

Citridones, New Potentiators of Antifungal Miconazole Activity, Produced by *Penicillium* sp. FKI-1938

II. Structure Elucidation

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Received: December 28, 2004 / Accepted: May 11, 2005

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Abstract The structures of citridones A, B, B' and C, new potentiators of miconazole activity against *Candida albicans* produced by *Penicillium* sp. FKI-1938, were elucidated by various spectroscopic analyses including UV, NMR, and MS and degradation experiments. Although citridones B and B' were isolated as a mixture, each structure was also elucidated, indicating that they exist in equilibrium of hemiacetal epimerization. Citridones A, B and B' have a similar phenylfuopyridone moiety.

Keywords citridones, phenylfuopyridone, *Penicillium*, anti-infective, azole potentiator

Introduction

During the course of screening for potentiators of antifungal miconazole activity, new citridones A, B, B' and C (Fig. 1) were isolated from the culture broth of *Penicillium* sp. FKI-1938. The fermentation, isolation and their biological properties are described in the preceding paper [1]. We report herein the structure elucidation of citridones A, B, B' and C.

Materials and Methods

Materials

Citridones A and C were purified from the culture broth of *Penicillium* sp. FKI-1938, but citridones B and B' were isolated as a mixture as described in the preceding paper [1].

General Experimental Procedures

Optical rotations were recorded with a DIP-370 digital polarimeter (JASCO, Tokyo, Japan). Melting points were measured with a micro melting apparatus (Yanaco, Kyoto, Japan). FAB-MS spectrometry was conducted on a JMS-AX505H spectrometer (JEOL, Tokyo, Japan). UV and IR spectra were measured with a DU640 spectrophotometer (Beckman, California, USA) and an FT-210 Fourier transform infrared spectrometer (Horiba, Kyoto, Japan), respectively. The various NMR spectra were measured with a MERCURY plus 300 MHz spectrometer (Varian, California, USA).

Results

Physico-chemical Properties of Citridones A, B, B' and C

Physico-chemical properties of citridone A, a mixture of

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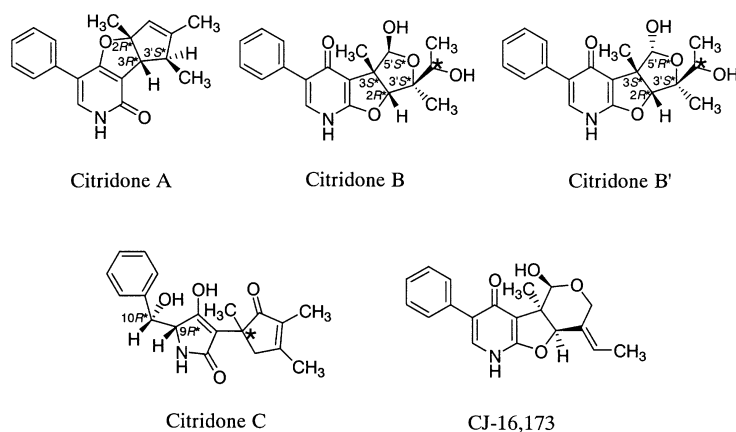


Fig. 1 Structures of citridones, A, B, B', C and CJ-16,173.

Table 1 Physico-chemical properties of citridones A, B+B' and C

	Citridone A	Citridones B+B'	Citridone C
Appearance	white needle	white needle	pale yellow amorphous
Melting point	172~175°C	168~170°C	—
$[\alpha]_D^{25}$	-1.6 (c 0.1, CH ₃ OH)	+102.4 (c 0.1, CH ₃ OH)	-74.4 (c 0.1, CH ₃ OH)
Molecular formula	C ₁₉ H ₁₉ NO ₂	C ₁₉ H ₂₁ NO ₅	C ₁₉ H ₂₁ NO ₄
Molecular weight	293	343	327
HR-FAB-MS m/z (M+H) ⁺			
Calcd	294.1493 (for C ₁₉ H ₂₀ NO ₂)	344.1497 (for C ₁₉ H ₂₂ NO ₅)	328.1549 (for C ₁₉ H ₂₂ NO ₄)
Found	294.1493	344.1506	328.1546
UV $\lambda_{\max}^{\text{CH}_3\text{OH}}$ nm (ϵ)	205 (9,800), 246 (10,700)	207 (21,000), 233 (18,900)	203 (16,900), 233 (11,800)
IR ν_{\max}^{KBr} cm ⁻¹	2964, 2859, 1654, 1604 1498, 1430	3390, 2981, 2346, 1646 1596, 1475, 1455	3355, 2923, 1670, 1639 1452, 1392
Solubility			
Soluble	DMSO, CH ₃ OH CHCl ₃ , EtOAc	DMSO, CH ₃ OH CHCl ₃ , EtOAc	DMSO, CH ₃ OH CHCl ₃ , EtOAc
Insoluble	<i>n</i> -Hexane, H ₂ O	<i>n</i> -Hexane, H ₂ O	<i>n</i> -Hexane, H ₂ O

citridones B and B', and citridon C are summarized in Table 1. All citridones showed very similar UV spectra with absorption maxima at 203~207 nm and 233~246 nm, suggesting the presence of phenylfuropyridone as reported by Sakemi *et al.* [2]. Absorptions at about 1670~1639 cm⁻¹ in IR spectra suggested the presence of carbonyl groups. Thus similarity in their data indicated that they are structurally related.

Structure of Citridone A

The molecular formula of citridone A was determined to be C₁₉H₁₉NO₂ on the basis of HR-FAB-MS measurement (Table 1). The ¹³C NMR spectrum (in CDCl₃) showed 19 resolved signals, which were classified into three methyl carbons, two methine carbons, seven *sp*² methine carbons,

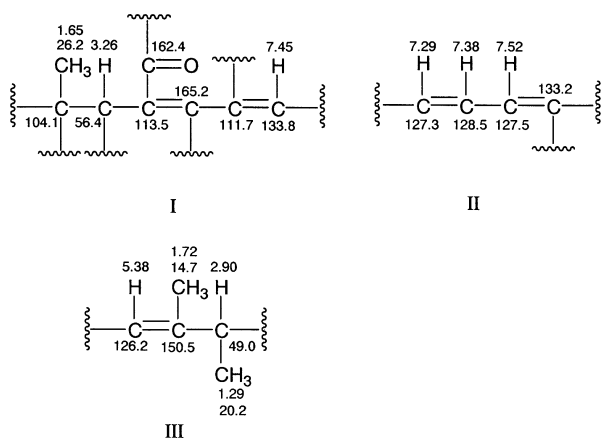
six (five *sp*²) quaternary carbons and one carbonyl carbon by analysis of DEPT spectra. The ¹H NMR spectrum (in CDCl₃) showed three methyl signals, four methine signals, five aromatic signals and one nitrogen proton signal. The connectivity of proton and carbon atoms was established by the ¹³C-¹H HMQC spectrum as shown in Table 2. Analysis of the ¹H-¹H COSY and ¹³C-¹H HMBC spectra revealed the three partial structures I, II and III (Fig. 2).

The ¹³C-¹H long range couplings of ²*J* and ³*J* observed in the ¹³C-¹H HMBC experiments (Fig. 3) gave the following evidence. 1) The cross peaks from 3-H (δ 3.26) to C-3a (δ 113.5), C-7a (δ 165.2) and C-6' (δ 26.2), from 6-H (δ 7.45) to C-4 (δ 162.4) and C-7a and from 6'-H₃ (δ 1.65) to C-2 (δ 104.1) and C-3 (δ 56.4) supported the partial structure I. 2) The cross peaks from 9-H (δ 7.52) to

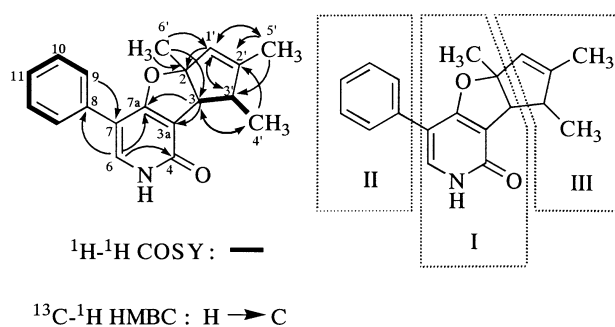
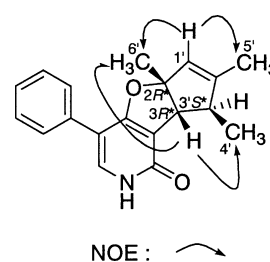
Table 2 ^1H and ^{13}C NMR chemical shifts of citridones A, B and B'

	Citridone A		Citridone B		Citridone B'	
	^{13}C chemical shifts (ppm) ^a	^1H chemical shifts (ppm) ^b	^{13}C chemical shifts (ppm) ^a	^1H chemical shifts (ppm) ^b	^{13}C chemical shifts (ppm) ^a	^1H chemical shifts (ppm) ^b
C-2	104.1		92.2	4.87 (1H, s)	90.2	4.83 (1H, s)
C-3	56.4	3.26 (1H, d, $J=2.0$ Hz)	58.8		58.1	
C-3a	113.5		110.3		108.4	
C-4	162.4 ^c		165.0		162.0	
C-5		124.1			122.9	
C-6	133.8	7.45 (1H, s)	141.0	7.49 (1H, s)	146.0	7.74 (1H, s)
C-7	111.7					
C-7a	165.2 ^c		164.0		166.5	
C-8	133.2		134.0		134.4	
C-9	127.5	7.52 (2H, m)	129.2	7.36 (2H, m)	129.1	7.43 (2H, m)
C-10	128.5	7.38 (2H, m)	128.6	7.35 (2H, m)	128.4	7.35 (2H, m)
C-11	127.3	7.29 (1H, m)	127.7	7.27 (1H, m)	127.2	7.22 (1H, m)
C-1'	126.2	5.38 (1H, t, $J=1.5$ Hz)	18.1	1.17 (3H, d, $J=6.5$ Hz)	17.6	1.16 (3H, d, $J=6.5$ Hz)
C-2'	150.5		72.4	3.75 (1H, q, $J=6.5$ Hz)	72.6	3.72 (1H, q, $J=6.5$ Hz)
C-3'	49.0	2.90 (1H, dq, $J=2.0, 7.0$ Hz)	91.4		88.4	
C-4'	20.2	1.29 (3H, d, $J=7.0$ Hz)	19.6	1.08 (3H, s)	18.9	1.08 (3H, s)
C-5'	14.7	1.72 (3H, br.s)	99.5	5.59 (1H, s)	103.4	5.54 (1H, s)
C-6'	26.2	1.65 (3H, s)	17.3	1.47 (3H, s)	19.8	1.46 (3H, s)

a) Chemical shifts are shown with reference to CDCl_3 as 77.0 ppm. b) Chemical shifts are shown with reference to CDCl_3 as 7.26 ppm. c) The assignments may be exchangeable.

**Fig. 2** Partial structures I, II and III of citridone A.

C-11 (δ 127.3), from 10-H (δ 7.38) to C-8 (δ 133.2) and C-9 (δ 127.5) and from 11-H (δ 7.29) to C-9 supported the partial structure II. 3) The cross peaks from 1'-H (δ 5.38) to C-2' (δ 150.5), C-3' (δ 49.0) and C-5' (δ 14.7), from 3'-H (δ 2.90) to C-4' (δ 20.2), from 4'-H₃ (δ 1.29) to C-2' and C-3' and from 5'-H₃ (δ 1.72) to C-1' (δ 126.2), C-2'

**Fig. 3** Key cross peaks observed in ^1H - ^1H COSY and ^{13}C - ^1H HMBC experiments of citridone A.**Fig. 4** NOE experiments of citridone A.

and C-3' supported the partial structure III. 4) The cross peaks from 6-H to C-8 and from 9-H to C-7 (δ 111.7) indicated that the partial structures I and II are linked as shown in Fig. 3. 5) The cross peaks from 3-H to C-1', C-2' and C-4', from 6'-H₃ to C-1', from 1'-H to C-2 and C-3, from 3'-H to C-3 and from 4'-H₃ to C-3 indicated that the partial structures I and III are joined at C-2 and C-3 as shown in Fig. 3. Thus, the planar structure of citridone A is shown in Fig. 3. This is reasonable in the molecular formula (C₁₉H₁₉NO₂) and the UV spectra at 205 and 246 nm, which indicated the presence of 4-hydroxy-7-phenylfuropyridine as previously reported for CJ-15,696 derivative [2].

The relative configurations of C-2, C-3 and C-3' were determined by NOE experiments. Observation of NOEs from 3-H to 4'-H₃ and 6'-H₃ (Fig. 4) indicated that it forms a *cis*-geometry. Accordingly, the relative configurations are 2*R**, 3*R** and 3'*S**. Taken together, the structure of citridone A was elucidated as shown in Fig. 1.

Structure of Citridones B and B'

Citridones B and B' were isolated as a mixture, and existed in an equilibrium of 3 : 2 in a solution from the HPLC analysis.

The molecular formula of citridone B was determined to

be C₁₉H₂₁NO₅ on the basis of HRFAB-MS measurement (Table 1). The ¹³C NMR spectrum (in CDCl₃) showed 19 resolved signals, which were classified into three methyl carbons, three methine carbons, six *sp*² methine carbons, six (four *sp*²) quaternary carbons and one carbonyl carbon by analysis of DEPT spectra. The ¹H NMR spectrum (in CDCl₃) showed three methyl signals, four methine signals and five aromatic signals, but nitrogen and hydroxy protons were not detected. The connectivity of proton and carbon atoms was established by the ¹³C-¹H HMQC spectrum as shown in Table 2. Analysis of the ¹H-¹H COSY and ¹³C-¹H HMBC spectra revealed the two partial structures IV and V (Fig. 5). For citridone B, the cross peaks from 2-H (δ 4.87) to C-3 (δ 58.8), C-3a (δ 110.3), C-7a (δ 164.0), C-5' (δ 99.5), and C-6' (δ 17.3), from 6-H (δ 7.49) to C-4 (δ 124.1), C-5 (δ 124.1), C-7a and C-8 (δ 124.0), from 9-H (δ 7.36) to C-5, C-8 and C-11 (δ 127.7), from 10-H (δ 7.35) to C-9 (δ 129.2) and C-11, from 5'-H (δ 5.59) to C-2, C-3 and C-3a and from 6'-H₃ (δ 1.47) to C-2, C-3, C-3a and C-5' were observed in the ¹³C-¹H HMBC experiments to give the partial structure IV (Fig. 5). The cross peaks from 1'-H₃ (δ 1.17) to C-2' (δ 72.4) and C-3' (δ 91.4), from 2'-H (δ 3.75) to C-1' (δ 18.1) and C-3' and from 4'-H₃ (δ 1.08) to C-2' and C-3' were observed in the ¹³C-¹H HMBC experiments to give the partial structure V (Fig. 5). The cross peaks from 2-H to C-2' and C-3', from 2'-H to C-2

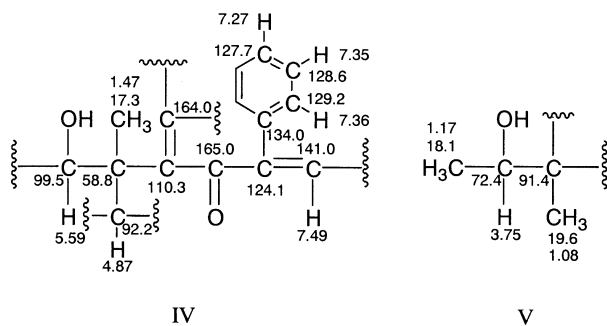


Fig. 5 Partial structures IV and V of citridone B.

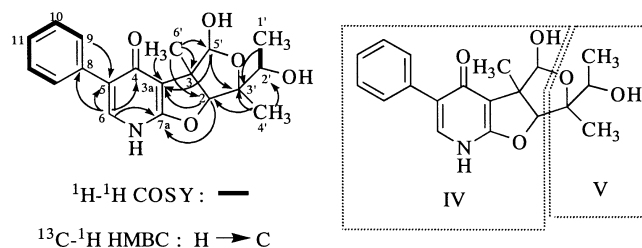


Fig. 6 Key cross peaks observed in ¹H-¹H COSY and ¹³C-¹H HMBC experiments of citridones B and B'.

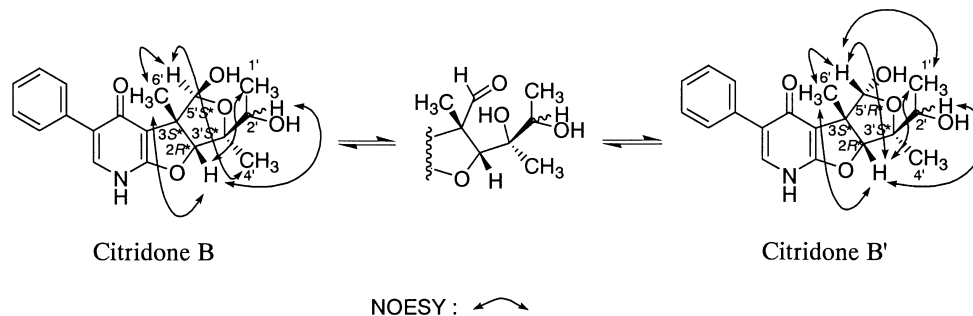


Fig. 7 NOESY experiments of citridones B and B', and the possible intermediate structure in epimerization between citridones B and B'.

Table 3 ^1H and ^{13}C NMR chemical shifts of citridone C

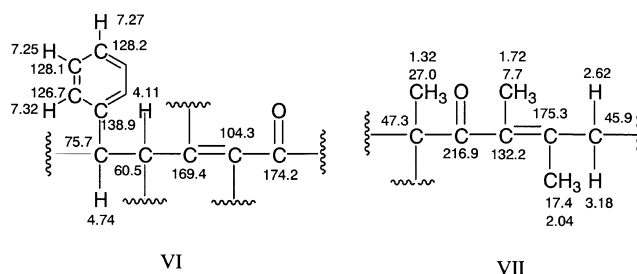
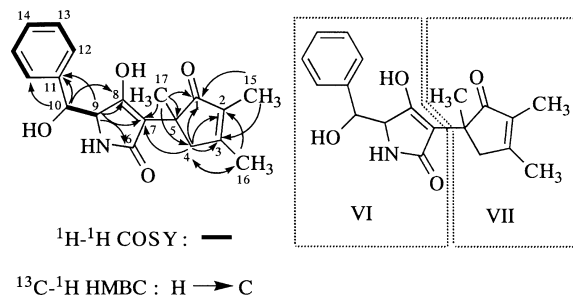
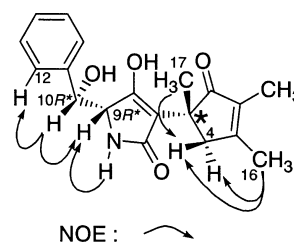
Citridone C		
	^{13}C chemical shifts (ppm) ^a	^1H chemical shifts (ppm) ^b
C-1	216.9	
C-2	132.2	
C-3	175.3	
C-4	45.9	2.62 (1H, d, $J=20.0$ Hz) 3.18 (1H, d, $J=20.0$ Hz)
C-5	47.3	
C-6	174.2	
C-7	104.3	
C-8	169.4	
C-9	60.5	4.11 (1H, d, $J=7.0$ Hz)
C-10	75.7	4.74 (1H, d, $J=7.0$ Hz)
C-11	138.9	
C-12	126.7	7.32 (2H, m)
C-13	128.1	7.25 (2H, m)
C-14	128.2	7.27 (1H, m)
C-15	7.7	1.72 (3H, s)
C-16	17.4	2.04 (3H, s)
C-17	27.0	1.32 (3H, s)

a) Chemical shifts are shown with reference to CDCl_3 as 77.0 ppm.

b) Chemical shifts are shown with reference to CDCl_3 as 7.26 ppm.

and 4'-H to C-2 indicated that the partial structures IV and V are linked at C-2 as shown in Fig. 6. The cross peak from 5'-H to C-3' indicated that the partial structure IV is cyclized between C-3' and C-5' beyond an oxygen. The number and position of the hydroxyl groups were confirmed by the acetylation and the methylation. Accordingly, the planar structure of citridone B is shown in Fig. 6. This is reasonable in the molecular formula ($\text{C}_{19}\text{H}_{21}\text{NO}_5$) and the UV spectra at 207 and 233 nm, which indicated the presence of 4-hydroxy-5-phenylfuropyridine as previously reported for CJ-15,696 derivative [2]. The relative configurations of C-2, C-3, C-3' and C-5' were determined by the NOESY experiments (Fig. 7). The cross peaks from 2-H to 1'-H₃, 2'-H, and 6'-H₃ and from 5'-H to 4'-H₃ indicated that it forms a *cis*-geometry. Thus the relative configurations were 2*R**, 3*S**, 3'*S** and 5'*S**. However, the relative configuration of C-2' was not defined. Taken together, the structure of citridone B was elucidated as shown in Fig. 1.

Citridone B' was an epimer at C-5' of citridone B. The cross peaks from 2-H to 1'-H₃, 2'-H and 6'-H₃ and from 5'-H to 2-H, 2'-H and 6'-H₃ in the NOESY experiments (Fig. 7) showed that it forms a *cis*-geometry. Thus the relative configuration is 5'*R**. Finally, the structure of citridone B' was elucidated as shown in Fig. 1.

**Fig. 8** Partial structures VI and VII of citridone C.**Fig. 9** Key cross peaks observed in ^1H - ^1H COSY and ^{13}C - ^1H HMBC experiments of citridone C.**Fig. 10** NOE experiments of citridone C.

Thus, it is plausible that citridones B and B' exist in an equilibrium of hemiacetal epimerization *via* the aldehyde as the intermediate (Fig. 7).

Structure of Citridone C

The molecular formula of citridone C was determined to be $\text{C}_{19}\text{H}_{19}\text{NO}_4$ on the basis of HRFAB-MS measurement (Table 1). The ^{13}C NMR spectrum (in CDCl_3) showed 19 resolved signals, which were classified into three methyl carbons, one methylene carbons, two methine carbons, five sp^2 methine carbons, six (five sp^2) quaternary carbons and two carbonyl carbons by analysis of DEPT spectra. The ^1H NMR spectrum (in CDCl_3) showed three methyl signals, one methylene signal, two methine signals and five aromatic signals. The connectivity of proton and carbon atoms was established by the ^{13}C - ^1H HMQC spectrum as

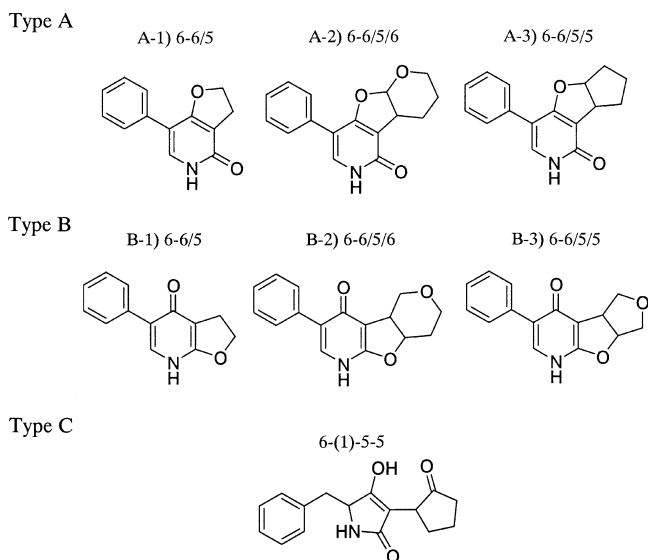


Fig. 11 Structural classification of phenylfuro-pyridones and related compounds.

shown in Table 3. Analysis of the ^1H - ^1H COSY and ^{13}C - ^1H HMBC spectra revealed the two partial structures VI and VII (Fig. 8).

The ^{13}C - ^1H long range couplings of 2J and 3J observed in the ^{13}C - ^1H HMBC experiments (Fig. 9) gave the following evidence. 1) The cross peaks from 9-H (δ 4.11) to C-6 (δ 174.2), C-7 (δ 104.3), C-8 (δ 169.4), C-10 (δ 75.7) and C-11 (δ 138.9), from 10-H (δ 4.74) to C-9 (δ 60.5), C-10, C-11 and C-12 (δ 126.7) and from 12-H (δ 7.32) to C-10, C-11, C-13 (δ 128.1) and C-14 (δ 128.2) supported the partial structure VI. 2) The cross peaks from 15- H_3 (δ 1.72) to C-1 (δ 216.9), C-2 (δ 132.2) and C-3 (δ 175.3), from 16- H_3 (δ 2.04) to C-2, C-3 and C-4 (δ 45.9), from 4- H_2 (δ 2.62 and 3.18) to C-1, C-2, C-3, C-5 (δ 47.3), C-16 (δ 17.4) and C-17 (δ 27.0), and from 17- H_3 (δ 1.32) to C-1, C-4 and C-5 supported the partial structure VII. 3) The cross peaks from 4- H_2 to C-7 and from 17- H_3 to C-7 indicated that the partial structures VI and VII are joined at C-5 and C-7. 4) The cross peak from 9-H to C-6 indicated that the partial structure VII is linked to give a cyclic structure beyond nitrogen as shown in Fig. 9. 5) Taking the chemical shifts and molecular formula (Table 1) into consideration, C-8 and C-10 should be hydroxy carbons as shown in Fig. 9.

The relative configurations of C-9 and C-10 were determined by the NOE experiments (Fig. 10). Observation of NOEs from NH (δ 5.78) to 9-H and from 10-H to 9-H and 12-H indicated that it forms a *cis*-geometry. Thus, the relative configurations of C-9 and C-10 were $9R^*$ and $10R^*$. The relative configuration of C-5 was not

determined by the NOE experiments because it was far from C-9. However, NOEs from 16- H_3 to 4- H_2 and from 17- H_3 to only 4-H (δ 2.62) were observed as shown in Fig. 10. Thus, the structure of citridone C was elucidated as shown in Fig. 1.

Discussion

Eight phenylfuro-pyridones (or hydroxy-phenylfuro-pyridines) were reported as antibacterial or antifungal antibiotics from *Cladobotryum varium* [2~4]. Based on the ring system of the furo-pyridone moiety, they are classified into the two types as summarized in Fig. 11. Type A is the phenyl- α -furo-pyridone family containing the A-1 group (6-6/5 ring system) such as CJ-16,170, CJ-16,196 and CJ-16,197 [2], and the A-2 group (6-6/5/6 ring system) such as CJ-16,171 [2]. Citridone A is a member of Type A having a new 6-6/5/5 ring system (A-3 group). Type B is the phenyl- γ -furo-pyridone family containing the B-1 group (6-6/5 ring system) such as CJ-15,696, CJ-16,169, CJ-16,174 [2], and cladobotryal [5], and the B-2 group (6-6/5/6 ring system) such as CJ-16,173 [2]. Citridones B and B' are members of Type B having a new 6-6/5/5 ring system (B-3 group). Citridone C has an isolated ring system (Type C).

Regarding the chemical shift of the C-2 quaternary carbon for citridone A (A-3 group in Fig. 11), the value (104.1 ppm) seemed very lower than the expected one. However, it was reported that the analogous chemical shift (95.1 ppm) of the C-2 methine carbon for CJ-16,170 (A-1 group in Fig. 11) also showed a lower value [2]. It might be that the C-2 carbons in Type A compounds show lower chemical shifts due to the unexpected reasons. But further experiments such as X-ray crystallography or synthetic approaches are necessary to define this point. Citridones B and B' are illustrated as a pyridone-type structure in Figs. 1, 6 and 7, because *N*-methyl derivative was obtained by methylation of citridone B (data not shown). However, they might co-exist with a pyridinol-type structure because tri-*O*-acetyl derivative was obtained by acetylation of citridone B (data not shown).

Thus, citridones are found to be a new type of phenylfuro-pyridones possessing unique ring systems. Their biosynthesis appeared to be related to that of fungal tenellin case [6].

Acknowledgments We wish to thank Ms. Noriko Sato, Ms. Akiko Nakagawa and Ms. Chikako Sakabe, School of Pharmaceutical Sciences, Kitasato University, for measurements of NMR and mass spectra. This study was supported in part by the 21st Century COE Program and Kakenhi 16073215, Ministry

of Education, Culture, Sports, Science and Technology, Japan.

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