

Detailed characterization of, and clinical correlations in, 10 patients with distal deletions of chromosome 9p

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Purpose: Deletions of distal 9p are associated with trigonocephaly, mental retardation, dysmorphic facial features, cardiac anomalies, and abnormal genitalia. Previous studies identified a proposed critical region for the consensus phenotype in band 9p23, between 11.8 Mb and 16 Mb from the 9p telomere. Here we report 10 new patients with 9p deletions; 9 patients have clinical features consistent with 9p– syndrome, but possess terminal deletions smaller than most reported cases, whereas one individual lacks the 9p– phenotype and shows a 140-kb interstitial telomeric deletion inherited from his mother. **Methods:** We combined fluorescence in situ hybridization and microarray analyses to delineate the size of each deletion. **Results:** The deletion sizes vary from 800 kb to 12.4 Mb in our patients with clinically relevant phenotypes. Clinical evaluation and comparison showed little difference in physical features with regard to the deletion sizes. Severe speech and language impairment were observed in all patients with clinically relevant phenotypes. **Conclusion:** The smallest deleted region common to our patients who demonstrate a phenotype consistent with 9p– is <2 Mb of 9pter, which contains six known genes. These genes may contribute to some of the cardinal features of 9p deletion syndrome. *Genet Med* 2008;10(8): 599–611.

Key Words: 9p deletion, FISH, genotype-phenotype correlation, aCGH

The 9p deletion syndrome is characterized by trigonocephaly, moderate to severe mental retardation, low-set, malformed ears, and dysmorphic facial features, such as up-slanting palpebral fissures and a long philtrum.^{1,2} Furthermore, abnormal genitalia are found in some 9p– patients who have a chromosomal complement of 46, XY,³ and hypopigmentation has also been described in two independent studies.^{4,5} Since the original report of the syndrome in 1973,⁶ over 140 cases of 9p deletion have been documented. The breakpoints occur in bands from 9p22 to 9p24, and the large majority of patients have either terminal deletions or translocations involving another chromosome.

Previous studies have delineated the size of 9p deletions in an attempt to develop genotype-phenotype correlations. In one large study, Christ et al.,² characterized the deletion break-

points in 24 patients with visible 9p deletions and breakpoints at 9p22 or 9p23. Markers D9S274 (14.2 Mb from the telomere) and D9S286 (8 Mb) were absent in all 24 patients with 9p–, whereas D9S285 (16 Mb) was present in a subset of these patients. Thus, the minimal deleted segment in this group of patients included 16 Mb of the 9p terminus. Wagstaff and Hemann⁴ described a patient with typical features of 9p– syndrome and an interstitial deletion between 8 Mb and 19 Mb of 9p. Based on the data of Wagstaff and Hemann,⁴ and from their own data, Christ et al.,² modified their critical region, i.e., the distal 16 Mb of 9p, and concluded that the critical region for the 9p– syndrome lies in an ~8-Mb region between D9S285 and D9S286, encompassing bands 9p22–9p23.

Among a number of recent publications, Muroya et al.,⁷ determined the breakpoints in six patients with 9p deletions using microsatellite markers. Of these, two patients who did not show the typical phenotype observed with 9p– syndrome had small deletions (~3 Mb) of 9pter, as the marker at 2.8 Mb (D9S1136) from 9pter was present, whereas the marker at 2.1 Mb (D9S143) was absent. But neither of these cases had pure deletions: one had a duplication of 9p (9p12–p24), and the other had a trisomy of 4p (4p13–pter). Kawara et al.,⁵ described one patient who had a complex translocation and insertion involving chromosomes 2 and 9p. The major clinical features of this patient included trigonocephaly, mental retardation, and facial features commonly seen in the 9p– syndrome. Using fluorescence in situ hybridization (FISH) analysis, the region

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deleted from 9p was mapped to a 6.6-Mb region between 11.8 Mb and 18 Mb of the 9p terminus. Based on these data and those of Christ et al.,² the authors concluded that the critical region for the 9p– syndrome lies in a 4.7-Mb region between 11.4 Mb and 16 Mb of 9p; they furthermore proposed cerberus-related 1 (*CER1*) as a candidate gene for trigonocephaly. Faas et al.,⁸ described another patient who had a 14.8 Mb deletion of 9pter (9p22.3-pter) and a 50.9 Mb duplication of chromosome 9 (9p22.3-q12). The patient had trigonocephaly and facial features consistent with 9p– syndrome. Based on the data published by Kawara et al.,⁵ the authors concluded that a 3.5 Mb region, between 11.4 Mb and 14.9 Mb, is the critical region for 9p– syndrome.

In three studies^{2,5,8} the deletions of 9p were cytogenetically visible and the breakpoints were more than 10 Mb from 9pter. In fact, only a few cases with 9p deletions smaller than 10 Mb have been reported to date, which is not unexpected, since the detection of subtle telomeric deletions presents a challenge in routine G-banded cytogenetic studies. With the availability of higher-resolution methods to detect and characterize copy number imbalances in the human genome, including FISH and array comparative genomic hybridization (aCGH), individuals with cryptic 9p deletions have been identified in recent years.^{9–11}

We have identified five patients with cryptic 9p telomere deletions and five cases with cytogenetically visible deletions. Of these 10 new cases, 4 have pure, terminal deletions, 4 have unbalanced translocations, one has a terminal deletion and an adjacent duplication, and one has an interstitial telomeric deletion. FISH and aCGH were utilized to map the breakpoints in these cases. In addition, the clinical phenotypes of these individuals were compared. Based on our mapping data and phenotype comparisons, we have contributed to the delineation of genotype-phenotype correlations for the 9p deletion syndrome.

MATERIALS AND METHODS

Case descriptions

Patients were ascertained through the Clinical Cytogenetic Laboratories at Emory University and the University of Chicago and through referrals to our telomere research project. This study was carried out under protocols approved either by the Institutional Review Board at Emory University or the University of Chicago. Clinical data for patients were obtained either by physical examination or a thorough review of the medical history.

Obstetric nomenclature: pregnancies, full-term deliveries, premature deliveries, abortions (spontaneous or induced), living infants.

Case 1

Case 1 was born to a 25-year-old G2P0010 mother and a 25-year-old father. The patient was born at 40 weeks' gestation by cesarean section. His birth weight was 3.1 kg (25th–50th centile) with a birth length of 47 cm (25th centile). Aside from mild jaundice, there were no neonatal problems.

Case 1 has long-standing difficulties with excessive weight gain. At 1 year of age, his weight was 16.3 kg (\gg 97th centile; 50th centile for 3–3/4 years). He also has experienced an unusual sleep pattern; once or twice every few months he will have episodes in which he sleeps between 12 and 48 hours. During these times his family cannot rouse him. Afterward he has slurred speech and regression in both emotional and developmental milestones. It takes him about 7–10 days to fully recover. A head magnetic resonance image (MRI) was normal, but the patient does have a known seizure disorder. It is unclear whether these episodes of sleeping are a manifestation of seizure activity.

On physical examination at 4 years, Case 1 weighed 23.6 kg (\gg 97th centile; 50th centile for 7–1/4 years). His height was 95.9 cm (50th centile), and his head circumference was 49.5 cm (50th centile). No dysmorphic features were noted. Aside from his weight and mild hypotonia, his physical examination was normal, as was a cardiology evaluation.

Case 1 had a normal 46, XY karyotype at 625 bands. Methylation studies for Prader-Willi syndrome were negative and he had a normal muscle biopsy. Molecular studies for fragile X and FRAXE were normal. Telomere FISH analysis demonstrated a deletion of the 9p telomere. Parental studies showed that his mother also had this same 9p deletion.

The mother has had several medical issues herself. She has a history of petit mal seizures with onset at 13 years. She has been seizure-free off antiseizure medication since age 18. She is developmentally normal.

Case 2

Case 2 is now a 6-year-old girl born at 37–1/2 weeks by repeat C-section. Her birth weight was 2.72 kg (10th–25th centile) with a birth length of 45.7 cm (5th centile). Although she had failure to thrive as an infant, this has since resolved. During childhood she was diagnosed with moderate mental retardation. She has also been described as having autistic-like features. A physical examination demonstrated arching eyebrows, a long philtrum with thin upper lip, posteriorly rotated and low-set ears, and clinobrachydactyly. No major malformations have been noted, but she does have a history of mild hypotonia. Formal vision and hearing tests have been normal. A routine chromosome analysis was normal, as was a molecular study for fragile X. The patient was found to have a de novo cryptic deletion of 9p by telomere FISH analysis.

Case 3

Case 3 was the product of a 41.5-week gestation. He was born by cesarean section secondary to failure to progress and macrocephaly. His birth weight was 4.6 kg ($>$ 95th centile) with a length of 54.6 cm (95th centile) and a head circumference of 38.1 cm (98th centile). He had no problems after birth. As an infant, he had difficulties with multiple episodes of otitis media and sinusitis, which required the placement of myringotomy tubes and adenoidectomy, respectively. Hearing before ear tube placement was assessed as “adequate.” He has had

neither formal cardiac or renal assessments, nor any head imaging studies.

In terms of development, Case 3 was notably delayed (see Table 1). He had been given a presumptive diagnosis of pervasive developmental disorder not otherwise specified.

His physical examination was significant for generalized overgrowth and dysmorphic features. At 28 months his weight was 19 kg (\gg 95th centile) with a height of 98.5 cm ($>$ 95th centile) and a head circumference of 53.8 cm ($>$ 98th centile). He had mild midline prominence of the forehead with a fading glabellar hemangioma. He was noted to have pseudostrabismus with an otherwise normal ophthalmologic evaluation. He had narrow and down-slanting palpebral fissures, periorbital fullness, and medial eyebrow flare. He had posteriorly rotated ears, which were 6 cm ($>$ 97th centile) bilaterally. The patient also had an upturned bulbous nasal tip, with a wide nasal bridge and small nares. His cheeks were full with a small mouth and tented upper lip. He had spatulate fingertips and short fifth digits. His halluces were short and wide. He had mild hypotonia. He had no history of seizures and an EEG was normal. He had a normal G-banded chromosome analysis at 600 bands. Telomeric FISH analysis demonstrated a deletion of the 9p telomere region.

Case 4

Case 4 was born to a 28-year-old G4P3 mother. A fetal echocardiogram showed an abnormal azygos vein. Case 4 was born at 36 weeks' gestation by cesarean section due to double-footling breech positioning. Her birth weight was 3.003 kg (75th–90th centile) with a length of 51 cm ($>$ 90th centile) and a head circumference of 35.5 cm ($>$ 90th centile). She was noted to have dysmorphic features, including a prominent metopic ridge with trigonocephaly. She had short palpebral fissures and ocular hypotelorism. Her ears were low-set and small, measuring 2.7 cm ($<$ third centile) bilaterally. She had a prominent nose with short columella and a high arched palate. She also had hypoplastic and low-set nipples, prominent labia minor, and an anteriorly placed but patent anus. The fingers were long and slender with fifth digit clinodactyly. The feet measured 7.7 cm ($>$ 90th centile) bilaterally, with the second and fourth toes overlapping the third toes bilaterally. She was noted to be hypotonic.

Postnatal imaging studies are listed in Table 2. A head computed tomography demonstrated fusion of the metopic suture with a significant increase in extra-axial space, which appeared to be subarachnoid. There was a marked reduction in the folding of the cortex, with smooth frontal lobes and significantly immature morphology of the Sylvian fissure. The corpus callosum appeared to be intact, but the cerebellum was small. A 3-dimensional reconstruction revealed severe narrowing of the posterior choana bilaterally, consistent with bony choanal atresia. The roof of the ethmoid anterior to the crista galli was absent, with a possible encephalocele. Case 4 succumbed in the neonatal period. G-banded chromosome analysis demonstrated a de novo 46, XX, der(9)t(9;15)(p24.3;q25) karyotype.

Table 1
Developmental outcomes in 10 patients with 9p–

Feature	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7	Case 8	Case 9	Case 10	No. cases	Percent
Gross motor	Slight delay with episodes of regression	U	Sat 6 mo, pulled to stand 10 mo, crawled 10–11 mo, walked 15 mo	N/A	U	Crawled 1 yr, walked 5 yrs	Possible gross motor delay (6 mo)	Sat 8–9 mo, combat crawl 1 yr; walk 21 mo; motor apraxia	Rolling 21 mo, sitting 22 mo; at 25 mo, not pulling to stand, will scoot on bottom	Rollled 8 mo, 23 mo able to walk with walker	6/6	100
Speech	Slight delay with episodes of regression	Moderate to severe language delay (5yrs)	No language (28 mo)	N/A	Speech delay (7 yrs)	No language (7 yrs)	N/A	1 st word at 3 yrs; delayed expressive and receptive language	5 words (25 mo)	No language (23 mo)	7/7	100
Behavior	Poor socialization skills	Autistic-like	Autistic-like	U	Autistic-like	U	N/A	Biting, short attention span	Frequent temper tantrums	Self-stimulatory behaviors	6/6	100

N/A, indicates not applicable; U, unknown; mo, months.

Table 2
Postnatal major malformations in ten patients with 9p–

Organ Systems	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7	Case 8	Case 9	Case 10
Brain/skull	NI by MRI	NI	NA	Reduced folding of the cortex with extraaxial fluid; bony choanal atresia	NI by CT	NA	Structurally NI by CT	NI by MRI	NI by US	NI by MRI
Ophthalmology	NA	NI	NI	NA	NA	NA	NI	NI	NI	NA
Cardiac	NA	NI	NA	R Ao arch and VSD	NI	NA	VSD, PDA, PFO	NA	NA	NA
Genitourinary	NA	NI	NA	Bilateral hydronephrosis	NI	NA	NI	Ventral deficiency of foreskin, R cryptorchidism	R cystic metanephric mass with R atretic ureter	Min L renal fullness

Ao indicates aortic; CT, computed tomography; L, left; Min, minimal; MRI, magnetic resonance imaging; NA, not assessed; NI, normal; PDA, patent ductus arteriosus; PFO, patent foramen ovale; R, right; US, ultrasound; VSD, ventriculoseptal defect.

Case 5

Case 5 was initially evaluated because of a history of regression and speech delay. She was a twin delivered at 38 weeks' gestation with a birth weight of 2.78 kg (25th centile). She initially began speaking single words at 1 year of age; however, by 2 years of age she lost her words altogether. She subsequently slowly learned to speak again, such that by 5 years of age she said 12 words. She was noted to have poor eye contact and impairment in social interactions, and was therefore diagnosed with autism (autism quotient 104, which is at the 61st centile).

On examination she was noted to have several dysmorphic features, including brachycephaly, down-slanting palpebral fissures with deep-set eyes, midface hypoplasia, long philtrum with thin upper lip, moderate hypotonia, and a wide-based and unsteady gait. A formal ophthalmology evaluation demonstrated exotropia. Cardiac, renal, and skeletal systems were formally assessed with no abnormalities found. The patient is not known to have seizures. Formal hearing tests were normal. She has no known behavioral problems. She had a normal G-banding analysis at the 650-band level. Telomere FISH analysis demonstrated monosomy for 9pter and trisomy for 3pter.

Case 6

Case 6 is now a 7-year-old girl born to a 27-year-old G3P2002 mother. She was born at term with a birth weight of 3.49 kg (50th–75th centile). She was noted to have dysmorphic features after birth. An abdominal ultrasound demonstrated somewhat enlarged adrenal glands. An initial chromosome analysis detected additional material on the short arm of chromosome 9.

As a child, Case 6 had multiple episodes of otitis media, necessitating the placement of pressure-equalizing tubes (PET) on approximately three occasions. She was noted to have mild hearing loss, presumed to be conductive in nature. She was noted to have mild gastroesophageal reflux, and an upper endoscopy demonstrated esophageal diverticula. Case 6 has developmental delay (see Table 1 for details).

At age 7 years Case 6 was noted to have microcephaly with a head circumference of 48 cm (<second centile). Her weight was 24 kg (50th–75th centile) with a height of 111.1 cm (<5th centile, 50th centile for 5–1/2 years). She had mild hypertelorism with low-set eyebrows. Her ears were mildly low-set and posteriorly rotated with thick helices and fleshy lobules (Fig. 1,

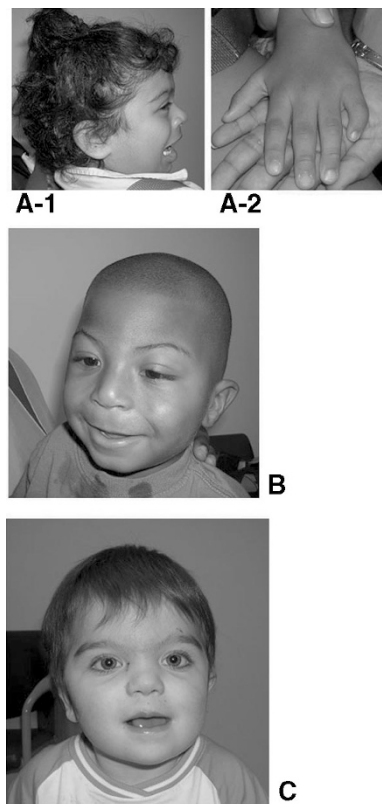


Fig. 1. A, Case 6 at 7 years of age. Note the mildly low-set and posteriorly rotated ears with thick helices and fleshy lobules, as shown in 1A-1. Also note clinobrachydactyly of the fifth digit (Fig. 1A-2). B, Case 9 at 2 years of age. Note trigonocephaly, thin and arching eyebrows, low-set ears that are otherwise normally formed, and long and thin philtrum with thin upper lip. C, Case 10 at 23 months of age. Note the hirsute forehead with mild synophrys and hypertelorism.

A-1). She had a smooth philtrum with a thin upper lip and peg-shaped teeth. She was noted to have mildly hypoplastic labia majora. Her palms were long with shortening of the fifth metacarpals bilaterally. She had clinobrachydactyly of the fifth fingers bilaterally and hyperextensibility of the proximal interphalangeal joints of the fingers. She had a wide space between her first and second toes bilaterally, with varus positioning of her left foot. She had hypoplastic fifth toenails. She also had hypotonia. Repeat chromosome analysis showed a de novo 46, XX, add(9)(p24) karyotype. Further analysis using telomeric FISH demonstrated a deletion of the 9p telomere and subsequent aCGH analysis revealed the additional material to be a duplication of material from 9p.

Case 7

Case 7 was born at 32 weeks secondary to preeclampsia in her surrogate mother. The biological mother was 37 years of age. Chromosome analysis on amniotic fluid performed due to maternal age demonstrated a deletion of 9p. Postnatally, Case 7 was found to have an apical VSD, patent foramen ovale, and patent ductus arteriosus. A head computed tomography scan demonstrated mild hypodensity involving the parietal convexities. There was no follow-up head MRI. The patient was also noted to have dysmorphic features, including a hirsute forehead, up-slanting palpebral fissures, thin upper lip with downturned corners of the mouth, widely spaced nipples, and tapered fingers with normal creases. Her tone appeared normal. At 6 months of age, she was noted to have possible gross motor delay. A blood chromosome analysis demonstrated a de novo 46, XX, del(9)(p23) karyotype, which was confirmed by telomere FISH.

Case 8

Case 8 was born to a 33-year-old G2P0010 mother at 41–3/7 weeks' gestation. His birth weight was 3.435 kg (50th–75th centile) with a length of 57.2 cm (>90th centile) and a head circumference of 33.7 cm (50th centile). After birth he was noted to have ventral deficiency of his foreskin with chordee and right cryptorchidism. At 2 years of age he experienced a seizure, initially believed to be a febrile seizure; however, he went on to develop a seizure disorder that appeared to be a variant of Landau-Kleffner. An EEG done at age 4 years 9 months demonstrated copious seizure discharges lasting 1.5–2 seconds each, bilaterally. A head MRI was normal. He was also noted to be hypotonic.

Case 8 has been diagnosed with motor apraxia (see Table 1 for details). At one point a diagnosis of PDD was entertained. Case 8 has been noted to have significant behavioral issues, including aggressive behaviors (biting at school), frequent outbursts, and a short attention span.

On physical examination, Case 8 has been noted to have a narrow, triangular shape to his face, a smooth philtrum, overcrowding of his lower teeth, pes planus, and long, narrow feet, in addition to the genital abnormalities described above. An ophthalmology evaluation as an infant was concerning for ocular albinism with latent nystagmus; however, a reexamination

in childhood revealed resolution of the albinism with a completely normal examination. He also experienced multiple episodes of otitis media as a child, although his formal hearing evaluation was normal. A high-resolution G-banding analysis detected a 46, XY, del(9)(p24) karyotype, which was shown to be an unbalanced translocation between 9p and 20p by telomere FISH. aCGH did not identify any other imbalances.

Case 9

Case 9 was born to a 24-year-old G2P1001 mother. A fetal ultrasound at approximately 25 weeks' gestation revealed an abdominal cystic mass, which appeared to grow slightly during the pregnancy. The pregnancy was complicated by maternal preeclampsia; labor was therefore induced at 32 weeks' gestation. At birth the patient weighed 3.115 kg (>>90th centile) with a length of 46 cm (75th–90th centile) and a head circumference of 31.5 cm (75th–90th centile). Postnatally a barium enema revealed that all the bowel was on the left side with left microcolon. Upon surgical exploration a cyst was found connected to a malrotated right kidney. Both kidneys were present in the midline, and the right ureter was completely atretic. Abdominal malrotation was also noted. The cyst was removed and the right ureter reconstructed.

Although a neonate, Case 9 was noted to have clouded corneas with concern for possible cataracts. A repeat ophthalmology evaluation at 6 months of age demonstrated resolution of these issues with a completely normal eye examination. Case 9 had several episodes of otitis media that required PET. His hearing evaluation has been normal because of tube placement.

In terms of development, Case 9 has been behind (see Table 1 for details). He has multiple temper tantrums and frustration, some of which is attributed to his inability to communicate effectively.

On physical examination at 25 months of age, Case 9 weighed 13.17 kg (50th–75th centile). His length was 90.5 cm (75th centile) with a head circumference of 47.9 cm (25th–50th centile). He was noted to have trigonocephaly with posterior plagiocephaly, midfacial hypoplasia, thin and arching eyebrows, low-set ears that are otherwise normally formed, a long and thin philtrum with thin upper lip, and mild hypotonia (Fig. 1, B). Because of his developmental issues, a chromosome analysis and telomere FISH study were done. High-resolution chromosome analysis was normal, but telomere FISH revealed an unbalanced translocation with a deletion of 9p and a duplication of 20p. aCGH confirmed the FISH result and did not identify any other imbalances. Parental studies demonstrated that the mother carries the balanced form of this telomeric translocation.

Case 10

Case 10 was born to a 27-year-old G3P2002 mother at 37 weeks' gestation. The pregnancy was uncomplicated, except for preterm labor starting at 34 weeks, which was treated with terbutaline. Her birth weight was 3.4 kg (90th centile). She had difficulties with gastroesophageal reflux shortly after birth and

required a Nissen fundoplication. She subsequently experienced constipation followed by diarrhea and was ultimately diagnosed with inflammatory bowel disease. Case 10 was also noted to have significant trigonocephaly, which required craniotomy at 16 months of age. A head MRI was normal. The patient has also had multiple episodes of otitis media, though PET have never been placed. Her hearing screen at birth was normal. She has noted photosensitivity. A renal ultrasound demonstrated minimal left renal fullness, but was otherwise normal. For developmental history, please see Table 1.

Case 10 has been noted to have several dysmorphic features, including a prominent anterior fontanelle (noted at 9 months of age), hirsute forehead, a mild synophrys, hypertelorism with epicanthus inversus, low-set ears with upswept lobules, an up-turned nose, a thin upper lip, widely spaced nipples, an anteriorly placed anus, generalized brachydactyly with hyperconvex nails, mildly hypoplastic toenails, and truncal hypotonia (Fig. 1, C). Growth parameters at 23 months of age were: weight 13 kg (75th–90th centile), length 80.2 cm (10th centile), and head circumference 47.5 cm (50th centile). Because of her large anterior fontanelle, thyroid function studies were sent, with normal thyroid stimulating hormone results at 1.0 (reference range 0.3–5.0 UIU/mL) and a mildly low free T4 of 0.57 (reference range 1.1–2.0 ng/dL). A chromosome analysis demonstrated a de novo 46, XX, del(9)(p23) karyotype. aCGH analysis confirmed the 9p deletion and did not identify any other imbalances.

Cytogenetic and molecular cytogenetic analyses

G-banded chromosome analysis was performed on all 10 cases. High-resolution mapping of the breakpoints was conducted using a combination of FISH and aCGH for six cases, aCGH only for two cases (Cases 2 and 5, since only DNA samples were available) and FISH only for two cases (Cases 4 and 7, as only chromosomal cell pellets were available).

A telomere FISH panel (ToTelVysion, Abbott Molecular, Inc., Des Plaines, IL) was utilized to screen for telomeric imbalances of all chromosome ends. For FISH analysis in the targeted 9p region, molecular ruler clones, spaced 500 kb–1 Mb apart and covering the most distal 14 Mb of 9p, were used as probes to delineate the size of the deletions. Twenty-three bacterial artificial chromosomes (BAC) clones were selected from the UCSC Genome Browser May 2004 assembly (<http://genome.ucsc.edu/cgi-bin/hgGateway>) and obtained from BACPAC Resources (CHORI, Oakland, CA). The clone content of most of these BACs was verified before FISH studies using polymerase chain reaction with sequence tagged site markers.

BAC DNA was prepared by an alkaline lysis miniprep method and labeled with Spectrum Orange-dUTP (Abbott Molecular, Inc., Des Plaines, IL), Spectrum Green-dUTP (Abbott Molecular, Inc., Des Plaines, IL), or diethylaminocoumarin-5-dUTP (DEAC/aqua, PerkinElmer Life and Analytical Sciences, Inc., Boston, MA) by nick translation. Hybridization and washes followed previously published procedures.¹² FISH was performed on metaphase chromosomes, and images were

captured with a fluorescence microscope and SmartCapture software (Digital Scientific, Cambridge, UK).

A custom oligonucleotide array for aCGH analysis was designed to include 10 oligonucleotide probes for the most distal unique telomere BAC clone on chromosome 9, and the telomere clone for all other chromosome arms (Agilent, Santa Clara, CA). Proximal to this targeted telomere clone coverage, an oligonucleotide was placed every 75 kb for the rest of each chromosome arm, providing a resolution for measuring telomere imbalances of ~50–75 kb. Normal male and female patient genomic DNA samples, made up of a pool of DNA from 4 to 6 individuals (Promega, Madison, WI), were used as standard reference control DNAs. Preparation of test and control DNA, labeling, and hybridization were performed after the manufacturer's protocols (Agilent, Santa Clara, CA). Opposite sex controls were used, so that detection of expected gains or losses on the X and Y chromosomes could serve as internal controls for array performance. Dye-swap experiments were performed for some cases. After hybridization, slides were scanned on a GenePix 4000B scanner (Molecular Devices, Sunnyvale, CA), and the array images were captured using GenePix Pro4.0 software. The images were analyzed using BlueFuse software (BlueGnome, Cambridge, UK).

RESULTS

Clinical findings

Table 3 summarizes the pertinent clinical features observed in our 10 patients. Of these, four patients (Cases 1, 3, 8, and 9) are men, and six are women. Most of our patients exhibit dysmorphic facial features, including low-set, malformed ears (67%), thin upper lip (86%), long philtrum (86%), midface hypoplasia (67%), and arching eyebrows (33%). Trigonocephaly was observed in three patients (38%), and one patient, Case 3, has midline prominence of the forehead. Sex reversal was not observed in any of our male patients, although we did observe other external genital abnormalities, such as cryptorchidism in one male patient. Of six female patients, external genital abnormalities were seen in three patients, including anteriorly placed anus. Overall, 67% of our cases had external genital abnormalities. Macrosomia was found at birth or in childhood or both in Cases 1, 3, 9, and 10.

Table 2 outlines the major malformations by organ system exhibited in the 10 patients. Notably, very few of the patients in our cohort have major malformations, such as structural heart defects. The developmental outcomes and behavioral phenotypes of all 10 patients are summarized in Table 1. Severe speech and language impairment were common features in our patients (100%) who were older than 1 year of age, and therefore capable of being evaluated for language development. Autistic-like behavior and other behavioral problems, such as self-stimulatory behavior, were also common features in our patients (100%).

Table 3
Clinical features of ten patients with 9p-

	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7	Case 8	Case 9	Case 10	No. cases	Percent
Sex	Male	Female	Male	Female	Female	Female	Female	Male	Male	Female		
Macrosomia at birth ^a	-	-	+	-(LGA)	-	-	U	-	+	+	3/8 ^b	38
Macrosomia in childhood	+	U	+	N/A	U	-	U	-	-	-	1/5	20
Trigonocephaly	-	-	Mild midline prominence of forehead	+	-	-	U	-	+	+	3/8 ^b	38
Typical facial features												
Posteriorly rotated/lowest ears	-	+	+	+	-	+	-	-	+	+	6/9	67
Thin upper lip	-	+	Tented	U	+	+	+	U	+	+	6/7 ^b	86
Long philtrum	-	+	+	U	+	+	U	+	+	-	6/7	86
Midface hypoplasia	-	-	+	U	+	+	U	U	+	-	4/6	67
Arching eyebrows	-	+	Medial eyebrow flare	U	-	-	U	U	+	Synophorus	2/6 ^b	33
Widely spaced or low-set nipples	-	-	U	+	U	+	+	-	-	+	4/7	57
External genital abnormalities	-	U	U	Prominent labia minora; APA	-	-	U	Ventral deficiency of foreskin, R cryptorchidism	-	APA	4/6	67
Clinodactyly	-	+	-	+	-	+	-	-	-	-	3/9	33
Brachydactyly	-	+	+	-	-	+	-	-	-	+	4/9	44

^aMacrosomia: a weight \geq 90th centile for age.

^bone or more additional patients who have various degree of the clinical phenotype.

+, indicates present; -, absent; N/A, not applicable; R, right; U, unknown; APA, anteriorly placed anus; LGA, large for gestational age.

Characterization of 9p deletion sizes

G-banding analysis was initially performed on all 10 patients. Of these, five individuals had cytogenetically visible deletions involving breakpoints in band 9p23 or 9p24, whereas five individuals (Cases 1, 2, 3, 4, and 5) had deletions detected only by telomere FISH or aCGH. Four patients had pure, terminal deletions. Four cases had unbalanced translocations involving another chromosome: Cases 4 and 5 had monosomy of 9p and trisomy of 15q and 3p, respectively, whereas Cases 8 and 9 had monosomy of 9p and trisomy of 20p. Case 6 had a terminal deletion of 9p and an adjacent duplication of 9p. Case 1 had a small interstitial telomeric deletion.

Results from FISH and aCGH analyses are summarized graphically in Figure 2, with cases being arranged from the smallest to the largest deletions of 9p (Cases 1–10). In addition, the molecular mapping methods utilized, minimum and maximum deletion sizes, and breakpoints in each case are summarized in Table 4. In Case 1, telomere FISH analysis indicated a <420-kb deletion of 9p with two telomere clones. aCGH analysis determined this telomeric deletion to be 140 kb in size and interstitial. Thirteen oligonucleotide probes spanning the entire *DOCK8* gene region (204,865–445,245 bp of 9p) were used on a custom array. Of these, seven oligonucleotide probes, from 235,823 to 304,969 bp, were deleted in Case 1. Three

probes that are located within the *DOCK8* region, but 5' to the deleted region were present. Thus, the *DOCK8* gene is partially deleted in Case 1, with a distal breakpoint at 230 kb from the end of the chromosome and a proximal breakpoint at 370 kb of 9pter. An independent FISH study using BAC RP11-910H2 (225–420 kb of 9pter) showed that the signal is reduced on one of the chromosome 9p whereas equal intensity of the signals of BAC RP11-106N6 (356–530 kb) were seen on both chromosomes 9. Because the region up to 230 kb of 9pter consists of mainly segmental duplicated sequences (Human Genome Browser Gateway, <http://genome.ucsc.edu>), FISH was used to confirm the array data using a clone located distal to the deleted region (BAC RP11-150N9). Two signals were observed on both chromosome nine homologs, verifying that Case 1 had an interstitial deletion. FISH and array analyses of this patient's mother showed that she also has the same deletion. Thus, the deletion is maternal in origin. Although the mother has had medical issues of her own, she does not have the same presentation, with the exception of seizures, as her son. This deletion is therefore most likely to be a benign copy number variant (CNV).

The remaining 9p deletions are all either de novo or inherited from a balanced carrier parent. Cases 1–6 all had deletion

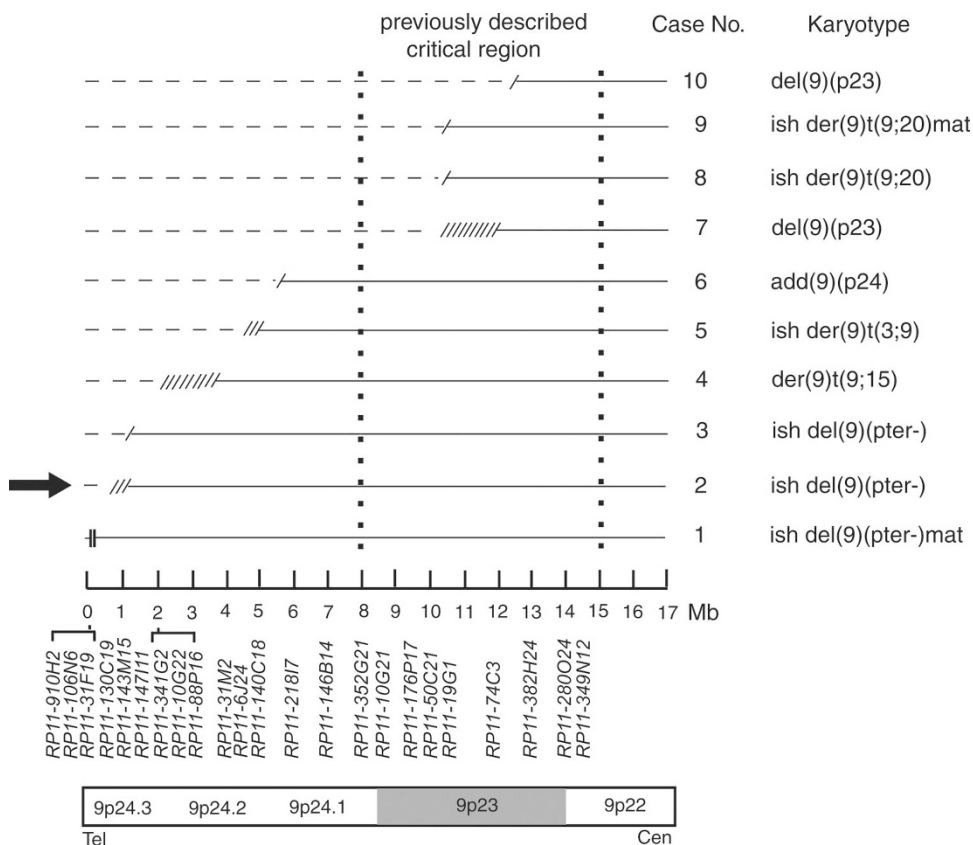


Fig. 2. A genomic map of distal 9p illustrating the deletion or translocation breakpoints in 10 9p deletion patients. The ruler illustrates the first 17 Mb genomic region of 9p. The locations of BAC clones used for FISH are shown below the ruler. Brackets indicate a number of overlapping clones within a <2 Mb region. The deletions are arranged from smallest to largest starting at the bottom of the figure. The solid horizontal lines (—) represent genomic regions that are not deleted, the slanted lines (////) represent the breakpoints on 9p and the dotted lines indicate the deleted segment. Vertical lines (||||) represent the breakpoints of the interstitial deletion. The region between two vertical dashed lines represents the previously described critical region for 9p-. The smallest deletion in our patients with clinically relevant phenotypes is indicated by an arrow.

Table 4
Summary of mapping methods, deletion sizes and breakpoints in ten 9p- cases

	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7	Case 8	Case 9	Case 10
Mapping methods										
FISH	+	-	+	+	-	+	+	+	+	+
aCGH	+	+	+	-	+	+	-	+	+	+
Deletion sizes										
Minimum (Mb)	69 kb	0.8	1.17	1.7	U	5.73	10	10.4	10.51	12.4
Maximum (Mb)	140 kb	1.6	1.23	4.0	3.7	5.77	12	10.5	10.56	12.5
Nt at breakpoints (terminal deletion) (bp)		NA	1,167,143-1,233,178	NA	NA	5,726,990-5,775,791	NA	10,430,655-10,571,443	10,517,668-10,560,711	12,395,964-12,527,982
Nt at breakpoints (interstitial deletion) (bp)										
Distal	229,426-235,823									
Proximal	304,969-371,430									
Duplications										
3p dup. (Mb)					4.5					
9p dup. (bp)						5,775,791-33,947,741		10,571,443-11,808,291		
15q dup. (Mb)				U					18,580-28,198	
20p dup (bp)								4,307,012	14,192,779	

dup indicates duplication; NA, not assessed for exact nucleotide bases; nt, nucleotide bases; U, unknown; -, between these sets of nucleotides.

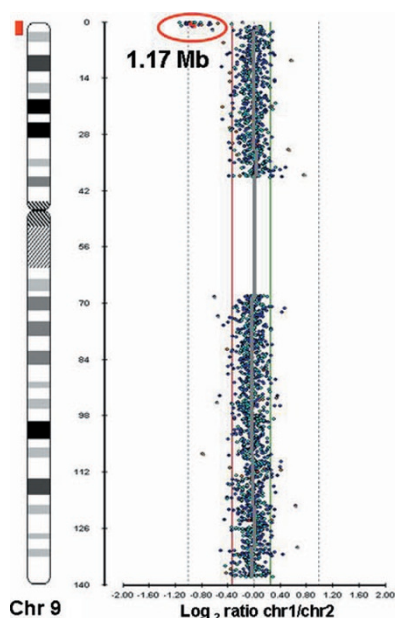


Fig. 3. Characterization of the breakpoints on 9p in Case 3 using a custom oligonucleotide array. The chromosome ideogram is shown on the left of each panel. Each dot represents a single oligonucleotide. The area between the red and green lines represents the normal range of the ratio between the test and control DNA sample. The dots to the left of the red line represent a loss. Case 3 had a 1.17-Mb loss of 9p as demonstrated by aCGH.

breakpoints that were <7 Mb in size. Cases 2 and 3 are pure terminal deletions. Case 2 had a deletion breakpoint between 800 kb and 1.6 Mb, and Case 3 had a deletion breakpoint at 1.17 Mb as shown in Figure 3. Cases 4 and 5 were unbalanced translocations. Case 4 had monosomy 9p and trisomy 15q. FISH analysis determined the size of the 9p deletion to be between 1.7 Mb and 4.0 Mb; by G-banding, the trisomic region of 15q includes 15q25–15qter. aCGH was not performed on Case 4 to further fine map these imbalances because there was no DNA sample available. Case 5 had monosomy 9p and trisomy 3p. aCGH detected a 3.6-Mb deletion on the derivative 9 and a 4.5-Mb duplication of 3p. Case 6 had a 5.7-Mb terminal deletion of 9p and a 28.3-Mb duplication of 9p. The duplicated segment included the region from 5.7 Mb to 34 Mb of 9pter and was immediately adjacent to the deleted region detected by aCGH.

Cases 7–10 had breakpoints >10 Mb from the end of the chromosome. Case 7 had a deletion breakpoint between 10 Mb and 12 Mb of 9p. There was no DNA sample from Case 7 available for aCGH analysis. Cases 8 and 9 both had unbalanced translocations between the short arms of 9p and 20p. In Case 8, the unbalanced translocation resulted in monosomy for 10.4 Mb of 9p and trisomy for 4.3 Mb of the 20p telomere. In addition, a 1.2-Mb duplication of 9p, which was directly adjacent to the deleted region (encompassing the genomic region between 10.57–11.8 Mb), was also identified by aCGH. Case 9 had monosomy for 10.5 Mb of 9p and trisomy for 14 Mb of 20p. Lastly, aCGH showed that Case 10 had a 12.4-Mb deletion of 9p. In all cases, the deletion breakpoints were unique.

DISCUSSION

Defining a minimal deleted region among patients with discrete phenotypes is a key step toward the identification of causative genes. Emerging techniques, such as aCGH, have allowed for escalation of these studies by more efficient mapping methods. There have been a number of studies aimed at characterizing the critical region of 9p deletion syndrome.^{2,5,8} Their combined results suggest that a 3.5-Mb region between 11.4 Mb and 14.9 Mb is the critical region, and genes within this region, such as *CER1*, are candidate genes. Nevertheless, many authors have lamented the lack of genotype-phenotype correlations, which is mainly a result of significant phenotypic diversity among the 9p–patients and insufficient fine mapping data.

In our current study, FISH and aCGH analyses allowed us to calibrate the deletion or translocation breakpoints in 10 new patients with 9p deletions. Of these, nine patients have phenotypes consistent with 9p–syndrome, whereas one patient, Case 1, lacks the 9p–phenotype but possesses a small, maternally inherited interstitial deletion in the 9p telomere region. His mother is developmentally normal and has a history of a seizure disorder, which resolved spontaneously. This deletion is therefore most likely to be a benign CNV. There have been four reported cases of benign variants of the 9pter region, which have been identified using the 9p telomere clone RP1-43N6 (at 200 kb of 9pter): three cases were deletions,^{13–15} and one case was a duplication.¹⁵ Because phenotypically normal individuals show imbalances at ~200 kb of 9pter, this region can be excluded from the critical region of the 9p–phenotype, since it would seem that genes in this region are tolerant to dosage changes.

Within our cohort, we found pure, terminal deletions in four cases and unbalanced translocations in four cases. A terminal deletion and a duplication of the adjacent DNA segment were found in one case. Although it is preferable to examine genotype-phenotype correlations based solely on data from patients with pure, terminal deletions, which eliminates interference from the trisomic region of another chromosome, one can still appreciate the cardinal features of 9p–manifested by several unbalanced translocations in our study. For example, Case 9, who has an unbalanced translocation between 9p and 20p, has a 9p–phenotype that includes trigonocephaly, low-set or malformed ears, thin upper lip, long philtrum, and thin and arching eyebrows (Fig. 1, B). Therefore, we included such individuals in our study, but analyzed pure deletions and unbalanced translocations separately. Phenotypic analysis suggests that macrosomia at birth and brachydactyly are more common in our patients with pure deletions (67% and 75%, respectively) than those with unbalanced translocations (20% and 20%, respectively). However, analysis in more cases is warranted. We saw no significant differences in other clinical features, such as dysmorphic facial features, postnatal major malformations, and speech and language delays, between these two types of imbalances.

The breakpoints in the nine patients with pathogenic deletions can be divided into two groups: Cases 2–6 have deletions that are smaller than 7 Mb, and Cases 7–10 have deletions that are between 10 and 12.4 Mb in size. Clinical evaluation and comparison of our patients showed little difference, in terms of deletion sizes, for the physical features that were evaluated. For instance, craniofacial abnormalities that are typically seen in 9p–syndrome, including trigonocephaly or prominence of forehead and low-set or malformed ears, were observed in both groups. Trigonocephaly was observed in three of our patients; one of these patients has a deletion that is smaller than 4 Mb, and the other two have deletions larger than 10 Mb but smaller than 12.4 Mb. A fourth patient has midline prominence of the forehead. Gross motor skills do seem to be less severely impaired in the patients with the smaller deletions (e.g., Case 3) compared with the remaining individuals. However, careful analysis of more cases is warranted.

To establish correlations between the phenotype and genotype in our patients with 9p deletion syndrome, and identify potential candidate genes, we compared the minimally deleted regions of distal 9p with the eight cardinal features of 9p deletion syndrome. Figure 4 depicts the minimal deleted regions of the distal 9p and corresponding clinical features. We observed a correlation between the deletion of the first 2 Mb of 9pter and manifestations of the typical facial features, including low-set, malformed ears, thin upper lip, long philtrum, midface hyp-

oplasia, and arching eyebrows. Importantly, these observations were made in patients with pure, terminal deletions of 9p.

Trigonocephaly was observed with the deletion of the first 4 Mb of 9pter in one of our patients, Case 4. Although she also has a trisomy of 15q, the latter is not known for causing the trigonocephaly phenotype. This observation differs from earlier reports, in which the critical region for trigonocephaly is mapped to a proximal region between 11.4 Mb and 14.9 Mb. Trigonocephaly was also found in two patients with deletions larger than 10 Mb but smaller than 12.4 Mb.

CER1 has been proposed as a candidate gene for trigonocephaly.⁵ *CER1* plays a role in establishing the anterior-posterior axis in vertebrates¹⁶; however, studies have also shown that *Cerr1*, the mouse ortholog of *CER1*, is not essential for head formation, because no malformation of the head was detected in *Cerr1* null mutants.¹⁷ The *CER1* gene, located at 14.7 Mb of 9p, was not deleted in any of our patients with trigonocephaly, since deletions in these patients are smaller than 12.5 Mb of 9p. Thus, our data suggest that other genes or factors, contribute to the trigonocephaly observed in 9p deletion patients. One possibility is that deletions of the genomic region distal to *CER1*, for instance within 10–12 Mb of 9pter, may have indirect effects on the expression level of *CER1*, as shown in other syndromes, such as Williams-Beuren syndrome.¹⁸

Speech and language delays have rarely been reported in studies of 9p–patients. Most of our 9p–patients have severe

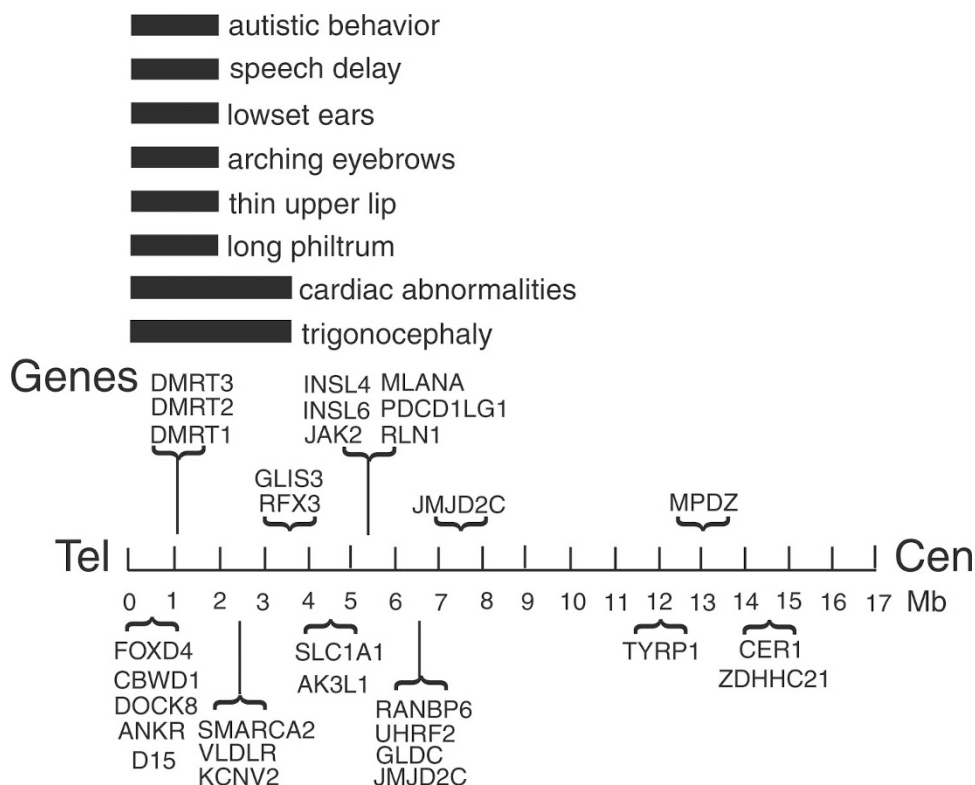


Fig. 4. Genotype/phenotype correlations of 9p deletions with the location of 30 genes within 14.7 Mb of 9pter. The solid bar represents the minimal deleted region for a particular dysmorphic feature of 9p–syndrome observed in our patient cohort. The 30 genes were placed on the genomic map according to the UCSC Genome Browser May 2004 assembly. Notice the higher gene density of the first 7 Mb of 9p, since 89% of the genes mapped to the most distal 14 Mb of 9pter are located within this 7 Mb region.

speech and language impairment, and sign language or the use of communication boards is a common form of expression. Autistic-like behaviors, in addition to significant speech delays and other behavioral problems, such as self-stimulatory behavior, were also observed. These patients share an ~800 kb minimal deleted region of 9pter which contains *FOXD4*, located 100 kb from the 9p terminus. *FOXD4* may be responsible for the speech and language deficits seen in our patients. In Case 1 and his normal mother, a 140-kb interstitial deletion (from 230 to 370 kb) was detected by aCGH, which is most likely a benign CNV. As a result, the first 230-kb subtelomeric region of 9p is intact in these two individuals. FISH results further confirmed that both mother and son have two copies of the *FOXD4* gene. The fact that Case 1 and his mother have no language and speech impairment comparable to those seen in 9p deletion syndrome lends evidence to the contribution of the *FOXD4* gene to speech and language development.

The *FOXD4* gene belongs to the winged helix or forkhead transcription factor gene family and is highly conserved.¹⁹ The *FOX* gene family shares a ~100-amino-acid forkhead box (FOX) domain, which is indicative of DNA binding. Mutations of different forkhead genes have been linked to a range of disorders in humans and mice.²⁰ For example, a point mutation or truncation of one of the two copies of *FOXP2*, a member of the *FOX* gene family, causes impairment of neurological and motor development that leads to a distinctive form of speech and language disorder.^{21,22} Differential parent-of-origin expression of the *FOXP2* gene has also been described in patients with the same speech and language disorder.²³ *FOXD4* is potentially a transcription factor and shares a crucial functional domain with *FOXP2*. Haploinsufficiency of *FOXD4* may cause dosage imbalance in its target genes, which leads to abnormal development. The fact that most of our 9p-patients, who have severe speech and language impairment, have a heterozygous deletion of *FOXD4* suggests that *FOXD4* may play a role in speech and language development or neurological development.

Abnormal external genitalia were present in several of our patients, but sex reversal was not observed in any of the three men with a 9p-phenotype (Cases 3, 8, and 9). Abnormalities of external genitalia vary significantly for individuals with a 46, XY karyotype, as described in several reports to date.^{7,24,25} Our finding is in agreement with the notion that deletion of the *DMRT* genes alone is insufficient to cause sex reversal.

The *DOCK8* gene, located 260–455 kb from the 9p telomere, has been proposed as a candidate gene for mental retardation. Griggs et al.,²⁶ found that the *DOCK8* gene is partially deleted or disrupted in two patients who demonstrated mental retardation. One of them also had no speech and seizures.²⁶ Thus, the authors posit a putative role for *DOCK8* in brain development and function. The *DOCK8* gene is a potential guanine nucleotide exchange factor but its function remains unknown at this time. We demonstrated that the 5' end of the *DOCK8* gene is deleted in Case 1 and his normal mother, since the deleted region is between 230 kb and 370 kb of 9pter, which suggests that it is not haploinsufficiency of the *DOCK8* gene

that leads to mental retardation and no speech. Nevertheless, deletion of *DOCK8* may play a role in seizures, as both Case 1 and his normal mother have seizure disorders.

In summary, we have mapped the breakpoints of 10 patients with deletions of 9p, nine pathogenic and one benign CNV, and correlated these findings to the clinical phenotypes of our patients. FISH and aCGH analyses showed that the minimal deleted region shared by our patients with clinically relevant phenotypes includes the first 2 Mb of 9pter. This deleted region of 9p, however, is distal to the previously described critical region. Therefore, it is likely that phenotypic features attributed to the 9p-deletion syndrome may be caused by multiple regions on 9p or other modifying factors in the genome. Furthermore, analysis of one benign variant case, Case 1, provided invaluable information. The analysis led us to narrow the minimum deleted region of 9p-syndrome by 140 kb, to <2 Mb, and allowed us to isolate two regions that are potentially responsible for speech and language development or neurological development and seizures. Twenty known genes are deleted in our patient who has a 9p-phenotype with the largest deletion, whereas six known genes, including *FOXD4* and *DOCK8*, are deleted in our patient with the smallest deletion and the 9p-phenotype. These genes may contribute to some of the cardinal features of 9p deletion syndrome.

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