

Lymphangiogenesis, Lymphatic Endothelial Cells and Lymphatic Metastasis in Head and Neck Cancer — A Review of Mechanisms

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Abstract

Lymphatic metastasis is a continuous and complicated process. The detailed mechanisms of lymphatic metastasis are still not very clear, despite considerable research efforts in recent years. Previously, it was commonly accepted that there were no lymphatic vessels in the primary tumor. However, recent studies have demonstrated that lymphatic vessels are detectable in certain types of cancer, and more and more evidence has shown that cancer cells invade into

local lymph nodes mainly *via* peritumoral lymphatic vessels. Moreover, activated endothelial cells may also be important, having an influence on lymphatic metastasis of cancer cells. This article, based on recent research findings, provides an in-depth discussion of the relationship between lymphangiogenesis, tumor-derived lymphatic endothelial cells and lymphatic metastasis in head and neck cancer.

Keywords lymphangiogenesis, lymphatic endothelial cell, lymphatic metastasis, head and neck cancer

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Introduction

The lymphatic system plays multiple roles, including a role in tissue homeostasis, supplemental circulation, and immune surveillance (Ji, 2008). Lymphatic vessels, however, may also act as conduits for cancer cells to escape from the primary tumors in a number of carcinomas (Achen and Stacker, 2008). For head and neck squamous cell carcinoma (HNSCC), lymphatic spread is more important than other routes because malignant cells preferentially metastasize to roughly 400 lymph nodes in the cervical region. Lymph node spread is the strongest prognostic factor for survival of patients with HNSCC. Until now, the details of the processes and molecular mechanisms of lymphatic metastasis have been little understood. Several reports on HNSCC showed that lymphangiogenesis was closely related to lymphatic metastasis, lymphatics providing additional conduits for dissemination of cancer cells (Miyahara *et al.*, 2007; O'Donnell *et al.*, 2008; Zhao *et al.*, 2008; Frech *et al.*, 2009).

Moreover, altered phenotypes of lymphatic endothelial cells (LECs) in HNSCC contribute to the lymphatic dissemination of cancer cells. This article focuses on the lymphangiogenesis and specific phenotypes of LECs in HNSCC, with a detailed discussion of their function in the lymphatic dissemination of cancer cells.

Lymphangiogenesis in HNSCC

Due to the relative lack of efficient and objective methods, research on lymphatic vessels lags far behind that on blood vessels. Previously, many researchers believed that a tumor could not induce lymphangiogenesis because there were no lymphatic vessels in tumors. In the last 15 years, with the application of specific antibodies against LECs, the concept of lymphangiogenesis in tumors has

been promoted and gradually accepted by many. Lymphangiogenesis is the growth of newly formed lymphatic vessels, a process with multiple steps similar to that of angiogenesis: endothelial cell migration, proliferation and rearrangement, along with degradation, reconstruction and production of extracellular matrix. The growth of lymphatic vessels has been observed in various normal and pathologic processes, such as wound healing, inflammation and tumor progression (Wong *et al.*, 2005; Maruyama *et al.*, 2007).

To investigate whether intratumoral lymphatic vessels existed in xenotransplanted tumor, MDA-435 and MCF-7 breast carcinoma cells with over-expression of vascular endothelial growth factor-C (VEGF-C) were orthotopically transplanted in nude mice (Karpanen *et al.*, 2001; Mattila *et al.*, 2002). The results showed that transplanted cancer cells induced the formation of lymphatic vessels in tumors. The dose and intensity of lymphangiogenic factors, however, were not equal with those in naturally occurring breast carcinomas. Therefore, many researchers have focused on the study of lymphangiogenesis in human tumors. At present, immunohistochemistry studies of lymphangiogenesis have been performed in many types of cancers, including breast cancer, pancreatic endocrine tumors, renal cell cancer, liver cancer, prostate cancer and so on (table 1), but the conclusions have been controversial, because lymphangiogenesis cannot always be detected in every malignant tumors of epithelial origin. In other words, it does not always occur, even for the same kind of cancer. Although different markers used in different studies and the poorly differentiated lymphatic morphology might result in these discrepancies, genuine differences in the biology of various cancers must be considered.

For HNSCC, lymphangiogenesis have been detected in most clinical samples. Several reports showed that both intratumoral and peritumoral lymphatic vessels were identified in tumor samples, and were heterogeneously distributed within tumors (Audet *et al.*, 2005; Xuan *et al.*, 2005; Miyahara *et al.*, 2007; O'Donnell *et al.*, 2008; Zhao *et al.*, 2008). In the peritumoral regions, large open lymphatic vessels were frequently identified. Intratumoral lymphatics, however, were either within sheets of tumor cells in carcinomas with a pushing margin

and in areas containing leukocyte infiltration in carcinomas with an invasive margin. Additionally, peritumoral lymphatics were found to have more dilated, open lumina than intratumoral lymphatics. In contrast, intratumoral lymphatics had numerous tiny ill-defined lumina, often composed of two to three endothelial cells. None of the peritumoral lymphatics contained proliferating nuclei, while intratumoral lymphatics were proliferative.

Lymphangiogenic factors involved in lymphangiogenesis

Similar to tumor-induced angiogenesis, proliferation of the lymphatics is an active biological behavior of tumor cells, with a heterogeneity of interactions of tumor cells with blood vessels and lymphatic vessels in tumors. To date, the exact initiating mechanism of proliferation has not been established. Many studies, however, revealed that cancer cells could release lymphangiogenic growth factors, mainly including VEGF-C, D and A. In HNSCC, VEGF-A, C and D positive cells ranged from being present in very small numbers to being present throughout almost the entire tumor, and VEGF-C and D expression were frequently up-regulated at the invasive tumor front (Shintani *et al.*, 2004). These lymphangiogenic growth factors were able stimulate the development of lymphatic vessels by different pathways (Nakaya *et al.*, 2005). For example, VEGF-A controls endothelial cell behaviors by binding with vascular endothelial growth factor receptor-1 (VEGFR-1) and VEGFR-2, affecting proliferation, migration, specialization and survival; VEGF-C and VEGF-D bind to and activate both VEGFR-3 and VEGFR-2, but not VEGFR-1 (Li and Eriksson, 2001). In addition, VEGF-C and VEGF-D bind to VEGFR-2 with a lower affinity than they bind to VEGFR-3 (Witmer *et al.*, 2003).

VEGF-C is a primarily lymphangiogenic factor, inducing the growth of lymphatic vessels in normal and pathologic conditions. In xenotransplanted tumors, cancer cells transfected by VEGF-C gene induce the growth of functional lymphatics and result in hyperplastic vessels, indicating that VEGF-C is a potent inducer of tumor lymphangiogenesis (Cohen-Kaplan *et al.*,

Table 1 The clinical studies of lymphangiogenesis in malignant tumors

Tumor type	Markers	Results	Author and Reference
Breast cancer	D2-40/Ki-67	Y	van der Auwera <i>et al.</i> , 2004
Breast cancer	D2-40	Y	Britto <i>et al.</i> , 2009
Breast cancer	LYVE-1/CD34/Ki-67	N	Williams <i>et al.</i> , 2003
Breast cancer	LYVE1	Y	Bono <i>et al.</i> , 2004
Breast cancer	Podoplanin	N	Schoppmann <i>et al.</i> , 2001
Breast cancer	PCNA/D2-40	N	Agarwal <i>et al.</i> , 2005
Pancreatic cancer	Ki-67/LYVE-1	Y	Sipos <i>et al.</i> , 2005
Renal cell cancer	VEGFR-3	Y	Bando <i>et al.</i> , 2004
Prostate cancer	LYVE-1/CD34	N	Trojan <i>et al.</i> , 2004
Liver cancer	D2-40	Y	Thelen <i>et al.</i> , 2009
Lung cancer	LYVE-1 and the MIB1	N	Koukourakis <i>et al.</i> , 2005
Colorectal cancer	5'-Nase-ALPase	Y	Jia <i>et al.</i> , 2004
Esophageal cancer	Podoplanin	Y	Nakayama <i>et al.</i> , 2007
HNSCC	LYVE-1	Y	Frech <i>et al.</i> , 2009
HNSCC	Podoplanin/CD34/Ki-67	Y	Kyzas <i>et al.</i> , 2005
HNSCC	LYVE-1	Y	Audet <i>et al.</i> , 2005
HNSCC	D2-40	Y	Franchi <i>et al.</i> , 2004
Oral cancer	PA2.26	Y	Munoz-Guerra <i>et al.</i> , 2004
Oral cancer	D2-40	Y	Xuan <i>et al.</i> , 2005
Oral cancer	D2-40	Y	Miyahara <i>et al.</i> , 2007
Oral cancer	D2-40	Y	Zhao <i>et al.</i> , 2008
Oral cancer	Podoplanin/CD34	Y	O'Donnell <i>et al.</i> , 2008
Cutaneous melanoma	LYVE-1/Ki-67	Y	Straume <i>et al.</i> , 2003

* PCNA proliferating cell nuclear antigen.

2008). Clinical evidence also suggests that tumor lymphangiogenesis is associated with the expression of VEGF-C in cancer cells, including breast cancer (Nakamura *et al.*, 2005), oral cancer (Sugiura *et al.*, 2009), non-small-cell lung cancer (Lu *et al.*, 2005), pancreatic adenocarcinoma (Zhang *et al.*, 2007), and colorectal cancer (Fukunaga *et al.*, 2006). Several studies, however, have contradicted the above observations. In primary uveal melanomas, although VEGF-C and its receptor VEGFR-3 were expressed, neither lymphatics nor signs of lymphangiogenesis were evident, suggesting that the concerted action of these players was not sufficient for lymphangiogenesis to occur in this type of tumor (Clarijs *et al.*, 2001). In a model of mouse tail skin regeneration, excessive VEGF-C expression did not

enhance the rate of LEC migration and the density of lymphatic vessels (Goldman *et al.*, 2005). Furthermore, in the murine dorsal skinfold chamber, B16F10 melanomas cell secreted excess VEGF-C, but genuine functional lymphatic vessels did not exist, which displayed a retrograde draining pattern (Isaka *et al.*, 2004). How then does one explain the different results and understand the biologic function of VEGF-C? It has been hypothesized that the different vectors used in animal experiments might contribute to the heterogeneity of results, because the target tissues, in which the vector is optimally expressed, were rather different. For example, instead of adenoviral vectors, AAV infection could give long-term transgenic expression without cell-mediated immune response or toxicity. Additionally, proteolytic processing is a

regulator of VEGF-C activity and a mature form enhanced the binding and cross-linking of VEGFR-2 and VEGFR-3 in comparison to full length material. Because of distinct proteolytic processing in different tissues, biological effects of VEGF-C might not be the same.

Like VEGF-C, the affinity of VEGF-D toward its receptors is also regulated by proteolytic processing. Induction of VEGF-D is mainly mediated, however, by direct cell-cell contact. The capacity of VEGF-D to promote lymphangiogenesis is tissue-specific and dependent on the abundance of blood vessels, and receptor expression of lymphatics for VEGF-D in a given tissue (Rissanen *et al.*, 2003). Functional autocrine stimulation of VEGF-D in cancer not only stimulates the proliferation of cancer cells and LECs, but also plays a role in the maintenance of anti-apoptotic characteristics of tumor-derived endothelial cells. An animal model has provided direct experimental evidence that increased levels of VEGF-D promote active tumor lymphangiogenesis and lymphatic metastasis (Achen and Stacker, 2008). By blocking VEGFR-3 signaling, lymphangiogenic effect can be suppressed (He *et al.*, 2005). Immunohistochemical studies in kidney cancer and gastric cancer have shown that VEGF-D was mainly expressed in cancer cells and in VEGFR3-positive vessels adjacent to immunopositive tumor cells, but not in vessels distant from the tumors, which suggests that VEGF-D plays a role in the regulation of lymphangiogenesis (Bierer *et al.*, 2008; Choi *et al.*, 2008).

Traditionally, VEGF-A is believed to mainly initiate the process of vascularization by stimulating chemoattraction and proliferation of angioblasts and endothelial cells (Nakazato *et al.*, 2006). Whether it has an effect on lymphatic endothelial cell proliferation has been a controversial matter. Some studies have shown that VEGF-A stimulated formation of disorganized, nascent vasculatures with only a few lymphatic vessels (Cao *et al.*, 2004). Other studies have shown that transgenic expression of VEGF-A induced proliferation and persistent enlargement of lymphatic vessels, which closely resembles the lymphatic phenotype in human psoriatic skin (Kunstfeld *et al.*, 2004). Recently, VEGF-A has also been confirmed to exert potent lymphangiogenic activity by activating

VEGFR-2, thereby facilitating metastatic spread (Hirakawa *et al.*, 2005). Several studies revealed that VEGFR-2 was expressed on cultured LECs and in cutaneous lymphatic vessels and VEGF-A stimulated LECs proliferation (Petrova *et al.*, 2002; Hirakawa *et al.*, 2003). In the VEGF-A transgenic mice, blocking antibodies against VEGFR-1 and VEGFR-2 potently inhibited lymphatic vessel enlargement. In addition, VEGF-A enhanced the heterodimerization of VEGFR-3 with VEGFR-2 and the phosphorylation of VEGFR-3, therefore providing proliferative stimuli to the LECs (Alam *et al.*, 2004).

Lymphangiogenesis and lymphatic metastasis in HNSCC

Cancer cells in the primary tumor have a long way to go before they obtain the ability to metastasize and successfully disseminate. In general, the anatomic pathway of lymphatic dissemination is as follows. With tumor progression, cancer cells secrete lymphangiogenic cytokines which result in the formation of lymphatic vessels around or within the tumor. Then, cancer cells dissociate from the primary tumor and invade the extracellular matrix. Following the chemotactic gradient of chemokines in tissue, cancer cells move toward lymphatic vessels. After attaching to lymphatic endothelium, they cross the endothelial cell barrier and enter into the lymphatic lumen. Then the cancer cells in lymphatic vessels, singly or in clusters, are drained into sentinel lymph nodes within the lymphatic stream. During this process, the phenotypes of cancer cells consistently alter. For instance, they become more autonomous and are resistant to hypoxic environments, they secrete the proteolytic enzymes for local invasion, express specific adhesion molecules, produce lymphangiogenic factors to attain a transport pathway and eventually evade the host defense. Therefore, lymphatic metastasis is a continuous and complicated process.

For most carcinomas, transport of cancer cells via lymphatic vessels is the most common pathway, following routes of natural drainage, because the lymphatic system seems to have more advantages over blood circulation for cancer dissemination. Unlike blood capillaries, initial lymphatics are much

larger and lack a continuous basal membrane. Additionally, tumor cells in the lymphatic vessels are not prone to serum toxicity, high shear stress, or mechanical deformation. Lymphatic spread of HNSCC is more important, however, than in other tumors because they preferentially metastasize to roughly 400 lymph nodes in this area.

During the progression of HNSCC, lymph vessels are repeatedly destroyed and regenerated with the invasion of cancer cells (Nakaya *et al.*, 2005). Thus, key questions must be answered: What is the function of lymphangiogenesis in the lymphatic metastasis of HNSCC? Does the lymphangiogenesis contribute to lymphatic dissemination by providing additional channels? Previous studies have shown that lymphangiogenesis was closely associated with an increased risk of lymph node metastasis. If lymphangiogenesis-related properties of LECs were inhibited, the risk of lymphatic dissemination was significantly reduced (Wen *et al.*, 2009). Therefore, cancer-induced lymphangiogenesis is essential for the invasion and secondary lymphatic metastasis. It is unclear, however, whether this is a consequence of intratumoral lymphatics, peritumoral lymphatics, or both.

In HNSCC, lymphatic vessels have been found to be more numerous and larger in the peritumoral area than within the tumor itself. The number and relative area of intratumoral and peritumoral lymphatics have been found to be significantly higher in HNSCC cases with lymph node metastasis (Franchi *et al.*, 2004). Statistic analysis has confirmed that high peritumoral lymphangiogenesis is associated with an increased risk of developing lymph node metastasis, suggesting that peritumoral lymphatics are major drainage channels for cancer cells. But some studies have shown that there is a significant relationship between the presence of intratumoral lymphatics and nodal metastases in patients with laryngeal carcinoma (Audet *et al.*, 2005), and that patients with intratumoral lymphatic-positive tumors had a less favorable disease-free pattern compared with patients with intratumoral lymphatics-negative tumors (Munoz-Guerra *et al.*, 2004). These results suggest that intratumoral lymphatics played a greater role than peritumoral lymphatics in nodal metastasis of HNSCC (Maula *et al.*, 2003). Additionally, others have argued that the spread of

HNSCC cells to lymph nodes might involve invasion of both peritumoral and intratumoral vessels, because they believed that it was possible that some of the emboli observed in peritumoral vessels originated from initial invasion of intratumoral vessels, although tumor emboli were occasionally observed within peritumoral vessels and not obvious within intratumoral vessels.

It is evident from the structural and morphological characteristics of peritumoral vessels that they are more easily invaded. Lymphatic metastasis requires, however, a functional lymphatic network, and the condition of lymphatic drainage is therefore a relevant factor for lymphatic metastasis (Maza *et al.*, 2003; He *et al.*, 2004). In B16F10 melanomas murine model, hyperplastic peritumoral lymphatics were shown to be functional, although lymphatic vessels displayed a retrograde draining pattern (Isaka *et al.*, 2004). Other studies also confirmed that the lack of functional lymphatics in tumors was a common phenomenon, while functional lymphatics were found to exist in the tumor margin (Padera *et al.*, 2002). More importantly, even when there are no functional lymphatics in a tumor, lymphatic metastasis can still occur. Therefore, we believe that cancer cells may spread *via* peritumor lymphatics, and intratumoral lymphatics should be regarded as an additional pathway, rather than a necessity, for metastasis (Achen *et al.*, 2005). At present, the mechanism of intratumoral lymphatics dysfunction is not entirely known. It is hypothesized that the rapid growth of tumor results in tissue edema, which generates mechanical forces to compress the lymphatic. Additionally, tumor cells might destroy the lymphatic structure, and the newly formed valves of intratumoral lymphatic are then incomplete and nonfunctional.

Lymphatic endothelial cells might play a positive role in lymphatic metastasis

Although lymphatic vessels constitute the most important channel of lymphatic spread, lymphatic endothelium is an interactive surface for cancer cells, and the ability of cancer cells to interact with the LEC is a key step in allowing them to invade the lymphatic system. In 1990, Hartveit observed that tumor cells were washed with the tide of

tissue fluid into the lymphatic drainage channels. Moreover, interstitial fluid pressure (IFP) in solid tumors was significantly elevated compared to normal tissues and increased as tumors increased in size, which facilitated tumor cell intravasation and promote metastasis (Lunt *et al.*, 2008; Ferretti *et al.*, 2009). These results suggest that lymphatic invasion is not an active process, but is closely associated with the functional status of LECs. For example, enhanced IFP results in increase of interstitial fluid volume (IFV). Thus, the anchoring filaments are stretched and junctions of the endothelial cells opened, allowing cancer cells to enter into the lymphatic vessels. Our studies have shown that open junction was the main junction type in peritumoral lymphatics (about 42%), which had a greatly enlarged opening space of 0.3–5 μm . The overlapping junction became the second most common junction type in peritumor tissues (38%). The proportion of inlaid junctions was 12%, and was 8% for end-end junctions. Therefore, lymphatic endothelium itself might have an important influence on the lymphatic metastasis of cancer cells.

With the development of molecular biology, researchers have come to gradually understand the functions of LECs in lymphatic metastasis. Recent studies have shown that integrin $\alpha 9\beta 1$ is expressed on LEC induced cancer cell migration by binding with plasmin (Majumdar *et al.*, 2004), and promotes lymphangiogenesis and lymphatic metastasis by binding with VEGF-C and D (Vlahakis *et al.*, 2005). The mannose receptor (MR), lymphatic vessel endothelial hyaluronan receptor (LYVE)-1 and common lymphatic endothelial and vascular endothelial receptors (CLEVER)-1 have roles beyond the lymphatic system, directing the traffic of cancer cells into lymphatics (Jackson *et al.*, 2001; Irjala *et al.*, 2003; Guo *et al.*, 2005). LECs constitutively secrete different cytokines of the CXC, CC and C subfamilies, such as secondary lymphoid tissue chemokine (SLC)/CCL21, macrophage inflammatory protein (MIP)-3/CCL20 (Zhuang *et al.*, 2009). These data suggest that LECs are responsible for the invasion and lymphatic metastasis of cancer cells.

Recent studies have shown that LECs in HNSCC have a remarkable degree of phenotypic plasticity, characterized by elevated expression of

endothelial specific adhesion molecules, the transforming growth factor-beta coreceptor Endoglin (CD105) and the angiogenesis-associated leptin receptor (Clasper *et al.*, 2008). Our previous study also showed that in contrast to LEC, oral tongue cancer-induced LECs were more proliferative and had enhanced ability of organizing capillary-like structures (Zhuang *et al.*, 2008). Moreover, LEC phenotypes changed with the enhancement of metastatic potential accordingly. These data suggested that LECs in tumor are distinct from normal LECs and have a specific phenotype. Therefore, it is hypothesized that the phenotypes of LEC could be induced by cancer cells to encourage the lymphatic dissemination of cancer cells.

HNSCC have an affinity for lymphatic vessels, and it is questioned whether LECs in tumors play an important role in guiding cancer cells migration to lymphatic vessels. It is well known that cancer metastasis is not a random process, and chemotaxis is an essential component of cancer cell trafficking and metastasis. It is assumed that cancer cells actively crawl towards blood and lymphatic vessels following the attractant molecule gradients formed by endothelial cells (Condeelis and Pollard, 2006). More and more evidence suggests that directed movement caused by chemokines is required for the formation of tumor metastasis. For example, CCL2 regulates invasion and migration of cancer cells by binding to chemokine receptors CCR4 (Ishida and Ueda, 2006; Loberg *et al.*, 2007); CCL20/CCR6 ligand-receptors are involved in liver metastasis of colorectal cancer (Rubie *et al.*, 2006); CXCL2 provokes a dose-dependent increase of cell migration and a most pronounced cell adhesion *in vitro* (Kollmar *et al.*, 2006); high expression of CXCL5 in gastric cancer results in lymph node metastasis (Park *et al.*, 2007); CXCL6 has an important role in the growth and metastasis of small cell lung cancer (Zhu *et al.*, 2006). Recently, we found that CXCL1, CXCL5, CXCL6, CCL2, CCL7, CCL17 and CCL20 were upregulated at mRNA or protein level in tongue cancer cell induced LECs (Zhuang *et al.*, 2009), indicating that LECs in tumor could secrete chemokines to facilitate the directed migration of tongue cancer cells, helping to explain why cancer cells have a predilection for lymphatic metastasis.

Conclusion

To date, the mechanism of lymphatic metastasis is still unclear, but we believe that the changes of lymphatic vessels and LECs induced by tumors are key factors in this process. Both peritumoral and intratumoral lymphatics, however, provide at most a gateway, and do not decisively influence successful lymphatic metastasis because successful dissemination of cancer cells mainly depends on the interaction between cancer cells and LECs. Therefore, future research should focus on the altered phenotypes of LECs induced by tumor cells and uncover the key factors related to lymphatic metastasis.

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