antibiotics in Gram-negative bacteria is the synthesis of  $\beta$ -lactamases. Many types of  $\beta$ -lactamases have been classified into class A, B, C and D according to amino-acid homology.<sup>1</sup> AmpC  $\beta$ -lactamases belongs to the molecular class C and functional classification group 1, which are characterized by their hydrolysis of cephalosporins and resistance to clavulanic acid.<sup>2</sup> AmpC  $\beta$ -lactamases appeared initially in organisms such as *Enterobacter cloacae*, *Citrobacter freundii*, *Serratia marcescens* and *Pseudomonas aeruginosa* that could, by mutation, overproduce their chromosomal AmpC  $\beta$ -lactamases.<sup>3</sup> Later, resistance appeared in bacterial species that lack an inducible AmpC enzyme, such as *Klebsiella pneumoniae*, *Escherichia coli*, *Salmonella* spp. and *Proteus mirabilis*.<sup>4</sup>

MIR-1 mobilized from an *Enterobacter* isolate was the first genetically characterized plasmid-mediated AmpC.<sup>5</sup> Since then, plasmid-mediated AmpC  $\beta$ -lactamases have been detected in many regions of the world. The overproduction of AmpC  $\beta$ -lactamases by Gram-negative organisms results in resistance to most  $\beta$ -lactam antibiotics including the carbapenems.<sup>6</sup> They are often encoded by transposons or integrons, which could be easily transferred from one replicon to another, and this phenomenon could contribute to the spread of multidrug-resistant *E. coli*, *K. pneumoniae* and other Enterobacteriaceae.<sup>7</sup> Thus, we further reported the phenotypic and molecular

characterization of the novel AmpC enzyme ACT-6 involved in this study.

## MATERIALS AND METHODS

### Bacterial strains

*K. pneumoniae* E701 was isolated from the sputum specimen of a 70-year-old male patient who suffered from lung cancer in September 2007 at Yijishan Hospital of Wannan Medical College. It is a tertiary-care general hospital with 1200 beds in Wuhu, Anhui, China. *K. pneumoniae* E701 was isolated from the sputum specimen before the treatment. It was identified using the Microscan Walkaway-96 System (Siemens, Munich, Germany). Next, PCR amplification of the complete 16S rRNA gene was performed with genomic DNA in accordance with a previously published protocol.<sup>8</sup> Amplification product was subjected to direct sequencing, and a 100% match to GenBank sequence accession number X87276 of *K. pneumoniae* was noted. *E. coli* J53, *E. coli* JM109, *E. coli* V517, *E. coli* ATCC 25922 and *E. coli* ATCC 35218 were stored at the Anhui Center for Surveillance of Bacterial Resistance.

### Susceptibility testing

MICs of antimicrobial agents were determined by means of the agar dilution method according to a standard procedure described by the Clinical and Laboratory Standards Institute in 2008.<sup>9</sup> Quality control strains were *E. coli* ATCC 25922 and *E. coli* ATCC 35218 with every batch of clinical strains to ensure accurate and comparable performance of assays. The plates were incubated in ambient air at 35 °C for 18 h. The inoculating concentration of

<sup>1</sup>Department of Infectious Diseases, the First Affiliated Hospital of Anhui Medical University, Hefei, Anhui, China and <sup>2</sup>Anhui Center for Surveillance of Bacterial Resistance, Hefei, Anhui, China

<sup>3</sup>These authors contributed equally to this work.

Correspondence: Professor Y Ye and Professor J-B Li, Department of Infectious Diseases, The First Affiliated Hospital of Anhui Medical University, Hefei, Anhui 230022, China. E-mail: gao3ye2@yahoo.com.cn or lijabin948@vip.sohu.com

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bacteria was  $1\text{--}1.5 \times 10^8$  colony-forming units per ml, equivalent to a 0.5 McFarland standard. An inoculum of  $10^4$  colony-forming units per spot was delivered with a multipoint inoculator (AQS, West Sussex, UK).

### PCR amplification and DNA sequencing

PCR analysis were performed with sets of primers specific for AmpC enzyme and the conserved segment of class 1 integron from both the parental and exconjugant strains.<sup>10,11</sup> Purified PCR products were ligated with the pMD18-T easy vector (TaKaRa, Dalian, China), then expressed in *E. coli* JM109 on a Luria-Bertani agar plate with blue-white selection. Nucleotide sequences were determined by bidirectional sequencing of PCR production with a 3730 automatic DNA sequencer three times.

### Conjugation experiment

*E. coli* J53, Azi<sup>r</sup>, resistant to sodium azide, was used as the recipient strain. Equal volumes of the donor and the recipient strain were mixed and cultured in Luria-Bertani broth. The mixtures were then incubated at 37 °C for 16 h. The transconjugant was selected on tryptone soya agar supplemented with sodium azide ( $100 \mu\text{g ml}^{-1}$ , to inhibit growth of the donor strain) and cefoxitin ( $2 \mu\text{g ml}^{-1}$ , to inhibit growth of the recipient strain).

### Preparation of probes and Southern hybridization

Plasmid DNAs were extracted from the clinical strain and transconjugant by the rapid alkaline lysis protocol.<sup>12</sup> After agarose gel electrophoresis, DNA fragments in the gel were transferred onto Hybond-N<sup>+</sup> nylon membranes (Amersham Biosciences, London, UK) according to the manufacturer's instructions for the following hybridization experiment. Purified PCR-generated probes whose sequences were specific for a complete codon region of the class 1 integron and the novel ACT-like gene, respectively, were labeled with the Digoxin Random Labeling and Detection Kit (Boster, Wuhan, China).

### Cloning and expression of the *ampC* gene

The different PCR primers were designed in order to introduce the *EcoRI* restriction (TaKaRa) up and the *PstI* restriction (TaKaRa) downstream of the genes, respectively. Then the whole open reading frame containing the *ampC* genes was amplified by PCR and cloned in the PMD-18T easy vector (TaKaRa). The plasmid of the clones was digested by *EcoRI* and *PstI* restriction enzymes. The 1.2-kb fragment containing the *ampC* genes was gel purified and ligated into the pHSG398 vector (2227 bp, including a chloramphenicol resistance gene), which was digested by the same restriction enzyme mentioned above. Then the recombinant plasmid was introduced into *E. coli* JM109 made competent by the calcium chloride method. After transformation, several strains were cultured on Luria-Bertani agar plates supplemented with cefoxitin ( $2 \mu\text{g ml}^{-1}$ ) and chloramycetin ( $50 \mu\text{g ml}^{-1}$ ).

### AmpC $\beta$ -lactamase purification and isoelectric focusing

The crude enzyme extracted from the transformant was carried out by AKTA Purifier 100 (Amersham Pharmacia, Stockholm, Sweden) with the eluant A ( $20 \text{ mmol l}^{-1}$  Tris-HCl,  $2 \text{ mmol l}^{-1}$  dithiothreitol,  $10 \text{ mmol l}^{-1}$  EDTA,

$10 \text{ mmol l}^{-1}$  NaCl, pH 7.5). Purified enzymes were used for subsequent  $\beta$ -lactamase assays, checked by SDS-polyacrylamide gel electrophoresis, and stained with Coomassie brilliant blue G-250 (Sigma, Santa Clara, CA, USA). Analytical isoelectric focusing of crude cell extracts, detection of  $\beta$ -lactamase bands by nitrocefin, and detection of the activities of the  $\beta$ -lactamase bands separated by analytical isoelectric focusing against  $\beta$ -lactams by a substrate-overlaying procedure were carried out as described previously.<sup>13</sup> Reference strains producing TEM-1, TEM-2, TEM-12, SHV-1, SHV-5 and MIR-1 were used as controls, as described previously.<sup>13</sup>

### Enzyme assay

ACT-6 was assayed against various  $\beta$ -lactam substrates at 30 °C in 50 mM phosphate-buffered saline (pH 7.0) using an autospectrophotometer type 752 (Spectrum Instruments, Shanghai, China). The wavelength and changes in extinction coefficients of  $\beta$ -lactams were previously described.<sup>14</sup> For all assays, controls were performed by omitting the specific substrate from the reaction mixture. For the good substrates, the kinetic parameters were determined from the initial rates of reactions, using the Hanes-Woolf linearization of the Henri-Michaelis-Menten equation.<sup>15</sup> Inhibition studies were as described previously.<sup>16</sup> The IC<sub>50</sub> was determined as the  $\beta$ -lactamase inhibitor (clavulanate, sulbactam, tazobactam) that reduced the hydrolysis rate of  $100 \mu\text{M}$  nitrocefin by 50% when the enzyme was preincubated with various concentrations of the inhibitor for 30 min at 25 °C before the addition of the substrate.<sup>17</sup>

### Nucleotide sequence accession number

The nucleotide sequence of the *bla*<sub>ACT-6</sub> gene was submitted to the GenBank database and assigned an accession number FJ237366.

## RESULTS

### Antimicrobial susceptibility

The MICs of representative antimicrobial agents for parental strain *K. pneumoniae* E701, as well as the transconjugant and transformant are listed in Table 1. *K. pneumoniae* E701 and its transconjugant had almost the same resistance spectrum, which exhibited the high resistance rate to seven  $\beta$ -lactam antimicrobial agents, one  $\beta$ -lactam in combinations with  $\beta$ -lactamase inhibitor, three fluoroquinolones and two aminoglycosides. In comparison with *K. pneumoniae* E701, the transformant had the same high resistance rate, but was susceptible to three fluoroquinolones and two aminoglycosides (MICs,  $\leq 1 \mu\text{g ml}^{-1}$ ). Additionally, the MIC of the transformant to piperacillin in combination with the  $\beta$ -lactamase inhibitor tazobactam showed no significant synergy, which is one of the characteristics of the AmpC enzyme. All strains were susceptible to cefepime (MIC  $\leq 2 \mu\text{g ml}^{-1}$ ), imipenem and meropenem (MIC  $\leq 1 \mu\text{g ml}^{-1}$ ).

### Sequence analysis

The complete nucleotide sequence of the *bla* gene encoding the cephamycin-resistant  $\beta$ -lactamase was obtained with PCR products.

**Table 1** MICs of antimicrobial agents for *K. pneumoniae* E701, the transconjugant, and the transformant

Strain <sup>a</sup>	MIC ( $\mu\text{g ml}^{-1}$ )																
	AMP	PIP	TZP	CXM	CRO	CAZ	FEP	FOX	CFP	ATM	IMP	MEM	GM	AMK	CIP	LXV	GAT
<i>K. pneumoniae</i> 701	>128	>128	64	>128	32	128	2	>128	>128	>128	1	1	>32	>128	>16	16	16
C- <i>K. pneumoniae</i> 701	>128	128	64	>128	32	64	1	>128	>128	>128	1	0.5	>32	>128	>16	32	16
<i>E. coli</i> J53	4	2	1	1	1	2	0.5	2	1	2	0.12	0.12	2	2	0.06	0.12	0.12
<i>E. coli</i> JM109	4	1	1	1	1	1	0.25	2	1	2	0.12	0.12	1	1	0.06	0.12	0.06
T- <i>K. pneumoniae</i> 701	>128	64	32	>128	16	64	0.5	>128	>128	>128	1	1	0.5	1	0.06	0.5	0.06

Abbreviations: AMK, amikacin; AMP, ampicillin; ATM, aztreonam; CAZ, ceftazidime; CFP, cefoperazone; CIP, ciprofloxacin; CRO, ceftriaxone; CXM, cefuroxime; FEP, cefepime; FOX, cefoxitin; GAT, gatifloxacin; GM, gentamicin; IMP, imipenem; LXV, levofloxacin; MEM, meropenem; PIP, piperacillin; TZP, piperacillin-tazobactam (National Institute for the Control of Pharmaceutical and Biological Products, China).

<sup>a</sup>The transconjugant and the transformant are named by C- and T-plus the name of the regional clinical isolate, respectively.

<sup>b</sup>The concentration of TZP was tested at a fixed concentration of  $4 \mu\text{g ml}^{-1}$ .

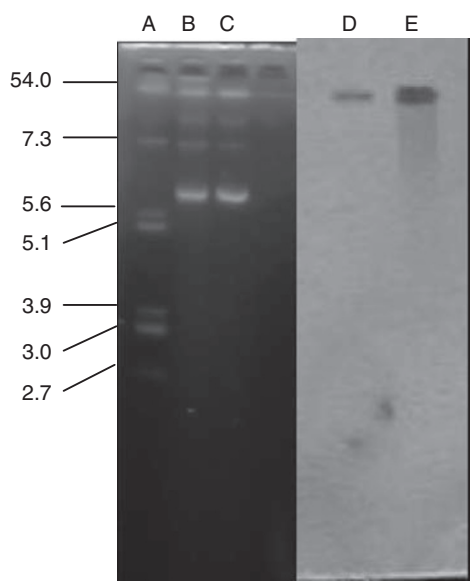
PCR analyses revealed the presence of the  $bla_{ACT-1}$ -like  $\beta$ -lactamase gene in the parental strain *K. pneumoniae* E701. One large open reading frame, which corresponded to a putative protein of 381 amino acids was found. The  $bla$  gene consisted of 1146 nucleotides. DNA sequencing results indicated that the nucleotide sequence of the novel  $bla_{ampC}$  gene differed from that ACT-1 by 43 point mutations, with 33 silent mutations. There are 10 positive mutations in the amino-acid substitutions compared with ACT-1 (GenBank accession number U58495). The mutations are located in positions 21 (Thr  $\rightarrow$  Ala), 55 (Glu  $\rightarrow$  Gln), 146 (Lys  $\rightarrow$  Thr), 248 (Gln  $\rightarrow$  Lys), 252 (Ser  $\rightarrow$  Asn), 266 (Asn  $\rightarrow$  Ser), 269 (Arg  $\rightarrow$  Lys), 270 (Lys  $\rightarrow$  Glu), 272 (Leu  $\rightarrow$  Ile) and 378 (Ser  $\rightarrow$  Asp). In addition, the class 1 integron of the ACT-6 consisted of 1849 nucleotides. PCR analysis revealed that the composition of it was  $dfr_{A12}$ , hypothetical protein gene and  $aadA_2$ .

#### Plasmid DNA and location of the $\beta$ -lactamase gene and class 1 integron

The Southern hybridization analysis was performed with DNA obtained from plasmid preparations. Two plasmids (54.0 and 6.0 kb) were detected in *K. pneumoniae* E701 and the transconjugant (Figure 1, lane B, lane C). For *K. pneumoniae* E701 and the transconjugant, only one positive hybridization signal was detectable in the 54.0 kb plasmid band that was hybridized with the  $bla_{ampC}$  and class 1 integron-specific probes.

#### Purification of $\beta$ -lactamase and isoelectric focusing

Purities of ACT-6  $\beta$ -lactamase from the transformant were estimated to be 90% and had a single apparent molecular size of  $\sim 40$  kDa on SDS-polyacrylamide gel electrophoresis. One  $\beta$ -lactamase of the AmpC-containing isolate had an apparent isoelectric point (pI) estimated to be 8.4, and the enzyme was not inhibited by clavulanic acid. The other enzyme detected in the isolate had a pI of 8.0, was inhibited by clavulanic acid, and was tentatively classified as extended-spectrum  $\beta$ -lactamase. The pIs of the transconjugant were consistent with that of the clinical strain, and one enzyme pI of 8.4 was also observed in the transformant.



**Figure 1** Plasmid DNAs analysis of *K. pneumoniae* E701. Plasmid DNAs were extracted from *K. pneumoniae* E701 (lane B) and its transconjugant (lane C), run on a 1.0% agarose gel, and compared with standard plasmid molecular sizes of *E. coli* V517 (lane A). The hybridization signal was in the plasmid (54 kb). Lanes D and E correspond to lanes B and C, respectively.

#### Kinetic parameters

Kinetic parameters ( $K_m$  and  $k_{cat}$ ) and hydrolytic efficiency ( $k_{cat}/K_m$ ) of ACT-6  $\beta$ -lactamase were determined for a representative set of  $\beta$ -lactam antimicrobial agents (Table 2). Measurable hydrolysis rates were observed for penicillin G and cephalosporins. The novel enzyme had lower  $K_m$  values against penicillin G than for cefoxitin, cefotaxime, cefuroxime and ceftazidime, which were determined to be good substrates. Furthermore, the affinity of ACT-6  $\beta$ -lactamase for ceftazidime resulted in the lowest hydrolytic efficiency. The inhibition profile showed that the clavulanic acid and sulbactam were not effective inhibitors for ACT-6. However, the tazobactam  $IC_{50}$  values for the ACT-6 lower than that for other AmpC type enzymes, which can be considered to be an effective inhibitor for the ACT-6.<sup>18</sup>

#### DISCUSSION

Plasmid-mediated cephalosporinases conferring resistance to oxymino-cephalosporins, monobactam and cephamycins as well as to  $\beta$ -lactamase resistance inhibitors have been described from 1988 to the present.<sup>2</sup> ACT-1 is the first plasmid-mediated AmpC-type  $\beta$ -lactamase derived from *Enterobacter*, which has been completely sequenced and reported in 1997. The fact that the ACT-1  $\beta$ -lactamase gene is found on the chromosomes of some strains but on a plasmid in other strains suggests that the  $bla_{ACT-1}$  gene is located on a transposon, which is easily mobilized from plasmid to chromosome and vice versa.<sup>19</sup> In this study, ACT-6 which shares 96% amino acid identity with ACT-1 is a novel plasmid-mediated AmpC  $\beta$ -lactamase from *K. pneumoniae* E701 and the phenotypic and molecular characterization of it has rarely been described in other areas.

The nucleotide sequence of  $bla_{ACT-6}$  showed a high degree of similarity to the sequence of ACT-1. GenBank database searches revealed that  $bla_{ACT-6}$  had great homology with the genes of ACT-1, ACT-2 and ACT-3  $\beta$ -lactamases (96.3, 96.7 and 96.3%, respectively). Analysis of the internal region of the ACT-6 amino-acid sequence suggested the presence of 10 positive mutations compared with ACT-1, nine positive mutations compared with ACT-2 and 10 positive mutations compared with ACT-3 (see ref. 20). The amino acid sequence of the enzymes revealed an active site serine in the motif Ser-X-X-Lys (where X is any amino acid) at residues 64 to 67 of the mature protein. A Lys-Ser/Thr-Gly motif has been found at residues 315 to 317 and plays an essential role in forming the tertiary structure of the active site. A tyrosine residue at position 150 forms part of the class C-typical motif Tyr-X-Asn and is also important (but not essential) for catalysis of  $\beta$ -lactam hydrolysis.<sup>21</sup> This domain had 100% identity to the corresponding domains in the AmpC protein sequence of ACT-1, ACT-2, ACT-3  $\beta$ -lactamase. In our study, the 10 substitution sites did not belong to the active sites, nor were they adjacent to the indirect active sites. We therefore proposed that the

**Table 2** Kinetic parameters of ACT-6  $\beta$ -lactamase

Substrate	$K_m$ ( $\mu M$ )	$k_{cat}$ ( $s^{-1}$ )	$k_{cat}/K_m$ ( $\mu M^{-1} s^{-1}$ )	$IC_{50}$ (nm)
Penicillin G	24.9 $\pm$ 2.0	61.0 $\pm$ 7.3	2.5 $\pm$ 0.4	ND
Piperacillin	29.8 $\pm$ 2.2	67.6 $\pm$ 12.9	2.3 $\pm$ 0.6	ND
Cefoxitin	42.6 $\pm$ 3.6	152.2 $\pm$ 24.4	3.6 $\pm$ 0.8	ND
Cefotaxime	32.4 $\pm$ 1.8	106.8 $\pm$ 28.8	3.3 $\pm$ 1.0	ND
Cefuroxime	43.7 $\pm$ 1.7	103.2 $\pm$ 34.6	2.4 $\pm$ 0.7	ND
Ceftazidime	105.4 $\pm$ 2.3	83.4 $\pm$ 16.1	0.8 $\pm$ 0.2	ND
Clavulanate	ND	ND	ND	50 000
Sulbactam	ND	ND	ND	2500
Tazobactam	ND	ND	ND	1200

Abbreviation: ND, not determined.

substitutions could not result in the resistance pattern changes, in other words, it meant neutral mutations. Compared with ACT-1, the number of acidic amino acids has increased, which probably played a major role in the non-uniformity with regard to the pIs of ACT-1 (9.0) and ACT-6 (8.4)  $\beta$ -lactamases.

The *K. pneumoniae* isolate carrying the *bla*<sub>ACT-6</sub> gene was resistant to many antimicrobial agents besides cefepime and the carbapenems in our study, and its transconjugant had the same resistance spectrum. This phenomenon indicated that plasmids encoding AmpC enzymes often carry multiple other resistances, including resistance to aminoglycosides, chloramphenicol, sulfonamide, tetracycline, trimethoprim or mercuric ion.<sup>5,19,22–24</sup> Many resistance genes, including those for Ambler class A, B and D  $\beta$ -lactamases, are located in gene cassettes with a downstream 59-base element that acts as a specific recombination site for incorporation into integrons.<sup>25</sup> Analysis of published sequences indicates that *AmpC* genes found on plasmids are not linked to 59-base elements. Verdet *et al.*<sup>26</sup> identified a 50-bp sequence near *bla*<sub>DHA-1</sub> that is present also in In6 and In7. A virtually identical sequence occurs near genes for FOX-4, CMY-1, CMY-8, and MOX-1. Such a sequence could represent a recombination site different from the 59-base element. In our study we amplify the conserved segment of class 1 integron for the *K. pneumoniae* E701, the composition of it explained for the aminoglycoside resistance, and the resistance was also found in the transconjugant. The location of *bla*<sub>ACT-6</sub> and class 1 integron were the same size plasmid. Therefore, it can be speculated that *K. pneumoniae* E701 have accumulated an array of antibiotic resistance genes through transposition of the plasmid, which carries integrons with gene cassettes conferring resistance to aminoglycosides into the vicinity of the *bla*<sub>ACT-6</sub>. In this work, the plasmid extracted from transconjugant was not entirely sequenced. So we have not the direct evidence to prove that the speculation above.

There are more than 30 kinds of plasmid-mediated AmpC enzyme reported in the world, and the majority of the plasmid-borne *ampC* genes have been described in *K. pneumoniae*.<sup>5,19,27,28</sup> Most strains producing plasmid-determined AmpC enzymes had been isolated from patients after several days in the hospital or empirically treated with  $\beta$ -lactam antimicrobial agents.<sup>7,19,29</sup> AmpC-producing nosocomial isolates can be responsible for outbreaks, for example, MIR-1 (11 patients),<sup>5</sup> a BIL-1(CMY-2)-like enzyme (five patients),<sup>30</sup> ACC-1 (13 patients)<sup>31</sup> and ACT-1 (17 patients).<sup>19</sup> Integrons are genetic elements that can integrate, by site-specific recombination, gene cassettes, which usually confer antibiotic resistance.<sup>32</sup> Antibiotic exposure is probably the major determinant of increased integron prevalence.<sup>11</sup> Therefore, it is necessary to avoid the irrationally empirical use of antimicrobial agents, strengthen the surveillance of antimicrobial resistance in local areas, and exchange data between areas. Rational use of antimicrobial agents could improve the situation. This work is of extremely important epidemiology significance to prevent the dissemination of resistant genes.

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