

Seven-year incidence of uncorrected refractive error among an elderly Chinese population in Shihpai, Taiwan: The Shihpai Eye Study

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Abstract

Purpose To report the 7-year incidence of uncorrected refractive error in a metropolitan Chinese elderly population.

Methods The Shihpai Eye Study 2006 included 460/824 (55.8%) subjects (age range 72–94 years old) of 1361 participants in the 1999 baseline survey for a follow-up eye examination. Visual acuity was assessed using a Snellen chart, uncorrected refractive error was defined as presenting visual acuity (naked eye if without spectacles and with distance spectacles if worn) in the better eye of <6/12 that improved to no impairment ($\geq 6/12$) after refractive correction.

Results The 7-year incidence of uncorrected refractive error was 10.5% (95% confidence interval (CI): 7.6–13.4%). 92.7% of participants with uncorrection and 77.8% with undercorrection were able to improve at least two lines of visual acuity by refractive correction. In multivariate analysis controlling for covariates, uncorrected refractive error was significantly related to myopia (relative risk (RR): 3.15; 95% CI: 1.31–7.58) and living alone (RR: 2.94; 95% CI 1.14–7.53), whereas distance spectacles worn during examination was protective (RR: 0.35; 95% CI: 0.14–0.88).

Conclusion Our study indicated that the incidence of uncorrected refractive error was high (10.5%) in this elderly Chinese population. Living alone and myopia are predisposing factors, whereas wearing distance spectacles at examination is protective.

Introduction

The prevalence of correctable visual impairment is known to increase with age¹ and has become a public health concern. Less than 1% of people aged 40–49 years have visual impairment due to uncorrected refractive error, but this percentage increases to >13% among those aged 80 years and older.¹ The World Health Organization estimated that uncorrected refractive error accounts for 153 million cases of visual impairment globally, thus making it the major cause of mild to moderate levels of visual impairment worldwide.² Uncorrected refractive error has been targeted as one of the priorities of the VISION 2020: The Right to Sight program. Visual impairment limits people's ability to perform daily tasks^{3,4} and affects their quality of life.^{5–7} Whereas most population-based studies have emphasized the importance of noncorrectable visual impairment, uncorrected refractive error has also been shown to affect the elderly, though to a lesser extent.^{2,4–7}

Many cross-sectional studies have highlighted that the vision of a significant proportion of the elderly can be improved by wearing eyeglasses or changing the patient's existing glasses.^{1,8–13} However, few longitudinal studies^{11,14,15} have assessed the incidence of uncorrected refractive error, and such studies have been primarily limited to the Blue Mountains Eye Study^{11,14} and the Melbourne Visual Impairment Project.¹⁵ These two studies concluded that even after people have been informed of the potential for refractive improvement, correctable visual impairment may still persist over time.¹⁴

Currently, there is no longitudinal information about uncorrected refractive error in the Asian population. The purpose of the present study

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Received: 19 May 2014
 Accepted in revised form: 20 October 2015
 Published online: 22 January 2016

Eye (2016) 30, 570–576; doi:10.1038/eye.2015.276;
 published online 22 January 2016

was to investigate the incidence of uncorrected refractive error in a metropolitan Chinese elderly cohort and the risk factors for this condition with a 7-year follow-up.

Materials and methods

The Shihpai Eye Study^{13,16} was a community-based, cross-sectional survey of vision and eye diseases among noninstitutionalized subjects 65 years of age and older in Shihpai, Taipei, Taiwan. Residents 65 years of age and older were identified using the household registration system. This system officially registers personal information, such as the date of birth, sex, home address and family members and relations. According to the official household registration in 1999, the total number of residents aged 65 years and older in Shihpai was 4750; of them, 3746 persons were eligible, and 2045 were randomly selected to be invited to participate in the study. Of the 2045 subjects, 1361 (66.6%) participated in both the questionnaire and eye examination. The baseline examinations were conducted between 1 July 1999 and 31 December 2000. The follow-up examinations of the eye conditions of the fixed cohort were conducted beginning on 25 March 2006 and ended on 31 December 2007. We planned to invite the 1361 subjects who had participated in the baseline examinations for the follow-up study.

A structured questionnaire similar to the baseline survey¹⁶ was administered by intensively trained interviewers. The questionnaire obtained information about demographics (ie, age, gender, education, and marital status), body height, body weight (ie, the body mass index = body weight (kg)/body height² (m²)), and lifestyle (ie, smoking and alcohol intake). The personal medical histories were assessed with a checklist. The participants were asked whether a physician had diagnosed them with a chronic disease, such as diabetes (yes/no), hypertension (yes/no), cardiovascular disease (yes/no), or stroke (yes/no). The participants were also asked whether they lived alone or with a spouse, children, relatives, or friends and whether they required supportive services (definitely need supportive services, need supportive services sometimes, or do not need supportive services at all). The subjects were also asked to rate their health statuses over the past half-year (excellent, very good, good, fair, or poor), and whether they had received contact eye service before (yes/no). The subjects who were interviewed were invited to participate in comprehensive ophthalmic examinations that were conducted in the Taipei Veterans General Hospital. These examinations included the presenting and best-corrected visual acuities, slit-lamp biomicroscopy, tonometry, and fundus photography. Ophthalmologists conducted the examinations according to a standardized protocol. Informed consent was obtained from each subject after

explaining the purpose and procedure of the study. The survey followed the tenets of the Declaration of Helsinki.

This study was approved by the Institutional Review Board of the Taipei Veterans General Hospital.

Procedures

Visual acuity was assessed using a Snellen tumbling 'E' chart at a distance of 6 m and was recorded separately for each eye. The presenting visual acuity was measured initially with the subject's spectacles (if worn). Visual acuity was measured without spectacles when the subjects did not have spectacles with them at the time of the ophthalmic examination (ie, for the adults who did not wear spectacles and the adults who had spectacles but did not wear them habitually).

If the presenting visual acuity was <6/6, the examination was repeated with subjective refraction. If a refraction measurement could not be appropriately obtained, a pinhole-corrected acuity test was performed. Visual acuity was determined as the smallest line for which most of the E's were positioned correctly, that is, correct for 4 of the 4 Es at a given level of acuity or correct for at least 5 of the 6 Es at a given level.

Definitions

Uncorrected refractive error was defined as the presenting visual acuity (with the naked eye if the participant was without spectacles and with distance spectacles when worn by the participant) in the better eye of <6/12 that improved to no impairment ($\geq 6/12$) after refractive correction according to the methods described in our previous prevalence study.¹³

Refractive status was assessed using the spherical equivalent (sphere+1/2 cylinder), which was calculated from the best refractive correction. Spherical equivalents between -1.0 and +1.0 D were defined as emmetropia, spherical equivalents below -1.0 were defined as myopia, and spherical equivalents >+1.0 were defined as hyperopia.

Statistical analysis

The analyses were performed by comparing the subjects who had uncorrected refractive error with those who had no visual impairment. The tested independent variables were age, gender, education, marital status, refractive status, nuclear sclerosis, self-assessed need for supportive service, living status, self-rated health status in the recent half-year, whether distance spectacles were worn during the examination, and history of contact eye service. Univariate analyses were performed to test for associations of each independent variable with the

dependent variable using chi-square analyses. A multivariate logistic regression analysis was used to fit the best model for the independent variables. Gender, age, and the independent variables with *P*-values of 0.2 or less in the univariate analyses were analyzed in the multivariate models. A *P*-value below 0.05 was considered to be statistically significant in the multivariate model. The statistical analyses were performed with the Statistical Analysis System (SAS 6.12; SAS Institute, Cary, NC, USA) software.

Results

Of the 1361 participants who attended the baseline examination in the 1999 study, 205 (15.1%) were dead before the follow-up study began, 301 (22.1%) had moved away, and 31 (2.3%) were institutionalized. In total, 824 (60.5%) subjects were thus eligible for the study, and 725 (87.4%) agreed to be interviewed for the questionnaire. Among those interviewed, 460 (55.8% of those eligible or 39.8% of the survivors) participated in the ophthalmic examination (Supplementary Figure). Comparisons of the demographics and some of the variables between the subjects who did and did not undergo the eye examination are shown in Table 1. The participants were younger (78.1 ± 4.1 years *vs* 80.4 ± 5.4 years, $P < 0.001$), more likely to be male ($P < 0.01$), married and living with a spouse ($P = 0.03$), and were more highly educated ($P < 0.001$). The participants were less likely to have a history of stroke ($P = 0.03$) and more likely to be current smokers ($P = 0.03$).

There were six participants who declined or were unable to cooperate with the visual acuity examination; hence, information about visual acuity was obtained for 454 participants. Of the 127 participants who were identified as having uncorrected refractive error in the baseline examination, 27 participated in the follow-up study, and these participants were excluded from the estimation of the incidence of correctable visual impairment.

Table 2 illustrates the distribution of the visual acuity statuses of the participants. Forty-four subjects (7-year incidence: 10.5%; 95% CI: 7.6–13.4%) were noted to have newly developed uncorrected refractive error in this cohort. Of the participants ($n = 27$) with uncorrected refractive error in the baseline study, 15 (55.6%) participants were no longer visually impaired (with their naked eyes or their presenting glasses), 6 (22.2%) continued to have uncorrected refractive errors, and 6 (22.2%) had deteriorated to noncorrectable impairment (best-corrected visual acuity below in the 6/12 in the better eye).

Our results reveal that of the 50 participants with uncorrected or undercorrected refractive errors, 92.7%

Table 1 Descriptive characteristics of participants in Shihpai, Taipei, Taiwan, 2006 to 2007

Characteristics	Participants (n = 460) (%)	Non- participants (n = 265) (%)	<i>P</i> -value
<i>Age, years</i>			
72–79	333 (72.4)	150 (56.6)	<0.001*
≥80	127 (27.6)	115 (43.4)	
<i>Sex</i>			
Male	304 (66.1)	128 (48.3)	<0.001*
Female	156 (33.9)	137 (51.7)	
<i>Education</i>			
≤Secondary education	251 (54.6)	191 (72.1)	<0.001*
≥High school	209 (45.4)	74 (27.9)	
<i>Marital status</i>			
With spouse	365 (79.3)	191 (72.1)	0.03*
Without spouse ^a	95 (20.7)	74 (27.9)	
<i>Body mass index, kg/m²</i>			
<25	292 (63.5)	158 (59.6)	0.30
≥25	168 (36.5)	107 (40.4)	
<i>History of hypertension</i>			
Yes	213 (46.3)	138 (52.0)	0.53
No	208 (45.2)	122 (46.0)	
<i>History of diabetes</i>			
Yes	85 (18.5)	53 (20.0)	0.99
No	331 (72.0)	206 (77.7)	
<i>History of cardiovascular disease</i>			
Yes	167 (36.3)	85 (32.1)	0.06
No	249 (54.1)	173 (65.3)	
<i>History of stroke</i>			
Yes	14 (3.0)	18 (6.8)	0.03*
No	407 (88.5)	241 (90.0)	
<i>Smoking (current vs never)</i>			
Yes	43 (9.3)	14 (5.3)	0.03*
No	335 (72.8)	218 (82.6)	
<i>Ex-smoking (quit vs never)</i>			
Yes	46 (10.0)	29 (10.9)	0.90
No	335 (72.8)	218 (82.3)	
<i>Alcohol</i>			
Yes	20 (4.3)	7 (2.6)	0.10
No	322 (70.0)	233 (87.9)	

* $P < 0.05$. ^aWith spouse included participants who were married and living with spouse, without spouse included participants who were single, separated, divorced, or widowed.

with uncorrected errors and 77.8% with undercorrected errors improved by least 2 lines of visual acuity due to refractive correction, and 46.3% of the uncorrected and 44.4% of the undercorrected subjects improved by 4 lines

Table 2 Visual Status of Participants in Shihpai, Taipei, Taiwan, 2006 to 2007

	<i>Participants without correctable visual impairment at baseline (%)</i> (n = 420)	<i>Participants with correctable visual impairment at baseline (%)</i> (n = 27)
Naked eye with no visual impairment	234 (55.7)	3 (11.1)
With glasses, no visual impairment (met need)	100 (23.8)	12 (44.4)
Naked eyes with correctable visual impairment (unmet need)	35 (8.3)	6 (22.2)
With glasses, with correctable visual impairment (change glasses)	9 (2.1)	0 (0.0)
Noncorrectable visual impairment	42 (10.0)	6 (22.2)

or more (Supplementary Table). The participants with uncorrected refractive errors exhibited similar potential for improvement in visual acuity as those with undercorrected refractive error ($P > 0.05$).

The univariate analyses revealed that uncorrected refractive error was significantly related to myopia ($P = 0.01$), the use of distance eyeglasses during the examination ($P = 0.03$) and living alone ($P = 0.01$; Table 3).

The multivariate analysis that controlled for covariates revealed that uncorrected refractive error was significantly related to myopia (relative risk (RR): 3.15; 95% CI: 1.31–7.58) and living alone (RR: 2.94; 95% CI 1.14–7.53), whereas the use of distance eyeglasses during the examination was protective against correctable visual impairment (RR: 0.35; 95% CI: 0.14–0.88; Table 4).

Discussion

In the 7-year follow-up, the incidence of uncorrected refractive error was 10.5%, whereas this incidence was 9.6% in our prevalence analysis.¹³

Since Tielsch¹⁷ and Schwab¹⁸ brought attention to the importance of correctable visual impairment, there has been wide recognition of uncorrected refractive errors. Prevalence studies have indicated that correctable visual impairment is a major cause of reduced vision in both developing^{19,20} and developed countries.^{21,22} When comparing the results of different studies, it should be noted that various definition of correctable visual impairment are used. For example, some studies have defined uncorrected refractive error as an improvement in visual acuity of at least two lines or more in the better eye with the best possible refractive correction.^{8,10} In the present study, visual impairment was defined as a presenting visual acuity of $< 6/12$ in the participant's better eye that improved to no impairment ($\geq 6/12$) after refractive correction because this measure reflects the visual acuity a person experiences in everyday living, is more representative of the visual demands of modern life,^{23–25} such as driving, and accords with the greatest number of population-based studies.^{11,13,14,26,27}

The Melbourne Visual Impairment Project¹⁵ noted that undercorrected refractive error was the most frequent cause of the prevalence (53%) and the incidence (59%) of bilateral impairment. The incidence and severity of visual impairment due to undercorrected refractive error have been noted to increase with age. The incidence of undercorrected refractive error in participants older than 80 (7.2%) years observed in this study is consistent with our findings.

In addition, in accordance with the findings of the Blue Mountains Eye Study,¹⁴ our study further confirmed that despite the suggestion of spectacle correction, correctable visual impairments may still persist over time. At a 5-year follow-up, the authors of the Blue Mountains Eye Study found that 34 (27.2%) participants had persistent correctable impairments compared with the 22.2% observed in our study. The predictive factors identified in these two studies were similar and included increasing age, being female, living alone, using community support services and having a history of heart disease.

After education to increase the awareness of ophthalmic health and clinical examinations that was provided at baseline, the awareness of the elderly of eye care and vision improvement was expected to be higher. Surprisingly, the proportion of the elderly with uncorrected refractive errors remained high after 7 years.

In the literature, barriers to eliminating correctable visual impairment have been suggested to exist at three levels,²⁸ that is, the individual level, within the service or treatment context and the societal level. After the implementation of the National Health Insurance Scheme in 1994, the accessibility to ophthalmic medical care services increased, and economic burden became less of a barrier to the public. These trends can be observed in our study in the finding that in our baseline study, 66.9% of the participants who had received cataract surgeries did so under the National Health Program¹⁶ after 1994, when this surgery became available free of charge. The prescription of spectacles is offered at a low charge under the National Health Insurance system. However, spectacle frames and lenses have to be paid for by the public at full price. It has been speculated that among

Table 3 Univariate analysis on uncorrected refractive error among participants in Shihpai, Taipei, Taiwan, 2006 to 2007

Variable	Without URE (n = 341)	With URE (n = 50)	P-value
<i>Age, years</i>			
72–79	262	33	0.10
80–93	79	17	
<i>Sex</i>			
Male	233	31	0.37
Female	108	19	
<i>Education</i>			
≤ Secondary	174	31	0.15
≥ High school	167	19	
<i>Marital status</i>			
With spouse	280	39	0.47
Without spouse	61	11	
<i>Refractive status</i>			
Hyperopia	129	20	0.20
Emmetropia	145	14	
Myopia	53	14	
<i>Nuclear sclerosis</i>			
≥ 2	85	12	0.73
< 2	170	21	
<i>Distance eyeglasses worn during examination</i>			
Yes	111	8	0.03*
No	225	42	
<i>Ever contact eye service</i>			
Yes	304	47	0.94
No	7	1	
<i>Lives alone</i>			
Yes	17	7	0.01*
No	323	42	
<i>Needs supportive service</i>			
Yes	4	1	0.65
No	336	49	
<i>Self-rated health in recent half-year</i>			
Fair to good	323	47	0.67
Poor	19	2	

Abbreviation: URE, uncorrected refractive error.
**P* < 0.05.

generally old and retired populations, the cost of spectacle frames and corrective lenses alone might still be an obstacle to the use of eyeglasses. If the costs of spectacle frames and lenses were also covered by the National Health Insurance, then the proportion of people with correctable visual impairments would be expected to be lower.

Table 4 Multivariate analysis on uncorrected refractive error among participants in Shihpai, Taipei, Taiwan, 2006 to 2007

Variable	RR (95% confidence interval)	P-value
<i>Age (years)</i>		
72–79 (reference)	1.00	0.35
80–93	1.48 (0.74–2.96)	
<i>Gender</i>		
Female (reference)	1.00	0.56
Male	1.21 (0.59–2.52)	
<i>Education</i>		
≤ Secondary (reference)	1.00	0.40
≥ High school	0.74 (0.38–1.47)	
<i>Refractive status</i>		
Emmetropia (reference)	1.00	0.16
Hyperopia	1.80 (0.80–4.05)	
Myopia	3.15 (1.31–7.58)	
<i>Distance eyeglasses worn during examination</i>		
No (reference)	1.00	0.02*
Yes	0.35 (0.14–0.88)	
<i>Lives alone</i>		
No (reference)	1.00	0.03*
Yes	2.94 (1.14–7.53)	

Abbreviation: RR, relative risk.
**P* < 0.05.

Another possible reason is that some elderly may not have strong demands for distance vision or that their daily activities seldom involve distance vision. Hence, distance refractive correction may be deemed unnecessary. This possibility agrees with the fact that myopic subjects are more inclined to have correctable visual impairments than hyperopes. Combined with the fact that the participants who were living alone were more likely to have correctable visual impairments, the barriers were mostly likely at the individual and societal levels in our elderly population.

Moreover, the ideas that the loss of vision in senior life is a natural aging process²⁹ and that there is no method to prevent or to improve this condition has been widely cultivated since youth in this population and was likely not easily changed, even with the provision of ophthalmic education and eye care.

There are some limitations to our study. The response rate was relatively low (55.8% of those eligible). Obtaining population-based prevalence estimates of eye disease among elderly persons is challenging because this group of individuals is less likely to participate in research studies.³⁰ The inclusion rate in the Rotterdam Study³¹ ranged from 59% in the 75- to 84-year group to 28% in the group that was 85 years old and older. Similarly, in the

Baltimore Study,³² the inclusion rates were 48% in the 70- to 79-year group and 21% in the group that was 80 years old and older. Another potential reason for the low participation rate is that the lack of the utilization of ophthalmologic care, prevention, and treatment has created the impression that the loss of vision is expected in senior life and the idea that nothing can be done to improve the situation among elderly people, particularly among less-educated elderly people.¹⁶ Many elderly were not aware of the importance of regular physical checkups or that many diseases are asymptomatic in the early stages. This reason could be observed in the findings that more than 90% of participants had received previous contact eye service, whereas only 8% of the nonparticipants had previously attended similar services. We further confirmed this speculation by asking the nonparticipants (684 at the baseline examination) about their reasons for declining the ophthalmic examination: 63% felt that they were fine and did not need any examination; 10% of the elderly stated that they already had regular ophthalmic clinical follow-ups; and the third reason was that they had already previously participated in a similar survey (8%).

The unexamined subjects remain a potential source of bias. Our study population was composed of noninstitutionalized survivors and excluded those who were inpatients or had paralysis or disability, which likely removed a disproportionate number of potential participants with functional or physical impairments and/or declining health-related quality of life and thus might have biased the results of the study. Hence, the incidence of correctable visual impairment may have been underestimated.

Second, the assessments of comorbidities via dichotomized classifications were simplistic. Third, the possibilities of chance findings cannot be completely excluded. Moreover, it should be noted that there were insufficient data for certain variables, such as ever having received eye service, the need for supportive service and the self-rated health in the recent half-year, which prevented meaningful statistical comparisons.

In conclusion, our study indicated that the incidence of correctable visual impairment is high. Living alone and myopia were found to be predisposing factors, whereas the use of distance spectacles at the examination was found to be protective. Further studies should be directed at the underlying reasons for not undergoing refractive error correction and the effects of this lack of correction on the quality of life of the elderly. Public education should be implemented to heighten the awareness of the elderly about eye care and the importance of having their refractive errors checked regularly and to promote the idea that it is possible to improve visual acuity by wearing spectacles.

Summary

What was known before

- The prevalence of uncorrected visual impairment was 9.6% in previous study.
- Older age and nonemmetropic eyes were risk factors for uncorrected refractive errors.
- A higher level of education and wearing distance eyeglasses during the eye examination were protective factors for uncorrected refractive errors.

What this study adds

- Our study indicated that the incidence of uncorrected refractive error was high (10.5%) in this elderly Chinese population.
- Uncorrected refractive error was significantly related to myopia [relative risk (RR): 3.15; 95% CI: 1.31 – 7.58] and living alone (RR: 2.94; 95% CI 1.14–7.53) whereas distance spectacles worn during examination was protective (RR: 0.35; 95% CI: 0.14–0.88).
- Public education to heighten the awareness of the elderly to eye care and to have their refractive error checked regularly and the idea that it is possible to improve visual acuity by wearing spectacles should be implemented.

Conflict of interest

The authors declare no conflict of interest.

Acknowledgements

This study was supported by a grant from Taipei Veterans General Hospital, Taipei, Taiwan (V95S3-001).

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Supplementary Information accompanies this paper on *Eye* website (<http://www.nature.com/eye>)