ARTICLE

Apple dwarfing rootstocks exhibit an imbalance in carbohydrate allocation and reduced cell growth and metabolism

Toshi M Foster¹, Peter A McAtee², Chethi N Waite¹, Helen L Boldingh³ and Tony K McGhie¹

Apple dwarfing rootstocks cause earlier shoot termination and reduced root and shoot mass. To identify physiological factors responsible for rootstock-induced growth restriction, we compared vascular-enriched gene expression between two dwarfing rootstocks ('M27' and 'M9') and the vigorous rootstock 'M793' using RNA sequencing and quantitative reverse transcriptase PCR. Differentially expressed genes common to both dwarfing rootstocks belonged to five main biological processes: (1) primary metabolism, (2) cell wall synthesis and modification, (3) secondary metabolism, (4) hormone signalling and response and (5) redox homeostasis. Genes promoting the biosynthesis of amino acids, lipids and cell walls were downregulated in dwarfing rootstocks, whereas genes promoting the breakdown of these compounds were upregulated. The only exception to this trend was the upregulation of starch synthesis genes in dwarfing rootstocks. Non-structural carbohydrate analysis demonstrated that starch concentrations in 'M9' roots, stems and grafted 'Royal Gala' ('RG') scions were double that of equivalent tissues from 'RG' homo-grafted trees ('RG'/'RG'). Fructose and glucose concentrations were much lower in all three tissues of the 'RG'/'M9' trees. Together, these data indicate that dwarfing rootstocks are in a state of sugar depletion and reduced cellular activity despite having large starch reserves. Another significant finding was the over-accumulation of flavonoids and the downregulation of auxin influx transporters MdAUX1 and MdLAX2 in dwarfing rootstocks. We propose that both factors reduce polar auxin transport. The results of this study contribute novel information about the physiological state of dwarfing rootstocks.

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INTRODUCTION

Dwarfing rootstocks are widely used in commercial apple production to reduce scion vigour, allowing high-density plantings and increased yield index. Despite their utility and a century of research, the underlying mechanism of rootstock-induced dwarfing is still unknown. The effects of dwarfing rootstocks are apparent within the first year after grafting and include reduced root growth,^{1–3} a decrease in the length and node number of the primary axis,^{4–6} a decrease in the number and length of sylleptic shoots^{7,8} and/or an increase in the proportion of floral buds along the primary axis.^{8–10}

The most widely used and best-characterised dwarfing apple rootstock is 'Malling 9' ('M9'), which was first phenotyped in the early twentieth century.¹¹ The 'Malling' series have been used to breed new rootstocks that confer a range of vigour control, including the strongly dwarfing 'M27'. Rootstock-induced dwarfing is conferred by two major quantitative trait loci.^{12–15} Most of the known dwarfing rootstocks carry markers linked to one or both loci, suggesting that there is one source of dwarfing rootstocks and they reduce scion vigour by the same underlying physiological mechanism.^{14,15}

Many of the hypotheses to explain how dwarfing rootstocks affect scion growth include altered levels, transport and signalling of hormones between scion and rootstock. Perhaps, the best-supported model proposes that dwarfing rootstocks reduce

basipetal auxin transport, thereby limiting root growth and the amount of root-synthesised cytokinin supplied to the scion.¹⁶ Stem segments of 'M9' transport radiolabelled IAA (auxin) at a lower rate than that of vigorous genotypes.^{17,18} Levels of free IAA and Zeatin (cytokinin) in cambial sap are lower in 'M9' than vigorous rootstocks.¹⁹ Other studies have shown an inverse relationship between the rate of IAA diffusion and xylem concentration of cytokinin.² Van Hooijdonk *et al.*²⁰ demonstrated that application of the polar auxin inhibitor 1-*N*-naphthylphthalamic acid to the stem of an invigorating rootstock had the same effect on scion growth as that of the 'M9' rootstock. Application of cytokinin and 1-*N*-naphthylphthalamic acid restored the number of secondary shoots to levels typical of scions on vigorous rootstocks. Likewise, application of cytokinin to scions grafted onto 'M9' increased the number of secondary shoots.²⁰ Other hormones may also have a role in rootstock-induced dwarfing. The concentration of gibberellic acid in the xylem is lower in 'M9' relative to vigorous rootstocks.^{2,21} Application of gibberellic acid to 1-*N*-naphthylphthalamic acid-treated vigorous rootstocks or scions on 'M9' increased node number of both the primary axis and secondary shoots.²⁰ Absciscic acid (ABA) concentrations are higher in dwarfing than in vigorous rootstocks.^{22,23}

Anatomical factors have also been implicated as causal factors in rootstock-induced dwarfing. The roots and stems of dwarfing rootstocks have a higher proportion of bark, which consists of

¹The New Zealand Institute for Plant and Food Research Limited, Palmerston North 4474, New Zealand; ²The New Zealand Institute for Plant and Food Research Limited, Auckland 1142, New Zealand and ³The New Zealand Institute for Plant and Food Research Limited, Hamilton 3240, New Zealand.

Correspondence: TM Foster (Toshi.Foster@plantandfood.co.nz)

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phloem and cortex, and a reduction in the number and diameter of xylem cells relative to that of vigorous rootstocks.^{24–26} Vascularisation at the graft junction between 'M.9' and the scion is disorganised, suggesting auxin accumulation in the region, but no evidence of graft incompatibility was found.^{25,26} Some researchers have suggested that a smaller root system and reduced amount of conducting tissues observed in dwarfing rootstocks were limiting the transport of water and metabolites to the scion.^{27,28} The effect of root restriction on shoot growth is the basis of bonsai cultivation and has been shown to have the same effect on apple scion growth as that of an dwarfing rootstock.⁵ The rate of hydraulic conductivity is lower in roots and stems of dwarfing rootstocks;^{23,29} however, this does not take into effect the smaller 'M9' root mass.³⁰

The relative importance of the root versus the stem in rootstock-induced dwarfing has been the subject of numerous studies. Beakbane and Rogers²⁸ demonstrated that scions grafted directly onto dwarfing roots were reduced in vigour and concluded that the roots alone were able to exert some effect, although the presence of a dwarfing stem enhanced this effect. Other researchers have shown that a segment of stem, or 'interstock', of dwarfing tissue inserted between vigorous roots and scion reduces scion vigour, with larger stem segments having a greater effect.^{10,16,31,32} Bark implants from dwarfing genotypes or even an inverted ring of bark from a vigorous genotype have been shown to dwarf the scion, providing strong evidence that the mechanism of rootstock-induced dwarfing is likely to involve the vasculature and/or bark.³³ Hormones, soluble sugars, metabolites and nitrogen are translocated via the vascular system and have all been implicated in rootstock-induced dwarfing. Phenols affect many biological processes including auxin levels, and are concentrated in the bark of apple trees.¹⁶

To identify biological processes and regulatory networks that are involved with rootstock-induced dwarfing, we compared the transcriptomes of vascular-enriched tissue from dwarfing and vigorous rootstocks. Previous studies to compare apple rootstock effects on gene expression have focused on gene expression in the scion.^{34,35} While it is useful to identify scion responses to dwarfing rootstocks, the aim of our study was to identify differences in physiological states between dwarfing and vigorous rootstocks that could influence scion growth. We included the strongly dwarfing 'M27' in our study to identify processes that were common to two different dwarfing rootstocks.

MATERIALS AND METHODS

Plant material

Grafted apple (*Malus × domestica* Borkh) trees were grown in 2012–2013 for RNA collections and dry weight measurements. In a second experiment, grafted trees were grown in 2014–2015 for non-structural carbohydrate and metabolic analysis. For RNA collections and dry weight measurements, 'Royal Gala' ('RG') scions were cleft-grafted at a height of 35 cm onto 1-year-old rootstock stools of 'M793', 'M9' (clone 'NZ9') and 'M27' rootstocks (Waimea Nursery, Nelson, NZ, USA) in August (winter). In early October, scions were de-budded to a single vegetative bud and received no further pruning. Grafted trees were planted into 50 l bags containing growing medium (Growcom, Brisbane, Queensland, Australia). Irrigation was scheduled daily for 30 min (min) at dawn and dusk using an automated time controller. For the carbohydrate and metabolomics analysis, 'RG' scions were grafted onto either 'M9' or 'RG' rootstocks and grown as described above.

RNA purification

Vascular-enriched tissue was collected for RNA purification in November of 2012, and January and March of 2013. These collection dates correspond to 60, 120 and 180 days after scion bud break (DABB), respectively. For each time point, four to six trees of each rootstock genotype were selected for uniform scion growth to minimise any effects because of differential tree size. Previous work has shown that the scion bud type affects both

scion and rootstock growth;³ therefore, all RNAs were collected from compound trees with a monopodial shoot (originating from a vegetative scion bud). The outer bark was removed with a razor blade and vascular-enriched tissue was collected from the rootstock stem 2–10 cm below the graft junction and immediately snap-frozen in liquid nitrogen. Tissue was harvested between 4 and 5 h after sunrise for all time points. Total RNA was isolated as previously described.³⁶ The quality and concentration of the RNA samples were assessed using a Fragment Analyzer (Advanced Analytical, Ankey, IA, USA). Only samples with a RNA integrity number value of 8 or higher were further analysed by sequencing or quantitative reverse transcriptase PCR (qRT-PCR).

RNA sequencing, data processing and analysis

RNA from the 60 DABB (November) collection was sent to Axseq/Macrogen for library preparation and sequencing using an Illumina HiSeq 2000 (San Diego, CA, USA) instrument. RNA from six individuals of each genotype was made into separate libraries. The 18 libraries were run as a multiplexed sample on one lane to produce 100 nucleotide paired end sequence reads. The first 13 bases of all RNA sequencing (RNAseq) reads were trimmed using an in-house perl script. Adapters were removed using fastq-mcf from the ea-utils package.³⁷ Quality score analysis was performed using fastqc (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>) both before and after trimming. Trimmed reads with a minimum length of 30 bp and an average quality score greater than 20 were mapped to the Apple Genome V1.0-predicted coding DNA sequence (CDS) sequences (https://www.rosaceae.org/species/malus/malus_x_domestica/genome_v1.0) using bowtie2 v2.2.5 (ref. 38) using the following settings: end-to-end mapping in sensitive mode with a maximum of one mismatch per alignment. A count table was generated for each predicted CDS across all the libraries by querying for the best alignment for each sequence using samtools v1.2.³⁹ Raw read counts and reads per kilobase per million values were extracted from BAM files using the multicov option of bedtools⁴⁰ and either an in-house R script or cufflinks.⁴¹ The RNAseq data can be found in NCBI project PRJNA358443. Pairwise comparisons were made between 'M9' and 'M793' and 'M27' and 'M793'. Differentially expressed genes (DEGs) were selected using the DEseq 2 package⁴² in BioConductor. Significant DEGs were selected using an adjusted *P* value of < 0.05 and |log2 fold change| > 1. Significantly over-represented gene categories in the DEGs were identified using Fisher's exact test and visualised in Pageman using MapMan 3.5.0 and mappings for *Malus domestica*.⁴³ Metabolic pathways were visualised using Kyoto Encyclopedia of Genes and Genomes (KEGG) gene ontologies.⁴⁴ Arabidopsis orthologues were determined by BLAST against the TAIR database. Chromosome and position were determined by an in-house database. Venn diagrams were generated by Venny 1.0 (<http://bioinfo.cnb.csic.es/tools/venny/index.html>).

Gene expression by qRT-PCR

First strand complementary DNA was synthesised from 1.0 µg total RNA using oligo dT primer and Primescript Reverse Transcriptase (TaKaRa, Clontech, Mountain View, CA, USA). qRT-PCR was performed with KAPA Sybrfast qRT-PCR mastermix on a Roche 480 Light Cyclers (Basel, Switzerland). For the qRT-PCR reactions, 2 µL complementary DNA (1:20 dilution) was used as a template in a reaction volume of 7 µL. For each analysis, there were four to six biological replicates of each genotype and four technical replicates of each sample. Complementary DNAs were loaded into a 384-well plate by a Biomeck liquid handling robot (Biomeck, Waltham, MA, USA) to minimise pipetting errors. PCR cycles are as follows: initial denaturation at 95 °C for 5 min, followed by 45 cycles of 94 °C for 10 s, 55 °C for 15 s, 72 °C for 10 s and a final melt curve analysis to determine whether a single product was amplified. Primers were designed by Primer 3 to span an intron (if possible) and to amplify products of 100–120 base pairs (Supplementary Table 1). For each analysis, a no complementary DNA template was included as a negative control. Actin (MDP0000752428) and MDP0000173025 were used as reference genes and gave similar results.⁴⁵ All qRT-PCR results are shown as expression relative to actin, except for MDP0000264875. Primer efficiencies and relative expression were calculated using the Roche 480 Light Cyclers software (version SW1.5).

Dry weight measurements

After tissue was collected for RNA extractions (60, 120, 180 and 300 DABB), four to six trees of each rootstock genotype were harvested, severed at the graft junction, and then oven-dried at 60 °C to a constant mass before

weighing. Dry weights of scion include scion budwood, primary axis, sylleptic shoots and leaves, while dry weights of rootstock includes roots and rootstock stem. One-way analysis of variance analysis and graphing were performed with OriginLab 8.5 (Northampton, MA, USA).

Non-structural carbohydrate quantification

After extension growth had ceased (250 DABB), final architectural measurements were made of six 'RG'/'M9' and six 'RG'/'RG' grafted trees. A segment of stem tissue was collected from 20 cm above the graft junction (scion), 5 cm below the graft junction (rootstock stem) and roots. Tissue was snap-frozen in liquid nitrogen, dried in a freeze-dryer, and then ground to a fine powder. A 0.05 g subsample was extracted with 80% ethanol with Adonitol added as the internal standard and then incubated for 1 h at 60 °C. Extracted samples were centrifuged and the supernatant decanted off. The residue was re-suspended in 80% ethanol re-spun and supernatants combined. The insoluble residue was transferred into Erlenmeyer flasks and analysed for starch as per Smith *et al.*⁴⁶ A subsample of the supernatant was taken and dried using a centrifugal concentrator; samples were then re-dissolved in ultrapure water. The sugars were analysed using DIONEX ICS-5000 Reagent-Free IC (RFIC; Thermo Fisher Scientific, Waltham, MA, USA) system with a CarboPac MA1 column with electrochemical detection.

Secondary metabolite analysis

The 'RG' and 'M9' stem samples used for analysis by liquid chromatography–high resolution accurate mass–mass spectrometry (LC–HRAM–MS) were the same as those used for the carbohydrate analysis. There were six biological replicates and each sample was run in duplicate. The LC–HRAM–MS system was composed of a Dionex Ultimate 3000 Rapid Separation LC and a micrOTOF QII high resolution mass spectrometer (Bruker Daltonics, Bremen, Germany) fitted with an electrospray ion source. Metabolite separation by LC was achieved using a Luna Omega Polar 2.1 × 100 mm, 1.6 µm (Phenomenex, Auckland, New Zealand) maintained at 40 °C. The flow was 350 µL min⁻¹. The solvents were A=0.2% formic acid and B=100% acetonitrile. The solvent gradient was: 10% A 90% B 0–0.5 min; linear gradient to 50% A 50% B, 0.5–12 min; linear gradient to 5% A 95% B, 12–15 min; composition held at 5% A 95% B, 15–17 min; linear gradient to 10% A, 90% B, 17–17.2 min; to return to the initial conditions before another sample injection at 20 min. The injection volume for samples and standards was 1 µL. The micrOTOF QII parameters for polyphenolic analysis were: temperature 225 °C; drying N₂ flow 6 Lmin⁻¹; nebuliser N₂ 1.5 bar, endplate offset –500 V, mass range 100–1500 Da, acquired were acquired at 5 scans per s. Negative ion electrospray was used with a capillary voltage of +3500 V. Post-acquisition internal mass calibration used sodium formate clusters with the sodium formate delivered by a syringe pump at the start of each chromatographic analysis.

The molecular features present in each sample were found using the find-molecular-feature algorithm in the DataAnalysis (Bruker Daltonics). The find-molecular-feature process combines mass spectral signals that are

related to each other (isotope clusters and molecular adducts) into single molecular features. The molecular features for each analysis were combined into a single data table using ProfileAnalysis (Bruker Daltonics). The intensity values were normalised by 'the sum of all intensities in an analysis'. To assess the distribution of metabolites in the 'RG' and 'M9' sample sets, the data table was analysed using both principal component analysis and *t*-tests using ProfileAnalysis. Metabolites that showed a [fold change] > 1.2 between 'M9' and 'RG', and a *P* value < 0.05, were selected for further analysis. The chemical identity of each metabolite was based on accurate mass and chemical formula calculations and authentic standards when available.

RESULTS

Rootstock-induced dwarfing first manifests late in first season of growth

Primary shoots began growth shortly after bud break and sylleptic shoots began to extend about 80 DABB. Primary and sylleptic shoot growth slowed around 200 DABB, with trees on the dwarfing rootstocks terminating earlier, consistent with earlier studies.³ All trees terminated extension growth by 250 DABB. No significant difference was detected in either scion or rootstock dry weight between any of the rootstock treatments until 300 DABB (Figure 1). Trees on the dwarfing rootstocks gained very little dry weight after 180 DABB, whereas trees on 'M793' had a constant increase in dry weight from 120 to 300 DABB.

Genes differentially expressed between dwarfing and vigorous rootstocks

To identify differences in rootstock gene expression before any phenotypic changes to 'RG' scion growth were detected, we undertook a global transcriptomic analysis at 60 DABB. Vascular-enriched rootstock RNA was also extracted at time points corresponding to floral bud initiation (120 DABB) and slowing of scion growth (180 DABB). Read counts of 10 063 621 to 17 934 007 million were obtained. Using an adjusted *P* value of < 0.05 as a cutoff, we identified 8880 DEGs between 'M9' and 'M793', and 7827 DEGs between 'M27' and 'M793'. Genes with a |log₂ fold change| > 1 were selected for further analysis (Figure 2). We reasoned that DEGs common to both dwarfing rootstocks could yield information about biological processes that are essential for rootstock-induced dwarfing. Compared with 'M793', 1576 genes were upregulated and 1760 were downregulated in both dwarfing rootstocks (Figures 2a and b).

The 3336 DEGs common to both 'M9' and 'M27' were analysed by Fisher's exact test using the Mapman ontology.⁴³ This revealed

Figure 1. Tree dry weight accumulation during the first year of growth. 'Royal Gala' scions were grafted to 'M793' (vigorous), 'M9' (dwarfing) or 'M27' (strongly dwarfing). At each time point, six composite trees of each rootstock genotype were severed at the graft junction, (a) scion and (b) rootstock were dried and weighed. Values were compared by analysis of variance (ANOVA) and the only significant differences detected between vigorous and dwarfing rootstocks was at the final time point (**P* value < 0.001). Error bars are s.e. and red arrow indicates when RNA was isolated for sequencing.

Figure 2. Venn diagrams showing differentially expressed genes (DEGs) between dwarfing and vigorous rootstocks. Genes with a $\log_2$ fold change greater than 1, between 'M9' (left) and 'M27' (right) relative to the vigorous 'M793'; overlap show DEG common to both dwarfing genotypes. (a) Upregulated and (b) downregulated genes from vascular-enriched rootstock tissue. All rootstocks were grafted with 'RG' scions.

Figure 3. Pageman display of MapMan functional categories over-represented in genes differentially expressed between dwarfing and vigorous rootstocks. Fisher's exact test was used to determine whether significantly more genes in a given category were over-represented (red) or under-represented (blue) in both upregulated and downregulated differentially expressed gene (DEG). Nonsignificant categories are not shown.

functional categories that were over-represented in both upregulated and downregulated DEGs (Figure 3). Throughout this paper, gene expression is presented as relative to the vigorous 'M793'. Gene ontology categories that were over-represented in

upregulated DEGs were involved with carbohydrate metabolism, cell wall degradation, redox and post-translational modification. DEGs involved with amino acid and protein synthesis, secondary metabolism and hormone response were over-represented in

downregulated genes. Stress response and kinase signalling genes were found in both up- and downregulated DEGs.

Dwarfing rootstocks contain more starch and less glucose and fructose

Of the DEG involved in primary metabolism, amino acid and lipid metabolism showed a similar trend; genes promoting biosynthesis were downregulated in dwarfing rootstocks, while those promoting degradation were upregulated (Table 1). We used the KEGG and gene ontologies to visualise metabolic pathways identified as having over-represented DEGs. Genes in the KEGG pathway of

fatty-acid synthesis were particularly downregulated in dwarfing rootstocks (Supplementary Figure S1).

In contrast, DEG in the starch and sucrose metabolism pathway showed the opposite trend. *MdStarch synthesis* genes (*MdSS2*) were upregulated in dwarfing rootstocks, whereas starch breakdown genes *MdBeta-amylase* (*MdBAM7*) were downregulated (Table 1). Sucrose synthase (SUS) reversibly hydrolyses sucrose into fructose and UDP-glucose. *MdSUS* genes were downregulated in dwarfing genotypes (Table 1). We compared the expression of starch and sucrose metabolism genes over three time points during the year. Both *MdSS2* genes (MDP0000842179

Table 1. Selection of DEG common to both 'M9' and 'M27' relative to 'M793'

Malus Gene ID	Malus Chr	Position (bp)	Arabidopsis Homologue	E-value	Gene Annotation	log2(M9/ M793)	p-value	log2(M27/ M793)	p-value	GO biological process
Amino acid metabolism										
MDP0000293588	chr13	15,172,020	AT3G06850	4.0E-122	BCE2: acetyltransferase	1.39	2.33E-04	1.70	2.36E-06	Degradation, branched chain group
MDP0000165646	chr12	14,555,007	AT4G08800	1.0E-28	arginase	1.98	2.91E-03	2.39	1.72E-04	Degradation, glutamate family, arginine
MDP0000213674	chr7	6,203,618	AT4G08900	3.0E-23	arginase	2.98	2.98E-06	1.81	1.05E-02	Degradation, glutamate family, arginine
MDP0000092770	chr13	25,326,110	AT4G08900	6.0E-24	arginase	1.75	1.93E-04	1.18	3.11E-02	Degradation, glutamate family, arginine
MDP0000452585	chr2	34,587,780	AT5G13780	6.0E-09	GCN5-related N-acetyltransferase	1.53	2.35E-03	1.52	2.99E-03	Degradation, glutamate family, glutamine
MDP0000193646	chr12	29,388,025	AT3G06350	1.0E-147	MEE32 (MATERNAL EFFECT EMBRYO ARREST 32)	-1.84	6.58E-18	-1.16	1.35E-07	Synthesis, aromatic aa, chorismate
MDP0000306786	chr15	31,084,200	AT3G06350	2.0E-171	MEE32 (MATERNAL EFFECT EMBRYO ARREST 32)	-1.39	4.24E-08	-1.26	8.22E-07	Synthesis, aromatic aa, chorismate
MDP0000666570	chr9	31,520,936	AT3G47340	3.0E-15	ASN1 (GLUTAMINE-DEPENDENT ASPARAGINE SYNTHASE 1)	-1.01	2.80E-02	-1.21	7.29E-03	Synthesis, aspartate family, asparagine
MDP0000181191	chr16	17,846,951	AT3G06940	3.0E-24	ASN1 (GLUTAMINE-DEPENDENT ASPARAGINE SYNTHASE 1)	-3.87	1.97E-10	-4.32	7.02E-13	Synthesis, aspartate family, asparagine
MDP0000208798	chr17	17,655,141	AT3G58610	2.0E-19	ketol-acid reductoisomerase	-3.86	4.93E-10	-4.03	1.20E-10	Synthesis, branched chain group
MDP0000469193	chr1	20,905,602	AT3G58610	4.0E-19	ketol-acid reductoisomerase	-4.33	1.92E-12	-4.23	3.95E-12	Synthesis, branched chain group
MDP0000123747	chr6	21,294,799	AT1G74040	3.0E-150	IMS1 (2-ISOPROPYLMALATE SYNTHASE 1)	-3.54	1.41E-13	-4.67	1.03E-20	Synthesis, branched chain group
MDP0000162162	chr15	7,989,685	AT5G19550	3.0E-54	ASP2 (ASPARTATE AMINOTRANSFERASE 2)	-1.42	8.09E-03	-1.54	4.46E-03	Synthesis, aspartate
MDP0000284559	chr12	20,199,372	AT3G55610	0.0E+00	P5CS2 (DELTA 1-PYRROLINE-5-CARBOXYLATE SYNTHASE 2)	2.68	3.57E-10	2.62	8.65E-10	Synthesis, glutamate family, proline
MDP0000896627	chr16	15,013,921	AT3G22460	7.0E-24	OAS2 (O-ACETYL-SERINE (THIOL) LYASE (OAS-TL))	-1.63	1.48E-04	-1.29	3.91E-03	Synthesis, serine-glycine-cysteine group
MDP0000670637	chr2	9,108,560	AT4G55630	0.0E+00	PSAT; O-phospho-L-serine:2-oxoglutarate aminotransferase	-1.33	1.12E-02	-1.71	6.14E-04	Synthesis, serine-glycine-cysteine group
Lipid metabolism										
MDP0000171011	chr11	7,893,374	AT1G07420	4.0E-108	SMO2-1 (STEROL 4-ALPHA-METHYL-OXIDASE 2-1)	-1.22	8.55E-04	-1.02	8.06E-03	FA synthesis
MDP0000293828	chr11	13,516,946	AT3G44830	0.0E+00	Lecithin	-3.76	4.34E-16	-2.99	2.39E-12	FA synthesis
MDP0000277517	chr14	3,395,346	AT3G15850	1.0E-17	FADS (FATTY ACID DESATURASE 5)	-2.56	3.22E-06	-3.24	9.75E-09	FA desaturation
MDP0000229149	chr14	22,048,769	AT1G08510	1.0E-75	FATB (fatty acyl-ACP thioesterases B)	-1.64	3.72E-02	-1.88	1.49E-02	FA synthesis and FA elongation
MDP0000312412	chr6	17,149,939	AT1G08510	4.0E-81	FATB (fatty acyl-ACP thioesterases B)	-2.04	2.93E-03	-2.80	2.70E-05	FA synthesis and FA elongation
MDP0000300146	chr2	10,399,797	AT1G20560	6.0E-161	AAE1 (ACYL ACTIVATING ENZYME 1)	-2.28	8.49E-07	-1.94	3.70E-05	FA synthesis and FA elongation
MDP0000458882	chr9	30,901,461	AT5G16340	0.0E+00	AMP-binding protein	-1.76	1.45E-02	-2.49	2.40E-04	FA synthesis and FA elongation
MDP0000411575	chr7	18,351,101	AT1G01120	0.0E+00	KCS1 (3-KETOACYL-COA SYNTHASE 1)	-1.76	8.23E-03	-2.66	4.82E-05	FA synthesis and FA elongation
MDP0000433567	chr13	20,987,774	AT1G04220	0.0E+00	KCS2 (3-KETOACYL-COA SYNTHASE 2)	-1.58	3.58E-02	-2.10	3.59E-03	FA synthesis and FA elongation
MDP0000285646	chr16	16,065,262	AT1G04220	0.0E+00	KCS2 (3-KETOACYL-COA SYNTHASE 2)	-1.66	8.03E-05	-1.63	1.29E-04	FA synthesis and FA elongation
MDP0000134273	chr8	5,364,433	AT2G26250	0.0E+00	KCS10 (3-KETOACYL-COA SYNTHASE 10)	-1.17	8.73E-03	-2.28	9.47E-08	FA synthesis and FA elongation
MDP0000195234	chr12	24,358,989	AT3G51840	2.0E-129	ACX4 (ACYL-COA OXIDASE 4)	1.83	4.17E-08	1.65	1.52E-06	Lipid degradation, beta-oxidation
MDP0000286190	chr3	6,774,711	AT2G29590	8.0E-29	thioesterase family protein	1.79	2.86E-12	1.75	1.06E-11	Lipid degradation, beta-oxidation
MDP0000284550	chr2	4,667,059	AT3G24360	9.0E-18	enoyl-CoA hydratase/isomerase family protein	3.73	2.21E-13	3.34	1.16E-10	Lipid degradation, beta-oxidation
MDP0000311922	chr5	7,760,483	AT1G30370	3.0E-145	lipase class 3 family protein	2.53	7.76E-10	1.52	8.69E-04	Lipid degradation, lipases
MDP0000293343	chr17	14,305,131	AT1G08680	0.0E+00	lipase class 3 family protein	2.12	4.15E-04	2.03	1.14E-03	Lipid degradation, lipases
MDP0000285998	chr2	35,116,696	AT3G48610	3.0E-38	phosphoesterase family protein	3.50	3.29E-09	2.93	1.61E-06	Lipid degradation, lysophospholipases
Starch and sugar metabolism										
MDP0000285738	chr12	25,096,039	AT4G02280	7.0E-18	SUCROSE SYNTHASE 3 (SUS3)	-4.87	1.30E-18	-5.08	6.00E-20	Degradation, sucrose, Susy
MDP0000252802	chr2	7,884,156	AT5G49190	0.0E+00	SUCROSE SYNTHASE 4 (SUS4)	-1.80	2.32E-02	-1.59	3.14E-03	Degradation, sucrose, Susy
MDP0000283839	chr9	3,215,719	AT3G01180	0.0E+00	Starch Synthase2 (SS2)	1.58	1.86E-03	1.57	1.89E-03	Synthesis, starch
MDP0000842179	chr17	3,587,541	AT3G01180	1.0E-176	Starch Synthase2 (SS2)	1.42	8.20E-04	1.86	3.70E-06	Synthesis, starch
MDP0000156852	chr3	32,185,256	AT2G45880	1.0E-25	BETA-AMYLASE 7 (BAM7)	-2.88	8.09E-10	-2.87	1.36E-09	Starch cleavage
MDP0000301806	chr15	12,610,584	AT2G45880	5.0E-25	BETA-AMYLASE 7 (BAM7)	-1.48	1.34E-02	-2.46	2.59E-05	Starch cleavage
Cell wall										
MDP0000126400	chr1	10,626,755	AT2G46330	4.0E-13	AGP16 (ARABINOGALACTAN PROTEIN 16)	-1.36	1.12E-03	-1.22	4.48E-03	Cell wall proteins, AGPs
MDP0000233405	chr0	22,950,082	AT5G03760	0.0E+00	ATCSLA09, mannan synthases	-2.70	1.06E-10	-1.87	2.44E-05	Cellulose synthesis
MDP0000307919	chr2	28,719,733	AT5G03760	0.0E+00	ATCSLA09, mannan synthases	-2.81	1.12E-07	-1.23	4.15E-02	Cellulose synthesis
MDP0000313995	chr15	39,896,001	AT5G17420	0.0E+00	CESA3 (CELLULOSE SYNTHASE A3)	-1.83	1.50E-08	-1.22	1.70E-04	Cellulose synthesis
MDP0000320351	chr10	16,283,157	AT5G44030	0.0E+00	CESA4 (CELLULOSE SYNTHASE A4)	-1.72	8.20E-04	-1.86	5.60E-04	Cellulose synthesis
MDP0000584458	chr0	90,337,550	AT5G44030	0.0E+00	CESA4 (CELLULOSE SYNTHASE A4)	-1.42	2.53E-03	-1.20	1.64E-02	Cellulose synthesis
MDP0000196876	chr10	33,003,928	AT1G55850	0.0E+00	ATCSL1; cellulose synthase	-1.29	8.22E-03	-1.73	1.88E-04	Cellulose synthesis
MDP0000185280	chr2	19,079,658	AT4G23990	0.0E+00	ATCSLG3; cellulose synthase	-2.34	1.03E-04	-2.36	1.05E-04	Cellulose synthesis
MDP0000223235	chr12	24,898,838	AT2G18660	2.0E-16	EXPANSIN-LIKE B3 PRECURSOR (EXLB3)	-4.57	8.01E-28	-4.27	4.19E-26	Cell wall modification
MDP0000778955	chr0	79,932	AT5G57550	5.0E-102	XYLOGLUCAN ENDOTRANSGLYCOSYLASE 3 (XTR3)	-3.92	1.11E-09	-3.87	1.31E-08	Cell wall modification
MDP0000143963	chr5	8,602,851	AT1G78060	6E-135	Beta-D-xylosidase 7 (BXL7)	3.25	3.90E-07	1.81	1.66E-02	Cell wall degradation, mannan-xylose-arabinose-fucose
MDP0000158045	chr1	5,229,934	AT1G78060	2E-91	Beta-D-xylosidase 7 (BXL7)	4.97	1.99E-21	3.69	1.45E-11	Cell wall degradation, mannan-xylose-arabinose-fucose
MDP0000173801	chr0	14,016,065	AT4G03810	7.0E-178	CHH8B15 (Arabinogalactanase/hexanase glycosyl hydrolase 9B15)	1.13	1.29E-02	1.35	1.84E-03	Degradation, cellulases and beta-1,4-glucanases
MDP0000235201	chr0	10,003,531	AT3G07820	2.0E-100	polygalacturonase 3 (PGA3)	1.33	1.29E-08	3.48	2.79E-11	Degradation, pectate lyases and polygalacturonases
MDP0000251956	chr1	10,003,531	AT3G61490	0.0E+00	Pectin lyase	1.58	8.85E-07	1.11	1.51E-03	Degradation, pectate lyases and polygalacturonases
MDP0000769899	chr16	3,663,551	AT5G62620	0.0E+00	Galactosyltransferase family protein	1.99	6.96E-12	1.83	5.72E-10	Hemicellulose synthesis
MDP0000212077	chr12	26,993,787	AT5G26670	5.0E-54	Pectinacetylesterase	1.89	4.61E-08	1.41	1.49E-04	Pectin esterases
MDP0000163228	chr1	7,557,944	AT3G59010	9.0E-41	pectinesterase family protein	1.32	4.21E-02	1.48	1.98E-02	Pectin esterases
Secondary metabolism										
MDP0000641583	chr14	22,437,602	AT5G13830	9.0E-99	CHS (CHALCONE SYNTHASE)	-1.80	1.99E-02	-1.92	1.29E-02	Flavonoids, chalcone synthesis
MDP0000287919	chr15	6,482,023	AT5G13930	8.0E-136	CHS (CHALCONE SYNTHASE)	-2.22	5.40E-04	-3.32	3.10E-08	Flavonoids, chalcone synthesis
MDP0000257119	chr2	35,099,120	AT5G13930	3.0E-76	CHS (CHALCONE SYNTHASE)	-3.02	1.04E-05	-2.56	3.05E-04	Flavonoids, chalcone synthesis
MDP0000199192	chr8	4,008,132	AT5G42800	4.0E-15	DFR (DIHYDROFLAVONOL 4-REDUCTASE)	-1.84	1.40E-02	-1.72	2.64E-02	Flavonoids, dihydroflavonols
MDP0000269421	chr14	13,919,929	AT4G27250	1.0E-38	Cinnamyl-alcohol dehydrogenase	-1.58	1.05E-04	-1.75	1.22E-05	Flavonoids, dihydroflavonols
MDP0000616265	chr11	19,481,248	AT5G07990	1.0E-103	TT7 (TRANSPARENT TESTA 7)	2.70	2.97E-07	2.41	4.76E-06	Flavonoids, dihydroflavonols
MDP0000190489	chr7	14,624,837	AT5G07990	1.0E-98	TT7 (TRANSPARENT TESTA 7)	2.26	1.94E-03	2.24	2.37E-03	Flavonoids, dihydroflavonols
MDP0000113336	chr13	2,919,407	AT1G17020	1.0E-100	SRG1 (SENESCENCE-RELATED GENE 1)	-1.30	9.05E-05	-1.29	1.21E-04	Flavonoids, flavonols
MDP0000273276	chr3	29,140,323	AT1G17020	6.0E-112	SRG1 (SENESCENCE-RELATED GENE 1)	-2.48	1.24E-18	-2.63	1.22E-20	Flavonoids, flavonols
MDP000012534	chr7	690,055	AT4G34135	1.0E-141	UGT73B2 (UDP-GLUCOSYLTRANSFERASE 73B2)	-1.78	8.22E-03	-3.14	1.18E-08	Flavonoids, flavonols
MDP0000196484	chr5	19,339,505	AT1G78490	3.0E-122	HMG1 (HYDROXY METHYLGLUTARYL COA REDUCTASE 1)	-2.15	1.78E-04	-1.76	2.75E-03	Isoprenoids, mevalonate pathway
MDP0000552015	chr16	15,611,401	AT1G76490	3.0E-122	HMG1 (HYDROXY METHYLGLUTARYL COA REDUCTASE 1)	-1.36	2.47E-02	-2.50	2.14E-05	Isoprenoids, mevalonate pathway
MDP0000831346	chr5	7,153,304	AT2G20340	2.0E-41	AROMATIC ALDEHYDE SYNTHASE	4.06	3.51E-13	3.74	4.38E-11	N misc, alkaloid-like
MDP0000460359	chr13	13,970,150	AT2G20340	2.0E-41	AROMATIC ALDEHYDE SYNTHASE	3.62	4.20E-10	3.13	1.62E-07	N misc, alkaloid-like
MDP0000588970	chr1	24,586,495	AT2G20340	2.0E-48	AROMATIC ALDEHYDE SYNTHASE	3.92	5.17E-12	3.60	4.51E-10	N misc, alkaloid-like
MDP0000379466	chr6	536,649	AT3G33590	3.0E-83	CHS (CINNAMYL COA REDUCTASE)	-1.14	5.18E-03	-2.08	3.93E-07	Phenylpropanoids, lignin biosynthesis
MDP0000381859	chr12	26,539,727	AT3G33590	3.0E-58	CHS (CINNAMYL COA REDUCTASE)	-1.13	5.24E-03	-1.89	3.31E-09	Phenylpropanoids, lignin biosynthesis
MDP0000601559	chr13	19,152,965	AT1G32100	5.0E-122	PRR1 (PINORENOL REDUCTASE 1)	-1.70	7.85E-04	-1.38	1.06E-02	Phenylpropanoids, lignin biosynthesis
MDP0000122830	chr6	22,455,861	AT2G30490	2.0E-17	C4H (CINNAMATE-4-HYDROXYLASE)	-1.70	4.51E-08	-1.16	3.95E-04	Phenylpropanoids, lignin biosynthesis
MDP0000602841	chr3	4,741,188	AT2G30490	0.0E+00	C4H (CINNAMATE-4-HYDROXYLASE)	-1.20	6.90E-03	-1.74	2.33E-05	Phenylpropanoids, lign

Table 1. (Continued)

Malus Gene ID	Chr	Position (bp)	Arabidopsis Homologue	E-value	Gene Annotation	log2(M9/ M793)	p-value	log2(M27/ M793)	p-value	GO biological process
Hormone metabolism and signaling										
MDP0000699542	chr16	7,051,695	AT5G23350	3.0E-49	GRAM domain-containing protein	-2.54	2.86E-05	-3.08	4.88E-07	Abscisic acid induced
MDP0000160965	chr8	440,733	AT5G23350	2.0E-21	GRAM domain-containing protein	-3.85	5.70E-11	-4.34	7.60E-13	Abscisic acid induced
MDP0000219792	chr11	19,060,813	AT3G43600	2.0E-50	AAO2 (ALDEHYDE OXIDASE 2)	-3.09	2.81E-07	-3.45	1.81E-08	Abscisic acid synthesis
MDP0000220497	chr9	7,565,429	AT1G52340	9.0E-74	ABA2 (ABA DEFICIENT 2)	-1.61	4.40E-02	-1.84	1.70E-02	Abscisic acid synthesis
MDP0000222759	chr15	11,097,434	AT1G52340	3.0E-108	ABA2 (ABA DEFICIENT 2)	-1.69	3.54E-02	-2.12	5.00E-03	Abscisic acid synthesis
MDP0000553436	chr9	5,698,950	AT3G21780	5.0E-19	UGT71B6 (UDP-glucosyl transferase 71B6)	-2.78	7.58E-05	-2.76	9.51E-05	Abscisic acid response
MDP0000553484	chr8	17,770,010	AT3G21780	8.0E-111	UGT71B6 (UDP-glucosyl transferase 71B6)	-3.85	9.41E-12	-4.35	2.23E-14	Abscisic acid response
MDP0000426138	chr9	10,907,313	AT3G21780	3.0E-100	UGT71B6 (UDP-glucosyl transferase 71B6)	-3.85	2.38E-27	-4.37	2.33E-27	Abscisic acid response
MDP0000020317	chr2	34,487,588	AT2G21050	0	LIKE AUXIN RESISTANT 2 (LAX2)	-1.21	7.68E-05	-1.04	1.18E-03	Auxin transport
MDP0000155074	chr16	22,496,600	AT2G21050	0	LIKE AUXIN RESISTANT 2 (LAX2)	-1.47	4.40E-06	-1.19	3.91E-04	Auxin transport
MDP0000402444	chr11	25,963,413	AT5G54510	0.0E+00	GH3.6 (GRETCHEN HAGEN 3.6)	-2.55	1.36E-06	-1.92	6.25E-04	Auxin homeostasis
MDP0000737171	chr10	15,110,406	AT3G07390	8.0E-44	AIR12 (AUXIN-INDUCED IN ROOT CULTURES 12)	-2.16	2.50E-07	-2.11	4.91E-07	Auxin induced
MDP0000148780	chr5	22,434,953	AT5G18210	2.0E-24	SAUR20	-1.11	1.47E-02	-1.00	3.56E-02	Auxin induced
MDP0000264686	chr4	5,926,940	AT4G12410	3.0E-39	SAUR34	-2.66	3.80E-05	-3.63	2.35E-09	Auxin induced
MDP0000218840	chr15	42,889,538	AT4G33430	3.0E-61	BAK1 (BR1-ASSOCIATED RECEPTOR KINASE)	-2.16	6.24E-06	-3.04	1.61E-11	Brassinosteroid signal transduction
MDP0000181429	chr16	321,670	AT1G27320	6.0E-149	AHK3 (HISTIDINE KINASE 3)	-3.78	9.32E-12	-2.94	1.25E-08	Cytokinin signal transduction
MDP0000659407	chr0	74,391,537	AT1G27320	3E-35	AHK3 (HISTIDINE KINASE 3)	-2.86	4.20E-05	-2.42	8.83E-04	Cytokinin signal transduction
MDP0000264875	chr15	38,683,935	AT5G21482	4.0E-33	CKX7 (CYTOKININ OXIDASE 7)	-4.82	1.25E-20	-4.51	5.51E-18	Cytokinin degradation
MDP0000565846	chr2	4,430,533	AT3G24500	2.0E-48	MBF1C (MULTIPROTEIN BRIDGING FACTOR 1C)	-3.16	1.74E-07	-1.77	1.05E-02	Ethylene signal transduction
MDP0000909869	chr12	17,102,852	AT2G40940	2.0E-46	ERS1 (ETHYLENE RESPONSE SENSOR 1)	-1.49	8.24E-04	-1.23	9.58E-03	Ethylene signal transduction
MDP0000868587	chr11	26,886,456	AT3G15210	1.0E-20	ERF4 (ETHYLENE RESPONSIVE ELEMENT BINDING FACTOR 4)	-2.58	9.42E-05	-3.18	4.44E-07	Ethylene signal transduction
MDP0000298004	chr8	3,972,803	AT5G25900	4.0E-173	GA3 (GA REQUIRING 3)	-1.06	1.54E-02	-1.43	6.47E-04	Gibberellin synthesis
MDP0000137705	chr10	19,867,370	AT1G78440	1.0E-109	GA2OX1 (gibberellin 2-oxidase 1)	-1.40	2.99E-02	-1.61	9.65E-03	Gibberellin synthesis
MDP0000489444	chr10	26,712,913	AT1G76690	1.0E-153	OPR2	-2.54	4.27E-05	-1.56	1.91E-02	Jasmonic acid synthesis
MDP0000657546	chr15	42,503,852	AT1G76690	6.0E-168	OPR2	-1.97	7.95E-12	-1.46	7.41E-07	Jasmonic acid synthesis
MDP0000211165	chr15	15,872,771	AT3G21420	5.0E-143	LABO (LATERAL BRANCHING OXIDOREDUCTASE)	-2.37	8.07E-07	-1.58	3.47E-03	Strigolactone synthesis
Redox homeostasis										
MDP0000238881	chr9	1,236,749	AT1G06620	1.0E-122	2-oxoglutarate-dependent dioxygenase	-1.18	7.80E-03	-1.41	8.82E-04	oxidation-reduction
MDP0000188827	chr2	4,729,118	AT1G06650	3.0E-94	2-oxoglutarate-dependent dioxygenase	-2.71	4.70E-05	-2.42	4.33E-04	oxidation-reduction
MDP0000205515	chr10	32,783,598	AT1G06650	9.0E-111	2-oxoglutarate-dependent dioxygenase	-2.91	3.87E-06	-2.45	2.02E-04	oxidation-reduction
MDP0000281562	chr3	28,007,904	AT1G06650	6.0E-119	2-oxoglutarate-dependent dioxygenase	-3.60	4.44E-10	-3.44	3.42E-09	oxidation-reduction
MDP0000203294	chr6	7,752,894	AT4G12330	3.0E-67	CYP706A7	-1.67	3.47E-04	-1.72	2.36E-04	Oxidation-reduction
MDP0000196590	chr2	29,626,646	AT4G12330	1.0E-34	CYP706A8	-2.93	1.07E-08	-2.83	4.68E-08	Oxidation-reduction
MDP0000877442	chr9	18,859,757	AT4G19170	2.0E-13	NCE4 (NINE-CIS-EPOXYCAROTENOID DIOXYGENASE 4)	-2.99	1.93E-06	-2.45	2.68E-04	oxidation-reduction
MDP0000152184	chr6	1,759,704	AT3G27820	0.0E+00	MDAR4 (MONODEHYDROASCORBATE REDUCTASE 4)	-1.92	1.16E-11	-2.92	6.89E-28	redox, ascorbate and glutathione
MDP00000942136	chr16	13,119,516	AT1G75270	3.0E-34	DHAR2 (DEHYDROASCORBATE REDUCTASE 2)	-4.31	1.39E-21	-4.50	1.29E-23	redox, ascorbate and glutathione
MDP0000146156	chr5	7,653,749	AT1G75270	5.0E-34	DHAR2 (DEHYDROASCORBATE REDUCTASE 2)	-4.10	1.83E-18	-4.82	8.66E-26	redox, ascorbate and glutathione
MDP0000399965	chr12	17,634,630	AT3G09640	6.0E-33	APX2 (ASCORBATE PEROXIDASE 2)	-1.45	4.78E-02	-1.91	5.13E-03	redox, ascorbate and glutathione
MDP0000296991	chr4	21,337,944	AT5G28840	0.0E+00	GDP-D-MANNOSE 3,5-EPIMERASE	-1.63	1.58E-05	-1.26	1.75E-03	redox, ascorbate and glutathione
MDP0000951479	chr12	30,165,809	AT5G28840	0.0E+00	GDP-D-MANNOSE 3,5-EPIMERASE	-2.41	5.88E-05	-1.11	2.70E-03	redox, ascorbate and glutathione
MDP0000182613	chr2	5,560,130	AT4G29210	0.0E+00	GGT4 (GAMMA-GLUTAMYL TRANSPEPTIDASE 4)	-1.16	5.97E-05	-1.58	6.50E-09	redox, ascorbate and glutathione
MDP0000220086	chr14	17,228,110	AT3G10920	6.0E-29	MSD1 (MANGANESE SUPEROXIDE DISMUTASE 1)	-2.11	4.00E-03	-2.59	2.15E-04	redox, dismutases and catalases
MDP0000312359	chr10	7,803,360	AT2G18060	3.0E-69	AHB1 (ARABIDOPSIS HEMOGLOBIN 1)	-2.78	7.40E-10	-3.66	5.94E-17	redox, heme
MDP0000132688	chr13	5,194,586	AT5G39950	5.0E-37	ATHRX2 (THIOREDOXIN 2)	-1.67	3.41E-03	-1.27	4.72E-02	redox, thioredoxin
MDP0000322095	chr3	29,872,818	AT5G20400	3.0E-27	2OG-Fe(II) oxygenase family protein	-2.84	1.75E-18	-1.53	8.79E-07	oxidation-reduction
MDP0000285044	chr10	20,168,095	AT1G52800	3.0E-83	2OG-Fe(II) oxygenase family protein	-2.51	5.88E-05	-1.42	3.89E-02	oxidation-reduction
MDP0000436890	chr11	7,863,247	AT1G52800	5.0E-84	2OG-Fe(II) oxygenase family protein	-1.57	1.01E-02	-1.63	7.64E-03	oxidation-reduction
MDP0000451182	chr1	25,135,126	AT5G51890	1.0E-131	Peroxidase	-4.39	2.91E-22	-4.21	2.22E-20	Response to oxidative stress
MDP0000643021	chr7	23,203,353	AT5G51890	1.0E-119	Peroxidase	-3.02	4.55E-08	-2.78	7.65E-07	Response to oxidative stress
MDP0000312095	chr15	18,603,794	AT5G66390	1.0E-132	PER72 (PEROXIDASE72)	-1.37	3.50E-03	-1.07	3.82E-02	Response to oxidative stress

Abbreviations: Chr, chromosome; CHS, chalcone synthase; DEG, differentially expressed gene; GO, gene ontology; M9, Malling 9; RG, Royal Gala. Data are log base 2 relative to 'M793' and is based on six biological replicates per genotype. Chromosome 0 indicates an unmapped gene model. Red/orange indicates upregulation in dwarfing rootstocks; green indicates downregulation.

and MDP0000283839) were expressed more highly in 'M27' and 'M9' from 60 to 120 DABB, but were at similar levels to 'M793' at 180 DABB, towards the end of the growing season (Figure 4a and Supplementary Figure S2). *MdSUS4* (MDP0000252802) expression was higher in 'M793' throughout the year, with the greatest difference at 120 DABB, the middle of summer (Figure 4b).

We next analysed non-structural carbohydrate concentrations in scion stem, rootstock stem and roots comparing 'RG' scions grafted to either 'M9' or 'RG' rootstocks ('RG'/M9' and 'RG'/RG', respectively). For each tissue type, the starch concentration was twice as high in 'RG'/M9' relative to 'RG'/RG' (Figure 4c). Sorbitol concentration was lower in 'M9' roots (Figure 4d). Glucose and fructose levels in 'RG'/M9' trees were less than half that in equivalent tissues from the homo-grafted trees (Figures 4e and f). *myo*-inositol concentrations were lower in 'M9' roots and 'RG' scion stems on 'M9' rootstocks (Figure 4g). Sucrose and galactose concentrations were similar in both treatments (Supplementary Figure S3). The carbohydrate analysis is consistent with the gene expression data and together indicates an imbalance of starch, glucose, fructose and *myo*-inositol in the dwarfing rootstocks relative to non-dwarfing ones. Because 'RG' is not normally used as a rootstock, scion growth was analysed prior to the carbohydrate analysis (250 DABB). 'RG'/RG' trees developed 60% more nodes than the 'RG'/M9' trees, largely due to homo-grafted trees having threefold more sylleptic shoots than the 'RG'/M9' (Supplementary Table S2).

Reduced cell wall synthesis in dwarfing rootstocks

Genes promoting cell wall biosynthesis and modification were downregulated in dwarfing rootstocks, whereas genes promoting

cell wall hydrolysis and catabolism were upregulated. Multiple cellulose synthase genes were downregulated in dwarfing rootstocks, whereas cellulases, pectin lyase and glycosyl hydrolases were upregulated (Table 1). To investigate this further, gene expression was measured by qRT-PCR over a time course. *MdCellulose synthase A* (*MdCES A*, MDP0000313995), which encodes a key enzyme in secondary cell wall synthesis, was expressed higher in 'M793' throughout the year (Figure 5a). In contrast, genes promoting cell wall degradation were upregulated in dwarfing rootstocks (Table 1). *MdBeta-D-xylosidase 7* (*MdBXL7*, MDP0000156045) encodes a glycoside hydrolase involved with the degradation and reorganisation of the cell polysaccharides.⁴⁷ *MdBXL7* was expressed much higher in the dwarfing rootstocks throughout the year (Figure 5b).

DEG shows flux towards flavonoid and away from lignin biosynthesis in dwarfing rootstocks

Many of the secondary metabolism genes were downregulated in dwarfing rootstocks relative to 'M793' (Table 1). 4-coumaroyl CoA is a key branch point at which compounds divert to either the flavonoid or lignin synthesis pathway. The KEGG pathway for phenylpropanoid biosynthesis shows the upregulation of the lignin synthesis pathway in vigorous rootstock tissue (Figure 6a). *MdCaffeic acid O-methyltransferase* (*MdCAOMT*, MDP0000656929), which encodes a key enzyme in lignin biosynthesis, was expressed at much lower levels in the dwarfing rootstocks throughout the year (Figure 6b). Much of the lignin produced is incorporated into secondarily thickened cell walls, especially that of xylem cells.⁴⁸ *MdFlavonoid 3' hydrolases* (*MdF3'H*, MDP0000616265, MDP0000190489) were upregulated in dwarfing rootstocks (Figure 6c and Table 1).

Figure 4. Dwarfing rootstocks accumulate more starch and less glucose and fructose. Relative expression levels of (a) *Mdstarch synthase 2* (*MdSS2*) and (b) *Mdsucrose synthase 4* (*MdSUS4*). Symbols are averages of four to six biological replicates, bars are s.e. Average values of (c) starch, (d) sorbitol, (e) glucose, (f) fructose and (g) myo-inositol in the roots, the rootstock stem and scion stem of 'RG'/'M9' and 'RG'/'RG' grafted trees; bars indicate standard error. Values were compared by analysis of variance (ANOVA); significant differences between rootstock treatments are indicated by asterisks, **P* value < 0.05, ***P* value < 0.01.

The upregulation of *MdF3'H* in dwarfing rootstocks suggests an increase in flavonoid biosynthesis (Supplementary Figure S4). To identify any differences in secondary metabolite concentration between dwarfing and non-dwarfing rootstocks, we performed LC–HRAM–MS on stem tissue from the 'RG'/'M9' and 'RG'/'RG' trees used for carbohydrate analysis. The LC–HRAM–MS workflow produced a metabolite data table containing intensity values for 200 metabolites with each metabolite labelled by accurate mass

m/z and liquid chromatography retention time. Forty-four metabolites that differed in concentration between 'RG' and 'M9' were selected for further analysis (Supplementary Figure S5). The majority of the compounds that could be tentatively identified were flavonoids, 19 were found in 'M9' and 3 in 'RG' (Table 2). Different isoforms of phloretin coumarylglucoside over-accumulated in both 'M9' and 'RG'. There was a tenfold higher concentration of the amino-acid arginine in 'M9' relative to 'RG'.

Figure 5. Reduced cell wall synthesis in dwarfing rootstocks. Relative expression of (a) *MdCellulose synthase A* (*MdCESA*), a key cell wall synthesis gene, and (b) *MdBeta-D-xylosidase 7* (*MdBXL7*), which promotes cell wall degradation. Symbols are averages of four to six biological replicates; bars are s.e.

Figure 6. Differentially expressed gene (DEG) in phenylpropanoid biosynthesis pathways. Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways showing genes that promote phenylpropanoid biosynthesis. The left side of each box represents the relative expression of 'M27'/'M793', the right is 'M9'/'M793'; red is upregulated, green is downregulated. Relative expression of (c) *MdCaffeic acid O-methyltransferase* (*MdCAOMT*) and (d) *MdFlavonoid 3' hydroxylase* (*MdF3'H*). Symbols are averages of four to six biological replicates; bars are s.e.

Table 2. Results of LC–HRAM–MS analysis of 'RG' and 'M9' stem tissue from grafted trees

Annotation	Type	Metabolite	'RG'	'M9'	FC 'M9'/'RG'
Arginine	Amino acid	0.58 min: 521.326 m/z	35.0	355.5	10.16
Eriodictyol-hexoside	Flavonoid	5.35 min: 449.109 m/z	142.2	952.6	6.70
Eriodictyol	Flavonoid	5.35 min: 287.059 m/z	74.6	470.7	6.31
Phloretin coumarylglucoside (?)	Flavonoid	11.09 min: 581.162 m/z	118.9	514.1	4.33
Isorhamnetin ?-rhamnoside	Flavonoid	7.04 min: 461.109 m/z	167.7	490.0	2.92
Procyanidin tetramer	Flavonoid	4.41 min: 1153.258 m/z	31.3	71.7	2.29
Quercetin ?-rhamnoside	Flavonoid	6.90 min: 447.093 m/z	77.9	173.9	2.23
p-coumaryl quinic acid	Phenolic acid	4.01 min: 337.095 m/z	616.4	1362.9	2.21
Frag-p-coumaryl quinic acid	Phenolic acid	4.01 min: 173.048 m/z	145.2	302.3	2.08
Phloretin-2'-O-xyloglucoside	Flavonoid	6.10 min: 567.170 m/z	368.5	726.3	1.97
Kaempferol-?-pentoside	Flavonoid	6.97 min: 417.084 m/z	129.6	254.6	1.96
Procyanidin B2	Flavonoid	3.42 min: 577.133 m/z	262.6	450.5	1.72
Procyanidin dimer (B5?)	Flavonoid	5.37 min: 577.132 m/z	69.8	111.3	1.60
Kaempferol-?-pentoside	Flavonoid	6.71 min: 417.084 m/z	84.4	134.5	1.59
Procyanidin trimer	Flavonoid	4.17 min: 865.188 m/z	224.5	347.4	1.55
Epicatechin	Flavonoid	3.83 min: 289.074 m/z	1281.6	1948.1	1.52
Kaempferol 3-rhamnoside	Flavonoid	7.17 min: 431.098 m/z	355.3	506.2	1.42
Mearnsetin-glycoside	Flavonoid	6.29 min: 477.103 m/z	2155.5	2949.5	1.37
Quercetin 3-arabinoside adduct	Flavonoid	6.18 min: 867.152 m/z	119.8	158.5	1.32
Quercetin 3-xyloside	Flavonoid	5.89 min: 433.078 m/z	942.4	1189.5	1.26
Quercetin 3-rhamnoside	Flavonoid	6.35 min: 447.093 m/z	3542.5	4338.7	1.22
Quercetin 3-arabinoside	Flavonoid	6.23 min: 433.078 m/z	1554.4	1867.8	1.20
Phloretin ?-pentoside	Flavonoid	7.53 min: 405.120 m/z	95.0	109.5	1.15
Myricetin 3-pentoside	Flavonoid	4.65 min: 449.073 m/z	135.2	80.4	-1.68
Sucrose(quinic) adduct		0.67 min: 533.171 m/z	313.0	175.1	-1.79
Quercetin 3-galactoside	Flavonoid	5.54 min: 463.088 m/z	389.3	202.7	-1.92
Quinic acid	Organic acid	0.67 min: 191.059 m/z	1069.8	447.0	-2.39
Oxo-dihydroxy-urs-12-ene-28-oic acid	Terpene	14.92 min: 485.326 m/z	3465.1	495.2	-7.00
Trihydroxy-urs-12-ene-28-oic acid	Terpene	14.05 min: 487.341 m/z	689.1	94.4	-7.30
Phloretin coumarylglucoside (?)	Flavonoid	9.74 min: 581.166 m/z	640.8	38.1	-16.83

Abbreviations: LC–HRAM–MS, liquid chromatography–high-resolution accurate mass–mass spectrometry; M9, Malling 9; RG, Royal Gala. Values are the means of two technical replicates of each individual and six biological replicates each of 'RG' and 'M9'. The liquid chromatography retention time and accurate mass (*m/z*) for each metabolite is given. Concentrations are in units per mg dry weight, FC indicates fold change of 'M9' relative to 'RG'. The annotation is based on accurate mass and chemical formula calculations and authentic standards when available. The question marks indicate that a clear annotation could not be made.

DEG involved with hormone metabolism and signalling

Most of the DEGs involved with hormone synthesis and response were downregulated in dwarfing rootstocks (Table 1). Absciscic acid synthesis and response genes were highly downregulated in dwarfing rootstocks. Both copies of the auxin influx transporter, *LIKE AUXIN RESISTANT 2* (*MdLAX2*, MDP0000020317 and MDP0000155074) and two *Small Auxin Upregulated* (*SAUR*) genes (MDP0000737171 and MDP0000148780) were downregulated in dwarfing rootstocks. A *Grechen Hagen 3.6 gene* (*MdGH3.6*, MDP0000402444), involved with auxin homeostasis, was upregulated. *BRI-associated receptor kinase* (*MdBAK1*, MDP0000218840), part of the brassinosteroid signalling pathway, was highly upregulated in both dwarfing rootstocks, although there was no evidence of altered expression of brassinosteroid response genes. Likewise, the genes encoding ethylene signal transduction proteins were upregulated in dwarfing rootstocks, but no changes in ethylene response genes were detected. Both copies of the cytokinin signalling *histidine kinase 3* (*MdAHK3*, MDP0000181429 and MDP0000659407) were downregulated, whereas the apple homologue of *Cytokinin oxidase 7* (*MdCKX7*, MDP0000264875) was upregulated in dwarfing rootstocks. Gibberellin and jasmonic acid synthesis genes were downregulated. An oxidoreductase that promotes the synthesis of strigolactone was upregulated.

We identified other members of the *MdAUX1/LAX* family of auxin influx transporters and monitored expression of *MdAUXIN RESISTANT 1* (*MdAUX1*, MDP0000155113) over the year. At 60 DABB, *MdAUX1* was expressed at similar, low levels in all rootstocks. However, during the period of maximum growth from 120 to 180 DABB, *MdAUX1* expression levels in 'M793' rose to

nearly twice that of the dwarfing rootstocks' (Figure 7a). *MdSAUR32* (MDP0000367919) expression was reduced in dwarfing rootstocks throughout the year (Figure 7b). *MdGH3.6* expression was higher in the dwarfing rootstocks, but only at 60 DABB (Figure 7c). *MdCKX7* was upregulated in both 'M9' and 'M27' (Figure 7d).

Redox status, signalling kinases and stress response

Most of the DEG genes regulating cellular redox homeostasis were upregulated in dwarfing rootstocks. Both copies of *Dehydroascorbate reductase*, central to ascorbate and glutathione metabolism, were expressed at much higher levels in dwarfing rootstocks (Table 1). Three peroxidase genes were highly upregulated in dwarfing rootstocks, indicating response to oxidative stress. The only redox genes that were downregulated were three encoding 2OG-Fe (II) oxygenase proteins.

Genes encoding leucine-rich repeat transmembrane kinases were upregulated in both 'M793' and in the dwarfing rootstocks (Supplementary Table S3). Type VIII leucine-rich repeats were upregulated in dwarfing rootstocks, whereas type XII were predominantly upregulated in 'M793'. The only functional category that was upregulated only in 'M9' and 'M27' were the Wall-associated kinases (WAK), which bind pectin and are often associated with response to biotic stress. All five of the WAK genes were expressed at significantly higher levels in the dwarfing rootstocks. This result was confirmed by qPCR (Supplementary Figure S6). Biotic stress response genes were upregulated in both 'M793' and the dwarfing rootstocks.

Figure 7. Decreased auxin and cytokinin signal transduction in dwarfing rootstocks. Relative expression of (a) the auxin efflux transporter *MdAUXIN RESISTANT 1* (*MdAUX1*), auxin response genes (b) *MdSmall Auxin Upregulated 32* (*MdSAUR32*), (c) *Gretchen Hagen 3.6* (*GH3.6*) and (d) *Cytokinin dehydrogenase 7* (*MdCKX7*). Symbols are averages of four to six biological replicates; bars are s.e.

DISCUSSION

Reduced biogenesis in dwarfing rootstocks

The results of this study indicate that apple dwarfing rootstocks are in a general state of reduced cell growth and metabolism long before any visible effects are apparent in either rootstock or scion. Amino acid, lipid and cell wall biosynthesis pathways are downregulated in dwarfing rootstocks, whereas the degradation pathways of these compounds are upregulated. Lignin and cellulose biosynthesis pathways are highly downregulated in dwarfing rootstocks, consistent with reduced cell wall synthesis and modification, and possibly a contributing factor to the reduced root mass and hydraulic conductance observed in dwarfing rootstocks.

Imbalanced carbon allocation influences growth and development

Normally, starch reserves in roots are catabolized when carbon for metabolic pathways or glycolysis is limiting. We found that 'M9' accumulated large amounts of starch in roots and stem, yet had very low levels of the glucose and fructose relative to 'RG'. Even 'RG'/'M9' scions accumulated more starch and less glucose and fructose than scions in the homo-grafted trees, indicating a non-autonomous effect of 'M9' on carbohydrate allocation. *Myo*-inositol concentrations were also significantly lower in 'M9' roots and scions on 'M9' rootstocks. *Myo*-inositol is commonly used in tissue culture media and has been shown to promote apple and pear root and shoot growth in a dosage-sensitive manner.⁴⁹ A number of reviews have illustrated the role of sugars not just as nutrients, but also as signalling molecules capable of sensing nutrient status and coordinating growth and development accordingly.^{50–54} For example, sugar promotes lateral meristem outgrowth in rose,⁵⁵ pea⁵⁶ and sorghum.⁵⁷ The low

concentration of glucose, fructose and *myo*-inositol in trees with 'M9' rootstocks would have a significant impact on the physiology of both rootstock and scion.

Colby⁵⁸ used double-grafted apple trees to demonstrate that interstocks (stem segments) of 'M9' grafted onto seedling roots retained high concentrations of starch in the roots and reduced growth of scion, whereas interstocks of an invigorating genotype depleted all starch from 'M9' roots and led to vigorous growth of the scion. Higher starch concentrations in citrus roots have also been correlated with reduced scion growth.⁵⁹ On the basis of these observations and our findings, we propose that apple dwarfing rootstocks are impaired in sensing and/or maintaining the balance between starch reserves, cellulose and hexose sugars for glycolysis and cell metabolism. Because of this defect, dwarfing rootstocks act as 'super sinks', holding excess starch reserves at the expense of both root and scion growth.

Competition between sink tissues is a well-documented phenomenon in fruiting trees. Guitton *et al.*⁶⁰ described molecular signatures of carbon starvation and oxidative stress in apple buds from heavily flowering trees with a high propensity for biennial bearing. They suggest that competition for carbohydrates between developing fruit (strong sinks) and nearby apical buds (weaker sinks) leads to a local carbon depletion and reduced cellular activity in the vegetative meristems, thus blocking the onset of floral development.

New insight into reduced auxin transport in dwarfing rootstocks. Researchers have long speculated that rootstock-induced dwarfing involved reduced auxin transport.¹ Reduced auxin transport in 'M9' was finally proven late last century,^{17,18} but few advances have been made since towards identifying the underlying

mechanism responsible. The results of our RNAseq analysis indicated that the phenylpropanoid pathway showed flux towards flavonoid biosynthesis and away from lignin biosynthesis in dwarfing rootstocks. LC-HRAM-MS data confirmed the over-accumulation of flavonoids in 'M9' relative to 'RG'. Both genetic and metabolomic analyses have provided strong evidence that flavonoids inhibit polar auxin transport.^{61–68} Plants that over-accumulate flavonoids have reduced auxin transport and dwarfed phenotypes,^{67,68} and those that are blocked in flavonoid synthesis or glycosylation (CHS) have increased auxin transport and also show abnormal root and shoot phenotypes.^{62–66} Chalcone synthase catalyses the first committed step in flavonoid biosynthesis. Silencing of the apple *CHS* gene completely removed many of the same flavonoid compounds that over-accumulated in 'M9'.⁶⁶ Future research is needed to explore the role of flavonoids in rootstock-induced dwarfing. The reduced expression of *MdAUX1* and *MdLAX2* observed in the dwarfing rootstocks may also contribute to reduced polar auxin transport.

Interactions between sugar and hormone signalling

Auxin and cytokinin act antagonistically to regulate root and shoot growth, the outgrowth of axillary meristems and the synthesis and transport of one another.⁶⁹ Both have been implicated in the mode of rootstock-induced dwarfing.¹⁶ Downregulation of *SAUR* genes in dwarfing rootstocks indicates a reduced auxin response. Expression of *MdAUX1* and the auxin conjugating *MdGH3.6* was variable over the season, which likely reflects the fact that auxin signalling is tightly regulated by feedback, feedforward and cross-talk with other signalling pathways. Cytokinin signal transduction genes were downregulated, which is consistent with previous reports of lower cytokinin concentrations in 'M9' and 'M27' xylem sap.⁷⁰ *MdCKX7*, which encodes a cytokinin-degrading enzyme, was upregulated in dwarfing rootstocks. Overexpression of *CKX* genes in *Arabidopsis* and tobacco results in slow-growing, dwarfed shoots and a reduction in soluble sugars.^{71–73} Transgenic manipulation of cytokinin activity has implicated cytokinin in regulating sink strength in storage organs.^{73–75}

The xylem sap of 'M9' and 'M27' has higher ABA concentrations than that of vigorous rootstocks.²² In contrast, we found that multiple ABA biosynthesis and response genes were down-regulated in dwarfing rootstocks. Glucose increases expression of the ABA biosynthetic genes (*ABA1-ABA3*), which were also identified as *glucose insensitive (gin)* mutants.^{76–78} Numerous studies have demonstrated a clear connection between sugar-sensing pathways and hormone metabolism and signalling.^{50,79–82} Sugar promotes auxin biosynthesis^{83–85} and polar auxin transport.^{86,87} Glucose and auxin transcriptionally regulate many of the same genes and appear to act synergistically in plant development.⁸⁸ Cytokinin and glucose also share many transcriptional targets.⁸⁹ The *gin2* mutant, which is defective in glucose sensing, is hypersensitive to cytokinin and insensitive to auxin.⁷⁵ It is unclear whether the reduced glucose concentrations in dwarfing rootstocks are the cause or result of altered hormone levels or signalling, but changes to either would have a profound impact on growth and development of roots and shoots.

A recent publication comparing the transcriptomes of genetically identical *Malus* roots that had been grafted to different scion genotypes also found that hormone signal transduction and sugar metabolism genes were highly represented in the DEGs.⁹⁰ The authors concluded that the scion genotype can affect root phenotype by altering sugar metabolism, and auxin and cytokinin signalling. Our results indicate that the rootstock genotype influences scion growth by the same signalling pathways.

Genetic variation between 'M9' and 'M27' may influence gene expression

The aim of this study was to identify DEGs common to two different dwarfing rootstocks. However, it is worth noting that there were a large number of DEGs that were unique to 'M9' or 'M27', and even DEGs that were common to both did not always show the same degree of differential expression. 'M9' is a parent of 'M27',¹¹ and while both contain the dwarfing loci *Dw1* and *Dw2*, the non-dwarfing alleles of these loci, as well as many other unlinked loci differ between these two genotypes.^{12–15} Harrison *et al.*¹⁵ have reported that the specific allelic combinations at *Dw1*, *Dw2* and a region of LG13 influence the expression of rootstock-induced dwarfing. Allelic variation at these or other loci could be responsible for the differences in gene expression observed between 'M9' and 'M27'. We could not identify any obvious candidate genes that would affect carbohydrate metabolism or flavonoid biosynthesis within either the *Dw1* or *Dw2* mapping intervals. Further research is needed to identify the genetic basis for the transcriptional changes identified in this study.

CONCLUSIONS

The most consistent manifestation of apple dwarfing rootstocks is earlier termination of primary axis and sylleptic shoot growth and reduced biomass accumulation in both roots and shoots. This generally manifests within the first year of growth after grafting and becomes more pronounced with successive growth seasons and fruiting. Our results indicate that dwarfing rootstocks do not respond to sugar depletion and eventually run out of carbon to support growth in both roots and scion. By holding excess starch reserves, apple dwarfing rootstocks would be unable to provide carbon to the scion in early spring, before the scion becomes a source of fixed carbon. Once the tree began fruiting, the developing fruit would create strong carbon sinks, further increasing competition for carbon by vegetative meristems. On the basis of our findings, we propose that excess flavonoids and reduced *MdAUX1* and *MdLAX2* expression contribute to the reduced auxin transport observed in dwarfing rootstocks.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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